CITY COUNCIL
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

of the

COMMITTEE ON JUVENILE JUSTICE

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June 8, 2009 Start: 11:13am Recess: 12:25pm

HELD AT: Committee Room

City Hall

B E F O R E:

SARA M. GONZALEZ

Chairperson

COUNCIL MEMBERS:

Kenneth C. Mitchell Maria del Carmen Arroyo

Darlene Mealy

## APPEARANCES

John Antonelli Senior Deputy Commissioner Department of Corrections

Valerie Oliver Chief Administrator New York City Correction Department Training Academy

Dennis McCormick
Director of Training
Department of Correction

Jack Gonzalez
Department of Correction

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Correctional Association of New York

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<u>ن</u> ا	CHAIRPERSON GONZAL	JEZ:	Good

morning. I am Council Member Sara Gonzalez and today's Committee on Juvenile Justice. Welcome.

Good morning and welcome to today's hearing of the New York City Council's Committee on Juvenile Justice. I am Council Member Sara Gonzalez, Chairperson to this Committee. I would like to thank all of you for attending.

Today, we will hold an oversight hearing exploring training for correction officers working in adolescent units at the Department of Corrections. We will also be hearing testimony on three pieces of legislation that I have introduced. But, before I continue, first of all, I'd like to thank the staff that are here and as my colleagues walk in, the members of the Committee. Our colleague Kenneth Mitchell. So nice. Thank you for joining us.

The Committee has been, and remains, concerned with the safety and wellbeing of all our adolescents involved in the juvenile and criminal justice systems. In order to ensure that we are doing all we can to protect these adolescents, today's hearing will explore the

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current training policies and practices administered by the Department of Corrections to officers working in adolescent facilities at Rikers Island. We will examine how officers are screened, trained and supervised, held to the highest standard of conduct. Our previous hearings, the Commissioner had testified the training is being revamped for those officers assigned to the adolescent units. The Committee supports the Department in its efforts to improve the training and looks forward to learning more about what changes are being considered.

As I stated earlier, the Committee will also be considering three pieces of legislation. First is Introduction 969, a local law to amend the Administrative Code of the City of New York in relation to requiring adolescent development training for correction officers.

This bill will require the Department of Corrections to provide eight hours of adolescent development training to all correction officer staff and recruits. Thorough training on the manner in which an adolescent acquires cognitive, physical, psychological and emotional abilities

must be required for correction officers that work with that population. The better an officer understands how and why the adolescents in their custody behave the way they do due to their experiences and how those experiences contribute to their psychological and emotional development, the better they will manage that population.

Improved training in adolescent development will ultimately inform the manner in which correction officers interact with the adolescents in their custody in a more productive way and will lead to less violence amongst the population.

also two Resolutions we will be considering today. Resolution 1931 urges the Department to increase staffing levels in adolescent facilities at Rikers Island. The Committee recognizes staffing levels have been recently lowered. But, they are currently still much higher than those of the Department of Juvenile Justice. Increasing the number of staff to serve the adolescent population will provide a safer environment for incarcerated youth. It would assist in decreasing the number of violent incidents and will provide additional

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2 safeguards to prevent corruption within staff.

3 And also, by the way, I would like to state

4 publicly that the correction officers that work on

5 Rikers are hardworking, outstanding public

6 servants. As with any system, there is always

7 room for improvement.

Resolution 1930 calls on the U.S. Senate to pass the Juvenile Justice Delinquency and Prevention Act, which would provide federal funding for programs that prevent delinquency, as well as programs that reduce crime and recidivism among youth. The guiding principle of the JJDPA is that juvenile offenders should be treated differently than adult offenders, given their disposition as children and potential for rehabilitation. By reauthorizing JJDPA, which has received bipartisan support for the past 30 years, the juvenile and criminal justice system will receive an increase in much-needed funding and the availability of incentive grants for state and local programs.

All incarcerated adolescents should be kept safe and afforded the proper attention and services while in City jails. The malleable minds

of adolescents can be quite vulnerable and easily derailed from becoming productive contributors to our society. We must all work together to ensure that adolescents in both juvenile and criminal systems are properly protected and given the tools they need to overcome the issues that led them to be involved in either system.

That being said, I would now like to welcome the representative of the Department. I would like to ask them to please take the witness table and please state your name for the record. Thank you.

JOHN ANTONELLI: I am John

Antonelli. I'm the Senior Deputy Commissioner of
the Department of Correction. Let me introduce my
staff. To my right is Valerie Oliver. She's the
Chief of Administration and oversees the New York
City Correction Department Training Academy. To
my left is Dennis McCormick [phonetic]. He's our
Director of Training.

[Pause] Good Morning, Chair

Gonzalez and Members of the Council. I am here

today to testify regarding Intro 696, which would

require the Department of Correction to furnish

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eight hours of training in adolescent development to all correction officers employed by the Department of Correction.

At previous Council hearings in

November and February, the Department testified at

length about the many steps we had taken to ensure

the safety and security of adolescents in our

custody, both prior to and following the

Christopher Robinson homicide. This included

numerous security, programmatic and operational

changes and initiatives.

Included in these measures the

Department has taken since the Robinson tragedy

are steps to enhance the training of correction

officers who supervise adolescents. The

Department developed two lesson plans to address

issues identified in the Robinson case. The

Prevention of Bullying and Intimidation in Housing

Areas and Intelligence Gathering, instituted both

lesson plans in the recruit training curriculum

provided in the Correction Academy, and in
service/block training provided to staff already

in the field.

The Department began to and

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continues to revise the Adolescent Inmate lesson plan, which all correction officer recruits receive in the Correction Academy. We provided special in-service versions of Bullying and Intimidation and Intelligence Gathering lesson plans, modified to specifically focus on adolescents, and an Adolescent Inmate refresher course to all staff at RNDC enabled by variances obtained from the Board of Correction. increased Institute for Inner Development training at RNDC staff and applied for federal stimulus funding to provide IID training to additional RNDC 14 staff.

