

CITY COUNCIL
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON MENTAL HEALTH,
DISABILITIES AND ADDICTION
JOINTLY WITH COMMITTEE ON AGING

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April 8, 2019
Start: 10:17 a.m.
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HELD AT: Council Chambers- City Hall

B E F O R E: DIANA AYALA
Chairperson

MARGARET CHIN
Chairperson

COUNCIL MEMBERS:
Fernando Cabrera
Jimmy Van Bramer
Robert Holden
Alicka Ampry-Samuel
Ruben Diaz, Sr.
Paul Vallone
Mathieu Eugene
Mark Treyger
Chaim M. Deutsch
Deborah Rose

A P P E A R A N C E S (CONTINUED)

Alan Hom, Deputy Assistant Commissioner
for the Bureau of Long-Term Care
New York City Department for the Aging

Annette Home, Chief Special Services
Officer
New York City Human Resources
Administration

Tara Klein, Policy Analyst
United Neighborhood Houses

Tara Cortez, Executive Director
Hartford Institute

Wayne Ho, President and CEO
Chinese-American Planning Council

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3 SERGEANT-AT-ARMS: Check. Check.

4 Check. Check. Today is April 8th, 2019. Today's
5 hearing is on mental health and disability joint with
6 aging being recorded by Charisse Torres.

7 CHAIRPERSON AYALA: Good morning,
8 everyone. We are going to call this hearing to
9 order. Sorry.

10 [gavel]

11 CHAIRPERSON AYALA: I'm trying to see.
12 I've been having glasses issues for months now. So,
13 good morning everyone. I am councilmember Diana
14 Ayala Chair of the Committee on Mental Health,
15 Disabilities, and Addiction. I would like to thank
16 my colleague, Council member Margaret Chin, Chair of
17 the Committee on Aging, for co-chairing this hearing
18 with me today. Today we are here to learn more about
19 the healthcare workers in New York City in the
20 services they provide such as personal assistance and
21 healthcare support to older adults, persons with
22 disabilities living at home, and in community-based
23 settings. We know that the home healthcare workforce
24 is primarily comprised of women and people of color
25 and has doubled in size over the last 10 years. The

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3 greater demand for home care services driven in part
4 by an aging population, has and will continue to
5 create significant shortages of skilled nursing
6 home-- skilled home healthcare workers and we know
7 this field experiences a high rate of turnover and
8 often struggles to find and retain qualified workers.
9 In fact, some researchers have estimated that there
10 will be a national shortage of 151,000 home care
11 workers by 2030 and 355,000 workers by 2040. Because
12 we know the need for these important services will
13 continue to grow, today we hope to understand how to
14 better support our home care age and ensure the needs
15 of those individuals who depend upon their critically
16 important services will also continue to be met. It
17 is our hope that we can provide and strengthen the
18 necessary supports to help our home health aides and
19 citizens they serve. I want to thank the
20 administration and the advocates here today and I
21 look forward to hearing more about all of the work
22 that they are doing and the role that the city
23 Council can play in supporting their efforts. I also
24 want to thank committee staff counsel, Sarah Liss,
25 policy analyst Kristi Dwyer, financial analyst, Lauren
Hunt, and my legislative director, Bianca Almedina,

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2 for making this hearing possible. Chair Chin will
3 now give her remarks.

4 CHAIRPERSON CHIN: Good morning.

5 PANEL: Good morning.

6 CHAIRPERSON CHIN: I'm Council member
7 Margaret Chin, Chair of the Committee on Aging.

8 Thank you for joining us today for our oversight
9 hearing joined with the Committee on Mental Health,
10 Disability, and Addiction on home health aide
11 services. I want to thank Chair Ayala for co-
12 chairing this hearing today. Studies show that
13 programs that support aging in place produce a host
14 of benefits for older adults including improving
15 their health outcomes, increasing their financial
16 savings, and helping decrease the advancement of
17 memory loss as they age at home. New York State
18 provides home care programs which are designed to
19 help eligible older adults and individuals with
20 disabilities remain safely at home. These long-term
21 care options include Medicaid funded home care and
22 personal care services, consumer directed personal
23 assistant programs, managed long-term care programs,
24 assisted-living programs, care at home programs, and
25 long-term home health aide care programs. In the

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2 city, the Department for the Aging, or DIFTA, works
3 with caged management agency to offer in-home care
4 services to older adults. These services include an
5 evaluation of benefits, home delivered meals,
6 personal care, housekeeping, advisement on long-term
7 care challenges, DIFTA's friendly visiting programs,
8 and referrals to resources. According to the 2018
9 Mayor's management report, DIFTA provided over 1.1
10 million hours of home care services and nearly
11 544,000 hours of case management service in fiscal
12 year 2017. Despite these figures, hundreds of city
13 seniors are on waiting lists for case management
14 services. Further, there is little public
15 information available about both HRA and DIFTA's home
16 care service programs. This is very concerning
17 considering the rapid growth of our cities older
18 adult population and the increasing demand for home
19 care services. As reported in a 28 team New York
20 City consumer affair report, analysts predict by 2040
21 New York City will be home till 1.4 million seniors
22 with 70 percent of them needing long-term care during
23 some point of their lives. Today's hearing will
24 provide an opportunity for the committee to better
25 understand the landscape of home care programs in New

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2 York City through the testimony of the
3 administration, providers, and advocates. I'd like
4 to thank the committee staff for their help in
5 organizing this hearing. Our counsel, Noosa Tandoori
6 (sp?), Policy analyst, Colima Johnson, and financed
7 analyst, Daniel Croup, and finance unit head, Dohini
8 Zapora (sp?). And I would also like to thank of my
9 legislative and deputy chief of staff, Marian Geera
10 (sp?). I'd also like to introduce the councilmember
11 on the aging committee, Council member Vallone and
12 Council member Dromm. Thank you.

13 CHAIRPERSON AYALA: And I'd like to
14 recognize Council members Samuels and Holden from the
15 heath-- mental health Committee. Thank you. Our
16 General Council is going to administer the
17 affirmation now. Thank you.

18 LEGAL COUNSEL: Do you affirm to tell
19 the truth, the whole truth, and nothing but the truth
20 in your testimony before this committee and to
21 respond honestly to Council member questions?

22 ALAN HOM: I do.

23 ANNETTE HOME: I do.

24 LEGAL COUNSEL: Thank you.

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2 ALAN HOM: Good morning Chairpersons

3 Chin and Ayala and members of the Committees on Aging
4 and Mental Health, Disabilities, and Addiction. I am
5 Alan Hom, Deputy Assistant Commissioner for the
6 Bureau of Long-Term Care at the New York City
7 Department for the Aging. I am joined this morning
8 by my colleague, Annette Home, Chief Specialist
9 Services Officer of the New York City Human Resources
10 Administration. On behalf of acting commissioner,
11 Karen Resnick, I would like to thank you for this
12 opportunity to discuss DIFTA's work in home care.
13 DIFTA's overarching mission, as you know, is to work
14 to eliminate ageism and ensure the dignity and
15 quality of life in New York City's diverse older
16 adults through service, advocacy, and education. We
17 accomplish this by partnering with hundreds of
18 community-based organizations to provide services to
19 senior centers, naturally occurring retirement
20 communities, case management, and home care agencies,
21 home delivered meal programs, mental health and
22 friendly visiting programs across the five boroughs.
23 Additionally, DIFTA directly operates our care-giver
24 resource center, senior employment services unit,
25 elderly crimes victims resource center, foster

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3 grandparent program, volunteer resource center, and a
4 whole host of other supportive services ultimately
5 designed to keep New York City age-friendly and to
6 help seniors age in place. The expanded in-home
7 services for the elderly program, or ISEP, through
8 which DIFTA's home care program is funded, was
9 established by New York State. Chapter 894 of the
10 laws of 1986. Access to and the availability of
11 appropriate and cost-effective nonmedical in-home
12 support services for older adults who are not
13 eligible for services through Medicaid. As a state
14 funded endeavor, DIFTA's contracted homecare programs
15 are required to abide by a comprehensive set of
16 standards described by the New York State Office for
17 the Aging, NYSOFA, including oversight of client
18 eligibility, cost sharing, training requirements, and
19 other operational mandates. DIFTA has a program
20 monitoring road to ensure out homecare agencies are
21 in compliance with these standards. This includes a
22 yearly assessment of each agency by DIFTA program
23 officers. Through DIFTA's contracted homecare
24 agencies, older New Yorkers are provided services
25 that support their functioning in their homes, their
daily living, and ultimately, their ability to age in

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3 place. Individuals must first contact our case
4 management agencies prior to accessing important
5 DIFTA in home services such as home delivered meals
6 and homecare. In FY 18, more than 33,000 older New
7 Yorkers received case management, an increase of
8 three percent compared to the previous year. That
9 same year, a total of 3600 unduplicated clients
10 received homecare services. A typical DIFTA homecare
11 client may be someone who needs support with laundry,
12 light housekeeping, preparing meals, grocery
13 shopping, and/or someone who needs personal care
14 assistance such as assistance with bathing, grooming,
15 and dressing. In addition to our 21 case management
16 agencies across the city, DIFTA contracts with four
17 homecare agencies to directly provide homecare
18 services. These agencies include Personal Touch
19 Homecare of New York INC., contracted to support
20 Brooklyn and the Bronx, the New York Foundation for
21 Senior Citizens, contracted to serve Manhattan,
22 People Care INC. in Queens, and Richmond Home Needs
23 Incorporated of State Island. As required by ISEP,
24 each contracted homecare agency must be licensed as a
25 licensed homecare services agency, otherwise known as
LHCSA, by the New York State Department of Health to

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3 assure care is provided within health and safety
4 standards established by article 36 of the public
5 health law. The core functions of LHCSAs are to
6 identify the client's needs and capabilities through
7 a comprehensive in-home assessment to develop a
8 comprehensive care plan in collaboration with clients
9 and caregivers and prescribe appropriate
10 interventions to reconcile the care plan with the CMA
11 assessment, particularly if they identified needs and
12 the service [inaudible 00:11:26] to implement the
13 care plan itself and to ensure that the performance
14 of the home care worker is meeting expectations.

15 Consistent with NYSOFA regulations, individuals
16 authorized for DIFTA funded homecare must meet
17 specific eligibility requirements. In order to be
18 eligible for homecare, a senior must be 60 years of
19 age or older, have functional limitations as shown by
20 the need for the assistance of another person with at
21 least one activity of daily living such as bathing,
22 personal hygiene, dressing, eating, toileting,
23 mobility, and transferring, or two instrumental
24 activities of daily living, otherwise known as IADLs,
25 such as housework, cleaning, shopping, laundry, use
of transportation, preparing and cooking meals,

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3 telephone use, and self-administering medications,
4 have unmet needs for assistance with ADLs and/or
5 IADLs, be able to live safely in the home if support
6 is provided, and to self-direct care and be
7 ineligible for housekeeping, a home attendant, or
8 home health aide services under any other government
9 program including Medicaid or Medicare.

10 Additionally, clients are required to share the costs
11 of services based on income, determined by a NYSOFA-
12 imposed formula, clients will either be required to
13 pay a sliding scale fee or asked to make a voluntary
14 contribution. The sliding-scale rate ranges from a
15 dollar to 25 dollars for each hour of service.

