CITY COUNCIL
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

of the

COMMITTEE ON FIRE AND CRIMINAL JUSTICE

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February 10, 2009 Start: 10:12 am Recess: 12:45 pm

HELD AT: Hearing Room

250 Broadway, 16th Floor

B E F O R E:

MIGUEL MARTINEZ Chairperson

COUNCIL MEMBERS:

Tony Avella Eric N. Gioia James Vacca Mathieu Eugene

APPEARANCES

Thomas Jensen Chief of Fire Prevention FDNY

Richard Tobin Assistant Chief of Fire Prevention FDNY

Julian Bazel Department Counsel FDNY

Robert Rampino
Bureau of Fire Protection
FDNY

Thomas Papa President NY Fire Alarm Association

Zygmunt Staszewski Advisor to Board of Directors NY Fire Alarm Association

Edward Keshecki Vice President NY Fire Alarm Association

John C. Dean Immediate Past President National Association of State Fire Marshals

William M. Webb Executive Director Congressional Fire Services Institute

A P P E A R A N C E S (CONTINUED)

David H. Baker General Counsel U.S. Lighter Association Inc.

Susan Santanello Novelty lighter retailer Lilfishes.com

Xuejie Wong Co-Chair Product Safety Association International

2	CHAIRPERSON	MARTINEZ:	Thank '	you.
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3 We're going to call oversight hearing of the Fire 4 and Criminal Justice Services Committee to order. Good morning. My name is Miguel Martinez. 5 the chair of the Fire and Criminal Justice 6 7 Services Committee. I want to acknowledge my 8 colleagues on the committee: Council Member Tony 9 Avella, Council Member Jimmy Vacca and Counsel to 10 the committee Rob Calandra. Today we're 11 conducting an oversight hearing to review the New 12 York City Fire Alarm Inspection Union. We will 13 also hear testimony on Proposed Intro. 884-A, which prohibits the retail sale and distribution 14 15 of novelty lighters in New York City. 16 committee previously held a hearing on Intro. 884-17 A on December 17th, 2008, at which we heard testimony in support of the bill from the New York 18 19 City Fire Department and the Uniformed Fire 2.0 Officer Association. Regarding our oversight 21 topic, the Bureau of Fire Prevention, which ensure 22 fire protection of residential and commercial 2.3 occupancy through direct inspection of location and enforcement of laws and regulation. One of 24 the units within the bureau responsible for 25

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conducting inspection is the Fire Alarm Inspection Unit. This unit is comprised of civilian inspectors who inspect fire alarms, smoke detectors, emergency voice communication systems in office buildings, hotels, hospitals, schools, nursing homes, boat fuel storage facilities and It is my understanding that these inspections can result in a letter of approval, a letter of defect for deficiency that must be corrected within 90 days of issuance, or a violation for a more severe deficiency that must be corrected within 30 days of issuance. the New York City Controller's Office issued an audit report which determined that the Fire Alarm Inspection Unit did not adequately ensure that requests for inspections of fire alarm systems and the results of inspections were properly recorded and reported. They did not adequately ensure that inspections were performed in a timely manner to ensure that safety-related issues were identified and resolved. The report noted that an audit conducted more than ten years ago identified some of the same findings. The major findings in the report were as follow. The unit had not developed

formal procedures to follow when recording
requests for inspection, tracking these requests,
conducting inspections and following up on
inspection. As a results, inspections were not
conducted in a timely manner and the unit did not
always follow up on the letters of defect and
violation order. In those instances where the
unit did not follow up, it did not generally do so
within its own formal timeframes. The unit did
not have a reliable system of tracking requests
for inspection and actual inspections conducted by
the unit. The record keeping practices of the
unit were inadequate. Inspections conducted were
lacking. Request for inspection documentation was
scattered and filing cabinets containing essential
inspection files were unsecured. The unit
inadequately reported the number of inspections
conducted. This resulted in an inflated number of
inspections being reported in the unit's
productivity report for Fiscal Year 2006 and the
Mayor's Management Report for Fiscal Year 2006.
the unit did not meet its formal target of
auditing self-certified inspections. The
inspectors of the unit were not adequately

	supervised. As a result, field activity reports
	were not always approved by a supervising
	inspector, and inspectors were not always working
	in the field as required. Last October, UPN-9
	News aired a report based on a six-month
	investigation. In that report, one person
	identified as a current inspector in the unit
	responded yes when asked if the unit was corrupt.
	Another person identified as a former unit
	inspector indicated that nobody cares about
	safety. A second person identified as a former
	unit inspector who himself was reported to have
	forged documents falsely stating that a building
	had a working fire alarm was reported to have said
	that there were some crooked inspectors at the
	unit, but that most of the inspectors were honest
	but overworked. The report also indicated that
	there were 3,000 buildings in the city with
	potentially faulty fire alarm systems and some 300
	buildings with life-threatening defects. I think
	it goes without saying that I and the members of
	this committee are deeply troubled by both the
	controller's report and the UPN-9 report. At the
	end of the day, we want to be assured that when
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fire alarms, smoke detectors and communication systems are required, that they have been installed correctly and are in proper working order to safeguard the public and our first responders. The only way to ensure this is to have a system that inspects these devices when they are installed and then periodically, thereafter, as directed by applicable laws and rules. When information is received by the city that they are in need of inspection that they are then inspected. I want to make sure that the inspectors who are conducting these inspections are properly trained, are doing appropriate inspections, documenting their findings and following up in a timely manner to ensure that defects are corrected. I also want to make sure that these inspectors are not overworked and are working under the direction of supervisors of good character who are capable of managing the enormous task of conducting these inspections in an effective, efficient, and professional manner. While I am sensitive to the enormous workload of this unit, the safety of these systems are meant to ensure and must not be compromised for any