> As we have previously testified before the Council, the Institute for Inner Development, or IID, seeks to provide adolescents in DOC custody with an environment for positive personal change and growth, through the conversion of adolescent housing areas to a therapeutic environment aimed at attitudinal and behavioral change, self-esteem building and the acquisition of basic life skills. These lessons are primarily taught through individual and group sessions facilitated by specifically, or specially, I

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should say, trained correction officers.

The benefits of IID to the

adolescents in DOC custody are substantial. We

have seen a significant reduction in violence in

IID housing areas. And, our staff have witnessed

the transformation of previously problematic young

men into motivated, goal-focused leaders. For

these reasons, we have worked tirelessly to expand

IID.

Thanks to recent training efforts, we have been able to provide IID training to a total of 154 of the 260 RNDC officers who work in adolescent housing areas. In addition, the Department recently applied for federal stimulus funding to sustain and expand IID. If successful, this Justice Assistance Grant will allow us to train an additional 41 officers in IID. Between the officers we have already trained with internal resources, and those we could train with these stimulus funds, the Department would be able to provide IID training to 75% of officers who work in adolescent housing areas, which would enable the Department to provide IID housing to approximately 80% of all adolescents in RNDC.

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The Department is in agreement that those officers who are responsible for the care, custody and control of adolescents should have special training. We provide special training for officers who work with special populations such as the mentally ill, and have already begun, and I have just outlined, to provide special training for officers who work with adolescents.

The Office of Management and Budget estimates that Intro 696 will cost 4.9 million in the first year, followed by an ongoing annual allocation of approximately \$490,000. The bill would require the Department to train all 9,000 correction officers in adolescent development when only 3% actually supervise adolescents. Providing training to all correction officers in the manner outlined in this legislation would cripple our ability to adequately prepare those staff that actually supervise adolescents, as well as address other special or vulnerable populations, as there are only so many hours available in the budget for training.

Furthermore, the Department must have the flexibility to manage training to respond

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to emerging issues in the most effective way

possible. This bill will undermine that necessary

management flexibility. Correction officers are

peace officers and as such, there is already a

variety of training required by law that they must

complete to achieve peace officer status, in

addition to the correction-specific training

mandated by outside agencies and required by the

Department itself. Significant portions of the

Correction Academy training curriculum, including

certain materials, instructor credentials and a

number of instructional hours are already mandated

by outside entities with substantive expertise and

authority in relevant areas of instruction.

The New York State Commission of
Correction mandates significant portions of the
basic training curriculum and instructor
credentials for basic correction officer training.
In fact, it must be emphasized that under state
law, the State Commission on Correction is
responsible for setting minimum requirements for
correction officer training and continued
oversight of the quality of training delivered.
Additional portions of the training curriculum are

required by the Municipal Police Training Council,
which regulates peace officer training, the New
York City Board of Correction and the State
Department of Labor, Occupational Safety and
Health Act.

Together, these agencies mandate 275 hours of the 640 hours of recruit training in the Correction Academy. There are only so many discretionary training hours available, and the Department needs flexibility to use this time to provide training in the most effective manner possible—including the content, who will deliver it, who will receive it, when it will happen, and how much training it will be.

The Department trains our officers to execute their jobs with excellence, professionalism and integrity. However, we must have the flexibility to provide the right training to the right officers so they can meet their specific responsibilities. On the heels of all the efforts the Department has made to provide effective training for the relatively small number of officers who actually supervise adolescents, this bill would require the Department to divert

almost 5 million away from these officers and the IID program, and spread resources we don't have to over thousands of officers who do not and will not work in adolescent facilities or housing areas.

Rather than enhance the training of correction officers, this bill will diminish the Department's ability to provide appropriate training to the appropriate staff, and in so doing, will make adolescents in custody and the staff who supervise them, as well as other populations requiring special attention less safe. We are certain this is not the Council's intention.

I will now answer any questions you may have.

CHAIRPERSON GONZALEZ: Thank you for your testimony. I just have a couple of questions here. Most importantly, how does the Department determine what recruits will be assigned to the adolescent units? And, can a recruit request such an assignment?

JOHN ANTONELLI: All recruits coming out of the Academy are assigned by the Academy to various institutions. So, it's not

[Interposing] The Adolescent Inmate Training.

JOHN ANTONELLI:

The Adolescent

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lesson plan. Yes, Jack Gonzalez. We are revising And, the date of July 1st is when we plan to put it into practice.

CHAIRPERSON GONZALEZ: Okay. So, maybe we can just clarify. How many hours of

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evaluating the recruits after taking the course that maybe they should be specifically with adolescents because they have a strength or an expertise or something in that area?

JACK GONZALEZ: Yes, at this point, we're looking at their backgrounds, their experience. If there are recruits who have worked with adolescents before in different agencies, you know, such as the Board of Education or Division of Juvenile Justice, we are focusing those recruits to the adolescent facility.

CHAIRPERSON GONZALEZ: Are there any topics that are in this curriculum that were not covered in the prior training? Is there anything that's come up recently that's different?

JACK GONZALEZ: Yes. There are additional objectives to the training, as it's being revised. Some of those objectives are describing five challenges an adolescent inmate may be facing; describing five qualities that an adolescent inmate respects in a correction officer. And, we're going into five effective techniques that may be employed when interacting

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with adolescent inmates. And, we're also trying to identify available resources and effective tools that will enable staff to assist adolescent inmates.

CHAIRPERSON GONZALEZ: Okay. In respect to that Commissioner Horn had testified at a previous hearing that the Institute for Inner Development training would be expanded to all of the correction officers that work in the adolescent units. How's the expansion progressing? What's the percentage of correctional officers that have been trained in IID thus far? [Pause] expansion and progression in respect. [Pause]

JACK GONZALEZ: I don't have the number offhand of how many officers have been trained. But, we have been scheduling two-week trainings once every month for the last six months. And, we are continuing that training.

We're also expanding it to officers who work at Rose M. Singer Center, which is the female facility, which also has adolescents.

