CITY COUNCIL
CITY OF NEW YORK

TRANSCRIPT OF THE MINUTES

Of the

NEW YORK CITY CHARTER REVISION COMMISSION 2019

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February 25, 2019 Start: 6:11 p.m. Recess: 8:40 p.m.

HELD AT: COUNCIL CHAMBERS - CITY HALL

B E F O R E: GAIL BENJAMIN

COMMISSIONER CHAIR

COMMISSIONERS: Sal Albanese

Dr. Lilliam Barrios-Paoli

Lisette Camilo James Caras

Eduardo Cordero, sr.

Stephen Fiala Paula Gavin Lindsay Greene Alison Hirsch

Reverend Clinton Miller

Sateesh Nori Dr. Merryl Tisch

James Vacca Carl Weisbrod

## A P P E A R A N C E S (CONTINUED)

## Panel 1 Ranked Choice Voting

Karen Brinson Bell, Ranked Choice Voting Resource Center. Former Election Administrator from North Carolina with experience in implementing ranked choice voting.

Susan Lerner, Executive Director, (Common Cause) Lerner is the Executive Director of Common Cause, which is extremely involved in election reform.

Bella Wang (League of Women Voters) Wang heads the Voting Reform Initiative at the Leagues of Women Voters

Craig Burnett (Hofstra University (Via Skype)
Burnett is an Assistant Professor of Political
Science, Hofstra University and is the co-author of
a 204 paper examining the phenomenon of "Ballot
Exhaustion" in RCV systems

Esmeralda Simmons, (Founder and Executive Director of the Center for Law and Social Justice, Medgar Evers College (CUNY) Simmons founded CLSJ and has also served on various public boards, including the NYC Districting Commission and NYC Board of Education

John Arntz (Executive Director of the San Francisco Department of Elections) (via Skype)

## Panel 2 Redistricting

Michael Li (Brenna Center) Li is Senior Counsel for the Brennan Center's Democracy Program and is a nationally recognized expert in redistricting. TJ Costello (Austin Independent Citizens
Redistricting Commission) (via Skype) Costello
served as the Vice Chair of the Austin Independent
Citizens Redistricting Commission, which was the
first commission in the country to have ordinary
citizens draw city council districts.

Jeffrey M. Wice (Fellow, SUNY Rockefeller Institute of Government) Wice has over 35 years of experience working in redistricting, voting rights and Census Law.

Esmeralda Simmons (Founder and Executive Director of the Center for Law and Social Justice at Medgar Evers College (CUNY). Simmons founded CLSJ and has also served on various public boards, including the NYC Districting Commission and NYC Board of Education.

## Panel 3 Campaign Finance

Frederick Schaffer (NYC Campaign Finance Board)
Schaffer is the chair of the city's Campaign Finance
Board (and we anticipate that he will be joined by
Amy Loprest the Board's Executive Director)

Michael Malbin (Campaign Finance Institute) Malbin is the co-founder and Executive Director of the Campaign Finance Institute and a Professor of Political Science at the State University of New York at Albany. He has written extensively on the NYC Campaign Finance's Board public matching funds program, as well as other campaign finance programs around the country.

Wayne Barnett (Seattle Ethics and Elections Committee) (Via Skype)

Jennifer Heerwig (Stony Brook University) Heerwig is an Assistant Professor of Sociology at Stony Brook

University and co-author of a 2018 paper examining the impact of Democracy Vouchers in Seattle

Alan Durning (Sightline Institute) (Via Skype) Durning is the founder of the Sightline Institute, a key group in the development of Seattle's democracy voucher system in 2015.

Jerry Goldfeder (Election Attorney) Goldfeder is an experienced election lawyer and the chair of the New York City Bar Association's Charter Revision Task Force

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     NEW YORK CITY CHARTER REVISION COMMISSION 2019
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                [sound check] [background comments/pause]
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     [gavel]
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                CHAIRPERSON BENJAMIN: Good evening and
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     welcome to the today's public meeting of the 2019 New
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    York City Charter Revision Commission. I'm Gail
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    Benjamin, the Chair of the Commission, and I am
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     joined by the following Commission Members:
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     Honorable Sal Albanese, Honorable Lilliam Barrios-
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     Paoli, Honorable James Caras, the Honorable Lisette
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     Camilo, Honorable Eduardo Cordero, Sr., Honorable
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     Lindsay Greene; Honorable Sateesh Nori, the Honorable
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     Dr. Merryl Tisch, Honorable Carl Weisbrod. With
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     those Commission members present we have quorum.
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    Before we begin, I will entertain a motion to adopt
     the minutes of the Commission's meeting on January
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     31<sup>st</sup> at City Hall, a copy of which has been provided
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     to all of the Commissioners. Do I hear a motion?
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                COMMISSIONER: [off mic]
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                CHAIRPERSON BENJAMIN: Is there a second?
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                COMMISSIONER: Second. I do.
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                CHAIRPERSON BENJAMIN: All in favor?
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                COMMISSIONERS: [in unison] Aye.
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                CHAIRPERSON BENJAMIN: Any opposed?
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motion carries. Today we are very excited to kick

NEW YORK CITY CHARTER REVISION COMMISSION 2019 off the Commission's series of expert forums on the focus areas we adopted at our meeting in January. Today, we are privileged to be joined by a distinguished set of panelists put together in consultation with my fellow commissioners who have generously agreed to speak to us about our elections buckets. In the interest of time, we're getting started right away. Each panelist will have three minutes to introduced themselves and provide brief opening remarks, and there will be a clock, which is over there that you can consult as you're speaking, and then we will have 30 minutes for questions by the Commissioners. If 30 minutes ends up not being enough time for all of the Commissioners' questions, please let staff know, and they will arrange a follow-up. For brevity's sake I'm going to call up the witnesses that I'll ask that each of them introduce themselves briefly within their statements. On the first panel to discuss Ranked Choice Voting and related election process reforms, we have Karen Brinson Bell, Susan Lerner, Bella Wong, Craig Burnett via video. Maybe waive Mr. Burnett.

JOHN ARNTZ:

John Arntz.

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1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 2 CHAIRPERSON BENJAMIN: Okay. Esmerelda 3 Simmons and John Arntz opening statements. Ms. Bell 4 KAREN BRINSON BELL: [off mic] Thank you. 5 [on mic] Is that on? Thank you. Good evening, 6 Commissioners, and thank you for having me. My name 7 is Karen Brinson Bell. I've conducted elections for more than a decade including city and district 8 instant run-off voting elections and was part of the 9 Implementation Team for the Statewide Ranked Choice 10 Voting Election of the North Carolina Court of 11 12 Appeals seat in 2010, which was the first statewide use of our RCV in the U.S. since the 1930s and was 13 14 implemented in just 86 days. I'm here today 15 representing the Ranked Choice Voting Resource 16 Center, which is a non-advocacy, non-profit 17 organization focused on education and sharing 18 election administration practices. I believe you're familiar with what Ranked Choice Voting and the term 19 20 Ranked Choice Voting and the Term Instant Runoff so I'll go into why jurisdictions adopt. It includes to 21 2.2 eliminate costly low turnout runoff elections, avoid 23 vote splitting and weak plurality results and 24 franchise military oversees citizens, and increase

stability in campaigns. Currently RCV is used in

NEW YORK CITY CHARTER REVISION COMMISSION 2019 eleven U.S. cities including Minneapolis, Minnesota; San Francisco, California; Cambridge, Massachusetts; and Santa Fe, New Mexico. In 2018, the state of Maine used RCV at its state and Federal Primaries and then for the U.S. House and Senate General Election. Eighteen additional cities and counties have also approved RCV for future elections. Some key things to factor in for administration and implementation firs, it is no different than any other election. Implementation of RCV for a jurisdiction follows many of the same protocols and procedures used in any election. It is a proven voting method, and we do not need to bring at the wheel. We have materials freely available for sharing. With good instruction, voters do understand RCV. Voter education can involve as little or as much of the resources available and permitted, and some jurisdictions conduct extensive public education campaigns, while others like North Carolina and Maine were given no additional funding and had to educate their educatetheir efforts at a minimum of resources. implementations have proven that the most impactful and inexpensive voter education method is verbal and written instructions with the voters present

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 9
themselves to vote. Additionally, New York City's
voting equipment is RCV ready. With the equipment
you have in place you can move forward RCV ballot
design and both capture and the vendor does provide
RCV tabulation software as an additional module.

Last but not least we have data from recent elections
in Santa Fe and Minneapolis to illustrate that voter
understanding and proficiency in marking RCV ballots
is—is just a very low voter rate often just one—third
of one percent of ballots are removed to voter error
in Santa Fe for example. I got it in.

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CHAIRPERSON BENJAMIN: Wow, that's [laughter] a hard act to follow with seven seconds to spare. My gosh. [laughter]

SUSAN LERNER: Thank you very much,

Commissioners for inviting me. I'm Susan Lerner.

I'm the Executive Director of Common Cause New York,

and I'm one of the founders and leaders of the

statewide Let New York Vote coalition. Common Cause

is a national organization that works on issues to

strengthen our democracy. We are involved in

election reform and improving election administration

all across the country. My colleagues in different

states and different cities have the hands-on

NEW YORK CITY CHARTER REVISION COMMISSION 2019 10 experience that Karen has with Ranked Choice Voting, but I'm here to talk about our situation here in New York City. First, I'd like to say from our perspective there is no one magic silver bullet in terms of one election reform that will fix everything that anybody wants to see in our election system. Getting our elections right requires the right combination of reforms, and finally, in Albany we're beginning to see some of the reforms that we need to help tackle some of the problems, but here in New York City I believe we are uniquely situated to benefit from Ranked Choice Voting, and that is because of our admirable and well regarded Campaign Finance system. Our campaign finance system results in our having a large number of races, which are multi-candidate races. Combine that with our term limit system, and repeatedly what we see are not only in our citywide offices, but particularly at the Council level and most particularly in the primary races where you will have anywhere from 4, 5, 6 sometimes 8 or 10 candidates who are running in the same election. Witness our current Special Election for Public Advocate. That situation has the benefits that come from our campaign finance system have the

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 11 unfortunate side effect of sometimes having a very split ticket where people are afraid to vote for their first choice. They're afraid that if they vote for who they really support that a candidate they really don't like ala Donald Trump might be elected, and they are confused in terms of whether their vote is going to count. We also see the unfortunate situation where you have elected officials who come into office without a really strong majority behind them. Ranked Choice Voting addresses these issues. It—it eliminates the spoiler effect. It allows people to vote for their real first choice. It encourages the candidates to collaborate, and it allows the ultimate winner to be able to say that they are the consensus candidate who has built the strongest support in their community. These are all good things, which strengthen our democracy, and that's why we at Common Cause have provided for you a proposed amendment to the Charter, which would set up a top 5, a rank your top 5 Ranked Choice Voting system. Thank you.

CHAIRPERSON BENJAMIN: [off mic] Is that in-[on mic] Is that in this handout that you gave us?

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SUSAN LERNER: There's—there's the--a

lengthier handout, which has our analysis of the

multi-candidate races, and a separate sheet with our proposed language for the Charter Revision.

6 CHAIRPERSON BENJAMIN: Thank you very 7 much. Ms. Wang.

Okay. Let me make sure this BELLA WANG: Should I talk into it? Great. Alright. Good evening, Commissioners. Thank you for inviting me. My name is Bella Wang. I am the Chair of the Voting Reform-Reform Initiative at the League of Women Voters of the City of New York. We are a multi-issue non-partisan political organization. We promoted informed and active participation in government at the national, state and local level, although I am here representing the local. So, we're very pleased to see the Commissioners explore the implications of Ranked Choice Voting. We've supported this process for a long time. In the start we advocated for it to be implemented for the special non-partisan elections that filled City Council's vacancies as well as for the absentee and military voters. We now actually recommend that it be implemented in all city elections including City Council and Citywide

NEW YORK CITY CHARTER REVISION COMMISSION 2019 13 positions. As Susan pointed out, the Public Advocate Special Election and actually just the history of the Public Advocate elections, really highlights the need for Ranked Choice Voting. The 2009 and 2013 citywide Democratic Primaries required runoff elections after no Public Advocate candidate received 40% or more of the vote. These elections each cost the city \$13 million, had a 7% turnout. Tomorrow, we have an election where there won't be a runoff. So, with a 17-candidate feel, we very well may have someone win with 25, 30 or less percent of the vote. feasible. Similarly, also they are not subject to runoff. City Council races may have many candidates in the primaries leading to situations where the winner may receive only a small plurality vote. So, we're in favor in large part because this reveals voter preferences, right. We want more information about what voters think not less. If we know voters can rank three or five people, that gives us more information. So, because of our interest, we looked for a some alternatives, and we've done a little research reaching out to other League of Women Voter chapters around the country. In some cases, they spoke to us directly. In other cases, they directed

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 14 us to colleagues at places like Fair Road for example talking to tell us about their experiences. So, just a few results from our research last fall. people have suggested that the process is too confusing for voters, but actually as Ms. Karen point-pointed out, exit surveys in the Santa Fe Municipal Elections in 2018 indicated increased voter confidence in the quality of the result. I actually personally went to the main June 2018 and Mayor of Minneapolis in 2017 just election results. Those of you at the Board of Elections or whatever organization runs that was kind of actually put every stage of rankings, and so I looked through and I found that actually in Maine--and think this is the first time they used it-about 80% of voters during that primary selected at least two candidates for Mayor of Minneapolis. I think it was the second or third I may (sic) -- that attended that. 87% of voters actually voted for at least two candidates, and it's not like people are necessarily ranking one through all of them. So, in Minneapolis you can rank up to three. As Susan here has pointed out or has suggested that we build the rank up to five. We're pretty agnostic, but 3 to 6 is where we think, and we