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Regarding Intro. 884-A, the 2008 New York reason. City Child Fatality Report found that injuries are the leading cause of death for children in the United States. According to the report, from 2001 through 2006, there were 66 fire-related child deaths and four deaths due to scald burns in New York City among children, age 1 through 12. Fire and burns remain the second leading cause of death among New York City children as a result of unintentional injury. After motor vehicle death, fire is the leading cause of accidental death for children in the homes, according to the report. The report also indicated that nearly one-quarter of all fire-related child deaths were caused by children playing with matches or a lighter. was the leading single ignition source of fire resulting in child death. Proposed Intro. 884-A amend the Administrative Code of the City of New York in relation to the prohibition of retail sale and distribution of novelty lighters. The bill would prohibit the sale or distribution of novelty lighters in New York City, but would not apply to the transportation of novelty lighters through the city or the storage of novelty lighters in

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warehouses for distribution centers in the city that is close to the public for purpose of retail sales. The bill subjects those who violate its provisions to civil penalties of not more than \$1,000 for the first violation and not more than \$2,500 for a subsequent violation. Novelty lighters are often reasonable toys and attract children. They have been reported to cause serious injury through the country, including death. Although the United States Consumer Product Safety Commission requires novelty lighters to be child resistant, I don't believe this safeguard is enough. The state of Maine and Tennessee have banned these lighters, as have several municipalities in Arkansas and elsewhere across the country. We should do the same here. Since our last hearing, there are some notable changes to Proposed Intro. 884-A. It was amended by moving its provision from Title 17 of the Administrative Code, which have given the New York City Department of Health the authority to enforce the provisions of the bill to Title 20, which will thereby give the authority to the New York City Department of Consumer Affairs. Additionally,

Section 20-617 was added to provide that any 2 3 violation of the provisions of the bill would be adjudicated at a tribunal of the Department of Consumer Affairs. Furthermore, the definition of 5 novelty lighter was modified to include lighters 6 7 designed to resemble cartoon characters, guns, 8 watches, musical instruments, vehicles, animals, food, or beverages and to clarify that the 9 10 lighters that feature flashlights or make musical 11 sound for entertainment are included and to 12 exclude standard disposable and refillable lighters that are printed or decorated with logos, 13 labels or artwork. Finally, Proposed Intro. 884-A 14 15 no longer requires the commissioner to suspend any 16 fine in lieu of warning for a 30-day period 17 immediately following the enactment of the law. 18 want to thank everyone for being here today. 19 have testimony from the fire department, both on 20 our oversight hearing and we look forward to 21 hearing the department's opinion on the amended 22 Intro. 884-A. We've been joined by Chief Jensen, 23 who is the chief responsible head of the Fire 24 Prevention Bureau. Thank you, Chief, you may 25 start your testimony.

2 THOMAS JENSEN: Thank you. Good 3 morning, Chairman Martinez and Committee members. 4 My name is Assistant Chief Thomas Jensen. the Chief of Fire Prevention for the New York City 5 Fire Department. Thank you for the opportunity to 6 7 speak with you today about the FDNY Fire Alarm 8 Inspection Unit. I am joined today by Assistant Chief Richard Tobin, also of the Bureau of Fire 9 10 Prevention and Department Counsel, Julian Bazel. 11 The FDNY Bureau of Fire Prevention is responsible 12 for protecting residential and commercial properties throughout New York City by enforcing 13 the local laws and regulations pertaining to fire 14 15 protection. The Fire Alarm Inspection Unit is with the Bureau of Fire Prevention. Its mission 16 17 is to ensure fire and life safety in both the public and private sectors via the implementation 18 19 of a citywide program of fire alarm systems, fire 20 detection systems, emergency fire voice 21 communication systems and other related fire 22 preventative and fire preventative notification, 23 detection and extinguishing systems. includes systems located in facilities throughout 24 25 New York City, including office buildings, hotels,

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hospitals, schools, nursing homes, department stores, health clubs, dormitories, daycare centers and cabarets. The Fire Alarm Inspection Unit has a dedicated, highly experienced staff of 26. includes a director and deputy chief inspector, 3 supervisors, 4 clerks and 16 inspectors. past, we have had difficulty hiring inspectors for the unit because of relatively low starting salaries, a protracted hiring process and some stringent eligibility requirements. We had a limited applicant pool and a continuing inability to meet our headcount. This affected out ability to keep up with industry demand for fire alarm inspection during this decade's unprecedented construction boom. Related issues surfaced in a report by the Comptroller in June 2007. the department has begun to implement many of the recommendations contained in that report. now been able to get back up to headcount through an aggressive recruitment effort over the last two Filling these vacancies has enabled us to strengthen the management of the unit, including adding more supervisory inspections and streamlining the unit's record keeping. Among the

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management improvements we have made are: a new procedure manual for the unit; a new system for checking out files; a uniformed system for tracking inspections; improving the data we track and report to the Mayor's Management Report; training inspectors in the basic elements of field activity, routing and reporting; auditing 5% of the letters of defect we issue; and instituting regular supervisory inspections. Following the comptroller's audit we prioritized the clearing of the letter of defect re-inspection backlog, which at the time of the audit totaled 3,200. accounts in the backlog were additions and modifications of already existing and approved base building fire alarm systems. Since November 2007, we dedicated two inspectors to exclusively target the backlog. Nine other inspectors share the remainder of the workload. Through their efforts, we inspected and cleared 1,839 of those accounts and re-inspected and found violations at The 2009 business plan provides for the re-687. inspection of the remaining accounts not in compliance over the next year. We also have two other initiatives underway. We are creating a

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professional certification audit program for noncore additions and modifications to fire alarm systems. This is a voluntary program that we anticipate may free up as much as 25% of our current inspection workload. We are also revising our plan review process to include Department of Buildings' review of add-ons to fire alarms For example, additional strobes or smoke detectors. Another important step will be in the area of technological advancement for the unit. The FDNY has entered into a four-year, \$25 million contract, with IBM to enhance our computer capabilities to track inspections and improve the sharing of inspection data within the bureau and the department and with other city agencies that have inspection responsibilities, such as DOB and the Department of Environmental Protection. With these measures, we believe we are turning the corner and are now better able to keep up with our workload and meet industry demand. We have been successful in moving this unit in the right direction. We are confident that going forward this will continue. We were also asked to comment on Intro. 884-A, the novelty lighter bill.

his command.