16 Clients who elected not to provide any financial
17 information will be required to pay the highest cost
18 share. Failure to pay the agreed upon cost share may
19 result in termination of service. Eligible clients
20 will be authorized a specified number of weekly hours
21 of home care. Clients may periodically be authorized
22 additional hours or days of service for special
23 circumstances. A client in need of an escort to a
24 doctor's office, for example, may qualify for
25 addition hours of service. DIFTA-funded homecare
generally is available Monday through Friday and up

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3 to eight hours per week for housekeeping chores

4 services and 20 hours per week for homemaker personal

5 care services. Night or overnight services are not

6 available through DIFTA's homecare program. Finally,

7 all of DIFTA's homecare providers work to match the

8 most appropriate worker to clients. The New York

9 State Department of Health also dictates the

10 provision of timely, reliable, and consistent service

11 in a backup system which provides replacement or

12 substitute workers to at-risk clients whose current

13 workers are unable to provide care. In addition to

14 client eligibility, the state also dictates the

15 standards by which the homecare workforce is hired

16 and trained. LHCSAs are required to adequately and

17 appropriately screen their workforce, including

18 homecare workers and supervisors prior to employment.

19 Each LHCSA must have a demonstrable and systematic

20 process for screening all applicants for such

21 competencies and qualities as ability to read and

22 write, ability to record messages, and keep simple

23 records in the language of the client, ability to

24 communicate with clients, their families, and other

25 care givers, and the ability to understand and carry

out instruction. Applicants must also have a

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3 positive attitude towards older people with physical
4 and/or mental impairments and undergo a criminal
5 history check. As prescribed by the State Department
6 of Health, homecare workers must also meet required
7 training requirements upon hire. Proof of successful
8 completion or trainings must be provided prior to the
9 time of employment or within three months of being
10 hired. This includes the New York State Department
11 of Health 40 hour basic training program which covers
12 such fundamental topics as working with the elderly,
13 body mechanics, personal care skills, safety and
14 accident prevention, and food and nutrition
15 preparation. Home care workers must also complete an
16 elder abuse training. Ongoing education and training
17 are also mandated by the New York State Department of
18 Health in order to maintain and improve staff
19 confidence. Compliance includes a development of an
20 in-service training plan to help workers develop
21 techniques and skills not covered in basic training.
22 In addition to abiding by these licensing, hiring,
23 and training requirements, our homecare agencies must
24 comply with a variety of operational mandates.
25 LHCSAs, for example, must have a written client
complaint procedure that includes timeframes for

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2 responding, investigating, and resolving client
3 complaints. DIFTA home care clients are encouraged
4 to report complaints to their homecare agency, case
5 management agency, or to DIFTA directly. DIFTA also
6 conducts an annual client satisfaction survey of a
7 random sample of approximately 45 clients per
8 homecare agency. In an effort to improve overall
9 quality of care, these results become part of the
10 agency's annual program evaluation. As we look to
11 the future, when older New Yorkers are projected to
12 reach 1.86 million by 2040, our commitment to the
13 older adult population, including those who are
14 homebound, remain steadfast. Although our homecare
15 program is small relative to the much broader
16 Medicaid homecare landscape, continuing to fund high-
17 quality homecare remains among DIFTA's top
18 priorities. Maintaining a positive working
19 relationship with various state partners and
20 oversight agencies allows us to accomplish this
21 important endeavor. Thank you for this opportunity
22 to offer testimony on DIFTA's behalf and I am pleased
23 to answer any questions you may have.

24 ANNETTE HOME: Good morning. Thank
25 you, Chairperson Ayala, Chairperson Chin, and member

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2 of the City Council's Committees on Aging and Mental
3 Health, Disabilities, and Addiction for inviting us
4 to testify and respond to questions today. I would
5 also like to thank my colleague, Alan Hom, from the
6 New York Department for the Aging for his partnership
7 and for his testimony today. My name is Annette Home
8 and I am the Chief Special Services Officer of the
9 New York City Human Resources Administration. The
10 New York City Human Resources Administration
11 Department of Social Service is the nation's largest
12 social services agency assisting more than 3 million
13 New Yorkers annually through the administration of 12
14 public assistance programs. Every day, in all five
15 boroughs, HRA provides essential programs and support
16 to low income New Yorkers. We work to ensure that
17 our services and benefits provide low income New
18 Yorkers the assistance they need through a wide range
19 of supports, including cash assistance and employment
20 services, the supplemental nutrition assistance
21 program, eviction prevention, rental assistance, and
22 Medicaid. As part of our array of social services,
23 HRA administers Medicaid-funded fee for service long-
24 term care services through our homecare services
25 program. I would like to take a moment to

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3 contextualize the current state of home care services
4 program by briefly outlining the state takeover of
5 Medicaid in the state of New York and how it has
6 directly affected the homecare service program.

7 Prior to the implementation of New York state
8 Medicaid redesign, HRA homecare was the local entity
9 responsible for the determination of Medicaid and
10 personal care service eligibility for all New York
11 City residents seeking personal care assistants. The
12 implementation of the New York State Medicaid
13 redesign, otherwise known as MRT 90, required the
14 mandatory transition and enrollment of certain
15 community-based long-term care services recipients
16 into managed long-term care. This state project,
17 which was initiated in 2012 with approval from the
18 centers for Medicare and Medicaid services, was
19 designated to integrate services and improve health
20 outcomes for individuals in need of community-based
21 long-term services and support. Within two years of
22 MRT 90, the overwhelming majority of HCSP homecare
23 cases were transitioned to the managed long-term care
24 plans. Medicaid eligible clients in receipt of
25 Medicare and whose home care needs exceed eight hours
per week, were required to seek homecare services

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3 from New York State contract to managed care and
4 managed long-term care plans. However, clients under
5 the New York State nursing home transition and
6 diversion waiver or traumatic brain injury waiver or
7 in active receipt of hospice services are exempt from
8 managed care and can receive HRA homecare services.

9 Currently, the homecare services program determines
10 Medicaid eligibility for all applicants seeking long-
11 term care who are in receipt of Medicare age 65 or
12 over, and disabled, and/or blind including those
13 enrolling in the MLTC plans. Citywide, a total of
14 192,740 New Yorkers are in receipt of personal care
15 services. Of these cases, homecare service program
16 is responsible for the direct administration of only
17 5050 fee-for-service cases. This is as February
18 2019. This subset is two point six two percent of
19 all state personal care cases in New York City. The
20 use cases on exempt from mandatory managed long-term
21 care enrollment in New York City. For this
22 population, HRA assesses homecare eligibility and
23 develops a care plan to meet the specific needs of
24 each person. HCSB contracts with 28 licensed
25 homecare providers to administer the services. The
providers with whom we contract are licensed by the

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3 state. The long-term care state regulations dictate

4 the protocol for training and qualifications of

5 personal care aides in New York State. HRA homecare

6 services permits clients to remain at home in the

7 community with assistance and possibly avoid nursing

8 home placement. These services provide assistance

9 with activities of daily living which includes

10 bathing, grooming, and dressing, ambulation, taking

11 of medication, laundry, grocery shopping, house

12 cleaning, and escorting to medical appointments.

13 Through our five community alternatives systems

14 agency is, otherwise known as CASA offices, HRA

15 provides case management for clients receiving fee

16 for service Medicaid homecare services. The case

17 managers assist clients with Medicaid renewal

18 applications, homecare service renewals, applications

19 for SNAP benefits, and rental assistance and makes

20 referrals for additional services provided by Adult

21 Protective Services, HIV and AIDS services, and

22 important city agencies such as DIFTA as needed. For

23 the approximately 5000 cases that HRA administers,

24 the homecare contracts division within HCSP conducts

25 fiscal and programmatic monitoring of the 28

contracted New York State license homecare service

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2 providers. To ensure program integrity, we conducted
3 annually three programmatic monitoring visits of each
4 homecare contractor. During which, we assess
5 compliance with contractual service requirements and
6 New York State homecare regulations. For example, we
7 check to make sure the homecare providers, nurses,
8 are visiting clients at least every 90 days to assess
9 the homecare worker's performance and semiannually to
10 assess each client's care plan to ensure it meets the
11 needs of each individual. In cases where any
12 deficiency is found, we require providers to develop
13 corrective action plans and conduct follow-up visits
14 to ensure the issue has been properly addressed.

15 Other examples of performance indicators are
16 fingerprinting and criminal background checks of
17 homecare workers, and you'll homecare worker
18 evaluations, and medical examinations with drug
19 testing, client contacts and client satisfaction
20 surveys. In terms of fiscal compliance, HRA staff
21 conducts fiscal monitoring visits to evaluate the
22 adequacy of contract internal controls, visits her
23 fraud, and assess contractor compliance with laws,
24 state regulation, and HRA requirements. And similar
25 to our programmatic compliance monitoring, we also

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2 monitor corrective action plans and conduct follow-up
3 visits to ensure that any issues have been addressed.

4 Any suspicion of Medicaid fraud is reported to the
5 HRA chief program accountability officer and the New
6 York City Department of investigation. I would like
7 to reiterate that HRA only contracts with and
8 overseas vendors that provide homecare services in
9 the category of Medicaid funded fee for service which
10 represents approximately 2.62 percent of New York
11 City's homecare caseload. The overwhelming majority
12 of homecare cases in New York City are provided
13 through managed-care organizations which are
14 contracted with state Department of Health and with
15 whom HRA has no contractual or oversight
16 relationship. In order to give clients the
17 opportunity to voice any concerns about the HRA
18 contracted homecare services, HRA also administers a
19 complaint hotline. HCSP's complaint tracking unit
20 investigates all complaints to determine if the
21 individual is on HRA's caseload and to assess what,
22 if any actions, can be taken to assist the client and
23 remedy the situation. Where appropriate, vendors are
24 required to file a corrective action plan to ensure
25 they have policies and procedures in place to prevent

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3 the same issue from happening again. Vendors are
4 monitored and given annual performance scores based
5 on the number of complaints and resolution of
6 complaints which encourages adherence to programmatic
7 guidelines. In the event a client calls HCSP for a
8 complaint related to managed long-term care, the
9 caller is provided with the number to the state
10 managed long-term care hotline, which is 1-866-712-
11 7197. HRA is committed to helping all individuals in
12 need access high quality services for which they are
13 eligible. Even though HRA administers a very small
14 portion of the homecare universe in New York City, we
15 take pride in the work we do to link vulnerable New
16 Yorkers to services which can be provided in the home
17 and help them to remain in the community. Thank you
18 for the opportunity to testify today and I look
19 forward to your questions.