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 15 found that in Maine only about 8% ranked all 8; 80% ranked 2, so at least 2. So, you know, there's a fair amount of use. So, that's just one bit of the research we did. Happy to answer questions and more.(sic)

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CHAIRPERSON BENJAMIN: Thank you very much

ESMERALDA SIMMONS: Good evening, Commissioner. Thank you for the invitation. My name is Esmeralda Simmons. I'm the Executive Director of the Center for Law and Social Justice at Medgar Evers College, City University of New York. I'm very happy to be here tonight to talk to you about Ranked Choice Voting. I'm going to speak to it on a-from the perspective of the area in which my center operates. We're voting rights attorneys, and we have for the last 30+ years urged election reform that would benefit particularly racial quote/unquote "minorities" in the city of New York. I'm going to speak from history in New York City. Ranked Choice Voting, which has been called several things-cumulative voting is the most common way it's been described as-was already in effect in New York for a very short period of time. It was from 1970 to 2002

NEW YORK CITY CHARTER REVISION COMMISSION 2019 16 the operation under which the method under which school board elections took place. That ended when mayoral control came into New York City under Mayor Bloomberg, and he changed the law so that there would no longer be elections, school board elections. that change was about to occur, every single voting rights practice that represented communities of color, Asian-American Legal Defense Fund, the Puerto Rican Defense Fund/Latino Justice, the Center for Law and Social Justice came before the hearing and asked that this not occur. We testified. We went to the Department of Justice in Washington, D.C. and asked for it not to occur. Why? Because it has been a tremendous success for communities of color in the city of New York. Indeed, the first Asian elected in the city of New York was elected on School Board election. I went and researched and came across a report by Matthew--and it's in my testimony-in which he says that Ranked Choice Voting he called it preferential voting I believe was-that it was an overriding- On the ballot, I recommend that proportional representation and preferential balloting be continued. He showed that there was near exact opportunity as represented by population

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     for-for Latinos, for Blacks and for Asians in Ranked
     Choice Voting, and that the confusion dissipated
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     after the second election. I think Ranked Choice
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    Voting would be excellent in the city of New York.
    It provides small communities, our small communities
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    a choice to indicate their choice and to have
    representation that truly reflects their candidate of
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    choice. Thank you.
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                CHAIRPERSON BENJAMIN: Thank you. [bell]
    Mr. Craiq, John.
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                JOHN ARNTZ: [via Skye] Hello.
                                                I'm John
    Arntz. I'm the Director of Elections in San
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    Francisco. I've been the Director-I was the Director
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    2004 when the city implemented Ranked Choice Voting.
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     I've implemented Ranked Choice Voting on two
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     different voting systems. I expect to implement
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    Ranked Choice again on a third system this-in this
    November's election. We've have three rankings for
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    the last what? Fifteen years in a Ranked Choice
     contest, and for the upcoming November 2019 election
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    we expect to have 10 rankings on our ballot, and
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    that's my introduction.
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CHAIRPERSON BENJAMIN: Thank you, and Mr.

Burnett will be the next speaker. [pause] Don't go

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 18

away. [background comments/pause] Alright, Mr.

Burnett, you're on, you're on.

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CRAIG BURNETT: Yep. Okay. I won't spend a lot of time talking because I've actually provided a pretty comprehensive list of things I would like to flesh out. The one thing I would like to get across to everybody is that there is no perfect system to count votes, and it is important to keep that in mind because there are a lot of people who will tell you deposit in negative (sic) things of this system or that system of which-the IRV is one of them, but I would be sure to highlight some of the potential negative things by-with runoff I mean because peopleyou'll find plenty of people who will tell you the positive aspects of this. The first is and I think pretty-pretty key here that ranking more than one candidate is indeed, in fact, more difficult cognitively than any single choice. This is not necessarily a problem in high information environments. A lot of voters who kind of figure things out when they're talking about the presidency for example where there's a lot of information, but as you go down the ballot, as it is-it's more difficult to find-a race to have more difficult to

NEW YORK CITY CHARTER REVISION COMMISSION 2019 19 find information about who's running? What do they stand for? Ranking becomes an even more difficult task, and so I would caution that the Commission think about that as-as they move forward in looking at this carefully. The other I would actually highlight it, which is the majority of my research is that Instant Runoff Voting does not usually actually produce a majority winner. It usually produces a plurality winner, and that is because of the fact that most elections that use Instant Runoff Voting have a number of scrolled (sic) ballots due to ballot exhaustion (sic) to no fault of voters' own-own mechanisms to fill another ballot, they end up just not counting in the final votes. This has been true in-in just about every election. I think that it's very rare actually that instant reporting increases a true voting winner. The final thing that I wouldthat I would highlight here, and I'm happy to talk more about it is that there's some initial research out there, some of it is my own, which I go through pretty carefully in-in my written testimony that Instant Runoff Voting actually may be harmful to my minority voters, and we don't really fully understand the implications of this year in the African-American

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 20 (sic) community where are starting to get more information and more research into this area, but it's certainly seems to me, and this is the point that I made first that there it is prominently more costly than minority voters aren't necessarily in the best position to be able to rank because of the candidates that are available. My own research has suggested that it actually-precincts that have higher rates of minority voters actually have a less than two or three ballots, and as a result of this, this makes them more susceptible to exhaustion, which means that they don't count the final tally. So, this is-all things considered, and I-and-I in my testimony I list very much the positive aspects, too. So, I don't-I don't want to seem as I'm just totally negative on this. It's-it's in every-there's been problems with the worst (sic) areas of tradeoff. CHAIRPERSON BENJAMIN: Thank you very much. Now, are there any-are there any Commissioners who have any questions, comments? Mr. Caras. COMMISSIONER CARAS: I quess I'm curious and this is for any-anyone and everyone. I'm curious

to note in a-what experience do you all have with

sort of further down the ballot races like a local

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Council race in an open seat because we know New York has term limits, when there are a dozen candidates running? I'm just concerned that, you know, I'm—I keep up with—I've worked in city government for 30 years. I keep up with it, and I'm lucky if I know one or two Council candidates in an open seat. So, if there are a dozen people running, do you—could—could you come across a situation where people are just sort after the one candidate they know. They're just randomly assigning numbers, and come out with sort of bizarre results as a—as a result of that?

CHAIRPERSON BENJAMIN: Whichever one of you would like to—

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KAREN BRINSON BELL: Okay. They're looking at me. So, I will say that in my—my early experience in 2010 when North Carolina did do the statewide use of RCV, it was part of the general election ballot where we had about 20 other contests also on the ballot, and this was for a North Carolina Court of Appeals seat. So, you can imagine that that's already considered a pretty down ballot race. We had 13 candidates and 86 days to implement with no—no additional funding, as we've talked about and voters were still able to execute their ballots. We

NEW YORK CITY CHARTER REVISION COMMISSION 2019 22 had, you know, a successful. We went into a recount. We did a sample audit of the contest. So, like if you—that's a prime example. Minneapolis would be another example. They even use Ranked Choice Voting for their Parks and Rec Commission, and in—let me get back to my notes. I didn't read this part to you, but in 2013, they had 35 mayoral candidates on their ballot, and they error rate was similar to what they found in 2017, which is about 1/5<sup>th</sup> of 1% of the ballots had an over vote error in 2017 and '13 was comparable with 35 mayoral candidates. So, I know that's not down ballot, but Minneapolis is seeing the success of their three uses.

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CHAIRPERSON BENJAMIN: Yes. Go ahead.

KAREN BRINSON BELL: I actually would like to go back to the thing I was saying about how Maine voters voted and that was for governor. So, it's a fairly high information thing. So, that's not quite getting to that part, but 80% of voters in that primary select at least two. Eight percent ranked all eight. I think that is pretty consistent with voters stopping when they run out of candidates to rank. Some will choose to rank all. Some will choose to rank two. Some will choose to rank one.

NEW YORK CITY CHARTER REVISION COMMISSION 2019 23
Only about 20% ranked one. I think this is
reasonably consistent with voters understanding what
they're doing. Obviously, you can't be sure that
would be I think evidence. Also, we spoke to a
former election commissioner in Cambridge,
Massachusetts, which obviously has been doing Ranked
Choice Voting forever, and they're hyper local, and
what we were told was that there's a lot of variation
in how people vote, but they never really go back and
forth with instant runoff round, even on the max
side. So, I think there's a fair amount of evidence
that people-voters are behaving you think they would.
That's not direct evidence, but I do think that it is
suggestive.
SUSAN LERNER: So we have Ranked Choice
Voting in four different Bay Area cities in
California
SUSAN LERNER: San Francisco
KAREN BRINSON BELL: Yes.
SUSAN LERNER: has the experience of
the Board of Supervisors, Oakland and San Leandro and
I'm forgetting the-the last one, which may be
Richmond. I'm sorry.

FEMALE SPEAKER: [off mic] Berkley.

communities who have been disenfranchised from a

NEW YORK CITY CHARTER REVISION COMMISSION 2019 25 vote. You spoke articulately about school board elections, and those were notorious for having no one come out to vote, right. So I'm wondering, in all of your vast experience with this, are there cities and urban centers that are comparable in population to New York who have done this successfully without having an impact on disenfranchised voters in San Francisco?

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ESMERELDA SIMMONS: So, I think you would have to look to the experience at that state level because there is city comparable to New York in our country, but certainly there are large cities in other countries, which used the Ranked Choice Voting. Australia uses a-what they call Alternative Vote, which is our Ranked Choice [coughs] Voting system and I think actually that the evidence would suggest first that Ranked Choice Voting is pretty neutral as far as turnout is concerned in any particular community that there are a number of factors, which impact turnout, and Ranked Choice Voting doesn't have that much of a negative or a positive effect that I can see looking at the various data, but what it does do, and I think this goes to your point most importantly, is that it avoids the spoiler

CHAIRPERSON BENJAMIN: Yes.

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2 JOHN ARNTZ: Yeah, I agree. I don't 3 think Ranked Choice either hurts or-or helps turnout in any community itself, and as far as the-the 4 5 rankings are concerned, I think people understand how to mark the ballot. That's one thing that your 6 7 lesson is to burn off and to focus on is to teach people how to mark the ballot. That's -that's more 8 important than even to know how Ranked Choice Voting 9 10 actually works so they-they can actually mark the ballot correctly so they can participate in the 11 12 election fully. But there is certainly more outreach 13 that has to be done to people where English isn't their-their first language or it went to the English 14 15 skills, and also the folks who don't vote often are 16 the ones who need extra outreach around the Ranked 17 Choice Voting, you know, because it's something that 18 they potentially have not been engaged with at all during their voting years, and we see that in San 19 20 Francisco. So, outreach is a huge component of this, but I don't think it-I don't think the Ranked Choice 21 2.2 Voting either hurts or-or helps with the turnout 23 votes.

 $\label{eq:chairperson benjamin: Well, Sal was next} % \begin{center} \begin{cen$ 

2 COMMISSIONER ALBANESE: I'd like to have
3 your comments on what Professor Burnett stated that
4 his Preliminary Analysis shows that racial minorities
5 tend to have lower rates of battle—of ballot
6 completion that is ranking the maximum number of
7 candidates allowed. Could you comment on that? Has

any research been done on that besides what Mr.-

9 Professor Burnett has pointed out?

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SUSAN LERNER: So, you know, I have a fairly detailed analysis of his work, which I must admit I don't have at top of mind, but we believe that there's been some selectivity in the communities that he is looking at, and I'd like to submit a written answer in greater detail.

CRAIG BURNETT: That would be impossible. This is an unpublished piece of work.

CHAIRPERSON BENJAMIN: Well, is it possible you could send it to Mrs. Lerner?

CHAIRPERSON BENJAMIN: Uh, no. This is something that would go through the peer review process that are publicly available. So, she's welcome to do-redo the analysis if she would like, but I-I'm sorry. I have to point out the Buttress and Breck. (sic) She does not have a close analysis

NEW YORK CITY CHARTER REVISION COMMISSION 2019 29 of my data. This isn't cherry picking and it's the data from 2011 and 2010. There's no check. That includes every single ballot that will stand there and the will watch it. (sic)

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CHAIRPERSON BENJAMIN: Is it possible you could send the Committee some—some type of synopsis that we could review since there seems to be interest?

CRAIG BURNETT: It's—it's in part of your—it's in the part of your—your testimony there.

It begins on page 63. [pause]

CHAIRPERSON BENJAMIN: While we take a look at that, we would be happy to receive any written comments that you would like to send to us—

SUSAN LERNER: [interposing] We sent you those.

CHAIRPERSON BENJAMIN: --for our understanding of this-this issue.

CRAIG BURNETT: I would also just to—I would also add there is a peer reviewed publication that I've cited in my testimony as well that Jason Daniel, which calls into question the degree to which hierarchy is harmful or helpful for turnout, and his conclusion was that it's not necessarily good for

NEW YORK CITY CHARTER REVISION COMMISSION 2019 30 minority voters either. So, I'm not the only one who has—who has highlighted this, and the full citation is on page 4. It's the last—the last. It's on page 4.

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KAREN BRINSON BELL: And, of course, attached to my testimony, my full written testimony is an analysis of the impact on both minority voters and women vote. I'm sorry, minority candidates and women candidates in the four Bay Area cities.

add that as part of my testimony, I submitted a quote from Lani Guinier one of the premier voting rights scholars in the country in which he absolutely suggests that Ranked Choice Voting would be beneficial to quote "communities of color" or voters because in quote "It allows voters to accumulate their vote in order to express the intensity of the preferences. In this fashion interest representation strives to ensure that groups that are politically cohesive, sufficiently numerous and strategically mobilized will be able to elect a representative of their choice. Now, she's speaking as a voting rights attorney formerly with the Legal Defense Fund who is very familiar with all types of systems, and was

NEW YORK CITY CHARTER REVISION COMMISSION 2019 31 promoting Ranked Choice Voting as the system of choice for minority voters.