2	remind the council of our interest in keeping
3	children from harming themselves and others by
4	starting fires. In 2007 alone, our fire marshals
5	investigated 71 cases of juveniles involved in
6	fire play in New York City, which caused 19
7	serious injuries and 2 fatalities. However, we
8	are advised that Congress has been considering
9	legislation that would ban the sale of novelty
10	lighters designed to look like toys. We think it
11	would be more prudent to allow the legislation to
12	go forward on the federal level to create
13	uniformity across the country. We remain open to
14	discussing this with the council. Thank you for
15	the opportunity to speak with you today. We will
16	be happy to answer your questions at this time.
17	CHAIRPERSON MARTINEZ: Thank you,
18	Chief. Who is the head of the Fire Alarm
19	Inspection? You're the head of the bureau, but
20	who's in charge of that particular unit.
21	THOMAS JENSEN: Let me ask Chief
22	Tobin to respond. The Fire Alarm Unit is under

RICHARD TOBIN: The Fire Alarm Unit is one of several units that reports to me. It

RICHARD TOBIN: That was the

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backlog, authorized overtime, securing the files and a number of issues that were in the report.

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What are the CHAIRPERSON MARTINEZ: number of inspections conducted per inspector?

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Tell me how the unit works. You have 16 men out in the field. At the end of the day what do you expect from them?

RICHARD TOBIN: That would best be given to you directly by the unit manager. That's one of seven units that reports to me. In the past year, we've had the Deutsche Bank fire. I have been the chief that's designated to head the CDA team that's being put together. I was the chief that was designated to represent the department at City Hall on the CDA work group. I was the chief that was designated to do several other things. The person who could best answer questions about the day-to-day operations of the unit would be Bob Rampino. He's right here.

CHAIRPERSON MARTINEZ: Identify yourself for the record.

ROBERT RAMPINO: The total number of inspections that a field unit inspector conducts has averaged pretty consistently, over the past few years, as 2.4 inspections per day.

Beginning with a morning inspection, which is an original inspection, which could be an inspection for a certificate of occupancy or a TCO. It's the

1	FIRE AND CRIMINAL JUSTICE 21
2	CHAIRPERSON MARTINEZ: How do you
3	keep track of the amount of hours that the
4	inspections are being conducted?
5	ROBERT RAMPINO: The inspectors
6	fill out time sheets. The information is data
7	entered. The clients are billed for inspection
8	services. All of those things tend to come
9	together to verify the amount of time that's
10	involved. We also perform supervisory inspections
11	in relation to each one of the inspectors over the
12	course of the year.
13	CHAIRPERSON MARTINEZ: What changes
14	have been made in terms of reliability of the
15	self-reporting by the inspectors, since we found
16	in the comptroller's report that oftentimes they
17	would inflate the amount of time that it took them
18	to do the inspection?
19	ROBERT RAMPINO: What happened
20	regarding the comptroller's audit is that we were
21	counting each and every inspection stop, whereas
22	the Mayor's Management Report we then instituted a
23	uniform count throughout the entire bureau. So we

inspection stops or re-inspections. The system 25

only counted complete inspections rather

2	that we're now using doesn't count re-inspections,
3	or no-access inspection stops. We were counting
4	them as inspection stops and as inspections.
5	CHAIRPERSON MARTINEZ: How often
6	did the supervisor go out to self-inspect the
7	inspection made by the inspector?
8	ROBERT RAMPINO: Apart from being
9	in contact with his inspectors every day, the
10	supervisor has to perform a minimum of six
11	supervisory inspections a year per inspector.
12	That's in keeping with the standards established
13	by the DOI and by the Fire Department.
14	CHAIRPERSON MARTINEZ: Do you think
15	these standards are sufficient for the amount of
16	systems throughout the city?
17	ROBERT RAMPINO: Yes, I do.
18	CHAIRPERSON MARTINEZ: It covers
19	even new construction?
20	ROBERT RAMPINO: Yes, it does.
21	CHAIRPERSON MARTINEZ: Within that
22	amount of inspections?
23	ROBERT RAMPINO: We have
24	supervisors going out to follow up on an
25	inspector's inspection six times a year.

ROBERT RAMPINO: A mall or a shopping center might entail innumerable inspections. In other words, many accounts. he would be responsible for one of those accounts. Who would be Those would be detailed to our other inspectors, depending on who So you're telling me that in one facility you may have an inspector doing the fire alarm. You may have another inspector responsible for the smoke detectors and another one you have for the voice? You might have multiple accounts in one location. You might 21 have multiple fire alarm systems in one location. 22 You might have additions and modifications to an 23 existing system. So an inspector would be 24 responsible for one of those applications, one of 25 those accounts.

of the time.

_	TIRE THE CRIMINIE SOUTH
2	CHAIRPERSON MARTINEZ: But that's
3	what you just said.
4	ROBERT RAMPINO: A supervisor would
5	go out and would perform a supervisory inspection
6	in relation to the one account that the inspector
7	was assigned for the day.
8	CHAIRPERSON MARTINEZ: I understand
9	that. I just want to be clear. It's not looked
10	at as the actual physical structure that you're
11	inspecting, but rather one application.
12	ROBERT RAMPINO: The one
13	application, that's correct.
14	CHAIRPERSON MARTINEZ: Let me go
15	back to the inspectors. I know the chief made
16	reference to that in his testimony in terms of the
17	difficulties and I want to come back to that. In
18	terms of the difficulty of hiring in this unit,
19	and you mentioned the issue of salaries, and I
20	want to touch on that. Can you tell us the
21	requirements to be a fire alarm inspector?
22	RICHARD TOBIN: The requirements to
23	be a fire alarm inspector in the City of New York
2.4	are very stringent. I believe they have to have

at least six years experience in the field.