CHAIRPERSON GONZALEZ: We would appreciate it if you could get those stats to this

1	COMMITTEE ON JUVENILE JUSTICE 20
2	Committee. Thank you.
3	JOHN ANTONELLI: A hundred and
4	fifty-four
5	CHAIRPERSON GONZALEZ: Oh.
6	JOHN ANTONELLI: of the 260 RNDC
7	officers have already been trained.
8	CHAIRPERSON GONZALEZ: Okay. Okay.
9	Good. Thank you. The Department recently
10	instituted the Bullying Curriculum earlier this
11	year. Have all correction officers received this
12	training, the Bullying Curriculum?
13	JOHN ANTONELLI: All the officers
14	that are working with adolescents, yes.
15	CHAIRPERSON GONZALEZ: Just the
16	ones working with the adolescents.
17	JOHN ANTONELLI: Yes.
18	CHAIRPERSON GONZALEZ: Is that
19	something that would be expanded?
20	JOHN ANTONELLI: And it was
21	specially tailored for that population when we did
22	that training.
23	CHAIRPERSON GONZALEZ: And, could I
24	just ask you something that may seem sort of not
25	just for my own purposes. If an officer is

transferred to an adolescent unit and has not had the experience of working the adolescent unit, wouldn't it be beneficial, or is it too costly, I'm asking, to train them in all those other areas, as well?

JACK GONZALEZ: Yes. I just want to add that the training that we're giving to the officers at RNDC is being instituted into the recruit curriculum. So, all officers will receive that training. We're also adding it to the annual block training. So, eventually, all officers will have to get it that way. And, it's also included in pre-promotional training for Captains and ADWs. So, we have a large number of staff trained in it. And, eventually, everyone will have received that training.

CHAIRPERSON GONZALEZ: And, who developed this plan or this curriculum?

JACK GONZALEZ: The Correction

Academy developed the curriculum with input from mental health professionals, who have experience in that area and with staff, who have experience working with adolescents.

CHAIRPERSON GONZALEZ: And, have

2	you received any feedback or data regarding the
3	effectiveness of the Bullying training thus far?
4	JACK GONZALEZ: We have received
5	positive feedback from the officers who have
6	attended the training. They find that it's very
7	helpful to them. And, that it gives them a
8	different perspective on working with the
9	adolescents.
10	CHAIRPERSON GONZALEZ: Is there any
11	method of documenting that other than the officer
12	just stating that he, you know, he feels effective
13	in doing his job? Is there any method of knowing
14	in the future what's the effectiveness of all this
15	training?
16	JACK GONZALEZ: Well, I believe the
17	statistics that the Department keeps in regarding
18	adolescent incidents, injuries, uses of force,
19	those there should be changed in those
20	statistics if it is working.

CHAIRPERSON GONZALEZ: Okay. Thank you. If a adolescent feels threatened by another inmate or is being bullied, what is the reporting process for the adolescent?

JOHN ANTONELLI: The reporting

1	COMMITTEE ON JUVENILE JUSTICE 24
2	there are lots of avenues. And, they're in
3	writing and they all have them.
4	CHAIRPERSON GONZALEZ: Okay. I'm
5	going to ask a question that I spoke to
6	JOHN ANTONELLI: Chief Oliver would
7	like to
8	CHAIRPERSON GONZALEZ: Oh.
9	VALERIE OLIVER: I'd like to just
10	add one thing.
11	CHAIRPERSON GONZALEZ: Sure.
12	VALERIE OLIVER: We also include
13	that information for the inmates in the Inmate
14	Handbook and during orientation that every new
15	admission inmate gets upon coming into the agency.
16	CHAIRPERSON GONZALEZ: So, it's up
17	to them to read it?
18	VALERIE OLIVER: It's also given to
19	them. We give the orientation.
20	CHAIRPERSON GONZALEZ: There's an
21	orientation.
22	JOHN ANTONELLI: There's an
23	CHAIRPERSON GONZALEZ: A verbal
24	JOHN ANTONELLI: [crosstalk]
25	orientation. That's what I was referring to.
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1	COMMITTEE ON JUVENILE JUSTICE 25
2	VALERIE OLIVER: Correct.
3	JOHN ANTONELLI: There's an
4	orientation
5	CHAIRPERSON GONZALEZ: Okay.
6	JOHN ANTONELLI: done upon
7	admission in addition to the rule book, which
8	outlines all the avenues that are available to
9	them. And
10	CHAIRPERSON GONZALEZ: Yes.
11	JOHN ANTONELLI: And, I would just
12	like to add that just in terms of the training
13	curriculum, the last class of 300 or so received
14	it, as well as the officers in the facility right
15	now. [Pause]
16	CHAIRPERSON GONZALEZ: Okay. Thank
17	you. Will the new adolescent training lesson plan
18	include training on the effects of trauma and
19	delinquency?
20	JACK GONZALEZ: That hasn't been
21	included into the curriculum at this point. But,
22	myself and several staff members at the Academy
23	have attended several trainings on trauma,
24	specifically the effects of trauma and
25	specifically how trauma affects, you know, inmates

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in facilities. So, that's something where we will be including information on that.

CHAIRPERSON GONZALEZ: Okay. I'm going to ask my famous question here. You know, because this Committee and, I believe, that the Council and my colleagues, I believe that we try to keep this at a balance. And, I am very sensitive to the person that's doing the job. But, I also have to be sensitive to that young person or that person who's in trouble. So, in respect to the officer, is there any protocol, and I know I asked this in another hearing, in respect to burnout for that officer? I mean, sometimes when you have too much training, you can burn out. You become overwhelmed or even when you have lack of training, though, you have all the training to be an officer, but not sensitivity to the different target populations or whatever. does the Department deal with that? I'd like to know.

JOHN ANTONELLI: Remember, officers are constantly being supervised. So, in that supervision process, all these things are constantly being reviewed. An officer's

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performance in every manner, shape and form is part of the facility's responsibility, both the immediate supervisors and supervisors above that level right through the management structure of the facility. You know, every incident is reported. We monitor the level of activities that go on in a particular housing area. If there are too many fights in a area, if there's too much incidents of any kind or uses of force, it is immediately brought to the attention instantaneously to the facility management. it's reviewed. And, if it's an issue where the officer is the problem, it may not be, it's examined. If it's an issue of retraining, if it's an issue of discipline, it'd dealt with. CHAIRPERSON GONZALEZ: So, I quess, not to get into areas that probably are not our

CHAIRPERSON GONZALEZ: So, I guess, not to get into areas that probably are not our jurisdiction, I want to be careful, although I think mostly every area is. Just saying that funny. But, anyway. If an officer is going through some emotional or personal problems, they have their own person that they go to and maybe they're choosing not to tell anyone, but yet, it's evident from their behavior someone is there that

JOHN ANTONELLI: I don't have that information with me right now. But, I can certainly get that to you.