CHAIRPERSON BENJAMIN: Wasn't she at that time promoting Ranked Choice Voting as a system that would allow for minority? I don't mean--minority with a little M, not minority meaning people of color, but would allow for minority representation as well as majority representation?

ESMERALDA SIMMONS: She-

CHAIRPERSON BENJAMIN: [interposing]

Wasn't that part of her--

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ESMERALDA SIMMONS: [interposing] She was—she was saying—

15 CHAIRPERSON BENJAMIN: --whole analysis?

that winner takes all was objectionable for a democratic society, and—and, you know, that's her book. That's the title of her book: Tyranny of the Majority, and she's pushing for several things, one of which was Ranked Choice Voting. The other thing was open elections with our districts, and Ranked Choice Voting combined, and she was also pushing against—against runoff elections as injurious to minority community voters. The drop-off with voter

NEW YORK CITY CHARTER REVISION COMMISSION 2019 32 fatigues of the runoff elections are really, really dramatic, and one of the things the Ranked Choice Voting does is that it allows all of the voters who come out to rank the top five candidates and not worry—and we don't have to be concerned that sometimes the runoff here in New York City has had

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turnout as low as 8%.

CHAIRPERSON BENJAMIN: I thin that—Sal, were you next or did you ask your question?

[background comments] Then Lisette was next, and then Lindsay and then Carl. There are 15 minutes.

COMMISSIONER CAMILO: I just had a very quick question, and I'm curious. In your experience or in your research, have—has there been any other jurisdiction that has instituted Ranked Choice Voting, but then has gone back to undo Ranked Choice Voting, and why?

SUSAN LERNER: So there are two
jurisdictions that have, and from, you know, I have—I
haven't delved completely deeply into both of them,
but what it appears relatively quickly is that the
political climate changed and that a lot of the
arguments have little to do with actual Ranked Choice
Voting, and more with a change in the political wins

1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 33 2 as to which party was in control, but we'll have more details in that regard for you as well. 3 4 COMMISSIONER CAMILO: I'd appreciate that. 5 CHAIRPERSON BENJAMIN: Which were what 6 7 cities? What jurisdictions were they? SUSAN LERNER: Burlington, Vermont and 8 I'm going to mangle whether it's Tennessee or 9 Kentucky. Karen, can you help me? 10 KAREN BRINSON BELL: Actually, Pierce 11 12 County, Washington-13 SUSAN LERNER: That's right. 14 KAREN BRINSON BELL: -- and Burlington, 15 Vermont are the two, but there are a few other 16 examples. Actually, the history of Ranked Choice Voting goes all the way back into the early 1900s for 17 18 our country including in New York. So, whathistorically, Susan is correct. It had political 19 20 issues. Party bosses didn't want to know that they weren't in control of the elections. We also saw the 21 2.2 introduction of the labor machines and they could not 23 handle Ranked Choice Voting. Some of the more recent repeals had somewhat to do again with some court 24

rulings in Pierce County and went back to-to-to talk

NEW YORK CITY CHARTER REVISION COMMISSION 2019 34

to the primary system. So that was a court ruling

that changed and—and caused the repeal, and also

again there were some issues with—with voting

equipment. In the last few years all of the—the four

largest voting equipment vendors in the country now

support Ranked Choice Voting within their system

including what's here in New York, and I have

provided an example ballot based on a previous race.

So, the—the repeals that have occurred have a little

more to them. We can give you some details on that

as well.

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CHAIRPERSON BENJAMIN: Okay, thank you very much. The next person is Commissioner Greene.

all for your [coughs] testimony tonight. I was—it's an open question not necessarily directed at—at anyone, but can you shed some light on transition times that other jurisdictions have adopted to sort of once it's agreed or voted upon by the voters that they'll switch. You know, what is that—what—maybe as I said, a best practices or even more importantly this was too short a timeframe to transition for the voters from when they knew about the change to the—to the—the first election that would implement.

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2 BELLA WANG: That's actually why my 3 organization exists. We're all former election 4 administrators who are sharing best practices to help 5 in that transition period. As I mentioned, North Carolina for a statewide use had 86 days from the 6 7 time that that vacancy occurred to-to implement. even had to work round them because our voting 8 equipment wasn't capable, and to do our statewide 9 education to over six million registered voters. 10 Other jurisdictions have done it on a shorter time-11 12 short timeframe as well, Maine, in preparation for 13 their primary was less than 100 days, and Santa Fe, 14 New Mexico while they had adopted it many years ago, 15 once the voting equipment became available, they 16 actually implemented within two months, but we would 17 encourage as much time as you can provide, but that 18 is achievable. [laughter] Yes, I would note, yeah these have often been achieved on sort of shoestring 19 20 budgets very quickly. I don't necessarily recommend that, but it has happened and people have 21 2.2 successfully voted. Actually, although in terms of 23 best practice, Minneapolis actually conducted a sort of test election in May 2009. I think they picked 24 25 like sort of a smaller election and I think just did

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a test run to estimate how long it would take to do—

how much staff if would take, how long it would take,

and I think that was like a really good practice.

Generally speaking, I think Minneapolis did quite a

good job, and I would encourage looking into their

sort of practices as a ways to see what is a good way

to implement things.

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SUSAN LERNER: And certainly if this

Commission were to recommend and give the voters the opportunity to decide if they wanted Ranked Choice

Voting by putting the proposition on the ballot in November it, you—there certainly would be a very substantial lead time before we have our 2021 elections for the vast majority of offices.

CHAIRPERSON BENJAMIN: Carl.

COMMISSIONER WEISBROD: This is a question to Dr. Burnett. I—I just don't understand and maybe you can explain your second or I guess third bullet point regarding potential negative aspects of Instant Runoff Voting where you say Instant Runoff Voting does not in general produce majority winners. Does that mean that in—where Instant Runoff Voting is in effect there is a lower likelihood that it will produce a majority winner

NEW YORK CITY CHARTER REVISION COMMISSION 2019 37 without IRV being implemented or—or is it—does it mean that the first cast, the post plurality winner is ultimately not likely to be the winner after IRB is implemented?

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CRAIG BURNETT: Yeah, there's-there's a lot going on in your question there so I'll do my best to unpack this for you. I would have you look at Table 1 that's on page 2 just to give you a sense of what this option is, and that if you look at it, what I'm saying is that, and this is in response [audio distortion] to the claim, and you'll see that some of the panelists have-have thrown this out there that Ranked Choice Voting is the majority, and it has sort of a sense of that-what it's supposed to do, and it was sold that way for a long time. And what the 2015 did, which is what these tables are based on clearly shows that that really doesn't happen. Well, in fact, if you look across the country the most divided and even in San Francisco this last election did not produce a majority voter piece [audio distortion] you are now the winner, which it means that the winner of that contest wins the less indifferent (sic) from all the votes cast, and so it's option rate gives you a sense of what percentage NEW YORK CITY CHARTER REVISION COMMISSION 2019 38 of the votes that were cast didn't make it into that final tally, and as you can see it ranges about 10% of most of the agreeable and free of the four elections, but one event in San Francisco at this particular vendor, it was 27% of the ballots didn't make it into the final tally. For reference this year in San Francisco was about 8.5%. So, it wasn't [audio distortion] 9 or 10% but that we see. Now, in your question of whether or not some of the [audio distortion] winner is going to be the same as the primary runoff winner is a very interesting one. Unfortunately, we'll never know. We don't know what would happen for example if the people who were-had their ballots documents (sic) were given a chance to vote on the final candidates that made it to the-the election. We don't know-we do know something about what they do in a final round, which is that they choose not to show up. They're-it's not so amazing. So, and it's about interests that will drop, and actually go out of business. So, this, some things were blocked off. So, the adoption rate-rates, well what are the importance they do? Well, what would they have done if it was a choice in front of them, and would that choice have changed the outcome of the

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 39 election? [distorted audio] The answer is, and I would suggest in print that—that you think about this very carefully is I don't know. Nobody got one and we will wait for them.

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COMMISSIONER WEISBROD: So, so, I'm-I'm-if-you're breaking up a little bit so I might not have captured your entire answer, but it seems from what you're saying that the-your conclusion that IRV does not in general produce majority winners is more accurately said we don't know.

CRAIG BURNETT: Well, we don't know what the majority is. Let me put it to you this way. In San Francisco in—in—in this election, and we're looking at the year, which I think was 2010. It was a [distorted audio] the year they probably had—they had 27% voter ballots not making it to the primary that's open. This means that we don't know if 27% of those voters preferred when—out of them remained at the end of the counted votes, and that is something you can't know when their ballot is exhausted. So, what we do know that it's definitely not a majority. So, it's not [distorted audio] 3 or 4% of those votes counted. [distorted audio] winner, and this is not a problem that you can simply say that you at the rate

NEW YORK CITY CHARTER REVISION COMMISSION 2019 40 with adding more choices [distorted audio] allow them to write everybody. Over [distorted audio] of the voter count of ballots, and 18% of the vote is exhausted, and now we see that the four most recent one statewide came to vote. Not a—not a big winner. [distorted audio]

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COMMISSIONER GREENE: Mister, could I
could I ask for a clarification from John Arntz who

would be able to tell us how do you report
CHAIRPERSON BENJAMIN: [interposing] Yes

You may.

COUNCIL MEMBER GREENE: --the winner?
When you-when you've gone through the different
calculations do you say-what do you tell the public?

JOHN ARNTZ: So, the—so the Right Choice in San Francisco vote it's whoever has the most remaining votes. So there is a chance if there's a lot of candidates on a ballot where the winner doesn't have the majority of all votes casts, but has the majority of votes that are remaining. We don't—we don't go into detail about remaining votes when we announce the—the results. We just indicate that someone received 50% plus 1 of the votes for that contest.

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SUSAN LERNER: Exactly. So, the public comes away with the sense that that person has been elected on a consensus basis at a minimum rather than a situation where we have seen runoff candidates here who get a shockingly low percentage. So, even if it were an abstract plurality, the voter perceives the candidate as having a majority, and if we're looking only at the -at the number of votes cast, we're seeing a plurality that's very, very close to the majority as opposed to a plurality winner who has 24 or 30 or even 32%. So, however you cut it from an academic point of view, it's better off because you have more support for the winner, and in terms of how the information is actually conveyed. What the voter sees is somebody who has built the consensus across communities and has the strongest consensus support, and that is healthy for our democracy.

JOHN ARNTZ: [interposing] It sounds like--

SUSAN LERNER: Whether we quibble about the abstract relative or an ultimate, the majority versus the plurality of 48%. Again, the perception I think is very healthy for our democracy. We have a consensus when we're at a minimum.

Election Board generally go through when there's a

3 election?

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several rounds of counting. The number of rounds depends like you said on the field, but it also depends on the rules that are adopted. If you allow for batch elimination because so many candidates down here at the low, you know, at the bottom with so few votes that they mathematically could not win in any standpoint and you allow for batch elimination, then the number of rounds are reduced. The other thing—

CHAIRPERSON BENJAMIN: Did you—what are the states have and localities that have implemented Ranked Choice Voting also have this batch elimination?

KAREN BRINSON BELL: That prompt to bat. We can provide you—we actually have a chart that shows all the rules that have been adopted by the different jurisdictions and what they allow for and include.

CHAIRPERSON BENJAMIN: That would be helpful. I am old to remember and to have voted in the school board elections, and they were difficult, and it is certainly true that the collaboration of

NEW YORK CITY CHARTER REVISION COMMISSION 2019 44 that, which spoke happens having been a part of it that people can try and arrange things here. Community Board is somewhat different because there is more than one person who is being elected, and in the elections we are talking about it's only one person. So, it's not really comparable because in the school board elections we just arranged things so that I would vote for this person as my number one, and then I would get another community over here that's part of my community to vote for my-another candidate that was part of our coalition as the number 2. So, they would both—and as far as I can tell in Ranked Order Voting with one candidate that's not really possible. That's why I was interested in how you and when you eliminate candidates is it just that the candidate who doesn't get the number on the first ballot is eliminated, but all of those people who get the number, whatever that number is remain in and then the votes are recalculated based on the number 2s, 3s-

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KAREN BRINSON BELL: The—the lowest vote getter is eliminated, and then you move to the next round of counting, and the lowest vote getter those—those votes are then redistributed to that

NEW YORK CITY CHARTER REVISION COMMISSION 2019 45 candidates' the voters' second choice, third choice, however-depending on where you are in the rounds of counting. So, one of the things to consider is [coughs] and this slightly different from what you were asking but, you know, when you look at runoffs taking place, and whether there's a majority in that outcome, the majority-it may be a majority because there were only two candidates, but when you get down to [bell] it, if you only had 8% of the people participating, then the majority of voters did not elect that person. By have Ranked Choice Voting, and condensing it to one day, you've got one day of people coming out to vote expressing their preferences and they don't have to figure out childcare, employment, if the train is working or anything else to return for another day of voting. SUSAN LERNER: And our testimony analyzes the history of New York City's multiple candidate

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SUSAN LERNER: And our testimony analyzes the history of New York City's multiple candidate races and the runoffs. We're not looking at how it may have worked in other places and aggregated, but look at our specific situation. Now, experience and academic research indicates when we were talking about a collaborative situation that candidates that there is a much more civil campaign atmosphere,

NEW YORK CITY CHARTER REVISION COMMISSION 2019 46 candidates will set up coalitions with audiologically similar candidates or candidates within t heir communities or across communities where the argument is you may choose Candidate A as your first choice, but I would like to be your second choice. I understand the concerns of your community, and we have some anecdotal evidence from gubernatorial candidate in Maine of exactly that kind of collaboration. They've seen it in San Francisco. We've seen it in Minneapolis and other places, and as we go through the kind of mudslinging that we're for the Public Advocate's race, looking forward to it being repeated for a primary and a general election. I think that we would all like to see a more civil atmosphere for our multi-candidate races here in New

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York City.