THOMAS JENSEN:

to be able to keep up with.

It's a very heavy workload to expect 16 inspectors

Absolutely not.

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2	ROBERT RAMPINO: Since the end of
3	the comptroller's audit we've performed over
4	15,000 inspections.
5	CHAIRPERSON MARTINEZ: Is the 16
6	the number, the cap that you can't go beyond 16?
7	THOMAS JENSEN: We usually operate
8	with an allocation that hasn't been increased.
9	CHAIRPERSON MARTINEZ: What needs
LO	to happen to increase that? Is that departmental?
11	THOMAS JENSEN: I would say so,
L2	yes. We'd have to request additional resources.
13	RICHARD TOBIN: You have requested.
L4	THOMAS JENSEN: Yes, we have.
15	CHAIRPERSON MARTINEZ: I'm going to
L6	yield to Council Member Jimmy Vacca because I know
L7	he has another hearing and I'll come back with
L8	further questions. Council Member Vacca?
L9	COUNCIL MEMBER VACCA: I'm sitting
20	here very upset with this. I read today's papers
21	and now I see this. I have a ladder company on
22	City Island in my district that's now closed
23	during the evenings and I see that we have
24	opportunities to raise revenue that somehow are
25	going out the window. First of all, these jobs,

whether they pay \$48,000 or \$49,000, I think in
today's economy, there are qualified people who
would take these jobs because they cannot find
employment elsewhere. I think these jobs would go
pretty quickly. I don't think we're right in
assuming that we may have the difficulty we had
before in 2009 facing what we're facing. When we
have a backlog, we have an opportunity to bring
revenue into the City of New York and we're not
utilizing and then I'm being told that we have to
risk life and limb of people because the fire
department has no way to meet the gap, I think
it's totally irresponsible. I'm upset over this
whole process. Now, you said in 2005, you upped
your revenue to \$2 million.
THOMAS JENSEN: That is correct.
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COUNCIL MEMBER VACCA: Is that

still \$2 million? Is this consistent in 2006 and

2007?

> THOMAS JENSEN: We've been pretty consistent over the last few years. It's approximately \$2 million.

COUNCIL MEMBER VACCA: If the backlog was cleared up, what are we looking at?

2	How much in revenue? Is it a doubling of that or
3	more?
4	THOMAS JENSEN: No, I wouldn't say
5	that. I think in order to address the backlog and
6	meet the demand that we face in the present, we
7	would probably need four additional inspectors,
8	another supervisor and a clerk in support. Then
9	we probably could, with what the numbers are, we
LO	would be able to meet our mission goals.
11	COUNCIL MEMBER VACCA: What are the
L2	fees you charge?
L3	THOMAS JENSEN: It's \$210 an hour
L4	for inspection services.
L5	COUNCIL MEMBER VACCA: Are these
L6	establishments required to have yearly
L7	inspections?
L8	THOMAS JENSEN: No, they do not.
L9	It's only upon installation.
20	COUNCIL MEMBER VACCA: Only upon
21	installation for new premises?
22	THOMAS JENSEN: That is correct.
23	COUNCIL MEMBER VACCA: When you
24	inspect do you make a referral to the Buildings
25	Department? Is the Buildings Department aware of

three months before they can get one.

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JULIAN BAZEL: Julian Bazel, counsel, let me just address that briefly.

not there was an audit. I have no way of knowing.

two agencies and where the public's right to know

I don't see how there is an analogy between the

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comes in.

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There's a couple of different things going on The fire department has never had selfcertification the same way that the Department of Buildings has, which is where they accept a certification from an engineer or an architect in lieu of actually conducting an inspection. At present, the fire department inspects all new installations and may issue a letter of defect, which may or may not affect the actual function of the system, but reflects defects in the installation. And thereafter, they may accept signoffs subject to an audit. We do an initial inspection. What's under discussion now in order to reduce the backlog and to enable the unit to focus its resources on what are considered to be the more critical needs an expedite the process of getting C of Os and TCOs is to allow a true professional certification program only for noncore components of the fire alarm system. essentially what the unit would do is focus its efforts on the major installation such as the risers, the control panels and the core systems associated with the essential safety components and not attempt to inspect every single

installation, such as if they have to relocate a strobe from one part of a room to another. Or, if there are build-outs, which are the less critical components. They're important components, but not the essential components. We would allow professionals to certify. This was something that came up during our code revision process that the industry very aggressively pushed for in order to reduce the workload and then the backlog. poised to develop a rule. That initiative will be associated with an audit policy and hopefully one that we'll be able to devote additional resources in order to make sure that there is sufficient oversight of that professional certification process.

at a point where the fire department has qualified people looking at every location. I'm concerned about self-certification when we talk about fire prevention and extinguishment systems in hotels, nursing homes, schools, health clubs and dormitories, daycares and cabarets. I'm concerned about people self-certifying anything. Even that term frightens me. I want a professional person