25 CHAIRPERSON GONZALEZ: Please. We

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1	COMMITTEE ON JUVENILE JUSTICE 29
2	would appreciate it
3	JOHN ANTONELLI: Yes.
4	CHAIRPERSON GONZALEZ: if you'd
5	send to the Committee. Thank you. Do you believe
6	that the current staffing levels are appropriate
7	or if you don't have them with you, but would
8	you be able to answer whether the staffing levels
9	are appropriate?
10	JOHN ANTONELLI: Excuse me? I'm
11	sorry.
12	CHAIRPERSON GONZALEZ: Would you be
13	able to respond to the current levels, are they
14	appropriate in respect to staffing?
15	JOHN ANTONELLI: Our staffing
16	levels meet or exceed the requirements necessary
17	for that type of population. Actually, they do
18	exceed. I should say with absolute certainty.
19	So, we're governed by a number of things; the
20	State Commission on Correction and the Board of
21	Correction, not specifically in terms of staffing,
22	but in terms of, you know, programs and what we're
23	required to have available to the inmates. So,

our current staffing levels exceed what's

necessary to do that in adolescent housing units.

estimated that this Intro that we're discussing

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1	COMMITTEE ON JUVENILE JUSTICE 34
2	JOHN ANTONELLI: Well, I think what
3	we were saying
4	COUNCIL MEMBER ARROYO: Okay.
5	JOHN ANTONELLI: we're saying is
6	this is our plan. I think it meets, I think
7	certainly, or exceeds, the intent of this kind of
8	legislation in terms of directing training. And,
9	not only directing training, but actually creating
LO	programmatic activity that benefits the inmates.
11	One is just sort of generalize adolescent
L2	training. This is training and it's the
L3	institution of a program that has demonstrated so
L4	far, you know, tremendous beneficial effects for
15	the inmates.
L6	COUNCIL MEMBER ARROYO: So, in
L7	essence, what you're saying is we don't need to
18	legislate this aspect
L9	JOHN ANTONELLI: [Interposing]
20	Absolutely.
21	COUNCIL MEMBER ARROYO: of the
22	Department's function.
23	JOHN ANTONELLI: We don't think
24	it's necessary. It would prevent us from having
25	the ability to do to have the management

flexibility to do the types of training we need when we need to do it.

4 COUNCIL MEMBER ARROYO: Okay.

Thank you, Madam Chair.

VALERIE OLIVER: I would just like to clarify one thing. The IID training that you're referring to is a training above and beyond what we're saying. We're going to give every officer working in RNDC, which is our adolescent housing facility, with the adolescent training. We're also going to give them the Bullying and Intimidation lesson plan. We're also going to give them the Intelligence Gathering. And, we're revising our adolescent training to encompass a lot of new material.

The IID is above and beyond the additional training that we're going to give and that's a focused program that we are saying with this money that we can do at least 80% of the officers, in addition to the other training. So, we are going to get training specific adolescent training to all staff that work in RNDC.

COUNCIL MEMBER ARROYO: Okay. So, what's your plan in the event that you don't get

question.

1	COMMITTEE ON JUVENILE JUSTICE 37
2	JOHN ANTONELLI: being trained
3	to operate IID housing units.
4	COUNCIL MEMBER ARROYO: Okay. So,
5	if the
6	JOHN ANTONELLI: [Interposing] So,
7	we have to expand the program.
8	COUNCIL MEMBER ARROYO: If the
9	funding does not happen, then what's the level of
10	training that you will be able to accomplish?
11	JOHN ANTONELLI: The level of
12	training will be the adolescent curriculum that we
13	currently have at the Academy; the Bullying and
14	Intimidation, Intelligence Gathering, you know.
15	And, again, it'll be tailored for the adolescent
16	population.
17	COUNCIL MEMBER ARROYO: And, the
18	target is 80% of the officers.
19	JOHN ANTONELLI: No, that would be
20	all.
21	COUNCIL MEMBER ARROYO: All of
22	them.
23	JOHN ANTONELLI: That kind of
24	training is
25	COUNCIL MEMBER ARROYO: Okay.

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2	CHARISA	SMITH:	Ηi,	Charisa	Smith,

3 | Correctional Association of New York.

NANCY GINSBERG: Nancy Ginsberg,
the Legal Aid Society.

FLOR BERMUDEZ: Flor Bermudez,

Lambda Legal Defense and Education Fund. [Pause]

Good afternoon. My name is Flor Bermudez. I'm

the Youth in Out-of-Home Care staff attorney at

Lambda Legal Defense and Education Fund. We are

the oldest national organization pursuing impact

litigation, public education and advocacy to

advance the civil rights of lesbian, gay men,

bisexuals, transgender people and those with HIV.

Lambda Legal's Youth in Out-of-Home Care Project

raises awareness and advances reforms on behalf of

lesbian, gay, bisexual and transgender and

questioning youth in the child welfare, juvenile

justice and homeless systems of care.

In 2003, Lambda Legal joined forces with the country's leading child welfare organization, the Child Welfare League of America, to advance and standardize safe, affirming and supportive services for LGBTQ youth in out-of-home care. Between September 2003 and December 2004,

CWLA and Lambda Legal organized Listening Forums in 13 different cities around the country for LGBTQ youth in care, and the adults who work with and care for them, to share their experiences and identify strategies to bring about lasting positive change in the child welfare system for LGBTQ people. As we analyzed the data collected at these forums, it became clear that LGBTQ youth need the support of adults who have been adequately trained on sexual orientation and gender identity issues and that child welfare policymakers should support comprehensive training on LGBTQ issues for all staff in their agencies.