KAREN BRINSON BELL: I realize--

CHAIRPERSON BENJAMIN: Thank you, our—our half an hour is up. If you have additional questions, I would hope that you would ask staff, and I would hope you would let staff talk with you as we conclude this small portion. I know that you are going go give us additional writings. I hope all of you will, and if based on the tenor of our questions

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if you have additional thoughts, we would love to

hear them, but we do have two more panels, and so I'm

afraid that we need to thank you very much, and to

ask you to please continue to engage with us. These

are important questions [background pounding noise]

although I think we can all agree that the most

important election issue is trying to make sure that

more than 8% of the people get out and vote.

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ESMERALDA SIMMONS: Absolutely and thank you.

CHAIRPERSON BENJAMIN: Thank you very much. [background comments/pause] [cell phone rings] [background comments]

CHAIRPERSON BENJAMIN: Yes. The second panel, which is regarding redistricting, we are ready to start that now. Miss Simmons is staying with us and we'll be joined by Jeffrey Wice, Michael Li, and TJ Costello, who is on Skype. Once again, each one of you will have three minutes to make a presentation and then we will have 30 minutes of questions by the Commissioners, the different Commissioners. I will give first preference if there are [cell phone chimes] If there are questions from Commissioners who have not already asked questions, I will give them

NEW YORK CITY CHARTER REVISION COMMISSION 2019 48 first preference. Mr. Li, would you like to start.

Laughter]

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MICHAEL LI: If I can. So, thank you so much for this opportunity to testify, and so on the redistricting front, there is both good news and bad new for New York City. The good news is that New York City has a system that it overall pretty good when it comes to redistricting in that it has a lot of the right pieces in place. There is a commission that draws the district maps. The Commission in the past has been competently and, in fact, very well staffed. The commission has held voluntarily and abundant number of meetings and its done a reasonable job of engaging the public in the process of drawing maps.

MICHAEL LI: If I can. So, thank you so much for this opportunity to testify, and so on the redistricting front, there is both good news and bad new for New York City. The good news is that New York City has a system that it overall pretty good when it comes to redistricting in that it has a lot of the right pieces in place. There is a commission that draws the district maps. The Commission in the past has been competently and, in fact, very well

NEW YORK CITY CHARTER REVISION COMMISSION 2019 49 staffed. The commission has held voluntarily and abundant number of meetings and its done a reasonable job of engaging the public in the process of drawing maps. So overall the system has worked well. The bad news is, or perhaps the opportunity is that thereit's still is a process that is very susceptible to being politicized, and-and by politicized I mean not necessarily in the Democrats versus Republican sense, because this is a very democratic city, but politicized in the broader sense of the politicizepoliticization, and the biggest weakness of the system probably is that there are relatively few checks on who gets appointed to the Commission. Elected officials, the Mayor and the legislative leaders pick. The gold model would be to replace that with a fully independent commission. Cities like Austin and San Diego have-have moved in that direction, but we would recommend at a minimum requiring elected officials to pick off of a screened list prepared by a neutral body. Perhaps something like the New York City Campaign Finance Board. also would recommend putting in writing hearing requirements to allow the public to participate meaningfully. As I said, past charter commissions

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have done a good job of this, but ensuring public
participation will be especially important this go
round given the demographic changes that have taken
place in New York and some of the hard tradeoffs that
will have to be made in places like Central Brooklyn.
We also think that it would be a useful thing to
require a super majority to approve maps. Right now
maps are approved by a simple majority, and we also
think that there's an opportunity to strengthen the
protections for communities of color, particularly as
areas get more diverse, there's a question of which
neighborhoods or which groups you keep together and-
and putting it in writing that—making sure that
communities of color have the ability to elect is an
important change. In your packets you have some
materials that outline these and other
recommendations, and these were originally submitted
to the Mayor's Charter Commission as well as some
materials relating the Brennan's Center overall views
on good commission design, and with that, we're-I'm
happy to answer questions at the appropriate time
that they would be a resource. So thank you again
for this opportunity.

1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 51 2 JEFF WICE: My name is Jeff Wice. 3 fellow at the State University's Rockefeller 4 Institute of Government. My comments tonight are my 5 own, and not reflective of any university policy. testified before this commission in late September. 6 7 So, I don't want to repeat everything I said previously. We're solely focused on updating the 8 charter to reflect the lack of having Section 5 9 reviewed by the Justice Department that referenced 10 the Federal Voting Rights Act might be sufficient. 11 12 have talked a bit about whether the Commission ought 13 to be independent. That's a judgment call. I think 14 that legislators or legislators who report back to a 15 legislative body can redistrict responsibly as long 16 as there are fair objective ranked criteria, which 17 the New York City Charter does have. I served as 18 Council to the post 2000 and post 20-and post 2010 Councilmanic Commissions, both which received prompt 19 20 Department of Justice approval under the Voting Rights Act Section 5 and neither of which were 21 2.2 challenged in court whatsoever. I also think as it 23 changed since I was last here, the State Legislature 24 has changed the primary schedule for elections from

September to June. In 2014, the state voters

NEW YORK CITY CHARTER REVISION COMMISSION 2019 52
approved a Constitutional amendment creating a state
advisory commission to recommend to the Legislature
Congressional, State Senate and State Assembly lines,
and that commission has a deadline of January 15,
2022 to recommend the plan to the Legislature with
petitioning starting for this year's calendar I
believe tomorrow that might leave time for the Board
of Elections to redraw as necessary election
districts [background noise] to comport with new
assembly districts, but looking at this City
Councilmanic schedule, which currently takes you into
the third year of the dead date for the—the third
year Councilmanic elections you might want to
consider changing the deadlines for the Commission or
whatever you choose to draw up a council lines so
that the lines are in place by end of the second year
of the decade so that election petitioning can be
held in an orderly manner beginning in 2013 and in
subsequent decades. I've had the pleasure to talk
with your staff since the last commission hearing.
I've shared material with them especially a review I
did in book called New York's Broken Constitution on
the State Process and it's a pleasure to be here

NEW YORK CITY CHARTER REVISION COMMISSION 2019 53 again tonight and answer any questions later. Thank you.

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CHAIRPERSON BENJAMIN: Thank you very much. Miss Simmons.

Hello again. ESMERALDA SIMMONS: the pleasure serve as the Vice Chair of the initial 1991 Districting Commission, and I'm proud of the work that we did in that commission, but since that time there have been changes. The first major change that has occurred is that New York City is no longer covered by Section 5 of the Voting Rights Act. addition to that, after the Commission did its work a group of citizens that by, which arriving brought a lawsuit to take out language out of the Charter. That language was language that said that there should proportional representation by race on the-on the Redistricting Commission. I believe that with those changes that the present composition of the formula of the Commission, which I've laid out in my testimony is currently skewed against Black and Latino voters. Why? Because it requires there member to be appointed by the-by the Council from the point that it has the second largest delegation within the Council. That party is usually or has

NEW YORK CITY CHARTER REVISION COMMISSION 2019 54 been historically the Republican Party and that party has received less than 4% of the voting in New York City's general election. Yet, under this provision alone, not even coupled with mayoral appointees, the Republican Party would be given 20% of the votes onon the Districting Commission. Second, this charter, the Charter as it stands has a no majority clause within it that adversely guarantees that the majority of the membership of the Commission will be white New Yorkers. This will occur notwithstanding the reality of the majority of New Yorkers today are the next Blacker nation. (sic) I, therefore, recommend that the Charter be amended to include permissive language such as "The appointing authority should strive to have the Commission reflect the city's racial population." This specific language while not a mandate, not a quota may serve as a reminder that racial composition is important. The language would prove to be a positive steps for achieving racial equity, and in actually-and actually allowing the criteria that already was in the Commission, the Districting Commission to be into marking. (sic) I lastly, I recommend that this commission establish what I call the New York City Voting Rights

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Commission. That commission would basically serve as a local Voting Rights Commission that would be similar to the role of the Department of Justice plays while under Section 5. Other jurisdictions such as the State of California has their own voting rights act, and there is a voting rights act that's also before the State Assembly to have a local voting rights act. [bell] Thank you.

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CHAIRPERSON BENJAMIN: Thank you very much. Mr. Costello.

TJ COSTELLO: [via Skype] Well, thank
you very much. My cos are still an active board and
my prepared remarks are derived from out final
report, which I believe I sent to you. So, thank you
very much for this opportunity to talk to you about
the Austin Independent Citizens Redistricting
Commission or ICRC. My name is TJ Costello, and I
currently serve as Vice Chair of the ICRC. On
November 6, 2012, 61% of Austin voters answered yes
to a question, which asked in part: Shall the City
Charter be amended to provide for an independent
citizens redistricting commission? Passage of this
charter amendment would ensure that ten single-member
districts be joined by a commission of 14 independent

NEW YORK CITY CHARTER REVISION COMMISSION 2019 56 citizens. Serving on this 14-person commission would include voluntarily 8-year terms, with no pay and long hours the first six months at which point maps would be drawn. Over 500 individuals applied to serve. To lessen the possibility of political agenda or conflict of interest the ICRC has strict eligibility requirements placed upon it, and a group of three independent auditors whittled the applicant pool down to a list of 60. In May 2013, rom this pool of 60, an initial 8 commissioners were selected at random. This initial group's first task was to choose the remaining six commissioners to achieve specified diversity goals for race, ethnicity, age, and geographic representation. In the end, the Commission had a very similar demographic makeup to the city as a whole. Seven Commissioners were women, seven were men. Ages ranged from 22 to 72, included or required student represent-representative. Commission then met (sic) the first time in June 2013 and shortly thereafter chose our Chair, and I was selected as Vice Chari. The ICRC spend countless hours ensuring that our process was fair and impartial. The process was extremely transparent enabling full public consideration of all comments on

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 57 the drawing of district lines. We held over 40 open meetings, which included 14 public hearings held throughout the city. We solicited verbal and written testimony, had 532 in-person testimonials give in 3minute sessions by 418 Austin residents. We witnessed 70 invited presentations involving 22 speakers and received 566 emails or letters from Austinites. The Commission labored sometimes excruciatingly so to underscore independence the Austin City Council provide. (sic) While we did have a city liaison, we also hired our own Executive Director, our own legal counsel and mapping consultant. We established our own website, managed our-our marketing and communications. important, we strictly adhered to the City Charter upholding the law throughout. We were guided by eight major principals including the U.S. Constitution, the Voting Rights Act and a concept with communities of interest. On November 18, 2013 just six months after formation of the ICRC, Austin made history. It became the first city in the United States to have City Council Districts drawn by a completely independent group of ordinary residents not selected by a legislator, judge or other public

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1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 58 2 official. The ICRC at last but unanimously adopted our final district map with Austin's first 10-member 3 4 City Council. In the end we had immediate 5 acceptance, zero lawsuits or challenges, 72 candidates run for 11 positions, and the City Council 6 7 has had a 40% turnover rate since. I, we, the ICRC 8 considers our work a success. Thank you. CHAIRPERSON BENJAMIN: 9 Thank you very much. Sateesh is first and then Jim and then Sal. 10 COMMISSIONER NORI: Thank you all. My 11 12 question is for Mr. Li. Can what Mr. Costello described in Austin be accomplished in New York City? 13 14 MICHAEL LI: It—it certainly could. 15 mean, but the Austin model really follows closely on 16 the model that was used in California, and it has produced really good results. The model in California 17 18 has produced really good results particularly from the standpoint of ensuring that the-the-the 19 commission is diverse, and-and-and, you know, it has 20 a lot of transparency and public participation. 21 2.2 will say that you will have to build in enough lead 23 time for it because getting set up with the

Commission means you have to set up a process for

screening the applicants, interviewing the

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 59 applicants, giving, you know, and—and getting people up to speed because these will not be people who have done this before, and—and then you—the timeline also has a lot of room for hearings and—and, you know, public comment and so on and so forth, but it—it certainly can be something that has been done, and yeah, absolutely.

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CHAIRPERSON BENJAMIN: Jim.

COMMISSIONER CARAS: My question for Mr.

Li or whoever else on that panel would like to respond, can you point to specific instances where under the current re-for-in terms of those of you who are proponents of more independence for members of the Redistricting Commission, instances where there have been districts drawn in a-in an unfair way and in-in a political way, problems that a more independent commission would have solved.