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to go in there and make sure. If that means that we have to hire more people or we have to raise the fees, then we have to do that to guarantee the public safety. I'm talking out loud to you. wondering to myself why this function isn't rolled over into the Buildings Department. Did we ever consider rolling this over into the Buildings Department and having that agency do this as part of a C of O process? That would seem to make sense to me. I throw that out to you. I mean not that anybody here is in a position of policy making where you can make a decision. But I throw it out to you because the audit from the comptroller is terrible. I see the audit from the comptroller and you say you agree. That's what everybody says when they get an audit from the comptroller. They agree. But the audit found what it found. The findings are damning. really think that this requires further discussion and oversight from this council. I do, again, come back to the point where this is a revenue producing aspect of our city. Certainly it has not performed or been managed the way it should If there was an alarm to be sounded, we

2	should have sounded it. This council should have
3	known. There should not have been a comptroller's
4	report that necessitated this type of an alarm
5	system when it comes to public safety and when it
6	comes to loss of revenue and management. I think
7	that this is what I see here. I'm certainly going
8	to discuss this in light of my own neighborhood
9	situation, which I do not think has to exist. The
LO	more I learn, the more I see the reality. Thank
11	you.
L2	CHAIRPERSON MARTINEZ: Thank you,
13	Council Member. You mentioned that once an
L4	application is filed for a new building, it's
L5	usually a month before the inspection is
L6	conducted.
L7	THOMAS JENSEN: That's the way it
L8	should be.
L9	CHAIRPERSON MARTINEZ: How is it?
20	THOMAS JENSEN: Well, these days it
21	takes approximately three months.
22	CHAIRPERSON MARTINEZ: What's the
23	average time between the issuance of a letter of
24	defect and a follow-up inspection?

ROBERT RAMPINO: That should be 90

will go off.

ROBERT RAMPINO: It's a question of resources, Council Member.

25 CHAIRPERSON MARTINEZ: So it's a

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question of resources, but you're telling us here
that in the City of New York at any given time we
could be in a building where the fire department
issued a violation order on the system and it's
going to be a year later before we go up there to
inspect again

ROBERT RAMPINO: Not necessarily.

But it could be more time than we'd like. It

could be more than that 30 days.

CHAIRPERSON MARTINEZ: You just finished saying a year.

ROBERT RAMPINO: I said that it could be a year.

assertion made that the unit had not developed a formal procedure to follow up when recording requests for inspection. Tracking these requests, conducting inspection and following up on the inspection. As a result, the inspections were not conducted in a timely manner and the unit did not always follow up the letter of defect. Obviously, you just finished saying that. In those instances where the unit did not follow up, it did not generally do so within the formal timeframe. You

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City that in essence is putting at risk the lives
of New Yorkers. If you're in a high rise and one
of your inspectors go into that high rise and the
voice system is not working, you're telling me
that's going to take a year before a follow-up
inspection is done to see is this is corrected.

ROBERT RAMPINO: Most of the violations are issued in relation to letters of defect. They may not be major items. We do require that the client follow-up on even minor items.

CHAIRPERSON MARTINEZ: But you don't know that because you're not following up yourself.

ROBERT RAMPINO: We're not following up in as timely manner as we would like. That's correct.

CHAIRPERSON MARTINEZ: So within a year timeframe you're telling me that if you go in this building in January '08, it could be January of 2010 before you realize that the fire system here at 250 Broadway is functional, or if the owner of the building actually did the corrections. It's going to take you a year later

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of find out.

ROBERT RAMPINO: It may take us longer than we would like. Could it be a year in a given instance? The answer to that is yes.

CHAIRPERSON MARTINEZ: You were going to say, Chief?

THOMAS JENSEN: I'm trying to figure out exactly the scope of what you're talking about. If it's a violation it follows the letter of defect. When they're inspecting a system, if it's that serious of a violation, a letter of defect cannot be issued, a violation order is issued, which would not allow them to have a certificate of occupancy. A letter of defect does not rise to the level of a violation order. A letter could point out something like a strobe light not installed according to the plan, such as it being 18 inches over or 30 inches down rather than where the plan calls for. That would result in a letter of defect. If one of your detectors in the system wasn't working, you would get a letter of defect. The systems by their very nature are built to be redundant. That one defect would not be a life-threatening situation because

1	FIRE AND CRIMINAL JUSTICE 42
2	there are other detectors that would pick that up.
3	So a letter of defect, in 99% of these cases and
4	maybe more, would be a less than life-threatening
5	situation.
6	CHAIRPERSON MARTINEZ: And a letter
7	of violation?
8	THOMAS JENSEN: A letter of
9	violation is more serious.
10	ROBERT RAMPINO: What he's saying
11	is absolutely correct. We expect the clients will
12	even follow up on that one small item that isn't
13	life-threatening. So eventually a violation will
14	be issued for that as well.
15	CHAIRPERSON MARTINEZ: Chief, can
16	we get back to that? That's an important
17	clarification you're making. Can you also do the
18	same with the violation order? The issue is more
19	in terms of the timeframe that the department has
20	established in terms of following up with
21	inspections. Already we know you're about a year
22	delayed on the letter of defect. The violation
23	order is the more severe one, correct?
24	THOMAS JENSEN: Correct. If I gave

you a violation order for something in this

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2	building that affected the alarm system, we would
3	also issue and order saying that you had to either
4	institute a fire watch, a fire guard, or another
5	system. We wouldn't just let the building be
6	occupied if there was no system operating. It
7	just wouldn't happen. There would have to be
8	something in place to protect the people.
9	CHAIRPERSON MARTINEZ: How will
10	ensure that?
11	THOMAS JENSEN: There's follow up.
12	There has to be follow up.
13	CHAIRPERSON MARTINEZ: He just
14	finished saying that every follow-up is delayed.
15	THOMAS JENSEN: Not every follow-
16	up. I think that you have to take into
17	consideration the severity of the violation.
18	RICHARD TOBIN: Maybe I can clarify
19	one thing because there may be a little bit of
20	confusion here. A violation order is an
21	enforcement instrument that as a commissioner's
22	order directs someone to correct a condition.

