



Legislation Details (With Text)

**File #:** Int 1352-2016 **Version:** \* **Name:** Inquiring if a person or entity is doing business with the city.  
**Type:** Introduction **Status:** Enacted  
**In control:** Committee on Standards and Ethics

**On agenda:** 11/16/2016

**Enactment date:** 12/22/2016 **Enactment #:** 2016/185

**Title:** A Local Law to amend the administrative code of the city of New York, in relation to inquiring if a person or entity is doing business with the city

**Sponsors:** David G. Greenfield, Carlos Menchaca

**Indexes:**

**Attachments:** 1. Legislative History Report, 2. Summary of Int. No. 1352, 3. Int. No. 1352, 4. November 16, 2016 - Stated Meeting Agenda with Links to Files, 5. Committee Report 11/21/16, 6. Hearing Testimony 11/21/16, 7. Hearing Transcript 11/21/16, 8. Hearing Transcript - Stated Meeting 11-16-16, 9. Committee Report 12/14/16, 10. Hearing Transcript 12/14/16, 11. December 15, 2016 - Stated Meeting Agenda with Links to Files, 12. Fiscal Impact Statement, 13. Mayor's Letter, 14. Hearing Transcript - Stated Meeting 12-15-16, 15. Local Law 185, 16. Minutes of the Stated Meeting - December 15, 2016

Date	Ver.	Action By	Action	Result
11/16/2016	*	City Council	Introduced by Council	
11/16/2016	*	City Council	Referred to Comm by Council	
11/21/2016	*	Committee on Standards and Ethics	Laid Over by Committee	
11/21/2016	*	Committee on Standards and Ethics	Hearing Held by Committee	
12/14/2016	*	Committee on Standards and Ethics	Hearing Held by Committee	
12/14/2016	*	Committee on Standards and Ethics	Approved by Committee	Pass
12/15/2016	*	City Council	Approved by Council	Pass
12/15/2016	*	City Council	Sent to Mayor by Council	
12/20/2016	*	Mayor	Hearing Held by Mayor	
12/22/2016	*	Mayor	Signed Into Law by Mayor	
12/22/2016	*	City Council	Recved from Mayor by Council	

Int. No. 1352

By Council Members Greenfield and Menchaca

A Local Law to amend the administrative code of the city of New York, in relation to inquiring if a person or entity is doing business with the city

Be it enacted by the Council as follows:

Section 1. Paragraph a of subdivision 1-b of section 3-703 of the administrative code of the city of New York is amended to read as follows:

a. Each participating candidate and his or her principal committee shall [inquire of] provide to every individual or entity making[,] a contribution, loan, guarantee or other security for such loan in excess of the amounts set forth in subdivision 1-a of section 3-703[, through a question, in a form prescribed by the campaign finance board, as to whether such individual, corporation, partnership, political committee, employee organization or other entity has business dealings with the city, as that term is defined in this chapter, and, if so, the name of the agency or entity with which such business dealings are or were carried on and the appropriate type or category of such business dealings. Such form shall contain in prominent typeface and in a prominent location] a notice containing the statement "If a contributor has business dealings with the City as defined in the campaign finance act, such contributor may contribute only up to two hundred fifty dollars for city council, three hundred twenty dollars for borough president and four hundred dollars for mayor, comptroller or public advocate." [Upon receipt of the response to such inquiry (including any failure to respond), the] The principal committee shall [keep a copy in its records and shall] report each contribution to the board on the next applicable filing deadline in accordance with the board's disclosure schedule. The board shall check each contribution against the doing business database and shall notify the principal committee within twenty days of the reporting of such contribution if a contribution exceeding the doing business contribution limitation set forth in subdivision 1-a of section 3-703 is subject to such limitations of this subchapter or if a contribution is not matchable pursuant to such subdivision. Notwithstanding any provision in this subdivision, in the six weeks preceding the covered election the board shall provide such notification to the principal or authorized committee within three business days of the reporting of such contribution to the board in accordance with applicable reporting deadlines. If the board fails to notify the principal committee that a contribution is in excess of the limitations set forth in subdivision 1-a of section 3-703 of this chapter in accordance with this subdivision, any such contribution shall be deemed valid for purposes of such limitation, provided, however,

that no such contribution shall be matchable. Such principal committee shall have twenty days from the date of any such notification to return the amount of any contribution in excess of the limitations set forth in subdivision 1-a of section 3-703 to the contributor. No violation shall issue and no penalty shall be imposed where such excess amount is postmarked or delivered within twenty days of such notification by the board and the board shall not designate a candidate as having accepted a contribution in excess of such limitations where such excess has been returned in accordance with the time limitations set forth herein. Failure to return such excess amount in accordance with the provisions herein shall not result in the board withholding public funds for which the participating candidate's principal committee is otherwise eligible pursuant to section 3-705 of this chapter; provided, however, that the board may deduct an amount equal to the total unreturned contributions in excess of the limitations set forth in subdivision 1-a of section 3-703 of this chapter from such payment of public funds. For purposes of this section, "individual" shall include any chief executive officer, chief financial officer, and/or chief operating officer of an entity or persons serving in an equivalent capacity, any person in a senior managerial capacity regarding an entity, or any person with an interest in an entity, which exceeds ten percent of the entity. For purposes of this subdivision, the phrase "senior managerial capacity" shall mean a high level supervisory capacity, either by virtue of title or duties, in which substantial discretion and oversight is exercised over the solicitation, letting or administration of business transactions with the city, including contracts, franchises, concessions, grants, economic development agreements, and applications for land use approvals. [Notwithstanding any other provision of this section, no participating candidate shall be liable for any fine or penalty for the failure of any contributor to respond to any such request or for any erroneous response.]

§ 2. This local law takes effect 120 days after it becomes law.

BJR  
LS 9395  
11/02/16 4:26PM