MICHAEL LI: I can't point to any specific districts where I think there—there were sort of problems, but, you know, one of the goals of a—a more independent redistricting process is to make sure that you get community input, and you could certainly do that even if you don't go all the way toward an independent commission like Austin has

NEW YORK CITY CHARTER REVISION COMMISSION 2019 60 done, but, you know, the the-the desire is to really make sure that-that whatever decisions are made are driven by community input and that's going to be again especially important given the demographic change in New York. It is going to be difficult to maintain for example all of the current African-American ability to elect districts in Brooklyn jut given the-the changes, and it's important because the people of those communities shape what those districts look like, right because there are lots of different ways that you could go given this new reality, and it's important that—that public input shape that, and not only along racial or class or-or other lives, but among other dimensions. And so, the example that I always like to tell is from It's not a city redistricting but it's California. the-the State of California redistricting and in Los Angeles some of the people argued for a district to be created, a State Assembly District to be created in the foothills of Los Angeles, which actually would join together very dipartite communities, and you actually can't drive through all of the foothills. You have to drive into the valley then back up, and so in a lot of ways, and people everyday they go down

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 61 into the valley to shop and work and go to school, and so in a lot of ways joining the foothills together in a district, you might say doesn't make sense, but the people when there and they testified and they said, you know, we have one overriding concern that isn't getting addressed in Sacramento, and that's wildfires. Nobody pays attention because they're just a small part of so many districts. we-we feel like if we were all part of one district, people would pay attention to us a little bit more, and the Commission made the decision to draw the district. Now, could they could have drawn other configurations of that district? Sure. That would have been perfectly reasonable as a choice, but they heard testimony from community members, and they thought, you know, they were independent enough to say, you know, you know, given-set aside all the other ways that we could do this. We think that this is actually something important, and in the next election you had Democrats and Republicans thatrunning and everybody was running talking a little bit about wildfires, and what they were going to do to prevent them or make sure that communities had resources. And that's something that the drafters of

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 62 the California process wouldn't have envisioned. They never thought we'd have a wildfire district. But-but the, you know, because you have the right people in there who were really sort of like had been screened and vetted to make sure that they were like people who were good listeners and sort like weren'tdidn't come in with an agenda. You know, I-I think with that is-is-that's an example of how the process could work. Now, converse to that, there are, you know, there are much-there are commissions where people are appointed as an in New York like in Washington State and elsewhere, and-and New Jersey, an what you see in those is a tendency for the Commission members to come in with some kind of objective, and it's not necessarily I'm going to favor Jeff Wice or do anything like that. they-they sort of have some kind of predetermined outlook that they-they might be able to move off of that, but you have to move them off of that. Whereas, in-in-in-where you have independent commissions, people much more-or it's like jury, right? You select a jury of people who are willing to sort of listen and-and-and participate in the

process and good trade, and they don't feel that

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 63 they're there to do the bidding of the person who put them there, and they're not conducted in that way necessarily so-If I could just add, the last few commission that I worked for with the city had representation from the Mayor, the Speaker and the Minority Leader, and often, you know, political considerations, what the members themselves wanted played a role. My role with the Commissions on point was to serve as the out-as the Counsel to be op-ed. (sic) But essentially because of Section 5 of the Voting Rights Act, my job was look at the districts with the assistance of a qualified political scientist who determined the level minority population, the voting age population each district had to have to maintain Section 5 compliance, which essentially required that the new plan not make thewould not leave the minority community any worse off than it had been in the previous plan. So, out of the 51 districts if you had I'll say 33 effective minority districts. In the old current plan you had to have at least 33 in the new plan and that was based on a-really a line in the sand number that the Supreme Court accepted in the 1985 case that basically would say this district must have a 45 or

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 64
52% minority voting age population. I told the
members unless you draw the districts at these levels
that we recommend, then the plan, you know is in
jeopardy both at DOJ and before the courts, and that
worked effectively. Without Section 5, you know, any
more then, you know, that—that safety net that break
shield isn't there, and you might want to consider
something in any kind of revision to the
redistricting sections to address that issue. That's
the closet I think that the New York Plan would come
to something gerrymandering.

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CHAIRPERSON BENJAMIN: Sal.

through a number of these redistricting commissions as a Council Member. I'm familiar with the process and there's always skepticism on the part of the public about whether this is a fair process or not and I think that Mr. Li pointed to it very, very well. Look, when appointees are political insiders, they may have the greatest intentions. They may be great people, but they're appointed by folks that have a vested interest in the process, and in how the lines are drawn. Despite the guidelines, there's still a skepticism on the part of the public. You

NEW YORK CITY CHARTER REVISION COMMISSION 2019 65
hear it all the time, this is rigged, it's fixed. My
question is we have a model that's a gold standard in
Austin, Texas, which seems to be based on what I've
heard and I've read a really objective and
independent process that's been tried in California
it's worked. What—Mr. Li, the question I have for
you is what would be the objective implementing that
here in New York City. What would be the—the
negatives of that?

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MICHAEL LI: Well, I-I think there are a couple of I wouldn't say negatives, but potential challenges in-in implementing an independent commission, and this is something that you saw in It's also something that you saw in California and elsewhere, which is that you want to make sure that you have, you know, it's-it's very easy at one level to make sure the Commission is diverse and all of that, but you want to make sure that the people who are on the Commission actually are sophisticated enough to ask the right questions, and to engage with their staff, and to-to get the job done, and that can mean making sure that the applicant, the right people apply. And in both Austin and in California, that was a little bit of a

1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 66 2 challenge initially in the sense that the-in both places the applicant pools initially were 3 overwhelmingly male and white, and in California it 4 was mostly white males who lived in Sacramento, right 5 and—and in Austin it was a handful of zip codes where 6 7 people pay attention to politics are generally well educated and-and whatnot. And so, it took a lot of 8 effort to go out and to fund groups to do the 9 10 outreach and to get people into the-into the mix, and to make sure that, you know, you actually have the 11 12 right, you know, true representatives of the 13 community and truly impartial people as opposed to 14 some, you know, like a more superficial thing. 15 will say one thing that can be helpful, and that is 16 like making sure that you don't disqualify too many people. From serving on the commission and that was a 17 18 little bit of an issue in Austin because they were very strict on like what you could have done in 19 20 politics. So, you had to have voted in a lot of elections, and--2.1 2.2 CHAIRPERSON BENJAMIN: [interposing] 23 think Mr. Costello would also like to respond. MICHAEL LI: Sure, sure. So, you-you-24

I'll-let me just finish the one point, and then I-you

NEW YORK CITY CHARTER REVISION COMMISSION 2019 67 had to have voted in a lot of elections, but at the same time, you could have given too much money. You couldn't have worked for a campaign, and that disqualified a lot of people particularly in communities of color where people would have done like relatively what we would consider low grade campaign work delivering yard signs or things like that. You know, and that disqualified some people who were qualified. So you have to design it really carefully, but it—it certainly can work and—and has done well. In Austin and done well in California, but you do have to think through a lot of the design

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features.

CHAIRPERSON BENJAMIN: Mr. Costello.

thing in Austin, you're-you're correct. There was various large restrictions, but some of the people on the Commission were very active in their own way, and I-I am very active in the community. A lot of the commissioners are active in their own way, but one of the ways when it got around was some of the things Mr. Li talked about was the first eight commissioners were literally picked out of a hat, six. It was really bound to approval of 60. We-you can argue

NEW YORK CITY CHARTER REVISION COMMISSION 2019 68
whether that was the best 60 representatives of the
city at large or the people wanted to be involved, et
cetera, but eight people were picked out of a hat,
and they were charged to pick the best six of when,
you know, the 82, and that picking out of the hat
being really—it helped move—have representation
around the city. And a matter of fact, the one area
that was missing people they had to fill out of that
remaining six was probably the most active area in
the city. So, that is one way they got around it.
So, if you think—and also we have four county
commissioners regions, and there had to be one person
from each region or two people from each region. So,
if you think of it from a New York City standpoint,
there has to be one representative or two
representatives or whatever the number is from each
borough. So, there's a lot of ways you can go around
it, but Austin there was a lot of restrictions.
Don't get me wrong, but in the end it really worked
and there was representative-representatives from
every corner of the city.
JEFFREY WICE: If I could—if I could just
add.

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JEFFREY WICE: If you—if you want to consider a completely independent process, one threshold question is who would administer the process? I continually with the California Commission I did work out there for the State Senate in 2011, and the State Auditor who's an independent political player in the state administered the process. You'd have to consider who in this city, which is so predominantly one party oriented would run the process. 

CHAIRPERSON BENJAMIN: Reverend Miller.

COMMISSIONER REV. MILLER: Thank you for your expertise. Have there been any challenges in Austin or California or Arizona or otherwise regarding political forces or political interest groups that may want to circumvent the independent nature of such commissions. So, I guess some people abide by the political philosophy rules are meant to be broken even when the rules are fair and make sense. So, have there been any challenges regarding maybe forces that champion the status quo that would try to circumvent the independence of what we do?

JEFFREY WICE: Not really. I mean therethere has been-there have been some allegations in

NEW YORK CITY CHARTER REVISION COMMISSION 2019 70 Arizona that the-the chair of the commission was really-who was supposed to be an independent. are two Democrats and two Republicans and an independent who serves as the chair, but the chair really favored one party over the other, and-and there were allegations about that, but it doesn't seem to be reflected in my maps and those challenges really haven't gone anywhere. You know, there's a lot of politicized effort to remove the chair and so on and so forth, but in general, you know, I think people would tell you that the process has worked really well in both places. There were certainly legal challenges, but they were resolved fairly quickly unlike in the states like North Carolina and Texas and—and elsewhere where maps are drawn by political—the political bodies and litigation is ongoing even nine years after they were drawn, and so the process did work better and, you know, part of the reason why, you know, sometimes people think like the-the goal is to get like the perfect commissioners, right? You know the angels who are going to be there, and never sort of mess up. framers of the Constitution and the founding-the founders of the country knew that like people weren't

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 71 angels, right. So, they—the key really is you do want the best people on there, but you want a safety quard, which is having checks and balances, right. So the Commission should be a fairly large size. You know, around 15 members or so. You want like approval standards that mean that like even if you had one or two roque commissioners, who got through on the process, they weren't going to be the determiners, right, you know, that-that, you know, like, you know, they might try to argue something, and they might win here or there, but they weren't going to be the drivers of the process, right. so, there's a healthy check and balance, and so in California for example there are Democrats, Republicans and Independent third-party people and each bucket has to approve. So, and-and so you hope you have approval overall and in each bucket, and so that's that important check and balance in the process, and there are others that are in the California system and elsewhere that help-help make sure that even if there are bad commissioners who get through that—that it doesn't affect the-the process. The other thing I will say is that the transparency really helps a lot. In California everything was

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 72 streamed online. It was broadcast on public access television. To this day you can download it all and watch it if you are so inclined from the very first interviews with commissioners to the final vote on the—the maps, and people would email comments in real time, and—and they would respond in real time. And so the transparency helps a lot. People will police the process if they're—if it's—if the process doesn't occur in a—in a back room.

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CHAIRPERSON BENJAMIN: Thank you. Mr. Costello, you wanted to add something to that.

TJ COSTELLO: [via Skype] I just want to say that with—with Austin we didn't have a problem.

We have a very strong area, and Austin is very politically involved, and it's one of the reasons why the 10-1 passed, and the—so we have districts instead of at large Councils. But what we found is there were some folks from that community wo did try through public testimony try to sway members who may not have been as savvy or been on commissions before of their way, and the best thing we did and the Chair and I we worked very hard on this, is to get an independent legal expert on our team, and we were able to rely on that person extensively. We did not—

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he was not a city appointee. He was our employee,

and we paid their bills with the budget. Okay, the

city gave us a budget but we paid that person. That

independent legal expert and our independent

executive director really, really helped keep people

in line to understand what they're allowed to do.

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CHAIRPERSON BENJAMIN: Thank you very much. I just have one question, Mr. Wice. How much—do you remember what the budget of the Redistricting Commission?

JEFFREY WICE: I don't remember the budget, but the—the Commission's records are still intact somewhere on the city's website. [laughter] I'm sure it's something available from the city budget that, you know, the councilmanic portion of the budget, but I don't recall the number. I was involved in legislative (sic) end of it.

CHAIRPERSON BENJAMIN: Okay. Thank you very much. Are there any other questions? If not, then I thank you all very much, and I hope that you will be available afterwards for additional questions or concerns as—as members read and think through all the materials and have additional concerns. Thank you very much.

2 TJ COSTELLO: [via Skype] Thank you.

[background comments/pause]

CHAIRPERSON BENJAMIN: And finally, our next panel is on Campaign Finance, and we'll be joined by Frederick Schaffer and Amy Loprest, Michael Malbin, Wayne Barnett on video, Jennifer Heerwig, Alan Durning on video and Jerry Goldfeder. After you've taken your seats if you could go ahead and introduce yourselves and share your initial three minutes, and then we will again have 30 minutes or questions. Again, I will give preference and questions to members who have not had any questions, if they so choose. If not, we'll just go in the order in which you raised your hands and ask to be recognized.

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CHAIRPERSON BENJAMIN: Yes

FREDERICK SCHAFFER: Good evening, Chair
Benjamin and members of the Commission, my name is
Frederick Schaffer and I'm Chair of the New York City
Campaign Finance Board. With me is Amy Loprest,
Executive Director of the CFB. Thank you for the
opportunity to provide testimony today. We are proud
that New York City's Public Matching Funds Program

NEW YORK CITY CHARTER REVISION COMMISSION 2019 75 has served as a model Campaign Finance Program for more than 30 years. Jurisdictions across the country are adopting programs modeled after our own. Governor Cuomo has proposed a matching funds program for the state, and HR1, the Democratic bill in the House of Representatives includes a small dollar multiple match program like ours for Congressional campaigns. The CFB is always looking for ways to make our program better by working with the City Council and previous charter revision commissions. Last summer, the board made recommendations to the 2018 Charter Revision Commission. Significantly-to significantly lower contribution limits, increase the matching rate and increase the amount of public funds that campaigns can receive. These recommendations were based on data, and aimed to transform the ratio of big dollar contributions to small dollar ones especially the citywide offices. As you know, the Commission recommended and the voters overwhelmingly adopted substantially similar changes. We are also seeing changes in fundraising. I'm sorry. already seeing changes in fundraising with the Public Advocate Special Election. Early data suggests that that average contributions are getting smaller under

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 76 the new program. So far, the most frequent contribution is \$10 for Public Advocate candidates compared to \$100 in previous elections. We also know that New York City has a diverse donor base within the Matching Funds Program, and we see participation from contributors from all neighborhoods across the In terms of administering the Public Matching city. Funds Program here in New York, a key component to ensuring the strength and integrity of the program is the Board's independent non-partisan structure. The Board's independence and non-partisan status ensure that the administration of the Public Matching Funds Program is not influenced by political pressures or agendas of the moment. We often work closely with the Mayor and the City Council on policy issues, and legislative changes to strengthen the program. However, it is our independent administration of the Public Financing Program and enforcement of the law that ensure we are feeding-we are treating all candidates fairly whether they are sitting elected officials or their challenges. This independence is critical to maintaining the public's confidence in the program, and has been strengthened over time. The Board's non-partisanship is equally important to

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 78

ALAN DURNING: (Via Skype) We would be happy to.