What I think the confusion is here is that if we

go out and observe a serious violation, we will

issue a violation order that will immediate direct

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and forthwith an appropriate timeframe to take the necessary steps to return the system to a working order. It will require, in appropriate cases, the posting of fire guards and we may do surveillance. Have the local company or otherwise have people doing surveillance of the property to make sure that steps are being done to correct this dangerous condition. I think what Bob Rampino is talking here is that if the letters of defect, which are considered to be non-critical are not corrected within an appropriate period of time, a violation order will also be issued. violation orders is because they haven't corrected the less serious violations which do have to be corrected in a timely fashion. When those are not, then we would issue a violation order. think what Bob was indicating earlier, if I understood him correctly, was that we may not get out to conduct a re-inspection of those kinds of violation orders. But the serious violation orders, it's my understanding we would be responding to very promptly.

CHAIRPERSON MARTINEZ: What's promptly? When you issue a violation order, how

1	FIRE AND CRIMINAL JUSTICE 45
2	often do you go out? How fast do you go out to
3	re-inspect on the violation?
4	ROBERT RAMPINO: It depends on the
5	violation.
6	RICHARD TOBIN: If it's a forthwith
7	violation, it's 24 hours.
8	ROBERT RAMPINO: Exactly.
9	CHAIRPERSON MARTINEZ: If it's a
10	what?
11	RICHARD TOBIN: Forthwith violation
12	they have 24 hours to correct it.
13	THOMAS JENSEN: That's correct.
14	CHAIRPERSON MARTINEZ: So what
15	other type of serious violation orders? Do you
16	have one that would take 24 hours? And what are
17	the other ones?
18	ROBERT RAMPINO: You have others
19	that might be a minor item on a letter of defect
20	that is not life-threatening. It might be two
21	smoke detectors in a ceiling with 100 smoke
22	detectors. It's not going to be life-threatening,
23	but they should be corrected.
24	CHAIRPERSON MARTINEZ: We're mixing
25	two things here. Because you have the order of

2	defect. So if we didn't respond to the order of
3	defect it now becomes an order of violation. I'm
4	not interested in that. We cleared that up. You
5	issued an order of violation.
6	ROBERT RAMPINO: After the letter
7	of defect is what you're saying?
8	CHAIRPERSON MARTINEZ: No.
9	THOMAS JENSEN: No, he's talking
10	about the serious issues.
11	CHAIRPERSON MARTINEZ: You came
12	into this building and you found a serious
13	violation. Not because you issued a previous
14	order, but you came into the building now and you
15	found some serious violation. How long does it
16	take
17	ROBERT RAMPINO: [interposing] And
18	the system wasn't working, we'd go back
19	immediately and we'd call for the issuance of fire
20	guards.
21	CHAIRPERSON MARTINEZ: How many
22	violation orders are issued on a yearly basis?
23	ROBERT RAMPINO: Probably about
24	1,200.
25	CHAIRPERSON MARTINEZ: Out of those

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2	1,200,	how	manv	are	re-inspected?

ROBERT RAMPINO: We'd like to reinspect all of them this year.

CHAIRPERSON MARTINEZ: I'm not asking what you would like to do. I'm asking you how many.

ROBERT RAMPINO: I don't know the answer to that question offhand. I could get back to you on that. I really don't know.

THOMAS JENSEN: Maybe I can clear this up. I think you're asking about a system operating like in this building, if the system went down. There is a procedure. The owner of the building, or his designee, which is called an impairment coordinator, he immediately must call the fire department to report that the system is not functioning. A unit would go over to inspect Not the inspection unit at headquarters. They basically inspect brand new installations before people are even in the building. The regular fire department unit, engine or ladder company would go over and would immediately give violation orders, 24 hours to repair the system and place fire guards until it is repaired. We're

2	really talking about two different systems here.
3	It's the initial installation before people are in
4	the building, before there's a C of O issued that
5	the Fire Alarm Unit at headquarters takes care of.
6	If there's a system down in a building while the
7	building is occupied, the local fire department
8	unit goes over and they take immediate action and
9	give immediate violations. It's two different
10	systems.
11	CHAIRPERSON MARTINEZ: I understand
12	that. I'm glad you clarified that. So when you
13	have the local firehouses going in there and doing
14	an inspection and finding a violation, who follows
15	up?
16	THOMAS JENSEN: They do. If the
17	system is down 24 hours
18	CHAIRPERSON MARTINEZ:
19	[interposing] Not this unit?
20	THOMAS JENSEN: Not that unit, no.
21	That's basically an inspection of the initial
22	installation of the unit normally before people
23	are even in the building.
24	CHAIRPERSON MARTINEZ: So, Chief,
25	that's a good point you bring up and I'm glad you

clarified that. Let's go back to what was said earlier. So I'm in a commercial building and there are multiple applications and two or three of those applications have violation orders.

THOMAS JENSEN: If it's an initial installation and the violation orders are issued, which means it's serious, the building doesn't get a C of O and it can't be occupied until they're cleared. We actually terminate the inspection and leave and then tell them to reschedule when it's fixed.

CHAIRPERSON MARTINEZ: I want to stay on that same line. So we find that but then does it fall through the gap that a supervisor comes in but that supervisor is only responsible to supervise one of those applications. Does it stop all of the applications? Did you get that?

THOMAS JENSEN: No.

CHAIRPERSON MARTINEZ: I'm trying to go back to what was said earlier. Supervisors are responsible to go out to the field six times a year. In a building we may have several applications for inspections. That supervisor is only going to go into that building that has five

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2	applications and supervise one of those
3	applications. They don't go out after all of the
4	applications that are out there. Is that correct?
5	ROBERT RAMPINO: That's something
6	that very rarely happens. The supervisor would go
7	out. Whatever the activities of his subordinate
8	that day would be reviewed by that supervisor. If

there were multiple accounts in a building and
that inspector was going to inspect all of those
accounts, the supervisor would oversee what he was

doing that day, even if it was the multiple

accounts. If it was multiple accounts, they'd be

smaller jobs and the supervisor would be free and

available to do that work.