20 CHAIRPERSON AYALA: Thank you. I also
21 want to recognize Council member Cabrera and Council
22 member Chaim Deutsch. Thank you for your testimony
23 here today. I have actually been waiting for this
24 hearing for a really long time. It is a hearing that
25 is really, you know, important to me for several
personal reasons, but, more specifically, I will

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3 share his story of an incident that occurred in
4 November of that really reinforced my interest in
5 learning more about this process and how it affects
6 countless of New Yorkers that are completely
7 dependent on care. I have a constituent who suffers
8 from-- she has muscular dystrophy and she is 100
9 percent dependent on care. She cannot they, as she
10 cannot walk, she cannot even feed herself. She needs
11 someone to cut up her food and feed it to her. She
12 was raised by her parents who are deceased. She is
13 now middle-aged living alone. Very little family
14 and, again, completely dependent on a homecare worker
15 to come in and provide her all of-- you know, mean
16 all of these needs for her. Thanksgiving I called.
17 I was making rounds and calling people and I called
18 her. It was, maybe, 1:30, almost 2 o'clock in the
19 afternoon, to wish her a happy Thanksgiving and, you
20 know, she mentioned to me that she was she was home
21 alone because her home care attendant did not show up
22 that morning. Now, she has a split shift and I know
23 that this kind of falls into the managed care, but I
24 wanted to kind of-- I really need to share her story
25 because I think it is a story of countless New
Yorkers. And so, her homecare worker who was

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2 supposed to be there at 7 AM is not there. She is a
3 diabetic, so the nurse from the visiting nurse
4 program came in that morning and gave her her insulin
5 and left and so, now, it was almost 2 o'clock in the
6 afternoon and she has any and she hasn't had anything
7 to drink. Nothing. So I happened to live a few
8 blocks from her home and I asked her if she wanted
9 something and I picked her up something to eat and
10 when I spent a couple of hours with her feeding her
11 because she doesn't have anyone. You know, she
12 can't-- She has very limited mobility in her arms,
13 so she is barely able to, maybe, answer her phone.
14 And I was shocked, you know, the fact that when I
15 walked in, the first observation was that her door
16 was open and reasoned that her door was open is
17 because she can't get up to physically open and close
18 it. So she has to leave the door open 24 hours a day
19 so that the homecare workers can look themselves in
20 and out. Because the homecare worker is not a
21 consistent person, they rotate people, that she can
22 even give a person a key to come in because that may
23 not be the person that is showing up tomorrow.
24 Right? Where that is coming in later on in the
25 afternoon. So we, you know? I listened to her

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3 story. I was really just, you know, heartbroken in
4 that the system has failed her in the way that it
5 has, but I was at least comforted by the fact that
6 her homecare worker at seven would be there and
7 someone would be with her until the next morning.

8 The next day I called her and she informs me that the
9 7 o'clock P.M. homecare worker didn't show up,

10 either. Had I not called her that afternoon, she

11 wouldn't have had anything to eat the entire day and

12 this is not uncommon for her. It's also not uncommon

13 for her to spend countless days in bed because she

14 can't get up and she had no one to pick her up and

15 put her in her chair. But it's also common, you

16 know, theme for her to sleep in her wheelchair

17 because she's been out and about because she is

18 actually very active in the community and, when she

19 gets home, she has no one to put her in bed. So now

20 she has to spend the night in her chair. And that,

21 to me, is inexcusable. That is somebody's child.

22 That is somebody's friend. That is somebody's aunt.

23 And the fact that she is completely dependent on care

24 and that no one is picking up on the fact that this

25 is a significant failure in the system to me, it's

very impactful. So, I was hoping that this hearing

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2 would shed a light on this and better guide that
3 discussion moving forward in terms of, you know,
4 where our advocacy needs to be channeled, be it at
5 HRA, at DIFTA, at the state, but that no one is
6 really addressing this or speaking about it as
7 publicly as maybe we should be is the problem. And
8 so, I wonder and in cases like the one that I just
9 explained, who is she expected to contact? Is this
10 client expected to then pick up the phone and call a
11 one 800 number and file a complaint?

12 ANNETTE HOME: For HRA, we value those
13 that we provide service and hearing your story is
14 quite difficult and I am that this happened to this
15 individual. If she would have contacted HRA, we
16 would have helped her to contact the state. For
17 example, in a case like this where someone should
18 have 24 hour split shift service, in our program, one
19 home attendant does not leave until another home
20 attendant is in place. So she should not have been
21 left alone. We do have a system in place where, if a
22 home attendant is not-- has not clocked in by within
23 an hour of reporting time, we log it because we have
24 electronic verification of attendance and we would
25 know that that home attendant did not show up and the

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2 vendor has three hours, which they have to, send a
3 backup home attendant. But in this particular case
4 that you described to us, the home attendant that was
5 there should not have left her alone until another
6 home attendant was there. It's considered
7 abandonment of a client. So we would contact the
8 state on behalf of her, if she called us and we would
9 let the state know and the state would contact the
10 managed long term care and the provider and address
11 that situation. We have had similar cases like this
12 that they contact us and we would intervene. We do
13 provide the 1-800 number for those who want to
14 advocate for themselves, but if they aren't able to,
15 we will assist.

16 CHAIRPERSON AYALA: Is there special
17 priority given the clients who are immobile who are
18 completely dependent?

19 ANNETTE HOME: So, if they received 24
20 hour care just like you said, split shift, our
21 process is one a does not leave until the replacement
22 is there.

23 CHAIRPERSON AYALA: But have there been
24 cases where that is happened?

25

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2 ANNETTE HOME: Not for HRA. I cannot
3 speak to--

4 CHAIRPERSON AYALA: [interposing] Never
5 in the history of HRA has a client been left alone
6 because a replacement was not available?

7 ANNETTE HOME: I cannot say that never
8 in the history, but I am saying that in the process
9 that we have now, with verification of attendance, we
10 will know if that aide did not report to work and we
11 will ensure that a replacement is there.

12 CHAIRPERSON AYALA: Now when a
13 replacement is called in because-- And, again, I
14 will focus primarily on the disabilities component of
15 this and I will allow Margaret to really hammer in
16 her questions regarding the older adult population,
17 but when an individual-- and this is another real-
18 life story. It is actually my nephew has cerebral
19 palsy. He is 19. He is completely bedbound,
20 nonverbal. Completely dependent on care. As his
21 mother who is his primary caregiver as to war, has a
22 homecare worker, often times a homecare worker who
23 she loves is not-- she's had cases-- she's had
24 workers who have come in that are like nine months
25 pregnant that cannot lift him. Right? Great

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2 workers. You know, great people dedicated to what
3 they do, but not necessarily able to deal with people
4 with disabilities and she has been told that the home
5 care workers don't necessarily know what type of
6 situation they are walking into until the moment that
7 they walk in because of, I guess, fear that they will
8 discriminate and the client case is based on the
9 individual client's needs. And she understands that,
10 but, however, feels that after the home care workers
11 in the home, now there are certain tasks that need to
12 be performed because the client is not able-bodied
13 and nonverbal, so cannot communicate and give
14 directive. So, there's a-- She says there's a lack
15 of communication from the agency to the workers and
16 even from the worker-- from the agency to the
17 caregivers who, oftentimes, are not told within
18 enough that a replacement is coming in and so there
19 have been incidents where she has had to like
20 personally either not show up to work that day to
21 stay and care for her son or scramble at the last
22 minute to try to find somebody else that can. So I
23 think just kind of two questions in one is: How
24 prepared are the workers before they come into a home
25 of a person with a severe disability to adequately

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2 treat them because, assuming that the pool of workers
3 work with a variety of clients that are able-bodied
4 clients, clients that need more help. Before they
5 come into a specific household, however, are they
6 instructed on the level of care of that particular
7 client?

8 ANNETTE HOME: It is our contract troll
9 responsibilities at HRA that aids are informed of the
10 needs of the client before they are assigned to a
11 case.

12 CHAIRPERSON AYALA: So, if a person is
13 told, how would it ever happened that a person in
14 that is, maybe, nine months pregnant or whatever,
15 pregnant enough that they cannot or should not be
16 left in a client that needs to be, you know, moved,
17 how does that happen then?

18 ANNETTE HOME: I cannot answer to for
19 this specific case, but I can--

20 CHAIRPERSON AYALA: [interposing] But
21 it--

22 ANNETTE HOME: tell you--

23 CHAIRPERSON AYALA: That's not a-- I'm
24 saying-- I'm using that as an example, but I've
25 heard that same scenario where it's either, you know,

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2 a person who has a back injury and cannot move a
3 client, is too elderly to move or, you know, or, you
4 know, physically move a person from the bed to the
5 chair or from the chair to the bathtub. And
6 individual who just physically can't perform those
7 tasks but are consistently being sent to care for
8 those clients?

9 ANNETTE HOME: So, we work with our
10 providers to ensure-- with our contracted vendors to
11 ensure that they provide the best quality of-- and
12 level of service that they can. We require that they
13 speak and consult with the client or with whoever is
14 representing the client that, when we are sending
15 aides out, the aides that are sent out are there to
16 meet the needs of that individual client and any
17 replacements that are sent out should be held to that
18 same standard, as well.

19 CHAIRPERSON AYALA: Do you see a lot of
20 turnover?

21 ANNETTE HOME: I could say with, you
22 know, the homecare world, the landscape, there is
23 turnover. I wouldn't say there is a great deal of
24 turnover, but there is turnover.

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2 CHAIRPERSON AYALA: But there is a
3 great need for additional workers?

4 ANNETTE HOME: There's always need for
5 workers in this field. As the population ages, there
6 is need for additional workers in this field.

7 CHAIRPERSON AYALA: And how is HRA
8 prepared to recruit new workers?

9 ANNETTE HOME: So, the vendors are the
10 ones who actually recruit workers. They are the ones
11 who train the workers. It is the feel of the
12 providers, be there child providers or if they're
13 home attendant providers, personal care providers,
14 that they reach out to the community at large and
15 obtain workers.

16 CHAIRPERSON AYALA: Now, I know that
17 the focus is really on the homecare, but I wonder, if
18 a child is disabled and is able to go to school, and
19 receives a lot of those services during the day and
20 another setting, once that child gets a certain age
21 and no longer qualifies for those services, it's kind
22 of like a gap in services. Is the homecare field
23 prepared to deal with kind of filling in those gaps
24 or is it just focus on the homecare needs. Like is
25 there ever a conversation? Is there a discharge

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2 plan? You know, the child is no longer getting
3 physical therapy at the school, so that the service
4 is-- Is that the coordination that happens at the
5 homecare level?

6 ANNETTE HOME: So, we do assess our
7 cases on an annual basis. If it's a split shift
8 case, we assessed every six months and we review the
9 needs of all of our clients. If at any time their
10 situation changes, they come let us know. Submit
11 current doctor's orders and we will review the case
12 and assess the case then reassess them for the level
13 of care that they need.

14 CHAIRPERSON AYALA: And how many cases
15 does HRA oversee now?

16 ANNETTE HOME: 5,050.

17 CHAIRPERSON AYALA: 5,050. Okay. And
18 what is the total budget?

19 ANNETTE HOME: 32 million.

20 CHAIRPERSON AYALA: Can you explain
21 what the training process is for your aides?

22 ANNETTE HOME: Personal care aides are
23 trained by the homecare providers. They are
24 required, as a new home attendant, to receive 60
25 hours of training and, subsequent to that, they get

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2 trained every-- six hours every year for renewal
3 training.

4 CHAIRPERSON AYALA: Okay. All right.
5 I'm going to allow Council member Chin to ask some
6 question.

7 CHAIRPERSON CHIN: Thank you. We were
8 also joined by Council member Eugene earlier. The
9 Youth Committee is having a hearing at the same time,
10 so that's why you see Council members running back
11 and forth. Thank you for your testimony. I wanted
12 to ask a question. And your testimony, HRA, you
13 testified that you contract with 28 agencies?

14 ANNETTE HOME: That is correct.

15 CHAIRPERSON CHIN: Licensed home care
16 providers?

17 ANNETTE HOME: That is correct.

18 CHAIRPERSON CHIN: And DIFTA, who has
19 more clients and you only contract with four agencies
20 in the five boroughs.

21 ALAN HOM: We have four agencies over--
22 providing services in five area, five boroughs, but I
23 believe HRA has more clients. Did I hear that
24 correctly?

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2 CHAIRPERSON CHIN: Well, HRA said they
3 only have like 5000 clients.

4 ALAN HOM: Correct.

5 CHAIRPERSON CHIN: And DIFTA, through
6 the ISEP program, do you serve more than that?

7 ALAN HOM: We serve-- We currently
8 serve about 2900 clients, but last year we served
9 3600 unduplicated clients.

10 CHAIRPERSON CHIN: Okay. So a little
11 bit less than HRA, but they have 28 providers?

12 ALAN HOM: In our last RFP, we had-- we
13 had only inquired for five contracts, one for each of
14 the boroughs. And based at that time, we contracted
15 it based on the amount clients that, you know, we
16 were currently serving and intended to serve. In a,
17 based-- based, again, on the population data that we
18 had at that time.