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CHAIRPERSON BENJAMIN: Okay.

MIAN DURNING: (Via Skype) My name is Almy name is Alan Durning. I'm the Founder and Executive Director of Sightline Institute. We are a public policy think tank based in Seattle, and I see I'm going to start, and I'm the person maybe most responsible for getting started with the Seattle program. I can't see you right now. We'll do it with that, but that's an Apple screen item. (sic)

CHAIRPERSON BENJAMIN: You can't see us?

ALAN DURNING: (Via Skype) That's—that's okay. We'll move on. What I thought I would do is give you a little bit of the story of Seattle's Democracy Voucher Program. I'll tell you how it came about, and then in the question period I'd e happy to talk about all of the details of the design, any of the details of the design that you care to speak about, and then perhaps Mr. Barnett would then talk a little bit about the—the program and its basic design. So, [coughs] Seattle had the Public Funding System for City Council races some years ago, which was, which was stopped by a new state law, and then

NEW YORK CITY CHARTER REVISION COMMISSION 2019 79 state law changed again in-what year was it? something like that, or 2010, and the City Council began setting public funding systems, and actually brought forward a proposal that was based on New York's Super Match System, the one that you still operate, and the City Council put measure before that voters of Seattle. The voters of Seattle almost approved it, but didn't quite do so. In 2014, In the wake of that that almost victor for our public funding campaigns, a citizens coalition assembled toto try again, and at the time there was no place in the world that had implemented a system of public funding through vouchers. There were a number of academics and reformers that had been talking about it for it a long time, and so we thought [coughs] we at Sightline thought well, I wonder if Seattle might be a logical place to try this new idea, and see whether it's a-maybe not necessarily a better method, but an alternative method that would be a good tool for other localities and states to use elsewhere. And we realized that Seattle was to a certain extent a natural laboratory for this voucher idea. like all of the State of Washington votes exclusively by mail. The voters, therefore, are used to getting

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    NEW YORK CITY CHARTER REVISION COMMISSION 2019
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     in the-receiving in the mail packets from the
     election agencies, and then mailing in their ballots,
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     which we thought wow, that's just like a voucher
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     system is going to need to be initially. Seattle is
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     a city packed full of early adopters. Seattle has a
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     relatively high level of trust in local government.
     People in Seattle are used to local programs that
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    provide them with recycling bins that get taken away
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     and energy saving light bulbs that plug in and save
     energy, all kinds of things that-that-so in Seattle,
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     it's currently booming with really high--
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                CHAIRPERSON BENJAMIN: [interposing] Mr.
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     Durning, could you start-
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                ALAN DURNING:
                               Yes.
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                CHAIRPERSON BENJAMIN: --to wrap up
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     please?
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                ALAN DURNING: Absolutely. So, it seemed
     like a place where we could try a different approach.
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     We-we assembled a large coalition, ran a big
     campaign, and won with 64% of the voters, and then we
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     said to the Seattle Ethics and Elections Commission,
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    Mr. Barnett implement the programs.
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                CHAIRPERSON BENJAMIN: [laughs]
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WAYNE BARNETT: Perfect seque (sic) Alan.

NEW YORK CITY CHARTER REVISION COMMISSION 2019 81

CHAIRPERSON BENJAMIN: Mr. Barnett, it's

3 | all yours. Take it away.

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WAYNE BARNETT: (Via Skype) thank you very much for having us, and I am the Executive Director of the agency that was in charge of implementing the Voucher Program, and let me just briefly just tell you what that entails. In January of 2017, we mailed to the roughly 500,000 registered Seattle voters four \$25.00 vouchers a piece, and then it was our job to educate the public about what they could do with those vouchers to track the vouchers as they came back to our office, and to send those to the county so that the signatures on those vouchers could be verified. And then at the final stage is we converted those \$25.00 vouchers into contributions for the six candidates who participated in the program and its initial run in 2017. 2019 is also aiming for an election year here in Seattle. already distributed our vouchers and are now in the process of again tracking them, and converting them into campaign dollars. I do expect participation in the program to be much higher in 2019 than in 2017. In 2017 there were only three races eligible to participate in the program. Two of those were held

on how individual donors participate in the system

NEW YORK CITY CHARTER REVISION COMMISSION 2019 83 particularly-particularly in federal elections. with my co-author at Georgetown, I recently completed a study that looks at that effects of the 27 implementation of the Seattle Democracy Voucher Program. So, as you know and as Wayne already said, the initiative in Seattle was the nation's first Democracy Voucher program. In January of 2017, Wayne mailed four \$25.00 vouchers to every registered voter in the city. Those vouchers could be used for qualified candidates in two citywide state council races and the race for city attorney all of which were held in November of 2017. In my study I asked and answered two broad research questions about the effects of the voucher program that will be of interest to this commission. First, did the Seattle program increase the number of participants in the local campaign finance system? Here I answer with an unqualified yes. The program dramatically increased the number of citizens who funded local elections compared to the number of cash donors and city council or city attorney races, the Democracy Voucher Program increased participation by 300%. Second, did the program diversify the donor pool? In just one partial implementation, the program has made some

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 84 notable progress in diversifying campaign donors in local elections. So, I'm going to outline just a few of the findings from our research paper, which I included in my written testimony. Compared to local donors who made cash contributions, Democracy Voucher users are substantially more diverse. Democracy Voucher users look more like voters in Seattle in terms of race, age and income level. So, for example upper income citizens provided about 36 of the private contributions in 2017, but only 17% of the voucher funds. So, in other words, the Democracy Voucher Program worked to reduce the overrepresentation of the wealthy among campaign donors. However, I want to also note that voucher usage was still lower among communities of color, younger Seattleites, and those with lower levels of income, an aspect of the program that Seattle is working to improve in 2019. So, to summarize, the Democracy Voucher Program increased participation in the local Campaign Finance system by over 300%. Those who participated in the program didn't look exactly like all voters in Seattle, but they were much more similar to Seattleites than those who made cash

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    NEW YORK CITY CHARTER REVISION COMMISSION 2019
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    contributions, and I anticipate that these patterns
    will only improve in 2019. Thank you. [bell]
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                CHAIRPERSON BENJAMIN: Great. Jerry.
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                JERRY GOLDFEDER: Thanks. I'm okay.
 6
                CHAIRPERSON BENJAMIN:
                                       Well--
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                JERRY GOLDFEDER: It's like, no, Jerry
    that's all. I just need to do that for the record.
 8
     [laughter] Should I—should I go?
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                CHAIRPERSON BENJAMIN: No hurry.
                JERRY GOLDFEDER: Should I go?
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                CHAIRPERSON BENJAMIN:
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                JERRY GOLDFEDER: Is this on?
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                FEMALE SPEAKER:
                                 No.
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                JERRY GOLDFEDER: No?
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                CHAIRPERSON BENJAMIN: If the red light
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    is on, you're on.
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                JERRY GOLDFEDER: Oh, okay. Well, thanks
    for inviting me tonight as to part of your panels.
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     I'm here tonight in my personal capacity as an
     election lawyer who has represented dozens of
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    candidates in New York City, and Adjunct Professor of
23
    Election Law at Fordham Law School, a 1989
    participant in the Campaign Finance Laws, Public
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    Matching Funds Program and a student of the Charter
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NEW YORK CITY CHARTER REVISION COMMISSION 2019 86 Revision Commission. I'm privileged to be joined here by the experts of the Campaign Finance Board, the Chair and Executive Director and the folks from Seattle, and they are obviously much more familiar with the intricacies of the way the programs work, and I'll leave it to them to answer your questions relating to those procedures. That said, I want to-I want to re--reiterate what Chair Schaffer said. There's no question that the New York City's 30-year program is appropriately recognized as a great success. Our Matching Funds Program has enabled many diverse candidates of modest mean to run viable campaigns, and the staff and commissioners of the CFB have been assiduous in ensuring that the New York City's taxpayer dollars are distributed and used lawfully. Given the fact that millions of dollars are distributed to candidates in municipal elections, this is no small fete and, of course, extremely critical to the success of our program. always room for improvement of the program and the CFB endeavors to update its procedures after every election. The question regarding the Democracy Voucher Program whether it should be substituted in part or in whole to the CFB's current matching

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 87 programs is before you tonight and I want to address it very briefly. First of all, you ought to know that the Commission-that Seattle program's constitution-constitutionality is being-still being litigated. It was challenged by plaintiffs-plaintiff taxpayers in Seattle represented by the Pacific Legal Foundation, and the Trial Court in Washington ruled that the case should be dismissed. Nevertheless, the plaintiffs have appealed, of course, and the intermediate Appellate Court certified the appeal to the Washington State Supreme Court, the highest court in Washington. This appeal has been briefed, and oral arguments are scheduled for May 14th of 2019. Until the Supreme Court of Washington rules that—and that the law is settled one way or the other as to the Democracy Voucher Program's constitutionality, the Charter Commission I think may wish to withhold judgment as to whether or not the Seattle program [bell] should be imported into our Campaign Finance Law. I just want to briefly make some observations, though as to if you are going to consider it, it—it would be beneficial in a few ways. A system in which public monies are distributed to the candidates directly by registered voters through vouchers

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 88 compels the candidates to campaign more vigorously not just for votes, but for financial support. would enable less well known candidates to become better known by attracting support one person at a time. It also compels the more well known candidates to have to really press the flesh more assiduously in order to obtain the necessary funds for their In short, it's a process that we can—that campaign. can result in a more robust person-to-person campaign. The Voucher program also eliminates a great administrative burden now placed upon the CFB, having to track where the private contributions are eligible for matching funds. In this respect, the Voucher Program is more straightforward in that every registered voter's contribution can be used without further administrative burdens.

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CHAIRPERSON BENJAMIN: [interposing]

Jerry.

JERRY GOLDFEDER: My last point. It saves the taxpayers a good deal of money potentially as well because the CFB currently awards the candidates whose races are not genuinely competitive. Rather than the sometimes charade by certain candidates who claim that their opponents are real,

NEW YORK CITY CHARTER REVISION COMMISSION 2019 89
the marketplace will demonstrate through the Voucher
Program which candidates can actually attract
sufficient funds to run a viable campaign. This
contrasts with the CFB having to distribute matching
funds to candidates who claim to have competitive
races, but really do not. CHAIRPERSON BENJAMIN:
[interposing] Thank you.

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JERRY GOLDFEDER: The city would thus save significant sums of tax—taxpayer dollars if we use the Voucher Program. My last point is if you're going to consider it, and if you're going to adopt it, it obviously ought not to take effect until after the 2021 elections because people are already raising money for the coming elections. Thank you very much.

CHAIRPERSON BENJAMIN: Thank you. Mr. Malbin.

MICHAEL MALBIN: Thank you. Hi. My name is Michael Malbin, Professor or Political Science at the University of Albany, SUNY. I am also Director of the—Co-founder and Director the Campaign Finance Institute, which is a non-partisan research institute specializing in money and politics. I've written for some time that the city's Matching Funds Program has been and should continue to be a model for the

NEW YORK CITY CHARTER REVISION COMMISSION 2019 90 It is producing an impressive increase in the number and demographic diversity of donors. While the 2017 elections saw a drop in the importance of small donors, in addition the results were never as impressive for citywide candidates as for City Council. So, in 2018 the Mayor's Charter Revision Commission [coughs] to which we were technical consultants, recommended increasing the matching rate to 8 to 1 while reducing the contributions limits. Eighty percent of the voters improved. Now, only a few months later, we're being asked whether the city should change again. Like many of my colleagues, I have been intrigued by the Seattle experiment, which has been implemented in a very impressive way because here we have research is showing positive results for 2017, as we've heard. But despite these positives, I would urge you not to adopt a voucher system for New York City at this time. As Alan has said, this is the first voucher system in the world. It has had only one election with only a handful of races. first mayoral election will not be until 2021. if the system does do better with vouchers than Seattle before vouchers, that's really not the

question before you. The-the tougher question is

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 91 whether vouchers on balance would be better than what's already in place. Maybe yes, but we'll know a lot more in just a little while. We need time. need more time because the voucher effects go well beyond participation numbers. We need time to see whether there are unintended consequences some of which I speculate about in the longer written testimony. My recommendation is to let the 8 to 1 system work for at least one full cycle without further changes. Eighty percent of the voters said yes, let's see how it works, and let's compare it others such as Seattle and Montgomery County and others. At the same time the City Council should commit--consider a new commission made up mostly of scholars. Its job should be to compare the strengths and weaknesses of the various kinds of new public financing systems to each other not to nothing, but to each other. No one anywhere whether scholarly or anywhere has done this. It should report back to the City Council after New York's and Seattle's elections of 2021. At that point you could deliberate based on fact and not on speculation.

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CHAIRPERSON BENJAMIN: Thank you very much. I'm going to actually ask the first question.

NEW YORK CITY CHARTER REVISION COMMISSION 2019 92

Mr. Barnett and Mr. Durning, you said that there are
approximately 500 registered voters in Seattle
proper--

ALAN DURNING: Yes.

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CHAIRPERSON BENJAMIN: What is the number of eligible voters? [pause]

ALAN DURNING: Our voter--

CHAIRPERSON BENJAMIN: [interposing] Who may not be registered?

wayne barnett: Our voter registration rate is very high. I don't exactly know what it is, but we are—Washington State is a very voter friendly place. We vote to—you can register to vote when you register to get your driver's license. It is—I believe it's quite high, but I don't know that number off the top of my head.