In March 2008, the New York State
Office of Children and Family Services, OCFS
implemented detailed policy and practice
guidelines on caring for LGBTQ youth in the
juvenile justice facilities it oversees in New
York State. The policy protects youth from
discrimination on the basis of sexual orientation,
gender identity and gender expression, and the
guidelines call for culturally competent practices
for LGBTQ youth in areas like housing, name and
pronoun usage and health care. OCFS now has the

most comprehensive LGBTQ juvenile justice policy in the country. This policy calls for mandatory staff training to raise awareness and capacity for staff to respond to gender identity, sexual orientation and gender expression issues.

Research shows that young people facing family rejection, harassment and school failure are more likely than other youth to enter the criminal justice system. LGBTQ youth are more likely than their non-LGBTQ peers to face substance and neglect, including being thrown out of home. They are also more likely to be harassed and victimized at school. Consequently, LGBTQ youth view dropping out of school and living on the streets as their only alternatives for survivals. Once on the streets, LGBTQ teens may be forced into illegal activities, including sex work and drug sales, to support themselves.

in public places, in particular, face selective enforcement of quality of life offenses and morals regulations. These factors contribute to the over-representation of LGBTQ youth in juvenile justice and delinquency systems. Once in the

juvenile justice systems, LGBTQ youth are often neglected or discriminated against by facility staff and peers. Many LGBTQ youth in the juvenile justice system experience verbal harassment and physical or sexual abuse because of their sexual orientation and gender identity. This abuse is perpetrated not only by youth peers, but also by facility staff.

When LGBTQ youth are harassed or discriminated against, juvenile justice facilities frequently respond by moving the LGBTQ youth to a more restrictive setting or isolating them rather than addressing the underlying homophobia that plagues the system. LGBTQ youth have also been segregated or put in isolation based on a myth that LGBTQ youth will prey on other youth. This separation only reinforces the notion that LGBTQ youth are bad or to blame for harassment directed at them.

Lambda Legal commends the intentions of the New York City Council to furnish training in adolescent development to all New York City Department of Correction corrections officers who work in adolescent units. We strongly

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recommend that this training include specific quidance on how to create safe and welcoming environments for LGBTQ youth. It is crucial that New York City Department of Corrections recognize that LGBTO youth are in the midst of adolescent development and have complex needs that require the sensitivity and awareness of well-trained staff in performing their duties without bias towards, or criticism or judgment of, LGBTQ youth. Training is a crucial aspect of creating cultural change in agencies because it reinforces an agency's commitment to providing appropriate and inclusive care, and it replaces common myths and misconceptions with practical, research-based information regarding LGBTQ youth. In 2009, the National Association

In 2009, the National Association of Social Workers and Lambda Legal joined in partnership to improve out-of-home care for LGBTQ youth by implementing a national training initiative. This project trained 40 master trainers from across the country in turn to train service providers in the child welfare, juvenile justice and homeless systems of care. The 40 master trainers are now available to train child

welfare and juvenile justice direct service
professionals at no, or little, cost to respond

to, and meet the needs, of LGBTQ youth in care.

5 The following recommendations

provide further detail on what should be included in any adolescent development training imparted to the NYC DOC corrections officers. Training on LGBTQ issues should be mandatory, comprehensive and ongoing for all correction officers. It should be made clear to all correction officers that negative behaviors and attitude towards LGBTQ youth cannot be tolerated. Educational materials on LGBTQ issues, including books and films, should be available for correction officers.

As part of the LGBTQ competence training, correction officers should assess their own personal beliefs and biases regarding sexuality and gender, which may stem from a variety of sources. Training on LGBTQ issues should emphasize the distinction between one's personal opinions and the professional responsibility to provide safe, affirming and supportive care to all youth.

The training should also reinforce

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the correction officers' professional
responsibility to protect the right to safety for
LGBTQ youth, which includes protection from
physical and emotional harm from other juveniles
or the staff and from unreasonably restrictive
conditions of confinement.

all youth in the City or state custody also have federal and state constitutional rights to equal protection under the law. This means that LGBTQ youth in the custody of NYC DOC must be treated equally in the provision of services and must be protected from harassment. In addition, correction officers should learn about their responsibilities to comply with the New York State and New York City Human Rights Laws statutory protections against discrimination.

Essential professional skills should also be cultivated through training on LGBTQ issues. For example, training should offer advice for identifying the warning signs that a young person may be experiencing anti-LGBTQ mistreatment and include strategies for intervening on behalf of victims. Specific training should be provided on the existence of

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2 enforcement of LGBTQ-inclusive nondiscrimination
3 laws.

The use of respectful language is an important skill to build into the training.

Correction officers should understand that anti
LGBTO slurs should not be tolerated.

Training should also include specific guidance on identifying and protecting the safety of LGBTQ youth. LGBTQ youth should not be inappropriately placed with an aggressive population, with known sex offenders, or with other youth who display anti-LGBTQ behaviors and attitudes. Recognize the risk of harm to a young person if he or she is openly LGBTQ or perceived by others to be LGBTQ. Correction officers should consider the maturity, physical size, offense history and the risk factors, including sexual orientation and gender identity, in determining the appropriate level of security and confinement of a particular youth. While placement decisions are often tied to security classifications, LGBTO youth should be placed where qualified staff have been trained to protect and support them.

Correction officers should also be

trained to protect the rights of LGBTQ youth to receive adequate medical and mental health care services. Ignoring the healthcare needs of an LGBTQ young person in state custody violates the young person's right to safety. For example, if a youth diagnosed with Gender Identity Disorder exhibits a need for medical and physiological intervention and nothing is done to address these needs, their legal rights are violated.

The following is an non-exhaustive list of model curricular and other educational sources addressing LGBTQ issues. And, we're providing the list in the testimony for the training to be more comprehensive and inclusive. Thank you.

CHAIRPERSON GONZALEZ: And, before we move on, Mishi Faruqee, you could step to the table, as well, thank you, Children's Defense Fund.

NANCY GINSBERG: Good afternoon.

Thank you for the opportunity to testify

concerning the issue of adolescents in the New

York City adult jails. I am Nancy Ginsburg, and I supervise the adolescent practice of the criminal

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trial offices of the Legal Aid Society's Criminal

Defense Practice.