19 CHAIRPERSON CHIN: So, in the HRA
20 testimony, you said that Saturday why there is a
21 total of 192,740 New Yorkers that are in receipt of
22 personal care services.

23 ANNETTE HOME: That is correct.

24

25

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2 CHAIRPERSON CHIN: So, out of that
3 population, HRA only took care of about 5000 and then
4 DIFTA about 3000?

5 ALAN HOM: Around that. Yes.

6 CHAIRPERSON CHIN: So, then the rest
7 is taken care of by state oversight?

8 ANNETTE HOME: That is correct.

9 ALAN HOM: That is correct.

10 CHAIRPERSON CHIN: Wow. Okay. I
11 think that's where there is a lot of abuse going on.
12 That is something that is-- before the Medicaid
13 redesign, was that population served under HRA?

14 ANNETTE HOME: Before medicated
15 redesign, the population that HRA actually directly
16 provided services to was 45,000 individuals. With
17 the implementation of Medicaid redesign, our caseload
18 shrunk to 5000. Approximately 5000.

19 CHAIRPERSON CHIN: Okay. And that of
20 fact DIFTA at all with the Medicaid redesign? I
21 wouldn't think so.

22 ALAN HOM: No. Because through our
23 state funding source, ISEP, we were always only able
24 to serve non-Medicaid eligible seniors.

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2 CHAIRPERSON CHIN: But with the--

3 Okay. But with the ISEP program, there is always a
4 waiting list. Because there's a waiting list for
5 case management because right now the data that we've
6 gotten from provides, there's about 1000 seniors on
7 waiting list for case management and there's about--
8 maybe about 100 that is waiting for home care.

9 ALAN HOME: There-- Yes. There's
10 currently a waiting list. For anyone who is on the
11 waiting list for case management, all the clients are
12 eligible for home delivered meals. Anyone who is on
13 the waitlist for case management, it basically means
14 that they've at least has an interview over the
15 telephone. The case management agency has at least
16 an understanding of, you know, what their needs are
17 over the phone and if they need a meal, it's going to
18 be given to them directly. For the homecare
19 waitlist, those clients have at least been seen by
20 their case manager and an in-home assessment has been
21 done and it was determined that they needed, you
22 know, some sort of home care. Our agencies have been
23 able to do quite a bit and we are proud to say, you
24 know, with what they have at the moment. But even
25 while the client is waiting for home care, our case

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2 managers, funded through the case management

3 agencies, well look to see what other resources in

4 the community available through benefits,

5 entitlements, other resources so that the clients can

6 still at least get some services while they wait for

7 home care.

8 CHAIRPERSON CHIN: So, who does fact?

9 So you're talking about the case management agency

10 helps to that assessment?

11 ALAN HOM: Correct.

12 CHAIRPERSON CHIN: So, if someone--

13 If a senior calls and says I don't qualify for

14 Medicaid--

15 ALAN HOM: Uh-hm.

16 CHAIRPERSON CHIN: But I need some

17 homecare--

18 ALAN HOM: Yes.

19 CHAIRPERSON CHIN: service.

20 ALAN HOM: Yes.

21 CHAIRPERSON CHIN: But they don't care

22 access start away?

23 ALAN HOM: Uh-hm. Well, what we are--

24 CHAIRPERSON CHIN: because there is a

25 waiting list.

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2 ALAN HOM: What would happen and is the
3 case management agency will still speak with the
4 client over the telephone to get as much information
5 as he can and still trying to find-- and tried to
6 link them up with appropriate resources and referrals
7 while they are waiting for an in-home assessment by
8 the case management agency.

9 CHAIRPERSON CHIN: But if the senior
10 needs homecare--

11 ALAN HOM: Uh-hm.

12 CHAIRPERSON CHIN: I'm basing on
13 constituents that we've been working with, right?
14 The reason that they contact the case management
15 agencies is because we refer to them because we know
16 about the ISEP program.

17 ALAN HOM: Uh-hm.

18 CHAIRPERSON CHIN: But a lot of people
19 don't even know about the program, but need the help.

20 ALAN HOM: Uh-hm.

21 CHAIRPERSON CHIN: But by the time
22 they call they really need the help. Like one of the
23 seniors file and his wife cannot manage.

24 ALAN HOM: Uh-hm.

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2 CHAIRPERSON CHIN: So, they only
3 resources they have visiting their families or
4 friends or they have to pay someone that might not be
5 trained by it be very expensive because now they have
6 to wait, right?

7 ALAN HOM: Right.

8 CHAIRPERSON CHIN: So, that's why, you
9 know, we really want to get rid of the waiting list
10 because seniors, usually by the time they call, they
11 need the help then.

12 ALAN HOM: Yeah.

13 CHAIRPERSON CHIN: Right?

14 ALAN HOM: Absolutely. Absolutely. I
15 mean, we and our case management agency partners, we
16 certainly value everybody who contacts them. And we
17 really do our best to make sure that our case
18 management agencies are involved. Case management
19 agencies also work with-- Let's say in the case of a
20 caregiver, they also work with the caregiver resource
21 centers. They are in DIFTA contracted caregiver
22 programs that they can also link up with. So, you
23 are right. We, in the system--

24 CHAIRPERSON CHIN: [interposing] My
25 saying is that they shouldn't be on waiting lists and

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2 every year there is a waiting list. So we are
3 trying, you know, with the city budget, trying to
4 figure out-- and I think we are asking DIFTA, OMB,
5 and working with the provider to figure out how to
6 eliminate that way list because the senior,
7 especially the seniors who don't qualify for
8 Medicaid, right?

9 ALAN HOM: Uh-hm.

10 CHAIRPERSON CHIN: And they-- A lot
11 of times they think that they can't get home care
12 service. And when they find out about the ISEP
13 Graham, they're very surprised and when they can
14 serve as, they are very happy. Because, in your
15 testimony, is great because DIFTA to provide
16 oversight and really work with this agency. There
17 are much than what the private sector is offering. I
18 think with Medicare-- Medicaid redesign, there is a
19 lot of abuse going on.

20 ALAN HOM: Uh-hm.

21 CHAIRPERSON CHIN: And I don't know if
22 HRA-- Are you or DIFTA tracking on the new home care
23 agencies that are popping up that are to gather with
24 the-- my biggest issue. The social adult day care
25 that is popping up all over the city and there are

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2 more than they end senior centers. There are over
3 300 social adult day cares and we only have about 249
4 senior centers. So is anyone-- Is HRA monitoring
5 on these new home care agencies that are opening up?
6 I know we passed a law for DIFTA to have the social
7 adult day care to register so at least we know how
8 many there are and we're doing, you know, more to
9 provide some oversight because they are supposed to
10 be under the state jurisdiction. So now we've got
11 the health department to go out and now inspecting,
12 you know, every social adult day care program. But
13 now we also have these new home care agencies that
14 are popping up. So is HRA doing anything? Because
15 the client, and to get the Medicaid, they have to go
16 through HRA to apply for Medicaid, right?

17 ANNETTE HOME: Yes. So anyone that is
18 receive the Medicaid funded personal care, we will--
19 we are responsible for the processing of their
20 Medicaid. However, we are only-- We contract with
21 the 28 providers that provide services to the five--
22 the approximately 5000 clients we provide services
23 to. However, any new provider that is coming on a
24 LHCSA, a licensed home care services agency must be

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2 licensed with the state. So the state has oversight
3 of any licensed provider that is coming on board.

4 CHAIRPERSON CHIN: But you-- But HRA
5 don't have that information?

6 ANNETTE HOME: No. We do not con-- We
7 do not keep track of that because we do not provide
8 licensure of these providers, but we do keep track of
9 the 28 that we contract with.

10 CHAIRPERSON CHIN: Okay. I think we
11 would have-- We would have to do something, maybe
12 through legislation like what we did for the social
13 adult daycare.

14 CHAIRPERSON AYALA: Does the state keep
15 track?

16 CHAIRPERSON CHIN: Because-- Yeah.
17 The state keeps track, but whether they would share
18 the information and may be HRA can ask the state.

19 ANNETTE HOME: Well, the state has said
20 on their website. So on their website and he
21 licensed home care providers that are out there in
22 the state, it is listed on their website.

23 CHAIRPERSON CHIN: But I think that--
24 I mean, one of the-- The issue that came up in
25 preparing for this hearing was also trying to get

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2 more understanding about the training process the
3 because what we have heard complain about-- right
4 now, the latest thing is family care. Right? Family
5 member who can apply to be a home care attendant to
6 take care of their elderly parents and we have heard
7 that they are abused where they actually were not
8 doing their job. They are not providing the care,
9 but they, you know, they clock in, they clock out and
10 they go and do something else. So the senior is not
11 getting the services they are supposed to be getting
12 in, because it's a family member, they can't
13 complain. So there are abuse going on and we want to
14 make sure that there are oversight because there are
15 a lot of new home care agencies that are popping up
16 together with social adult daycare because the scene
17 near the goes to the social adult daycare, the
18 complaint that we have also heard that they also have
19 to sign up for home care service. Even though they
20 might not need it. Because they should be going
21 there in the first place. They should be going to
22 our regular senior center. So there are, you know,
23 in these abuse going on and the complaint that we
24 filed, that we have people who filed through DIFTA,
25 DIFTA sends it up to OMEG and we get a report back

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2 every year. So, with HRA, do you get any kind of
3 reporting back from complaints that you refer up to
4 the state?

5 ANNETTE HOME: So, any complaints that
6 we directly referred to this day, yes. They will
7 report back to us and let us know the outcome of that
8 report.

9 CHAIRPERSON CHIN: Can you share this
10 information with us? Or do you have-- Are those?

11 ANNETTE HOME: I will have to get back
12 to you on that because, generally, quite honestly,
13 and it is a case by case basis, so we get a call from
14 someone and we report the case to the hotline and we
15 will contact our colleagues in the state and then
16 they will get back to us as to how that case was
17 resolved.

18 CHAIRPERSON CHIN: Yeah. I think it
19 would be good to-- If we can, you know, get some
20 information so the public knows that when they do
21 file a complaint, then there are investigations and
22 they can get some results. It's not like people
23 saying, well, what can I do? I-- Nothing is going
24 to happen. So that's why I think it's important that
25 we were able to get data about the social adult

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2 daycare. At least people know that, when they file a
3 complaint, that it's being investigated. Do we have
4 the Council member questions? Oh, okay. Okay. I'm
5 going to pass it onto my colleague, Council member
6 Holden.

7 COUNCIL MEMBER HOLDEN: Thank you--

8 CHAIRPERSON CHIN: I'll come back
9 later.

10 COUNCIL MEMBER HOLDEN: Chair Chin. I
11 have some questions on-- While campaigning in 2017,
12 I came across so many individuals, shut-ins, who
13 obviously needed help, but nobody was providing them
14 any help whatsoever and I could see that by just the
15 way their homes looked, the way their-- Even walking
16 up the step of like a stoop, it would almost collapse
17 on you. So there's through many seniors out there--
18 And there shouldn't be a waiting list, by the way.
19 But so many seniors out there that need help that we
20 don't know about. What's HRA doing in outreach? Are
21 they doing a mailing to seniors? Because I don't
22 remember getting one. I'm a senior, myself, but I
23 don't remember getting anything in the mail and my
24 mom is 95 and I provide for her. She's got dementia.