ALAN DURNING: About half a million registered voters. I think there was something like 70 to 80,000 additional eligible voters when we were doing the design exercise a couple years ago. So, as what he said a very high registration rate, but it's an additional incentive to register if you get to participate in the voucher program and we thought that was an added benefit.

2 CHAIRPERSON BENJAMIN: Well, that's my
3 question, did you see the number or registered voters
4 go up and the number of unregistered but eligible

5 voters decrease?

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ALAN DURNING: Well--

CHAIRPERSON BENJAMIN: Or there's just not enough experience to--?

ALAN DURNING: Well, right. So, again this-we think that the first round in 2017 was three races. It's an off-year election. Registration tends to drop down anyway. Registration goes up and down as Professor Heerwig and others can tell you. Based on the-the salient of the salience of the election, whether there's a presidential race going on. So, we haven't studied it. I doubt, though, that it would early enough to tell to what degree-to what degree people are registering in order to get the vouchers. Again, this is the first time. Most people in the city had never heard about it until they got vouchers in their mailbox, and many people in city didn't even notice the vouchers. So, it will take a few cycles before everyone knows what it is. So, we had a phenomenal increase in participation.

I'm-I'm sure there are anecdotes of people

NEW YORK CITY CHARTER REVISION COMMISSION 2019 registering in order to get it, but whether it increased the registration overall, we don't know yet. On other thing, which I should point out is that non-registered voters are also eligible to participate in the Voucher Program. So, if you are a legal permanent resident or a U.S. National, you are eligible to make a federal campaign contribution, and therefore, also eligible to participate in the Voucher program. So, it is not only registered voters who can partake-participate in the Voucher Program.

WAYNE BARNETT: So, what you had maybe 60, 50 people sign up for that? [laughter] I think there were more than that, but not many, not many more. Alan is taking me there so--

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CHAIRPERSON BENJAMIN: Okay, thank you very much. Sal, you're next and then Carl.

COMMISSIONER ALBANESE: Yeah, I—I was a member of the Council when we passed this law. It was spurred on by pay to play scandals in the Koch Administration, and by the way, as a Council Member, I participated in the plan. As a candidate before and after the Campaign Finance plan was—was passed, and there was no problem raising the money needed to—

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NEW YORK CITY CHARTER REVISION COMMISSION 2019
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to wage the city Council Members.
                                   The problem that
we had with this program is on a citywide basis.
That's the problem that we have here and in a strong
mayoral system that we have in New York, that's
significant because that impacts the public more than
anything when it comes to pay to play corruption.
I've seen more pay to play corruption the last seven
or eight years than I did during the Koch
Administration under this plan.
                                 The U.S. Attorney
said that favors were-were being done for large
         I can't-I-I would-I don't have time to list
all of the investigations that were related to
bundling money by lobbyists and developers under this
system.
         Now, I say why should we continue a program
that? It has done nothing to mitigate corruption.
Eight-five percent of the money toward citywide race
in 2017 from high-more than high and medium zip
        People of color are virtually invisible as
codes.
donors or under the-under the system in Seattle. I
as a candidate could go into Queensbridge Houses,
knock on the door of a voter and say, Mrs.
McGillicutty, I'm running for mayor. I would love
for you to donate my voucher. Why shouldn't we adopt
[background comments] a system that's fairer, more
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    NEW YORK CITY CHARTER REVISION COMMISSION 2019
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    inclusive and addresses the [laughter] the
    legislative intent, the legislative intent of the
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    1989 law, which by the way, I-I voted with evidently
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     four. I have the Albanese Queen of Election sack
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     (sic), which is modeled after me. I never thought
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    this was the ideal plan, and unfortunately I was
    proven right. We're spending thousands-millions of
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    dollars administratively and—and for a program that
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     does not really meet its legislative intent, and most
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    of the people that benefit from it are insiders, are
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    not grassroots candidates. So, please--
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                CHAIRPERSON BENJAMIN: [interposing] Is
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    that a question?
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                COMMISSIONER ALBANESE: --answer me.
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    Yeah, the question is: Why shouldn't we-we adopt a
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    better plan? You guys could administer that as well.
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    You probably have to cut three-quarters of your
    staff, but that's okay.
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                JERRY GOLDFEDER: Who is that question
     for?
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                CHAIRPERSON BENJAMIN:
                                       [laughs]
23
                MICHAEL MALBIN: I'll take the first-I'll
    take the first shot at it.
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JERRY GOLDFEDER: I agree.

want to respond?

2 CHAIRPERSON BENJAMIN: [laughs]

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just said, but, you know what? You can to into

Queensbridge Houses, introduce yourself, give them

some literature and ask them for a contribution.

It's not the same as them parting with a voucher.

That's true, but we both know, we all know that

fundraising is difficult, but there are very creative

ways of increasing the—the—the diversity of those

who participate. That said—

COMMISSIONER ALBANESE: We have a—we have a system. We have a model.

JERRY GOLDFEDER: No. Look, we—we have a model now that is working very effectively, and you—you may not—I may not think so. It works effectively for the most part for most candidates, and as a matter of fact, I think that—I think that you'll agree that we—that the diversity of candidates over the life of this program in the last 30 years has changed tremendously for the better, and is much more representative of the population of New York City. That doesn't mean it can't be improved and this 8 to 1 is an improvement and the lower the contribution level is—is an improvement, and by the way, I don't

NEW YORK CITY CHARTER REVISION COMMISSION 2019 99 know that we need to really consider reviewing the 8 to 1 match because it's not really different substantively as the 6 to 1 match program. It's pretty much the same.

COMMISSIONER ALBANESE: Thank you for that.

JERRY GOLDFEDER: So, I'm not so sure that we need to put off--if we want to consider the Voucher Program, I'm not sure that need to put off studying it and analyzing it to see how the 8 to 1 program works. I disagree with Professor Malbin on that. So, all of that said, it seems to me that the program that we have works for the most part, has improved most of the races in the sense that moremore people who are less connected to wealth are able to run. We have a greater diversity of people whowho are able to run. That doesn't mean we can't have a study as to whether or not we should include this maybe even as a pilot program for one race. Maybe for Public Advocate or maybe for a City Council race in-in particular borough or several in differentdifferent boroughs. Maybe that would be useful.

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 100

2 COMMISSIONER ALBANESE: No, it doesn't.

Whichever, the stats don't bear out that it's working. It's just that.

MICHAEL MALBIN: May-may I disagree with some of the premise of this question? We've actually done a lot of research on the census block where people come from, where do the donors come from? We've compared it before and after. We've compared it with different level races. There is an incredible-there is a very high percentage in-in poor neighborhoods in City Council races. You are correct That is where the problem was, about mayoral races. and so the contribution wasn't merely a change from 6 to 1 to 8 to 1. That was coupled with a very substantial reduction in the contribution limit. do not-I do not think we can be sure how exactly how this system will work and I think with all due respect that—that positive results in three City Council districts in Seattle do not constitute a fair test of that system comparative to the other system. We have two systems with relatively positive results, and you haven't studied them both together.

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CHAIRPERSON BENJAMIN: [off mic] Carl and 3 then we have Lisette (sic) and then we have Sal

4 again.

CARL WEISBROD: I don't know if this is a 5

question for Mr. Durning or perhaps for Jerry 6

7 Goldfeder, but what is the basis for the

constitutional or unconstitutional claim before the 8

Washington State Supreme Court? 9

> JERRY GOLDFEDER: Well, the way I understand it and perhaps from friends from Seattle will either correct me or amplify my remarks, but the way I understand it is that taxpayer dollars are being used, and there are people who are not residents of the city, but yet pay taxes to the city, and, therefore, they claim that their taxpaying-the taxpayer dollars are being used in a system in which they cannot have-they have no voice s to how those taxpayer dollars are used-being used. They can't vote, and yet their money is being distributed to voters to contribute to candidates. That-I think that's the nub of it.

CARL WEISBROD: So, if I-if I understand and I'm not here to litigate that claim, but if I understand the claim, that would be-a similar claim

NEW YORK CITY CHARTER REVISION COMMISSION 2019 102 could be made against what is now the New York system, which is after all it uses taxpayer dollars as well, and—and the New York system has been obviously well sustained over a 30-year period now. I that—is that a fair statement you'd say?

JERRY GOLDFEDER: I think that if somebody brought that claim when the program started, they might have been successful, but given the fact that we've had 30 years and—and the program——a different aspect of the program was just challenges unsuccessfully, I think my view is that anybody who wants to challenge our program will not succeed, and that program

CARL WEISBROD: [interposing] But I mean I-just to take that-just to add one more step.

JERRY GOLDFEDER: Yeah.

think appropriate caution that we shouldn't do anything until the Supreme Court of Washington has ruled, in a certain way since this would be a new system, it would—it would allow a litigant here to open something up that because we have 30-year track record, we wouldn't necessarily want to see opened up.

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1	NEW YORK CITY CHARTER REVISION COMMISSION 2019 103
2	JERRY GOLDFEDER: I think that's a fair
3	point.
4	CARL WEISBROD: Thank you.
5	JERRY GOLDFEDER: If I just might add,
6	there was such a case brought early on in this system
7	in the Federal District Court in the Southern
8	District of new York. The claim was rejected and no
9	appeal was taken, but your point is right that you
10	changed the system just enough so that somebody could
11	distinguish it and then you're off to the races all
12	over again.
13	CARL WEISBROD: Thanks.
14	CHAIRPERSON BENJAMIN: [off mic]
15	[background comments] I'm sorry, Christine. Hello,
16	Mr. Barnett, could go into prompt?
17	WAYNE BARNETT: I can't hear you.
18	CHAIRPERSON BENJAMIN: [on mic] Mr.
19	Durning or Mr. Barnett, did you want to respond to
20	the last question from-from Carl?
21	ALAN DURNING: Mr. Barnett teaches at one
22	of our local law schools. So, I'll answer the
23	question
24	CHAIRPERSON BENJAMIN: [laughter]

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ALAN DURNING: We were astonished that
the-that appeal was accepted by the Supreme Court.

We're waiting to see why the court took it because
the claim, it's—the claim was astonishingly broad and
based on claims that have been dismissed repeatedly
in other—in other cases. So, I don't think you need
to worry about it too much, but it would be curious

to see-it will be curious to see what happens.

I'll let the actual law professor say something.

WAYNE BARNETT: I would just be cautious.

I'm—I'm leery of being over-confident especially

because the next appeal after our State Supreme Court

would, of course, be the United States Supreme Court,

and in the wake of the Janis Opinion, I think

compelled speech is a new—we've gotten some new

currency. So I'm— Yeah, I'm concerned. I'm not—I'm

not—I'm not laying people off but I'm concerned.

ALAN DURNING: I would agree. I—I think—
I think the—the—the complaint against our system was
written I think sort of as a Hail Mary pass to try to
get something into Federal Courts in—in hopes that
maybe it would be heard by a different Supreme Court
and that we—that—that the matter of fact would not
just affect the Seattle system, but public voting

NEW YORK CITY CHARTER REVISION COMMISSION 2019 105 systems everywhere. So, again, I-I'm not an attorney, but I have strong views on this matter.

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CHAIRPERSON BENJAMIN: Thank you very much. Lindsay was next and then Sal and them Jim Caras

COMMISSIONER GREENE: Thank you all for your time and your testimony today. I [coughs] forgive me as I'm not the individual in family who checks the mail, but I think I got very nervous when you mentioned that you-all the vouchers are distributed to people via mail, and they have to return them also via mail. I think on a scale of 8.5 million obviously that's sum or registered or eligible voters. That-that seems daunting to me. So, I guess my-my question for our colleagues in Seattle and I guess Mr. Malbin, you know, that that-or some noted that it maybe being implemented in Montgomery County also. No? Okay. As you—as we evangelize or talk about this, is there another method for implementation that may be it's electronic or something that isn't just the Postal Service. due respect to them. They do a valuable service.

ALAN DURNING: I can take that one. We are this week been viewing an online portal where

1	NEW YORK CITY CHARTER REVISION COMMISSION 2019 106
2	Seattle residents will be able to assign their
3	vouchers online. I think the reason we did not go to
4	that exclusively is the-the fear of the digital
5	divide. People who don't have reliable Internet are
6	often the very people this program is intended to
7	assist. So, we are going to continue mailing these,
8	but yes, we are rolling out an online voucher system
9	this week.
10	COMMISSIONER GREENE: And one-and one
11	follow-up on that. Are-are you doing that in a way
12	that—that tends to try to reach those people maybe by
13	a Mobile First Optimization or an app rather than a
14	traditional website?
15	ALAN DURNING: Right now it's just the
16	website. We do this program on a shoestring budget.
17	We collect \$3 million a year in property taxes for
18	the next ten yeas. Ideally, most of that will got to
19	candidates, time candidate campaigns. So, we are
20	doing this in [bell] in baby steps.
21	CHAIRPERSON BENJAMIN: Thank you.
22	MICHAEL MALBIN: Commissioner-
23	commissioner.

25 MICHAEL MALBIN: If I may--

CHAIRPERSON BENJAMIN: Yes.

2 CHAIRPERSON BENJAMIN: Yes.

MICHAEL MALBIN: --can I ask you to ask
him how they are protecting the submission of
vouchers through the Internet when we have so much
evidence that the Internet is not exactly secure.

CHAIRPERSON BENJAMIN: Sure.

MICHAEL MALBIN: I know it's not appropriate for me to ask a question, but I thought it as interesting to—to hear how that's going to happen?

CHAIRPERSON BENJAMIN: Did you guys hear that?

WAYNE BARNETT: I'm not—I'm not a tech guy. I will plead that my computer is basically a glorified typewriter for me, but we have been—we've engaged our IT Department, and—and we are confident that these will be as secure as they possibly can be I think one of the issues, you know, there has been a lot of talk in our system about fraud, but the fact is like every resident gets only \$100 to give. So, it is difficult to imagine pulling of a fraud in a way that could swing a campaign with \$100 a piece. A \$100 at time. So, again, I'm not inviting that, but

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NEW YORK CITY CHARTER REVISION COMMISSION 2019 108 it is—that's-that's kind of where—we did not see much in the way of fraud the first time.