CHAIRPERSON MARTINEZ: We've been joined by Council Member Mathieu Eugene from Brooklyn and Eric Gioia. Council Member Tony Avella has guestions.

COUNCIL MEMBER AVELLA: Thank you,
Mr. Chair. I'm sorry, I had to step out for a

vote at the Land Use Committee. I've got to tell
you that I'm shocked by what I hear, absolutely
shocked. You're in charge of this unit, correct?

25 ROBERT RAMPINO: Yes.

25 COUNCIL MEMBER AVELLA: So there

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are	no	firefighters	under	that	title	in	this	unit?

ROBERT RAMPINO: No, sir.

COUNCIL MEMBER AVELLA: What are the qualifications for people to be an inspector in this unit?

ROBERT RAMPINO: You have to have at least six years of experience in the fire alarm industry, very often that involves installations. You could be an electrician technician. You could be an electrical contractor. Very often an applicant for the job would have a B.S. in electrical engineering.

COUNCIL MEMBER AVELLA: I know the chair has gone over this a number of times. I'm sure my colleague Jimmy Vacca did. But I've got o tell you, I'm confused to the different levels of violations. Is there one protocol that shows the level of severity from beginning to end, from the simplest violation to the most serious? It's interesting that you keep giving a different answer based upon the type of question that's being answered. But I haven't heard the clear cut answer. What is the simplest violation to what is the most complicated?

2	ROBERT RAMPINO: We do have a
3	protocol in relation to the core system, or the
4	essential components to a fire alarm system. We
5	make use of that when we cancel an inspection and
6	issue a violation. That has to do with the fire
7	command station, the panels, any mediating panels,
8	data gather panels, elevator recall, fireman
9	service and things of that nature. It would
10	automatically result in the cancellation of an
11	inspection if those components were not in proper
12	working order. So those are the items that would
13	be focused on as very significant and very
14	important. Things that would be less important
15	would be like the placement of audio/visual
16	devices, for example.
17	COUNCIL MEMBER AVELLA: Again,
18	going back to my question, do you actually have
19	the protocol that spells all of this out?
20	ROBERT RAMPINO: We have a
21	memorandum of understanding as to what the base

memorandum of understanding as to what the base building system is. We do have a protocol as to what would result in the cancellation of an inspection based on the seriousness of the system components. We can zero in on all of that very

be honest. I'm baffled by that myself.

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understanding is that there are clear cut standards for what rises to the level of a violation. That's what you're asking isn't it. It's simple and clear cut. There are clear standards. If the enunciated panel does work, that rises to a violation. You cannot issue a certificate of occupancy. If the elevators do not recall to the lobby when they're supposed to, that results in a violation and you cannot get the certificate of occupancy. As a matter of fact, the inspection stops. You tell them it's over and you're not ready. You've got to remember that what the Alarm Unit does is the initial inspection of the building for a certificate of occupancy and the add-ons. Say a company moved out and another company moved in and did extensive work and they added on systems or added a vertical addition to the building, or a horizontal addition where you expanded the basic spine, then this unit would go out and do that inspection. Certain violations, or defects in the system would result in a violation order. There is a hierarchy of what There's a specific hierarchy. they are.

COUNCIL MEMBER AVELLA: He doesn't

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seem to think there is one.

THOMAS JENSEN: Well, he's very confusing when he speaks. That's all I can tell you. There is a hierarchy.

COUNCIL MEMBER AVELLA: Chief, could you get us that?

THOMAS JENSEN: I'll get the hierarchy to you. I'll get you exactly what the procedure is so that you will understand. We have it. We have a manual. The inspectors are trained and they are aware of it. It is not an ambiguous area where they can go out and just pick from Item B or Item Z. They're not grocery shopping.

COUNCIL MEMBER AVELLA: I'm sure from your level you hope that's the case.

THOMAS JENSEN: I know that's the case. There are specific guidelines laid out by the NFPA. There are specific guidelines which we follow. We don't just let you go into any building and send people out where they can pick willy nilly. We do have bam, bam, bam, and this is no good and it's a violation. I can understand your concern because when I listened to it my head was spinning. I admit it.

2	COUNCIL MEMBER AVELLA: I have one
3	more question and then I'll turn it back to you,
4	Mr. Chair. I think Jimmy Vacca mentioned the
5	self-certification process. There are building
6	owners who self-certify their plans and the
7	Department of Buildings will just approve it.
8	This has been a huge cause of abuse throughout the
9	entire system. There are many of us, including
10	myself, who believe self-certification should be
11	thrown out. Is your unit going by self-
12	certification? In other words, somebody signs a
13	professional certification, an architect or the
14	building contractor, saying we've installed
15	properly the fire alarm system. Is that accepted
16	as is?
17	THOMAS JENSEN: The answer right
18	now is no. As Chief Tobin explained, whenever
19	there's an original installation or the add-on
20	installation, this unit does an inspection.
21	However, what came up during the code revision

However, what came up during the code revision process is that this unit has historically had a backlog. Given the fact that this is the only pre-C of O inspection that the agency does and when there's a construction boom it's really

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important for the inspections to be done promptly so it doesn't hold up the building's occupancy, the industry strongly advocated that we make some distinctions between certain kinds of installations and other kinds of installation and allow professional certification of the less critical installations. That's what I was explaining before is that we continue the proposal, which is moving towards a rule. It is not a final decision. It's still under discussion. But when we developed the code, we did get a narrow grant of authority from the council for non-core components of a fire alarm system, such as the strobes and various build-out components that do not constitute the spine or the electrical closet where all the wiring is. will continue to inspect the core components as we However, we are considering or moving towards a proposal that will an allow an architect or a engineer to certify these add-ons so that it would free up the resources to focus on getting the inspections done on the core system. Some may have called this self-certification, but it's not really self-certification. The only other aspect

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of this is that if we issue let	tters of defect for
one of these initial installat:	ions, there is a
process by which the owner can	certify compliance
or correction of those less cr	itical defects in
writing to us, I believe it's b	by affidavit and
that we check on them through a	an audit process.
It's similar to what we do with	h an environmental
control board notice of violat:	ion.