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2 She never gets anything in the mail. So could you
3 tell us what outreach you are doing?

4 ANNETTE HOME: So, in terms of HRA,
5 generally what we do is our community alternative
6 systems agency, what we call CASA offices. They go
7 out into the community and they conduct presentations
8 in regards to the services that we provide. Again, I
9 just would like to reiterate that our services that
10 we provide are quite limited. We provide, basically,
11 housekeeping services and we provide services to
12 anyone who is otherwise exempt from managed long-term
13 care. But for the services that we to provide, we
14 need to go out to seniors centers. We go out to
15 senior citizen buildings, to community presentations.
16 We just tried to get the word out that the service is
17 available. And, by doing that, we have received some
18 referrals based on those presentations that we
19 present in the community at large.

20 COUNCIL MEMBER HOLDEN: But there's no
21 mailing? Why doesn't the city do a mailing to
22 seniors saying here's what's available for you. If
23 you can't do this, can't do that, you can't get
24 around, you can't walk. You-- Or you can't cook.

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2 Nothing like that is being done in the city of New
3 York?

4 ANNETTE HOME: Currently, no. But it's
5 something we could discuss with the Council. I mean,
6 it's something that we would definitely consider. We
7 would love to get the information out there to let
8 people know that this service is available.

9 COUNCIL MEMBER HOLDEN: All right.
10 Now, this 90 day survey that you do with your
11 clients, is that with a nurse, right? That's with a
12 nurse? Every 90 days-- your testimony.

13 ANNETTE HOME: Yes.

14 COUNCIL MEMBER HOLDEN: Is there a--

15 ANNETTE HOME: It's not a--

16 COUNCIL MEMBER HOLDEN: physical?

17 ANNETTE HOME: survey. It's a nurse
18 that goes out into the home. So it's not just like
19 somebody calling on the phone. We actually have a--

20 COUNCIL MEMBER HOLDEN: Yeah.

21 ANNETTE HOME: nurse that goes into the
22 home that assesses the case to ensure that the
23 service provision is of the quality that they are
24 contracted to provide.

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2 COUNCIL MEMBER HOLDEN: Yeah. I've
3 seen that. I've been with a nurse with some
4 constituents when they visited. But do they do a
5 physical? Is there any-- like are they taking blood
6 pressure or are they doing a physical?

7 ANNETTE HOME: Well, this is not a
8 certified home health aide service. This is personal
9 care. So the only time that they would look
10 something, like if something has a wound, they would
11 look to see what the wound looks like, but they are
12 not providing any hands-on care. If they determine
13 that someone is at risk at that moment, they will
14 contact 911 or contact the medical provider if
15 needed.

16 COUNCIL MEMBER HOLDEN: Okay. On the
17 in-home services that these not-for-profits are
18 providing, do-- When an attendant can't go to the
19 home, for whatever reason, is there a backup person
20 provided at all? That's that-- Yeah. I'm sorry.

21 ANNETTE HOME: For DIFTA?

22 COUNCIL MEMBER HOLDEN: Yeah. The
23 home.

24 ALAN HOM: Oh, okay. Sure. The
25 homecare agency is required to offer a backup. Yes.

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2 COUNCIL MEMBER HOLDEN: To offer a
3 backup or just automatically send a backup?

4 ALAN HOM: They contact the clients to
5 see, you know, what happened and they will ask the
6 client, you know, we can get someone for you today.
7 If you're okay today, we can, you know, send someone
8 another day, but they must offer a backup.

9 COUNCIL MEMBER HOLDEN: And how often
10 is there a survey like HRA does? Is it every 90 days
11 or is it once a year?

12 ALAN HOM: Well, the survey meaning, you
13 know, we contact the client and ask are you happy
14 with s-- you know, are you happy with aides? That's
15 done about once a year, however, the depart-- the
16 homecare agencies that we contract with, they are
17 required to have a nurse or a supervisor visit the
18 client every six months to make sure that the aide,
19 you know-- that the aide is doing what he or she is
20 supposed to do, that the client is satisfied with the
21 service. In addition, because the client also has a
22 DIFTA-funded case management agency, the case
23 manager-- the case management agency will also
24 contact the clients every two months to determine how
25 satisfied they are with their service, whether it's

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3 home delivered meals or homecare. So the clients are
4 checked out pretty regularly, I have to say, in the
5 case managers really do a good job and they have a
6 great communication with the homecare agency because
7 they have been partnered with them for so long that
8 many of the case managers in the homecare agency,
9 they know the clients. They know what they are--
10 what their needs are, what the client's personalities
11 are. So, they make a great team.

12 COUNCIL MEMBER HOLDEN: So, your
13 office provides just once a year oversight on an
14 individual case? So they will send somebody over to
15 the residents?

16 ALAN HOM: DIFTA does not actually
17 provide the direct service. We contract with the
18 homecare agencies to provide that direct service, but
19 we have a program monitoring responsibility.

20 COUNCIL MEMBER HOLDEN: So, let's say
21 you don't contract with the visiting nurse service,
22 let's say, to go and check once a year?

23 ALAN HOM: Oh, no. No, sir. We don't
24 have a contract with visiting nurse service. Only
25 with the four homecare agencies that provide the
ISEP-funded homecare services. So, this is the home

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3 care services provided through the state Office for
4 the Aging funding.

5 COUNCIL MEMBER HOLDEN: Okay. Is
6 there a physical checkup once a year with the client?

7 ALAN HOM: Um--

8 COUNCIL MEMBER HOLDEN: By whatever
9 agency?

10 ALAN HOM: Oh. Our homecare agency, the
11 nurses, they don't do a physical because, again,
12 that's-- Again, it's not that level of--

13 COUNCIL MEMBER HOLDEN: Right.

14 ALAN HOM: care.

15 COUNCIL MEMBER HOLDEN: Uh-hm.

16 ALAN HOM: But our case managers and the
17 supervisors, the aides who serve the clients, they do
18 observe the clients. They do observe, you know, how
19 strong they look. They typically know what-- Excuse
20 me for saying, what the baseline of the client is and
21 if the client looks more, you know, tired or just not
22 herself or himself, the case management agencies
23 would contact, perhaps, the clients, you know,
24 daughter or son. They may reach out to the doctor
25 and say, hey, you know, something is going on with

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2 the client. Let's try to figure out, you know, what
3 she means.

4 COUNCIL MEMBER HOLDEN: Right. So--
5 So, let's say-- they don't do a blood test? Nobody
6 is taken?

7 ALAN HOM: Oh, no.

8 COUNCIL MEMBER HOLDEN: Nothing like
9 that?

10 ALAN HOM: No.

11 COUNCIL MEMBER HOLDEN: Do you see
12 that that might be a problem because the person is
13 not going to the doctors, if they are homebound and
14 if they are not getting out enough, maybe they are
15 not-- You know, they are missing something here.
16 So, I think we might look into and it might possibly
17 be something we have to, the Council, look at to
18 actually giving the physical and actually looking at
19 individual cases and doing the simple blood test.

20 ALAN HOM: Our homecare agencies into a
21 magnificent job as far as providing the personal
22 care. Taking blood, that is more of a medical model
23 and our funding, ISEP, was never meant for that, you
24 know, type of medical care.

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2 COUNCIL MEMBER HOLDEN: Right. Okay.

3 Thank you.

4 CHAIRPERSON AYALA: I have a follow-up
5 question. So-- Because I'm really concerned about
6 this whole correlation between the state and the city
7 and, of the 40,000 plus-- because there were 45,000
8 individuals that were receiving home care services
9 prior to the Medicaid redesign, right? So, of the
10 40,000 plus cases that were transferred over to the
11 state since HRA stated-- based on your testimony
12 today, you do provide some level of interference.
13 So, if a client is not satisfied or is having issues
14 with their services, HRA Canon has, in fact, then
15 contacted the state on behalf of the client?

16 ANNETTE HOME: Absolutely. So is a
17 managed long-term care client calls us and we look
18 into the case and we determine it is a managed long-
19 term care case, then we would provide them with a
20 number, as I stated in testimony. But if the client
21 is in imminent risk, we will contact the state on
22 their behalf.

23 CHAIRPERSON AYALA: How would the
24 client know to contact HRA?

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2 ANNETTE HOME: Well, sometimes clients
3 get the-- you know, they received the complaint
4 hotline tracking number and they may just say, I have
5 homecare and, as you stated, they may not know that
6 it is a managed long-term care. All they may know is
7 that I am receiving a homecare from a provider. So,
8 somebody may give them this number and they call us.
9 But, if by chance, the call gets directed to her us,
10 we will ensure that it gets to the right entity.

11 CHAIRPERSON AYALA: do you know how
12 many of those calls have been made from HRA to the
13 state on behalf of clients?

14 ANNETTE HOME: we don't track those
15 numbers.

16 CHAIRPERSON AYALA: You don't track
17 them?

18 ANNETTE HOME: No.

19 CHAIRPERSON AYALA: Do you track the
20 number of-- the types of complaints that you are
21 receiving so that there is better coordination
22 between the city and the state in terms of the level
23 of services that are being provided to clients?

24 ANNETTE HOME: So, again-- I guess I
25 just have to go back to the fact that when they call

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2 us, if it's a case that is for HRA, that case is
3 tracked. If it is a case that we have two eventually
4 shift to the state, we do not track that case.

5 CHAIRPERSON AYALA: I understand that.
6 I think that my concern is that, because you are not
7 tracking it and no one else is tracking it, that
8 there is a fine line, right? And there's a gap,
9 again, and services and an opportunity for clients to
10 kind of fall into some sort of doughnut hole where no
11 one is really assessing how appropriate or not a
12 service is. And so, is HRA-- is the city is not
13 tracking Mac, then who is?

14 ANNETTE HOME: Well, the state will
15 track it. So, and the person calls us and we funnel
16 them to the state, the state will track those calls
17 because they track all of the calls that come in
18 related to managed long-term care providers.

19 CHAIRPERSON AYALA: All right. All
20 right. Margaret, do you have any other questions?

21 CHAIRPERSON CHIN: So, for DIFTA, the
22 ISEP program, what is the total budget now? And you
23 got-- I think we got some good news from the state
24 that we got a little bit more money?

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2 ALAN HOM: Well, our budget is about 30
3 million dollars and we do expect to receive a portion
4 of the 15 million increase for I set up that we will
5 use torrents our waitlist.

6 CHAIRPERSON CHIN: Do you know how
7 much?

8 ALAN HOM: We don't know yet, but we are
9 waiting. We are in talks with the state, I believe.
10 And-- But we don't know, yet. Bottom line is we
11 don't know what the number is from the state yet.

12 CHAIRPERSON CHIN: Wasn't there like a
13 projection about three point something million? 3.5
14 or?

15 ALAN HOM: Yes. I think it's projected
16 to be about that amount.

17 CHAIRPERSON CHIN: Is that enough to
18 eliminate the waitlist? Or how much does DIFTA--
19 Does DIFTA sort of like us to me getting rid of the
20 waitlist how much money do we need to put in?

21 ALAN HOM: You know, our case management
22 agencies, our homecare agencies were proud of when
23 they were able to do with the current budget. And we
24 aren't talking with OMB to figure out, you know, how
25 much would be needed for the wait list.

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2 CHAIRPERSON CHIN: Because we've got
3 to have a solution. We can't have a waitlist every
4 year and I know that the administration did put in
5 money in the past. You know, whether it is 2 million
6 dollars, 1 million dollars. But we've got to figure
7 out so that we can supplement and make sure that
8 seniors who call for the service, that they don't
9 have to wait. Right? Because it already took some
10 time to do the assessment, the home visit, but
11 waiting for that, I mean, that is really
12 unacceptable.