We submit this testimony on behalf of the Legal Aid Society, and thank Chair Gonzalez and the Committee on Juvenile Justice for inviting our thoughts on the issue of training for correction officers in the adolescent facilities of Rikers Island and the proposed legislation on issues of importance to Court-involved adolescents. We look forward to the continued valuable contributions that we are sure the Committee will make in this area of vital concern to our City's teenagers.

emphasizing the need for additional training for correction officers in the area of adolescent development. We support the requirement for increased training, but recommend that the scope of the training be expanded and the timeline changed. In New York City, boys are principally housed at the Robert N. Davoren Center and girls are housed at the Rose M. Singer Center. Boys who commit disciplinary infractions are housed in the Central Punitive Segregation Unit, a lock-down

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unit primarily for adult males. Some youth are also kept in pre-hearing detention before their infractions are adjudicated at the George R. Vierno Center, also known as GRVC, which is another adult facility.

Our most recent statistics of our caseload show that 15% of our teenage clients are in foster care, 23% have been exposed to domestic violence, 35% of the youth have substance abuse problems, 23% have mental health problems and 35% are classified in need of special education services. These numbers usually fluctuate within a 10% range in each category at any given time.

The characteristics of the teenage client base demonstrate a population of young people who have profound needs and are in desperate need of therapeutic intervention.

Social scientists posit that these youths are not on a trajectory to become lifelong criminals, but correctional interventions can push them in that direction. Adolescence is a critical developmental stage. Placement in a correctional setting can disrupt educational and social development. This, in turn, can undermine

prospects for pursuing an academic path, finding a job and rejoining or creating their own families.

Studies show that successful programs follow the lessons of developmental psychology by providing young offenders with supportive social contexts and authoritative adult figures and helping them to acquire the skills necessary to change problem behavior to become psychologically mature.

It is critical that the correction officers who have daily contact with incarcerated young people are aware of their histories, understand their issues and have the tools to address them in a constructive way. Jail is an inherently stressful environment. Exposure to overly punitive conditions while incarcerated can exacerbate teenagers' prior life experiences.

Many of these teenagers are taken from their families and homes for the first time and live with the uncertainty of when and if they will be allowed to return.

Many have serious mental health problems stemming from years of neglect and abuse. Depression, post-traumatic stress disorder and bipolar disorder are the most common diagnoses

among this population. Teenagers with these diagnoses can respond disproportionately to actions that they perceive as aggressive. Their behavior, which seems justifiable to them, is often solely interpreted as hostile or aggressive by staff. Their conditions are further exacerbated by punishments meted out which place them in solitary confinements 23 hours a day. We believe that if the staff was better trained and given the tools to understand the context of the teenagers' behavior, their behavior would improve and the remedies would be less punitive and more effective.

The proposed legislation, an amendment of Chapter 1 of Title 9 of the Administrative Code of the City of New York to add a new Section 9-130, is a step in the right direction. The law, as proposed, requires eight hours of training in adolescent development to all correction officer staff. We support this requirement, but ask that you consider expanding the requirement. We propose the following language in addition to the existing language.

The Department shall furnish eight hours of

training in adolescent development to all correction officer staff. This training shall include the behavioral and emotional effects of abuse and neglect and common mental illness diagnoses among teenagers.

Additional training shall be provided upon assignment to RNDC or RMSC, within the first month of assignment if more than one year has passed since the initial training. This training shall be no less than eight hours.

Additional in-depth annual training of 20 hours throughout the year shall be required for all officers assigned to any facility housing adolescents. This additional training shall include access to mental health counseling and crisis intervention services for youth, the behavioral and emotional effects of abuse and neglect and common mental illness diagnoses among teenagers, skill-building in conflict management, de-escalation techniques, management of assaultive behavior, prevention of youth victimization by youth or staff, communication skills with adolescents, counseling techniques and needs of specific populations; gender, race, ethnicity,

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sexual orientation and gender identity, disability or youth with limited English proficiency, within the facility.

The Department shall furnish the training curriculum for the introductory and advanced annual trainings to the Council once it is established and anytime thereafter when it is substantially updated or changed.

We recognize that the use of the Institute for Inner Development program has had positive effects in the limited areas it is in effect. Our clients report better relations between the teenagers and staff and among the teenagers themselves. We urge the City Council to recommend that this program be expanded and that other best practices programs be explored and implemented in the facilities that house adolescents.

We also urge the Council to continue to ask the DOC to provide more staffing in adolescent units. Many teenagers in custody are held in large dorms where they have easy access to each others' belongings and the environment lends itself to constant conflict.

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Smaller housing units lend themselves to improved supervision and reduced conflict. Adolescents experiencing the stress of incarceration and separation from their families and communities should have supervision that approaches the one to eight ratio that the Department of Juvenile Justice provides to 15-year-olds.

We propose that the language in the resolution which reads, "Whereas, Advocates report that current staff-to-adolescent ratio is inadequate to provide the safety and proper monitoring needed by incarcerated adolescents; and Whereas, this inadequacy is evident in the current rate of violence among the incarcerated adolescent population at Rikers Island" be replaced with the following language. "Whereas, best practices suggest that adequate staffing levels should be set at one to eight and provide the safety and proper monitoring needed by incarcerated adolescents; and, Whereas, the current inadequacy in staffing is evident in the rate of violence in the facilities which house adolescents at Rikers Island." We recommend that language encouraging the creation of smaller housing units also be

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2 added to the Resolution.

In 1992, the Annie E. Casey Foundation launched a multi-year, multi-site project known as the Juvenile Detention Alternatives Initiative, or JDAI. JDAI's purpose was to demonstrate that jurisdictions can establish more effective and efficient systems to accomplish the purposes of juvenile detention. The initiatives had four objectives and the last was to improve conditions in secure detention facilities. Many of the findings and recommendations in that part of the study can be used in formulating policy for juvenile correctional facilities. The findings of this study are encapsulated in a report, "Improving Conditions of Confinement in Secure Juvenile Detention Centers" and is available online at the website I have provided. I have brought a copy of the actual assessment tool developed by JDAI for your examination today. Those materials also recommend staff to inmate ratios of one to eight while the youth are awake.

