



## Legislation Details (With Text)

**File #:** Int 0977-2018 **Version:** A **Name:** Sanctions for submitting incorrect professionally certified applications for construction document approval.

**Type:** Introduction **Status:** Enacted

**In control:** Committee on Housing and Buildings

**On agenda:** 6/7/2018

**Enactment date:** 6/8/2019 **Enactment #:** 2019/105

**Title:** A Local Law to amend the administrative code of the city of New York, in relation to sanctions for submitting incorrect professionally certified applications for construction document approval

**Sponsors:** Antonio Reynoso, Robert F. Holden, Rory I. Lancman, Ben Kallos, Paul A. Vallone, Helen K. Rosenthal, Bill Perkins, Carlina Rivera, Diana I. Ayala

**Indexes:**

**Attachments:** 1. Summary of Int. No. 977-A, 2. Summary of Int. No. 977, 3. Int. No. 977, 4. June 7, 2018 - Stated Meeting Agenda with Links to Files, 5. Hearing Transcript - Stated Meeting 6-7-18, 6. Minutes of the Stated Meeting - June 7, 2018, 7. Committee Report 12/13/18, 8. Hearing Testimony 12/13/18, 9. Hearing Transcript 12/13/18, 10. Proposed Int. No. 977-A - 5/9/19, 11. Committee Report 5/7/19, 12. Hearing Transcript 5/7/19, 13. Committee Report - Stated Meeting, 14. May 8, 2019 - Stated Meeting Agenda with Links to Files, 15. Hearing Transcript - Stated Meeting 5-8-19, 16. Minutes of the Stated Meeting - May 8, 2019, 17. Int. No. 977-A (FINAL), 18. Fiscal Impact Statement, 19. Legislative Documents - Letter to the Mayor, 20. Local Law 105

Date	Ver.	Action By	Action	Result
6/7/2018	*	City Council	Introduced by Council	
6/7/2018	*	City Council	Referred to Comm by Council	
12/13/2018	*	Committee on Housing and Buildings	Hearing Held by Committee	
12/13/2018	*	Committee on Housing and Buildings	Laid Over by Committee	
5/7/2019	*	Committee on Housing and Buildings	Hearing Held by Committee	
5/7/2019	*	Committee on Housing and Buildings	Amendment Proposed by Comm	
5/7/2019	*	Committee on Housing and Buildings	Amended by Committee	
5/7/2019	A	Committee on Housing and Buildings	Approved by Committee	Pass
5/8/2019	A	City Council	Approved by Council	Pass
5/8/2019	A	City Council	Sent to Mayor by Council	
6/8/2019	A	Administration	City Charter Rule Adopted	
6/11/2019	A	City Council	Returned Unsigned by Mayor	

Int. No. 977-A

By Council Members Reynoso, Holden, Lancman, Kallos, Vallone, Rosenthal, Perkins, Rivera and Ayala

A Local Law to amend the administrative code of the city of New York, in relation to sanctions for submitting incorrect professionally certified applications for construction document approval

Be it enacted by the Council as follows:

Section 1. Section 28-104.2.1.3.2 of the administrative code of the city of New York is amended to read as follows:

**§ 28-104.2.1.3.2 Mandatory sanctions.** The commissioner shall, after the opportunity for a hearing before the office of administrative trials and hearings in accordance with department rules, exclude, suspend, or otherwise condition the participation of a registered design professional who (i) knowingly or negligently submits a professional certification of an application and/or construction and other related documents that contains false information or is not in compliance with all applicable provisions of law, or (ii) submits two professionally certified applications for construction document approval within any 12-month period containing errors that result in revocation of an associated permit or that otherwise demonstrate incompetence or a lack of knowledge of applicable laws. The commissioner may, after the opportunity for a hearing before the office of administrative trials and hearings in accordance with department rules, exclude, suspend, or otherwise condition the participation of a registered design professional who submits two professionally certified applications for construction document approval within any 12-month period containing errors that result in a stop work order. The term “otherwise condition” shall mean limitations on such professional’s participation in the program, such as, but not limited to, audits and monitoring of the registered design professional’s applications and other submissions. For purposes of this section, a professionally certified application shall include the professional certification of construction and other related documents and the satisfaction of objections issued at plan examination.

§ 2. Section 28-104.2.1.4 of the administrative code of the city of New York is amended to read as follows:

**§ 28-104.2.1.4 Database.** The department shall create and maintain a database of all registered design professionals who have been excluded, suspended or otherwise sanctioned by the department. Within 7 business days of the date a sanction is imposed, the department shall post on its website, in a non-proprietary machine-readable format that permits automated processing, and shall make available upon request, the name of the registered design professional, a description of the sanction, the initial date of the sanction, the reinstatement date, if applicable, the address of the premises for which the application associated with the sanction was submitted, and whether the sanction was imposed after a hearing or a settlement. The department shall provide requested information concerning the exclusion, suspension or other sanction of a specific registered design professional within 30 days of such request.

§ 3. This local law takes effect 120 days after it becomes law, except that the commissioner of buildings may take such measures as are necessary for its implementation, including the promulgation of rules, prior to such effective date.

LS 5644  
44/9/19 5:32pm