13 ALAN HOM: Yeah.

14 CHAIRPERSON CHIN: So, we really have
15 to really work on-- because it's a wonderful program
16 because there are many seniors-- the senior
17 population is growing and there are many seniors who
18 work on their lives and made the contribution, paid
19 their taxes don't qualify for Medicaid. So, and they
20 thought that, oh, I-- and I can't afford to pay some
21 money personally and also, you know, the person might
22 not be trained. So this program offers quality
23 services at a cost that they could afford or no cost,
24 which is great, and the seniors that we were able to
25 help, they really rave about the program. I mean,

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3 they love the homecare attendant because the agency
4 to send people who can speak their language and rally
5 were trained and they are not-- You know, they're
6 not like a lot of hours. You know, sometimes these
7 seniors only need, you know, 10 hours a week, but
8 that 10 hours that they get is life saving for them.
9 Right? So, we've got to make sure that a program
10 like this gets the support it needs and also the
11 publicity to a lot more people now, more seniors now
12 that this is available to them and more caregivers
13 and family members that they know that, wow, I can
14 call and get this help, you know, for my elderly
15 parents or relatives. So we've got to work on
16 eliminating a waitlist and then work on expanding the
17 program.

18 ALAN HOM: I want to do-- Thank you,
19 Council person Chin because it's wonderful to hear
20 that your constituents like this service. You know,
21 the department acknowledges that you also love the
22 service and everyone in the Council loves this
23 service. And as seniors get older, we will
24 definitely see, you know, more and more need to serve
25 our seniors. You're absolutely-- You know, I think
we agree on that.

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2 CHAIRPERSON CHIN: Yeah. So if that's
3 a really work together, you know, with the state, but
4 also to figure out, you know, the investment from the
5 city. You know, from the administration that--
6 Look, senior populations are growing. They are part
7 of our future and when we want them to continue to
8 stay-- You know, age at home. Stay in the community
9 that they helped to build and, in the long run, you
10 are saving the government a lot more money when they
11 are not in the nursing home. When they are
12 healthier. So, it's a good investment to start
13 making now. And I'm glad the state, you know, put in
14 more money, but 15 million is just a little bit,
15 right? So we need to continue to advocate from the
16 state level, but the city administration, the city
17 also has to make that investment.

18 ALAN HOM: Yes, ma'am.

19 CHAIRPERSON CHIN: And the city should
20 match it. So that we can eliminate, you know, the
21 wait list this year and years to come. You know?
22 Well, we still have other questions, but we will send
23 it over to the agencies. But I really think that the
24 population that qualifies for Medicaid, it's really
25 alarming that it is such a huge population and HRA is

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2 only taking care of such a small number and,
3 meanwhile, there is not enough oversight that really
4 helps seniors who are on Medicaid. I know it's the
5 state, but a lot of times, state oversight is not
6 enough. So we had to figure out a way of how do we
7 work with the state to make sure these homecare
8 agencies are providing, you know, the training and
9 doing what they're supposed to do. It's the same
10 thing with these social adult day care because some
11 of them are not doing what they are supposed to do
12 and nobody is, you know, overseeing the then we
13 really have to fix that. So I don't know if Chair
14 Ayala, you have any other questions? Oh. And we've
15 been joined by Council member Treyger. Do you have
16 any questions? Okay. Why don't you go ahead?

17 COUNCIL MEMBER TREYGER: Thank you to
18 the Chairs for holding this very important and timely
19 hearing. Welcome. I'm just-- And forgive me if
20 this was covered earlier, but I'm just curious to
21 hear about any type of data or information you have
22 with regards to those seniors or people who, with
23 disabilities, who require homecare longer than eight
24 or 10 hours. People that need folks with them 24
25 hours all throughout the week. These are some of the

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2 cases that we here are very complex and very
3 difficult at times for folks to navigate the process
4 to secure that type of assistance. There was a case
5 I was working on recently where speaking with someone
6 to the state on providing care for a young adult who
7 needed assistance, basically, 24 seven, but we seem
8 to hear that the person who was supposed to live with
9 the young adult cannot be a recipient of any type of
10 housing subsidy. In order for them to live and
11 provide care. And the last time I checked, many of
12 these homecare workers, they are not receiving a
13 major substantial salary. Some of them require the
14 assistance of a housing subsidy to afford to live in
15 New York City. Have you heard of cases such as this
16 and any type of information or data you can provide
17 in terms of why is it difficult to secure assistance
18 24 hours a day, seven days a week?

19 ANNETTE HOME: In New York City, HRA,
20 when we says cases, we assess cases based on need.

21 COUNCIL MEMBER TREYGER: Right.

22 ANNETTE HOME: So, based on whatever
23 your medical need is, as long as you are Medicaid
24 eligible and you are medically eligible and we
25 determine you have a need for any level of service,

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2 the from eight hours a week of housekeeping to split
3 shift, which is 24 hours around the clock, two aides,
4 we will provide that service. I cannot speak to the
5 state. I can just tell you what we need to and HRA
6 and, in terms of the housing subsidy, I don't fully
7 understand the question.

8 COUNCIL MEMBER TREYGER: Uh-hm.

9 ANNETTE HOME: That's a little bit
10 confusing to me.

11 COUNCIL MEMBER TREYGER: Neither do I.

12 ANNETTE HOME: Oh. Okay.

13 COUNCIL MEMBER TREYGER: Because--

14 ANNETTE HOME: So, we are both on the
15 same page.

16 COUNCIL MEMBER TREYGER: I asked if you
17 know anything about this because we were shocked to
18 learn that the home care providers, apparently, as
19 they are recipients of a housing subsidy, then the
20 state is giving them a difficult time providing
21 substantial care to folks who needed at home and that
22 was kind of news to us. Maybe I will follow up with
23 your office after this hearing.

24

25

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2 ANNETTE HOME: Yeah. I was saying
3 maybe we could connect afterwards and we could to
4 discuss it because I am a little bit--

5 COUNCIL MEMBER TREYGER: Right.

6 ANNETTE HOME: confused as to--

7 COUNCIL MEMBER TREYGER: As was my
8 office.

9 ANNETTE HOME: Okay.

10 COUNCIL MEMBER TREYGER: So, I--

11 ANNETTE HOME: All right.

12 COUNCIL MEMBER TREYGER: I appreciate
13 that.

14 ANNETTE HOME: Okay.

15 COUNCIL MEMBER TREYGER: Thank you very
16 much. Thanks.

17 ANNETTE HOME: You're welcome.

18 CHAIRPERSON AYALA: I just have a
19 question around mental health. Is the training that
20 is provided to the home care workers, does it, and
21 any way shape involve some sort of mental health
22 training identifying, you know, early signs of
23 dementia, depression?

24 ANNETTE HOME: Yes. It does.

25

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2 CHAIRPERSON AYALA: It does? Okay.

3 That's good to hear. And then, I also wanted to hear
4 a little bit about this because this is a complaint
5 that I get from my seniors. I thought Margaret would
6 probably bring it out. But I get a lot of complaints
7 of seniors that have bedbugs that are unable-- So,
8 you know, it-- Bedbugs are a problem in and of
9 itself, but when a person who is a little bit more
10 frail and unable to do the necessary home
11 housekeeping that is required to remediate the
12 conditions-- I know the landlord well calm. They
13 will exterminate, but there is a lot of work that
14 needs to be done in the home in order to truly
15 remediate the bedbug condition. And, often times,
16 these older adults are unable to perform those tasks
17 on their own. The home care workers often times, in
18 my district I hear this a lot, refusing to come back
19 to the home until the bedbug epidemic or issue is
20 remediated and so we have clients that are being last
21 without services for an uncertain, you know, number
22 of days or weeks because the client is unable to
23 really truly address this. So I wonder-- I know
24 this is a very specific kind of a problem, but I'm
25 sure that, you know, considering the number of people

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2 who have had an issue with bed bugs in their lives,

3 you know, 10 years, I'm sure that you have come

4 across cases like this and I wonder why it is the

5 alternative? Is either agency advocating with,

6 maybe, a community-based group to help bring in those

7 services that the homecare worker is unable or

8 unwilling to assist in that way?

9 ALAN HOM: For DIFTA-funded clients, if

10 that is happening, they work very closely with the

11 case management agency to find, as you were saying,

12 resources in the community that would be able to, you

13 know, helped the senior remediate the bedbug issue.

14 I mean, frankly, bedbugs are-- is a chronic problem

15 in some areas of the neighborhood and personal care

16 workers and the home care agencies obviously are

17 afraid, are concerned of, you know, passing along

18 bedbugs to another senior or to another client. But

19 for DIFTA-funded clients, our case management

20 agencies, because they work with the homecare

21 agencies, because they share those clients, one of

22 their main tasks then would be, well, how to we

23 helped the senior? How do we figure out, you know,

24 how to pay for the remediation? Is it up to the

25 landlord? Advocacy may be needed with the landlord.

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2 They may need to find a company and maybe even try to
3 find some way to help pay for that service.

4 CHAIRPERSON AYALA: And that-- I think
5 that's kind of where the issue lies is that, you
6 know, most of my seniors are living on, you know,
7 under 9000 dollars a year in income and so it
8 becomes, you know, difficult, nearly impossible for
9 them to pay for that level of service. But because,
10 again, you know, if you are receiving home care, that
11 means that you have a specific, you know, unmet need
12 and the homecare worker, the person that is tasked
13 with helping you with those needs is unable or
14 unwilling to deal with, you know, whatever
15 remediation work is happening in the house because,
16 for whatever restrictions they have in terms of the
17 duties that they are assigned to do, is APS being
18 called in to come in and say, hey, you know, this
19 client needs somebody to help them wash all of, you
20 know, their clothes, pack things up. I can't do
21 that. It's not part of my job description, but
22 somebody needs to do it. I'm not hearing that at all
23 and I have had clients that have been without
24 services for over a month because they cannot-- and
25 that doesn't change from day to day. If you can't do

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3 it today, you are not going to be able to do it
4 tomorrow. And the job of the providers is to
5 really-- not only to recognize that, but to then
6 make the necessary arrangements to have somebody that
7 can fulfil that come in. And so, I would love if--
8 You know, and probably this is one of the follow-ups.
9 If you would share with us some of the ways in which,
10 you know, the agencies are doing that because there
11 is no reason why any older adult or anyone who is,
12 you know, really dependent on care should be without
13 services because they have an issue that could easily
14 be remediated by bringing in another organization or
15 agency.

16 ANNETTE HOME: So, on behalf of HRA,
17 when we have a client that requires personal care
18 services and they are at risk without those services,
19 and there is a situation of bedbugs, we have often
20 relied on respite care to try to get them short-term
21 care respite while we address the bedbug situation so
22 they are not in the home at risk without personal
23 care. We have done that in the past. We can get
24 back to you. We have also reached out to eight
25 community agencies to see if they could assist us
with clients who, after they get the bedbug situation

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3 eradicated, there are still other things that need to
4 be done. But we can definitely get back to you with
5 that information.

6 CHAIRPERSON AYALA: I would appreciate
7 it because the-- it seems tedious, but it's a really
8 big deal in my community. I mean, I have had seniors
9 that, you know, have been banned from their local
10 senior centers because they have fed dogs and now the
11 homecare worker who is not providing this service at
12 home went and told the senior center that the senior
13 has bedbugs and now the senior center doesn't want
14 them at the senior center, so now they are completely
15 without services. And so, you know, we hope and we
16 assume that the people that are tasked with caring
17 for these vulnerable populations are doing it in a
18 very holistic, you know, manner. So, I think you for
19 your testimony today. I don't know if Council member
20 Chin has any other questions, but I am done with
21 questions for today. Thank you.