CHAIRPERSON BENJAMIN: Sal.

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COMMISSIONER ALBANESE: Yeah, just in terms of litigation, Jerry, we're both attorneys. know these-these programs are challenged all the time. The name was challenged, we were challenged, they're going to be challenged, and in terms of the Supreme Court, I mean if that-if-if theas the gentleman from Seattle said, if we're impacted by that very conservative court, if this program gets wiped out, then everything gets wiped out. So, you know I-I don't think that's a-that's a major issue. Just I-by the way, Austin and Albuquerque are also moving in the direction of democracy vouchers. would be on the ballot there in the next election, and there's a lot of support for it. I have one question for the Campaign Finance Board. Seattle was able to--

FREDERICK SCHAFFER: We're talking-<+?

COMMISSIONER ALBANESE: --discern how

many people of color contributed to campaigns under

the Democracy Voucher, and they have-they have actual

numbers. Why doesn't the Campaign Finance Board have

NEW YORK CITY CHARTER REVISION COMMISSION 2019 109 those numbers? You have zip codes, but it doesn't tell me anything.

JENNIFER HEERWIG: As Professor Malbin stated, we, you know, our, you know, if there's beenif there's a contributor and it's 93% of every census block district city. So, we don't collect demographic information from the contributors. That would require us to ask the candidates as requesting contributions to ask demographic information from every contributor that they have to get that information and we don't think that that's an appropriate government thing to ask every person who's contributing what their demographics are, but that's why we use Census Blocks as bricks, as kind of a stand-in for that, and since 93% of Census Block Districts have at least one contributor, I think you can understand that there is a wide variety of demographics contributing candidates in the city.

CHAIRPERSON BENJAMIN: Sal.

COMMISSIONER ALBANESE: Yeah.

CHAIRPERSON BENJAMIN: Can we just ask

Mr. Barnett whether-how Seattle collects this data?

COMMISSIONER ALBANESE: Good.

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WAYNE BARNETT: Thank you very much. We did not collect that data. That was really—that was researcher, it was actually Professor Heerwig and Brian McKay from Georgetown who did most of the research in terms of—I think they used proprietary database. I believe Professor Heerwig might be the person to answer those questions better about how they determined, you know, with some degree of confidence someone's race—race or ethnicity.

COMMISSIONER ALBANESE: Can you—can you answer that question?

JENNIFER HEERWIG: Sure. I'm sure you've all heard of voter lists. This data is readily available for every--[coughs]-city and state in the U.S., the individual level, and New York City's Voter List as well as Seattle's Voter List includes a race variable. That variable is what we would call computed, which means that unless the state collects it, which Washington State does not, the proprietary data will actually model whether you're white or African-American or Asian. Now, that might sound to you like it's not a very accurate process, but there have been a number of validation studies now that

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1	NEW YORK CITY CHARTER REVISION COMMISSION 2019 111
2	have shown that this is about 95% accurate for
3	determining the race of an individual.
4	COMMISSIONER ALBANESE: 95%?
5	JENNIFER HEERWIG: About 95%. There's a
6	very extensive peer report that compared a variety of
7	different voter lists and found high confidence in
8	the race variables in particular. So, I feel pretty-
9	I particularly am a very cautious researcher and I
10	find-find those results very compelling and very—
11	very, very robust.
12	COMMISSIONER ALBANESE: Don' you think we
13	should have that data? Don't you think that's
14	important? [background comments/pause]
15	MICHAEL MALBIN: It's not within our
16	purview to conduct, but if it mimics
17	JENNIFER HEERWIG: [interposing] I would
18	be happy to do that.
19	MICHAEL MALBIN:you know what we're
20	[laughs]
21	COMMISSIONER ALBANESE: I have a problem
22	for you.
23	CHAIRPERSON BENJAMIN: No.
24	MICHAEL MALBIN: We-we can have a
25	conversation when this hearing is over.

2 COMMISSIONER ALBANESE: Yeah.

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CHAIRPERSON BENJAMIN: Mr. Caras.

COMMISSIONER CARAS: Put all of this in a context for me. I'm not--[background comments/pause] to put all this in a context for me, what other elements are there of the Seattle public finance Is there an opt out provision? Are there system? contribution limits? I mean I-I just want to put this piece of it in the context of the whole system.

> ALAN DURNING: May I?

COMMISSIONER CARAS: Sure.

ALAN DURNING: That's an excellent question. The Democracy Voucher Program was one component of a citizen initiative, which enhanced and amended existing Campaign Finance Rules, which Mr. Barnett's Commission adjudicates and enforces. If we reduce the overall contribution limit for all campaigns in the city to \$500, if you want to use the vouchers, you have to sign a pledge to limit your top contribution to \$250 for most races so we left it \$500 for the Mayor's race. You also have to limit your total spending, and in order to participate you have to demonstrate broad or wide support in the community by collecting signatures and \$10

NEW YORK CITY CHARTER REVISION COMMISSION 2019 113 contributions from prescribed numbers of-of residents-of voters in the city, and if you're running for a particular district, a number of voters in your district. There are a number of other restrictions and requirements that are included in the pledge. As you all know, all public funding systems are opt in for the candidate because of Federal Court rulings. So, ours is an opt-in system and one of the-one of the great successes that-that I'm excited about from the 2017 cycle and the 2019 cycle that's just beginning is that almost all serious candidates are opting into the system, which makes me think we have-we've set it up right so that people believe they can run and win under this program. So, it's working not only for the-for voters, but also for candidates. I'd be happy to tell-to tell-to provide you more information about the program, and the Seattle Website that describes it all as well.

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COMMISSIONER CARAS: I guess my concern would be doing something like this and encouraging people to opt out if you don't structure it correctly especially in city, you know, mayoral races, high profile races. Has anyone studied that?

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ALAN DURNING: May I—may I chime in with one additional important fact is that we—we designed the program to give a lot of latitude to the commission that administers the program so that the—the commission that supervises Wayne has authority between election cycles to adjust many of the variables of the program in order to keep participation up. So, if it turns out that lots of candidates begin to opt out, the Commission, for example can increase the dollar value of vouchers

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that each person gets. Can increase the number of
signatures that are required to qualify, can increase
the spending limit that candidates agree to, and some
other things as well. So, because we think that
campaign finance is constantly changing, campaigns
adjust and adapt, and we want to give as many tools
as possible for the program so that it can maintain
its relevance throughout its life.

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WAYNE BARNETT: And if I cold just point out that 5 of the 6 general election candidates eligible to participate in the program in 2017, did participate, and thus far in 2019, I think we have about 40 candidates who registered. So far, it's been to run for office and 30 of those have opted in with the program. So roughly 75% of candidates to date have chosen to participate in the program.

CHAIRPERSON BENJAMIN: And what percentage did the Democracy Voucher money represent of the total funds that the candidates collected and spent?

WAYNE BARNETT: It was quite high. I
don't have the exact number off the top of my heat,
but two City Council that, you know, being at large
rates, and the two candidates raised and spent

NEW YORK CITY CHARTER REVISION COMMISSION 2019 116 \$300,000. They both maxed out, and I don't think they raised much more than that.

ALAN DURNING: I believe it was—I believe was between 70% and 80% for the two candidates in the contested race. 75% to 80% of their funds came from the Democracy Voucher Program. A somewhat smaller percentage for the uncontested races, but those were very low—those—those other races there wasn't a lot of money spent so, just by collecting the cash contributions from people's friends and neighbors, they—you know, they raised fair bit of their total budget.

CHAIRPERSON BENJAMIN: Did you say 70% of the \$300,000 that they raised from Democracy Vouchers?

ALAN DURNING: That's correct. We'll—we'll have to get you the exact number but my—my recollection is that it was around—it was between 70 and 80%. [background comments/pause] And can I just ask how many voters were in these—in these districts?

WAYNE BARNETT: I believe there were about 70,000 voters—

ALAN DURNING: [interposing] Statewide.

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    NEW YORK CITY CHARTER REVISION COMMISSION 2019
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                WAYNE BARNETT: Oh, statewide. I'm sorry.
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                ALAN DURNING: So I said citywide. This
    was received in citywide races. So, it would been
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    every voter.
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                CHAIRPERSON BENJAMIN: Yes.
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                WAYNE BARNETT: I-I thought you were
    talking about-our districts are 70,000 voters, but
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    our-the three races in 2017 were all at large races.
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                CHAIRPERSON BENJAMIN: Okay.
                WAYNE BARNETT: So they each comprised--
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    everyone in the city was eligible to vote in those
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    races.
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                CHAIRPERSON BENJAMIN: Okay, thank you.
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    Are there-?
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                COMMISSIONER ALBANESE: I have one
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    question.
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                CHAIRPERSON BENJAMIN: Sal.
                COMMISSIONER ALBANESE: I want to ask Mr.
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    Durning, I'd love to ask your opinion of the Voucher
     Democracy system versus the matching system. Do you
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    have any viewpoints on that? [background comments]
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                ALAN DURNING: There are very few
    questions on which I did not have a viewpoint.
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     [laughter] Well, the first thing I would say is that
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NEW YORK CITY CHARTER REVISION COMMISSION 2019 118 we studied the New York system closely, and we though it was one of the best ones around. When the-when the Citizens Coalition that was assembling the-the proposal that ultimately went to the voters in 2015 at one point we were considering two options. was to replicate what New York had done with what we call a super match, a 6 to 1 super match, or to do this Democracy Voucher thing. For a while it was touch and go. The argument for-for-for replicating the New York system was it was proven. We can just copy what you guys did, maybe hire away your election administration or your, yeah your Campaign-your Campaign Finance Board. We could just hire them to come and work here, but ultimately the arguments that swayed the-swayed the coalition, were the arguments that-that-that many of you have been making today. mean Democracy Vouchers are the most democratizing and egalitarian method of public funding for a campaign that has been invented yet. I makes every eligible participant in the city worth the same amount to a candidate whether they're a bartender or a bank president, whether it's someone who is suffering homelessness, or the head of a major union, they're both-they're worth the same amount to the-to

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the candidate, a hundred bucks. And—and it gives a

path to office that—to which you spend your time

entirely engaging in voter contact. You spend all of

your time talking to people or, you know, going to

house parties or it's all—it's a way to combine

people power with funding your campaign and it proved

more successful in its first iteration than I had

allowed myself to hope. We had—the campaign was in—

sorry, the—the program was, in fact, over—subscribed.

More citizens participated than we had modeled for.

[bell] So, I'm—I'm a proponent of Democracy Voucher

Program, but I think you guys have a pretty darn good

one to begin with.

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CHAIRPERSON BENJAMIN: [off mic] Carl
COMMISSIONER WEISBROD: Could I just ask
how much the program costs? So, and the maximum cost
I guess would be \$50 million, but what was the
participation rate? So, how many—how much did it
actually cost?

me explain the theory and then Wayne can tell you the actual—actual what—what it costs. The very common misunderstanding is that the total potential budget would be the face value of all the vouchers in

1 NEW YORK CITY CHARTER REVISION COMMISSION 2019 120 2 circulation. That's not the total potential that could be spent. The total is the sum of the spending 3 4 limits of all the candidates who qualify, which is a 5 much, much smaller number. So, the-the program is 6 funded with a \$3 million a year special property tax 7 levy that the voters approved in 2015, and Wayne, why don't you tell him what it actually costs to run? 8 WAYNE BARNETT: It costs us \$1.1--\$1.14 9 million is what we distributed to candidates in 2017. 10 It cost us to administer the program just about a 11 12 million dollars in 2017. I don't think that that 13 ratio will hold. I don't' think it will always be 1 14 to 1. I think as we see more candidates 15 participating in the program, I think our 16 administrative costs will largely hold steady. 17 COMMISSIONER ALBANESE: Thank you. WAYNE BARNETT: You're welcome. 18 CHAIRPERSON BENJAMIN: 19 [off mic] are 20 there any further questions? Well, I think all of the panelists who were here and hope you will be 21 available if--if and when we have additional 2.2 23 questions, or if we want to follow up with any 24 particular points with the -- [background comments]

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Oh.

2 MALE FEMALE: Your mic.

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CHAIRPERSON BENJAMIN: Oh. [on mic] I thought you were saying somebody Mike and I didn't know who Mike was. I didn't [laughter] but I-I'd like to thank all of you for participating with us and for sharing your knowledge and your views with us, and I would hope that if we have additional questions or concerns of we invite you back or we call you to ask you to follow up on any of the things you've said, that you would be available. [background comments/pause] With that being said, the business of today's meeting is concluded. Our next forum will be on Thursday, March 7<sup>th</sup> at 6:00 p.m. and that will be on police accountability focus area. [squawking mic] While you're more than welcome to take away the written materials, if you could leave your little blue folders behind that would be helpful for us so we can use them again. May I have a [background comment] a motion to adjourn and I have a motion right here. Is there a second?

22 COMMISSIONER: Second.

CHAIRPERSON BENJAMIN: [laughter] All in

24 favor.

25 COMMISSIONERS: [in unison] Aye.

1	NEW YORK CITY CHARTER REVISION COMMISSION 2019 12	22
2	CHAIRPERSON BENJAMIN: Opposed. [gavel]	
3	We're adjourned until March 7 <sup>th</sup> . [background	
4	comments/pause]	
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## ${\tt C} \ {\tt E} \ {\tt R} \ {\tt T} \ {\tt I} \ {\tt F} \ {\tt I} \ {\tt C} \ {\tt A} \ {\tt T} \ {\tt E}$

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date March 5, 2019