The Legal Aid Society supports the passage of the reauthorization of the JJDPA. In

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addition to the mention of the significant sections in the proposed Resolution, we recommend adding mention of the proposed language in the bill which directly relates to the issues being discussed today. The bill emphasizes effective training of personnel work who work with young people in the juvenile justice system, both to encourage the use of approaches that have been proven effective and to eliminate cruel treatment of juveniles. The bill also creates incentives for the use of programs that research and testing have shown work best. We recommend that recognition of this provision be added to the resolution.

We would like to raise one additional issue that is not on the agenda today, but is related and is extremely important to the teenagers on Rikers Island. Many teenagers on Rikers Island are disciplined with the use of solitary confinement, colloquially referred to as time in the "bing" or the "box". Such punishments are meted out for fights, possession of contraband, among other offenses. However, this practice is contrary to best practices

recommendations for the detention of teenagers and

New York State statute which addresses program

requirements of juvenile detention facilities.

While we recognize that teenagers on Rikers Island

are not considered juveniles for purposes of

criminal prosecution, they are considered

juveniles for every other purpose in New York

State. At a minimum, the treatment standards for

teenagers in detention facilities or jails should

comport with juvenile detention standards

established by the New York State Legislature.

9 NYCRR Section 180.9(11)

specifically prohibits the use of room confinement for punishment for juveniles. The law states that room confinement shall be authorized only in cases where a child constitutes a serious and evident danger to himself/herself or others and a review of the necessity for continued confinement of each child shall be made at least one time in each 24-hour period by the head of the institution or designee, to effectuate the return of the child to the regular program as soon as the child is no longer a danger to him or herself or others.

We strongly urge the City Council

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confinement of adolescents held on Rikers Island and to bring the practices in line with the requirement for detained teenagers under the age of 16. Solitary confinement, or being locked down for 23 hours a day, exacerbates pre-existing symptoms of mental illness and gives rise to new symptoms. A disproportionate number of mentally ill teenagers, as well as adults, end up in solitary confinement because the staff lack the tools to effectively manage them in general population. This practice is inhumane and potentially has long-term negative consequences far beyond the reach of the criminal case.

We recently represented a 17-yearold boy who attempted suicide while in
disciplinary confinement after being there for
over forty days. Such situations are unacceptable
and must be addressed. JDAI also recognizes the
harmful effects of solitary confinement for
juveniles and specifically prohibits it in similar
language to the statute in New York.

Thank you for the opportunity to speak about this important topic.

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CHARISA SMITH: Good after	noon.
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My name is Charisa Smith. And, I am the Director of the Juvenile Justice Project at the Correctional Association of New York. For nearly 165 years, the Correctional Association has advocated for more humane and effective criminal justice policies in New York State. The Juvenile Justice Project coordinates the Juvenile Justice Coalition, an alliance of over 50 organizations working to promote a more fair and effective juvenile justice system.

I would like to thank Chairperson Sara Gonzalez and the Juvenile Justice Committee for the opportunity to present this testimony.

adolescent development as "the process through which adolescents acquire cognitive, physical, psychological and emotional abilities." Training COs on that process a very positive first step. However, the training requirement should be broadened. One study found that 90% of juvenile detainees reported experiencing at least one traumatic incident.

In 2006, research revealed that at

least one quarter of youth in the juvenile justice system were in significant need of mental health treatment and this is a low estimate. OCFS reports that 65% of youth in their facilities have special education needs. Most youth in the justice system also come from single parent families and impoverished communities. And, the same is true in DOC. Further, scholars from institutions, like the MacArthur Network, have used brain research to identify the precise reasons why adolescents take more risks, seek thrills, and are more susceptible to peer pressure than adults.

staff must be trained about childhood and adolescent brain development, trauma, mental health disorders, substance abuse, educational barriers, family influences, poverty and the way those risk factors interplay with, and concretely impact, adolescent criminal behavior. More than eight hours of training will be crucial. These staff persons have the most contact with adolescents during incarceration. They need to get the full picture and understand all the reasons why youth in their custody have acted out

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in the community and have such special needs.

Both COs and DJJ staff also need training on issues of homophobia and lesbian, gay, bisexual and transgender, LGBT, youth. The LGBT Working Group of the Juvenile Justice Coalition could provide such training, as it does for youth in DJJ custody. These are some of the most vulnerable youth in custody, and they suffer from harassment and abuse by both other adolescents and staff. DOC has two options for LGBT youth who now feel unsafe; general population escort, housing with other vulnerable individuals and an escort through the facility after several days of isolation for evaluation; or closed custody/ protective custody, which is lock-in for 23 hours per day.

Youth at Rikers have described closed/protective custody as hell. Human rights advocates insist that it violates human rights laws. Suicide rates are highest among youth housed in these situations. Staff training on LGBT youth issues would open a dialogue about treating these youth more humanely and about finding more effective ways to protect them.

Resolution 1931 urges the DOC to increase staffing levels in adolescent facilities, suggesting that they reflect similar ratios as in DJJ. This Resolution should be applauded.

However, the Resolution needs to be extremely

However, the Resolution needs to be extremely specific in insisting that DOC create the same staffing levels as DJJ's secure detention, eight to one during the day and 12 to one at night. years, advocates have been encouraging DOC to increase staffing levels. It took Christopher Robinson's tragic beating death to awaken us all to the need for more COs. The U.S. Department of Justice recommends that juvenile incarceration facilities have one direct staff to eight to ten youth during waking hours and one to every 16-20 youth during sleeping hours. Even in the Institute for Inner Development at Rikers, youth to staff ratios are high.

Having adequate numbers of staff, and extensive staff education, are the key to helping incarcerated youth to live in a positive, safe, least restrictive environment. If done thoroughly, staff training can better equip COs to truly help adolescents succeed while in custody.