22 CHAIRPERSON CHIN: Thank you for your
23 testimony and if there is any other questions, we
24 will forward it to you and I hope that HRA and DIFTA
25 to, you know, really work together on this
26 collaboration in terms of home care services and

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2 really tries to figure out a way to work with the
3 state so that is more oversight to really protect our
4 seniors and the vulnerable population. But thank you
5 for being here.

6 ANNETTE HOME: Thank you.

7 ALAN HOM: Thank you.

8 CHAIRPERSON CHIN: We're going to call
9 the public. Okay. Tara Klein from the United
10 Neighborhood Houses and Tara Cortez from the Harvard
11 is-- Hartford Institute of geriatric nursing at NYU.

12 TARA KLEIN: Okay. Hi. Good morning.
13 Thank you, Chair Chin.

14 CHAIRPERSON CHIN: Good morning.

15 TARA KLEIN: Chair Ayala and the committee
16 members for the opportunity then testified today. My
17 name is Tara Klein. I am a policy analyst at United
18 Neighborhood Houses which is a policy and social
19 change organization representing 42 neighborhood
20 settlement houses in New York. Three of our members
21 provide nonprofit homecare services to their
22 communities as state licensed homecare services
23 agencies in the city and that's Chinese-American
24 Planning Council, Saint Nick's Alliance, and
25 Sunnyside Community Services. Together, every year,

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3 the settlement houses provide services to over 4500
4 individuals with nearly 7500 workers throughout New
5 York. Today I would like to provide an update on the
6 legal challenges surrounding New York state
7 regulations on homecare employee pay structures,
8 specifically on the 13 hour payroll and argue that
9 this is the time to put pressure on the state to make
10 policy changes that will support low income home care
11 workers while simultaneously supporting the financial
12 sustainability of nonprofit homecare providers.

13 There are more details in my testimony. I'm going to
14 skip through some of it. So under a long-standing
15 New York State Department of labor regulations, a
16 residential home care employee who works for 24 hours
17 must only be paid for 13 of those hours with the
18 remaining hours exempt and reserved for sleep and
19 meal time. There are some exceptions to this but, in
20 practice, what happens is that most employees who are
21 working for 24 hour shifts are working far more than
22 13 hours and only being paid for 13 hours of work.

23 In some cases, employers will take a loss because
24 they are not reimbursed by their plans for additional
25 hours, but they want to pay the workers. But really
this is all because state regulations set those rules

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3 and those are reflected in insurance reimbursement
4 rates. And so, in 2017, a series of state court
5 decisions brought by workers and validated the DOL's
6 13 hour rule finding that employees must be paid at
7 least the minimum wage for all 24 hours of the 24
8 hour shift regardless of sleep or meal time. These
9 cases were appealed and this led to a long period of
10 uncertainty for the homecare industry. Providers
11 fear that, if the courts ruled in favor of the
12 plaintiffs and the 13 hour roll was abolished, they
13 would be responsible for approximately 1 billion
14 dollars per year industry wide and new payroll costs.
15 These cases were not expected to compel insurance
16 plans or the state to cover these costs leaving those
17 costs on the provider. For nonprofit providers that
18 rely on Medicaid reimbursement rates, this was a
19 devastating prospect with many fearing bankruptcy.
20 Even further, the lawsuits were expected to include a
21 retroactive back pay component for the last six
22 years, adding another 6 billion dollars to that tab.
23 On March 26th, about two weeks ago, the state court
24 of appeals ruled on these cases to overturn the
25 decision of the lower courts, effectively preserving
the status quo of the 13 hour rule. While the legal

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3 door is not completely closed, providers are
4 breathing a small sigh of relief. However,
5 especially for nonprofit providers who serve
6 vulnerable community members and seeks to promote
7 social justice, a decision that perpetuates near
8 poverty wages is not one to celebrate. And so, UNH
9 has developed a series of policy recommendations to
10 New York State that we believe the state should
11 consider to help stabilize the homecare workforce.
12 These solutions all require some financial
13 investment, however, the sectors employees are
14 currently forced to accept dire wages, in large part
15 because of those state regulations. And so,
16 therefore, it should be the state's responsibility to
17 cover these costs and rectified the system it has
18 neglected for decades to the detriment of workers.
19 We hope the city Council will act as a partner in
20 advocating to the state legislature this year to
21 advance some of these policy ideas. And, again, more
22 details are in the testimony. But, briefly, first is
23 very simple. We think the state should consider
24 funding fall 24 hour pay through Medicaid
25 reimbursement rates. Next, the state should explore
expanding the use of multiple split shifts for 12

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3 hours or maybe eight hours for certain cases. As we
4 have heard today, this is already used for many
5 patients. But expanding this model would improve
6 working conditions by making sure that employees are
7 paid for all the hours that they work, effectively
8 making that 13 hour rule irrelevant. And this would
9 also reduce overtime pay, costs for providers.

10 However, split shifts are appropriate for all
11 clients, especially those with complex care regimes
12 who prefer a consistent aide. So this cannot be the
13 only solution. Next, the state could explore using
14 variable on call pay rates for sleep and meal times.
15 So, for example, certain nurses are paid at three
16 fourths of their rate for their on-call hours. This
17 could also work as sort of a per diem system, which
18 would provide relief to workers while also minimizing
19 additional overtime expenses to providers. And
20 finally, given the unique challenges facing the
21 homecare workforce, including this 13 hour pay
22 structure, workforce conditions and a pending
23 workforce shortage that we've heard a little bit
24 about today, the state should consider coordinating
25 oversight of the industry and developing best
practices moving forward. We think that this should

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2 start with a short term task force of relevant
3 stakeholders to create a comprehensive reform plan to
4 stabilize the industry and support the workforce and
5 that a task force could also advise on the need for a
6 permanent public homecare advocates office to act as
7 a central liaison and resource hub for employers,
8 employees, and homecare recipients. So we would love
9 to be a partner with the Council on this. We would
10 love your support and advocating to the state on this
11 very important topic. So thank you for your time.

12 CHAIRPERSON CHIN: Thank you. I would
13 like to also ask Wayne Ho from the Chinese-American
14 Planning Council to join the panel. Thank you.

15 CHAIRPERSON AYALA: You may begin,
16 Tara.

17 TARA CORTEZ: Two Taras here.

18 CHAIRPERSON AYALA: I can get that
19 wrong, Tara.

20 TARA CORTEZ: Oh. You turned me on.
21 Good morning Chairperson Ayala and Chairperson Chin
22 and all Council member present. My name is Dr. TARA
23 Cortez. I'm the Executive Director of the Hartford
24 Institute for Geriatric Nursing which is the
25 geriatric arm of New York University Rory Myers

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2 College of nursing. And I think you for the
3 opportunity to testify today and share my expertise
4 on the topic of nursing health aide services. As
5 we've heard today, the number of older people in New
6 York City is growing rapidly. 10,000 people across
7 America turned 65 every day and this number is
8 reflected in New York City. Our present direct care
9 workforce cannot possibly meet the needs of our frail
10 and vulnerable aging population and the gap between
11 the need and demand will only grow over the next two
12 decades. Unless we begin to address the workforce
13 now, the gap will grow to crisis proportions. To
14 address the gap, we believe that we must address two
15 issues and one is recruitment and one is retention to
16 ensure we have an adequate and well prepared
17 workforce.

18 First, we must support policies which
19 expand visas to include all members of the healthcare
20 team and specifically direct healthcare workers. One
21 of four direct care workers today in New York City is
22 an immigrant. This approach increases not only in
23 the number of potential direct caregivers we have,
24 but also increases the cultural diversity of the
25 workforce to meet the cultural diversity of our aging

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3 population. Also, to increase recruitment, we should
4 start working with high school students. We need to
5 do more in terms of our students coming out of high
6 school to make jobs in healthcare sector a reality
7 for them. And certainly many of our nurses are
8 registered nurses today as well as physicians started
9 us direct caregivers. Home health aides is a very
10 common place for healthcare workers to begin and
11 certainly this is a wonderful opportunity for our
12 high school graduates. So we do believe this is
13 another target area for us to increase recruitment.

14 Second, we must rethink the education of
15 direct caregivers. Their work requires much more
16 than just the skills of mechanics, nutrition,
17 cooking, and feeding. There is a large turnover and
18 direct caregivers in all the environments of long-
19 term care. With the increased complexity of aging,
20 and the increased complexity of caring for adults
21 living at home, it is essential that home health
22 aides be trained and, all say educated, not trained,
23 to understand the unique needs of older adults. For
24 example, we did talk today about depression and
25 dementia and care of patients with dementia. We at
the Hartford Institute, through a grant, trained 1200

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3 home health aides in one LHSCA in New York City. One
4 week before we started we asked them their confidence
5 level in caring for people with dementia and their
6 response rate was 30 percent of them felt slightly
7 comfortable or not at all comfortable. We provided
8 them with a course designed evidence-based care of
9 people with dementia and, at the end of it, 30 days
10 later, we asked them what their comfort level was of
11 caring for people with dementia and increased to 80
12 percent of them felt very comfortable or comfortable.
13 So I think there is a need for distinct type of
14 education which is more than just skills, but to
15 understand that, when somebody kicks you, when
16 someone hits you, when someone curses you, it's not
17 because they don't like you, but it's because of the
18 disease. And I think this is something that needs to
19 be, even year after year, instilled in our direct
20 care workforce. It is a major reason for turnover in
21 the long-term care workforce.

22 There are other areas of education. We
23 talk about the age health-- age friendly health
24 system which includes the four M's and that's what
25 matters to the patient. Are we teaching home health
aides to address what matters and, if it matters to

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2 them to get out that 9 o'clock instead of 7 o'clock,
3 matters to the patient. Are we addressing medication
4 that different medications the patient is on might
5 cause certain behaviors? How is the pharmacist
6 working with the direct caregiver to provide packets
7 that the caregiver could actually administer
8 themselves? How are we dealing with mobility? We
9 can teach them, you know, how to walk a patient, but
10 what is the importance of mobility in the patient?
11 If we let someone sit in their chair for 16 of the 24
12 hours or be in bed for 20 of those 24 hours, they're
13 going to lose mobility and they will be bedbound.
14 How do we avoid that? They need to understand the
15 house and the wives of all of that there is a
16 division, I think, between what they know and what
17 they need to know and I think this needs to be a
18 policy that their educational needs are addressing
19 these kinds of issues. Thank you very much.

20 Good morning. And like to thank the
21 Chairs of this joint committee hearing, house while
22 city Council members for holding this hearing on home
23 care. My name is Wayne Ho. I'm the president and
24 CEO of the Chinese-American planning Council. We are
25 the nation's largest Asian American social services

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2 nonprofit and we have a wholly owned subsidiary known
3 as the Chinese-American planning Council home
4 attendant program, CPCHAP, which is a licensed not-
5 for-profit home care agency based here in New York
6 City. Every year, or actually every day, we serve
7 3000 consumers through our homecare and we employ
8 over 4500 homecare workers. These workers span a
9 range of ethnicities and languages reflecting our
10 belief in cultural competence in this area ranging
11 from Chinese, Spanish, English, Russian, Korean, and
12 other languages throughout the five boroughs of New
13 York City. As a licensed homecare agency that's a
14 non-profit, often times we feel that we are caught
15 between state regulations, city regulations, legal
16 and court issues, as well as contractual obligations.
17 We have contracts with the human resources
18 administration of New York City. We also have
19 contracts with 26 managed care organizations or
20 manage long-term care organizations. But the key of
21 where the funding comes from each of these contracts
22 is its state Medicaid dollars, so I'm here today to
23 ask that we partner with the city Council to make
24 sure that we have a solution on how we can address
25 retroactive issues in the home care sector which and

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2 facts not just-- affects not just home health aides,
3 but also the consumers. But also we have a forward-
4 looking solution to figure out how we can work
5 together to stabilize the sector, stabilize the not-
6 for-profit licensed homecare agencies that are doing
7 our best caring these very complicated issues and, at
8 the end of the day, how do we make sure that our
9 workers are properly supported and compensated while,
10 at the same time, our consumers receive and continue
11 to receive the highest quality of care. Asian
12 Americans, we are the fastest growing group in New
13 York City. One out of every three Asian American
14 seniors lives below the poverty line and about two
15 out of three are limited English proficient. What
16 this means and are quickly aging population and
17 quickly growing population is that there is a need
18 for home health aides because we are the ones that
19 are able to provide culturally competent and
20 linguistically appropriate services. While there are
21 about 187,000 homecare workers throughout the city
22 and about twice that amount New York State, we
23 already know that there is a shortage of home health
24 aides to meet not just the current needs, but the
25 projected needs of this growing population moving

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3 forward over the next 10 years. So we know that in
4 the sector where the home health aides are
5 predominantly immigrant women or women of color, and
6 that there is a need to stabilize the sector by
7 providing better wages and better benefits. But,
8 once again, as a licensed homecare agency, we are
9 only able to do that if the Medicaid rates will allow
10 us to compensate everyone fairly for all the hours
11 that are worked in that we are able to have stable
12 operations. And that's where it leads to the
13 recommendations and I have today. Medicaid, as I
14 mentioned, is the biggest payer of home care and
15 long-term care in New York State and, unfortunately,
16 the low rate have been exacerbated-- or exacerbated
17 the unfair conditions for our workers, as well as the
18 limits that we face in serving our consumers. So one
19 of the most stark examples of this is what's known as
20 the 13 hour rule and our colleague, Tara Klein over
21 at United Neighborhood Houses already mentioned that
22 and I just want to say that we support the
23 recommendation that UNH had put on the table around
24 how to support 24-hour care for not just the
25 consumers, but also for the workers. There was a
recent court decision a couple weeks ago which

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2 determined that the 13 hour rule, as set by the New
3 York State Department of labor should be upheld, but
4 in that opinion, but also stated that there are more
5 expectations on licensed homecare agencies to make
6 sure that those 11 hours that these 24-hour workers
7 are not paid, that we have to comply with lots of
8 regulations monitoring and check ins. And that's
9 where, once again, through the low rates, we have
10 very small administrative staff in order to follow
11 through. So, as we move forward on these contracts
12 or recommendations, I think it's very important to,
13 once again, emphasize how we are caught in the middle
14 of regulations, contracts, lawsuits in order for us
15 to do what we want to do, which is to better serve
16 older adults and individuals living with disabilities
17 in supporting their family members, as well as her
18 workers. So, in order to address the retroactive
19 issues and better stabilize the sector, we are asking
20 to partner with the city Council and with the city to
21 have a coordinated advocacy effort and educational
22 effort to go to the state together. Specifically,
23 the state Department of Health to make sure that they
24 invest more in this sector. It's, once again, all
25 Medicaid funded and if we look at the Medicaid

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2 dollars are at the state controls, having an
3 investment, a greater investment, as possible into
4 the home care sector. We recommend that there is an
5 intervention into this sector, on behalf of not just
6 a home health-- homecare agencies, but also
7 providers in the consumers and, and ornamented that,
8 we need to create a permanent solution to support her
9 workers. The first one is we need a legislative
10 solution. Now that the courts have decided about the
11 13 hour rule, what that means is that we would still
12 like to see quality care and support for her workers.
13 So we are recommending to 12 hour shifts. So moving
14 towards split shifts. Then instead of having just
15 one person working 24 hour shifts, that we all know
16 it's better services for the consumer as well as its
17 better care for the workers themselves says they
18 could work to 12 hour shifts in making sure that is
19 that quality care for some who is high needs for home
20 care. Secondly, in order to make the two 12 hour
21 shifts work, we need full funding for the 24 hours in
22 the New York State Department of Health had not been
23 public for the longest time on what some out would
24 cost. They would actually not even shared with us
25 the total number of cases of 24-hour cases throughout

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2 the entire state of New York, we've found out during
3 the budget associations over the last few weeks the
4 total investment from the state through their
5 Medicaid in order to have 24 hour care-- sorry.
6 Pay. 24 hour pay the 1.2 billion dollars 1.2 billion
7 dollars, when you have a Medicaid budget, that 70
8 some billion dollars, we think that is possible and a
9 Medicaid budget also increased by over 4 billion
10 dollars this past year, so that would have been
11 possible in the increase take at the 1.2 billion
12 dollars. And, again, we want to work with the city
13 Council to make these legislators and budgetary
14 recommendations happen. Moving forward, we also need
15 to have best practices in this sector. In order to
16 do that, we would like to work with the city Council
17 and have a work group where we can look at not just
18 managed-care and long-term care, but how we can work
19 together as a non-- not-for-profit licensed homecare
20 agencies working with individuals supporting the
21 workers and advocates supporting the workers, as well
22 as elected officials where we can come together and
23 really create a permanent solution for this growing
24 population that needs homecare, but we need to invest
25 in the workers as well as the agencies. While I've

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2 been focusing a lot of time about how we can work
3 together at the city level to advocate for changes of
4 the state, I'd also like to point out that there are
5 some recommendations of how HRA can do its work
6 better. So, I know the Council is very familiar with
7 a lot of the contractual issues and procurement
8 issues that exist for human services nonprofits,
9 homecare agencies are not excused from these issues,
10 too. So, for example, our contract with HRA starts
11 on the-- it lines up with the state fiscal year, so
12 the contracts actually start on April 1st. We were
13 providing services for 11 months before we even saw a
14 contract for that year and then we did not get the
15 funding until the contract was registered. And when
16 we are dealing with millions and millions of dollars
17 in trying to pay, not just administrative staff, but
18 the home care workers, many of which were family
19 members, we need to see the money moving faster than
20 the procurement process moving faster. Also, not
21 just with HRA, but with the MCOs and MLTCs, we're not
22 allowed to get advances through that and, because a
23 lot of this is billing, and we have many of our
24 claims are 90 days old, 180 days old , 360 days old.
25 And, is a nonprofit, while we're going through

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2 closing our books and going through audits, we're
3 still trying to draw down these monies on our over a
4 year late. So, having a way for us to stabilize the
5 sector, once again, it's not just supporting the
6 workers, but it's also supporting the not-for-profit
7 licensed homecare agencies that rely on HRA contracts
8 and MCO contracts. In this other recommendations
9 that in my testimony today, but I think the key is I
10 think that we would like to work together with the
11 city Council and we are glad there is more attention
12 coming to this area and we want to make sure as we
13 move forward and not just supporting the not-for-
14 profit, but also supporting the workers that,
15 inevitably, that's a better way to support homebound
16 seniors and disabled populations and their families.
17 Thank you.

18 CHAIRPERSON CHIN: Thank you so much
19 for your testimony and the recommendations. So we
20 will see how we can work together. You know, we'll
21 contact you and follow up and make sure that we do
22 something to really improve the situation for the
23 workers and the clients. But thank you for being
24 here today.

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2 CHAIRPERSON AYALA: I have one quick
3 question.

4 CHAIRPERSON CHIN: Oh. Yeah.

5 CHAIRPERSON AYALA: The number-- Do we
6 know what the number of people receiving 24 hour care
7 is?

8 WAYNE HO: Yeah. State wide, and has
9 been-- Yeah. From my agent-- We don't know the
10 state wide number. I think we can back into it with
11 the 1.2 billion and try and play some math around it,
12 but from my agency, out of our 3000, it's 266 receive
13 24 hour care.

14 CHAIRPERSON AYALA: And what is the--
15 What is the current salary for home care workers
16 that's pretty standard or does it vary by agency?

17 WAYNE HO: It could vary by agency based
18 on how they handle supplemental wages for wage
19 parity. But generally speaking, the wages are
20 minimum-wage and we are expected to follow through on
21 what is known as wage parity, so whether you are
22 union employee or you go through the consumer
23 directed program, you get equivalent to four dollars
24 and nine cents of supplemental wages on top of it.
25 Some of that four dollars and nine cents per hour can

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2 be accomplished, at least through if you take health
3 insurance or if you get educational benefits or
4 retirement plans that it does lower the supplemental
5 wages hourly, but generally speaking, the way the
6 sector looks is minimum wage plus four dollars and
7 nine cents an hour.

8 CHAIRPERSON AYALA: Does that include
9 employer-based healthcare service-- or-- Yeah.
10 Healthcare. Because I think one of the complaints
11 that we received many years ago was that there really
12 wasn't any healthcare coverage as part of these
13 contracts and so--

14 WAYNE HO: It-- It--

15 CHAIRPERSON AYALA: retention became an
16 issue.

17 WAYNE HO: Right. It depends on whether
18 the employee is the union employee or nonunion
19 employee. So, for us, for those who are personal
20 care assistants, are all part of SEIU 1199. So they
21 have the option of taking the health insurance the
22 union. For those who are the consumer directed, so
23 the family members, mostly family members that
24 provide for their relatives, it really depends on the
25 agency. For us at CPC HAP, we need to provide health

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2 insurance and they have the option of taking it or
3 not taking it. We have heard from our work-- many
4 workers, they would rather take Medicaid because they
5 feel the coverage is better as they take Medicaid
6 themselves and they are eligible. One of the
7 challenges is-- I think a lot of what we've heard
8 throughout many sectors, not just homecare, is, as
9 minimum-wage goes up, they start hitting the benefits
10 cliff towards Medicaid and are they still eligible
11 for Medicaid.

12 CHAIRPERSON AYALA: Perfect. Thank you
13 guys so much for coming and testify.

14 CHAIRPERSON CHIN: Council member
15 Holden?

16 COUNCIL MEMBER HOLDEN: Yes. I want
17 to thank you for your testimony and certainly great
18 recommendations, all of you. I just want to do is
19 shout out to Sunnyside community services. They do a
20 great job in my district. I love them. They are
21 wonderful. They saved Alanis seniors and I can't say
22 enough about them. So thank you for that. Dr.
23 Cortez, thank you for mentioning that dimension
24 issue, which my mom has, like I mentioned before.
25 She-- I constant-- You know, when I bring her out

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2 to healthcare or outside, I have to always apologize
3 for her behavior, but people-- the healthcare
4 workers don't understand. Many of them don't that
5 this is what comes with dementia. Thank you for
6 doing that. Thank you for mentioning that. And
7 homecare workers are woefully underpaid and we will
8 support you. I know I while. Anything that we can
9 do with the state, but just knowing all the workers,
10 many of the workers in my district, they do-- they
11 travel long distances. They get minimum wage, most
12 of them, and it's-- we're at a situation where we,
13 if we doubled their pay, that wouldn't be enough.

14 So, I want to thank you all for your testimony.

15 Thanks.

16 CHAIRPERSON AYALA: Okay. Thank you
17 guys for your testimony. This meeting is adjourned.

18 [gavel]

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C E R T I F I C A T E

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date May 14, 2019