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Finally, I thank Chairperson

Gonzalez for her leadership in introducing Resolution 1930. I hope that City Council colleagues will enact this Resolution as soon as possible to help Senate reauthorize the JJDPA. Court-involved youth across America need wellfunded services and supports, particularly during an economic crisis when community resources are dwindling. The JJDPA would fund juvenile justice programs and promote progressive juvenile justice reform. It would tackle the racial injustice of a system where 86% of youth in OCFS custody and 98% of youth in DJJ custody are youth of color. Reauthorizing the JJDPA would also enhance public safety by funding innovative approaches to reducing recidivism, addressing unnecessary incarceration, eliminating inappropriate treatment of minors as adults and providing crucial reentry services.

Most Court-involved youth return to our communities while they are still young. We must equip them with the tools not only survive, but to thrive in society, and to lead us into tomorrow.

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2		Thank	you	for	this	opportunity	to
3	speak today.						

4 CHAIRPERSON GONZALEZ: Is Darlene 5 Mealy. Welcome.

MISHI FARUQEE: Good afternoon. My name is Mishi Faruqee. And, I'm the Director of the Youth Justice Program at the Children's Defense Fund New York. I don't actually have written testimony to submit today. But, I just wanted to testify in order to make a few points.

Also, based on the testimony from the Department of Correction, we heard the Department of Correction testify today that they are hoping to get federal money to expand the IID program so that they can expand it to 80% of the adolescent units. And, I just wanted to emphasize that this is a backtracking from previous testimony from the Department of Correction that they wanted to expand the IID program to every adolescent unit. And, I think that it's important to recognize this. I think that the IID program, you know, with others, you know, we have heard positive outcomes from the IID program. And, I think that it's important to recognize this important that it be expanded to

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every adolescent unit and that it not just be in 80% of the units. And, that it not be only if the Department of Correction get federal funding for this. I think that it's, you know, it is important that this program be in all the adolescent units.

Having said that, I think it's also important to recognize that the IID program is not-- should not be mutually exclusive from having more comprehensive training in adolescent development. And, I think that's what the Department of Correction was testifying today that rather than creating a curriculum that all correctional officers receive in adolescent training, that they want to just train specific officers to be housed who are in the IID program. And, I think that those two things should not be mutually exclusive. The Department of Correction should expand the IID program. But, they also should be required to train correctional officers in adolescent development. And, I think that the training should be expanded to, you know, how Charisa and Nancy described.

I think it's also important to

recognize that the adolescent training that they currently are providing is just in bullying and intelligence gathering, which I think is not comprehensive enough. And, it sounds like if they don't get federal funding for the IID program, that they will, you know, that will be the full extent of training in working with adolescents is just training in bullying and intelligence gathering, which is not adequate.

The other point that I think, you know, it's already sort of been emphasized, but I think it's really important is when we're talking about staffing levels, that the IID program has very, very high staffing levels. The IID staffing levels are similar to any of the other adolescent units. And so, when we visited the IID program, the staffing level was 50 to one. So, 50 young people and one correctional officer.

And, I know, Council Member

Gonzalez, that you've, you know, raised the

concern about staff burnout. And, I think when

you have one correctional officer and 50 inmates,

there's always the potential for staff burnout.

But, particularly when in a program like the IID

program, which is a very intensive program where the correctional officer is actually interacting on a regular basis conducting groups with the young people, I think a ratio of one to 50 really is not adequate. So, I think that the Resolution that you propose is really important to increase the ratios to be similar to the Department of Juvenile Justice, the one to eight ratio and that that ratio really needs to be, not only in the IID program, but all of the adolescent units.

My concern is that the Department of Correction is sort of presenting the IID program as the cure all to violence in the adolescent units at Rikers. And, I think as we talked about the IID program is, you know, appears to be working. It appears to have positive results. But, it can't be the only solution. So, we really do need to have comprehensive training in adolescent development and higher staffing levels.

And then, the other issue I just wanted to raise and we strongly support the Resolution supporting the Juvenile Justice Delinguency Prevention Act. And, as you know, one

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of the core requirements of the Act is that states

address racial disparities in the juvenile justice

system. They address what's called DMC,

disproportionate minority contact in order to get

federal funding.

And so, I urge, you know, Council Member Gonzalez and the Council to introduce legislation as a companion to the legislation that already passed requiring data, you know, the data requiring -- the bill that passed the Council requires adolescent census data disaggregated by age and gender. And, we hope that you will pass a bill requiring data disaggregated by race and ethnicity, as well, because we need to have that data regularly reported on the race and ethnicity of the young people who are incarcerated in order to be able to measure -- first, to measure the disparities and then, also for the City and the state to come up with strategies on how to address those disparities. Thank you.

CHAIRPERSON GONZALEZ: Okay. Thank you. And, I just want to say to all of you, and especially those of you that's sitting at the table right now, I think that your support

We are not in a perfect world.

speak.

throughout the years and your support in respect to children and the safety of these children and these young people that are incarcerated has been incredible in respect to the Council and myself.

And, if we were, we wouldn't have to be sitting here today. But, I just want to say that keep up your advocacy. Your support is truly appreciated. I heard your recommendations. We do have it on the record. We're going to be looking at it. We will continue to have dialogue with the Administration. We will continue to work on this. And, it is, you know, for us, as I said earlier, legislators, it is our responsibility to author bills that are going to hopefully improve services in the City of New York. Are they perfect immediately? Probably not. But, we're going to work at it with people like yourselves holding us accountable and telling us what's going out there.

And, you know, it's been quite a few years, and I just want to say this today, of

That's so important, to me and to us. So, I want

to thank you, again. And, we'll continue to

working really hard osee a difference. An

working really hard on this Committee. And, I do

see a difference. And, I see that we're moving in

the direction that we probably should have been

5 there already. But, we continue to move. And, we

will be having conversations. And, we welcome all

7 your comments. So, I thank you so much.

And, I also want to thank Lisette Camillo, our attorney and also, William Hongach, who is our policy analyst and I want to thank my colleagues. And, they are sort of coming in in spurts. There's a lot of meetings going on. So, I thank you. But, this is an issue that will continue to be important to us. And, we have to stay on top of it. And, there are little things that may change here and there, but at some point, we will get where we're going. So, I do thank you. Have a nice day. Meeting adjourned.

I, DeeDee E. Tataseo certify that the foregoing transcript is a true and accurate record of the proceedings. I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

Deeder E. Tatano

Date \_\_\_\_\_ July 23, 2009