COUNCIL MEMBER AVELLA: My last question is directed to you, Chief. In your opinion, and you don't have to answer this if you don't want to, would it be better to have a firefighter do these inspections as opposed to just an inspector? If you had the resources.

THOMAS JENSEN: A firefighter?

COUNCIL MEMBER AVELLA: Yes, a

firefighter who has been trained to investigate

fire alarms.

THOMAS JENSEN: We've been down this road years ago with the City Council. The City Council were the ones who civilianized this bureau. To get firefighters to come into this job is not easily done. We've been through this with the unions.

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We've been through it numerous times when I was an The head of the Fire Officer's Union attempted to get fire officers to come to fire prevention. It's not easily done. The average firefighter does not have the training. substantial training. You're talking about six years in the industry. We're not taking people who are just off the street. The way he made it sound when he said it was a lousy economy and you're going to get these people to come. don't get them to just come. We've posted and posted and posted. The economy may be down, but all of these systems in the city still require new installations, they're being overhauled any time they work on these systems. There's plenty of work out there for these guys. We don't see a lot of them lining up to take these jobs. training firefighters that would take six year's experience. Most firefighters want to be on the fire truck. They don't want to be down in fire prevention. Those that would are the ones on light duty. And those are the ones that are going to retire or they're going to leave. So it's not going to be a job that we're going to have

RICHARD TOBIN: He can't occupy the

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building without correcting it. So the owner is
looking to get this done as expeditiously as
possible. He's pushing on the electrical
contractor or whoever does his system to get this
done because he wants to get people in. Nobody
wants to build a building and not make money off
of it. He can't make money unless he gets people
in. He can't get people in unless we sign off on
the certificate of occupancy. With violations he
can't get that. So the owner is anxious to get it
done. Because of the backlog, we have people that
are constantly calling trying to get their
inspections done. Part of the problem is they
anticipate that it will be backlogged, so they
call and schedule inspections before they're
actually done. Then we send inspectors there and
they're still not done. So this is not as easily
resolved as it seems.
COUNCIL MEMBER EUGENE: So we are

COUNCIL MEMBER EUGENE: So we are talking about an empty building.

RICHARD TOBIN: Yes, empty

buildings.

COUNCIL MEMBER EUGENE: What is the process for an occupied building when you conduct

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an inspection?

RICHARD TOBIN: Are you talking about if the system were to go out of service?

COUNCIL MEMBER EUGENE: Yes.

RICHARD TOBIN: If the system goes out of service in an occupied building, under the Fire Code, building management is obligated to notify the local fire company that the system is down. The local fire company does an immediate inspection. They do the inspection. They find out whether the system is down. If the system is down, they order fire guards and they give them a forthwith violation. They may give them up to 15 days to get the system working. Usually it's forthwith to restore the system to working order. Until the system is restored, they have to have fire guards in the building. They have to place placards on everything that's out of service. It's responded to immediately. It's not responded to by this unit. That's what Chief Jensen was explaining before. It's responded to by the field units of the New York City Fire Department, the engine companies and the ladder companies that are out there in the different boroughs.

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2	COUNCI	L MEMBER	EUGENE:	Thank	you.
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If they have 15 days to correct the problem, anything can happen in 15 days.

RICHARD TOBIN: Normally it would be a forthwith, depending on the condition. A violation order could be forthwith, which gives 24 A violation could be 15 days depending on the condition. It could be anywhere within that timeframe. It if was a serious violation it would be forthwith. Get people on the scene and having them working within 24 hours to restore the system. Depending on the nature of the system, it might take them three days. In that three day period they would have to provide fire guards on the floor. They'd have to take some kind of They wouldn't be able to occupy the action. building unless they took some kind of remedial action that the fire department believes would address any risk.

COUNCIL MEMBER EUGENE: Thank you very much. Thank you, Mr. Chair.

CHAIRPERSON MARTINEZ: Thank you.

Chief, I want to go into some of the assertions
that were made in terms of the reliability of the

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system for tracking requests for inspection and
the actual inspection conducted by the unit. What
has the department done to remedy the situation?
What corrective measure has been implemented?
There was some allegations that there was no
reliable system for tracking requests for
inspection or actual inspections conducted by the
unit.

RICHARD TOBIN: In general we tightened up all the oversight procedures in the unit. We have an inspection coordinator. Barry Brown, don't you do the inspection coordination? The scheduling and all? You do the scheduling don't you? He had been. Who's doing the scheduling now? Alex Spector [phonetic]. address these issues, we specifically tightened. We made a business plan. Under the business plan we have specific people who are tasked with specific items so that we wouldn't have these things fall through the cracks. I can get you a copy of the business plan. The business plan was developed. It followed strict guidelines for a business plan. We made accountability one of the key issues of that plan. It was to address what

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the comptroller's report talked about.

3 CHAIRPERSON MARTINEZ: The

allegation of record keeping and practices in the union in terms of being inadequate, such as inspection document lacking; request for inspection documentation was scattered; and the file cabinet containing essential inspection files was unsecured. What effort has the department made to remedy these problems?

RICHARD TOBIN: Every one of those steps was addressed. We agreed to that. locked the cabinets. The cabinets are locked at all times when they're not working on the files. You cannot enter the third floor. You just can't walk into the third floor. You have to be let in through security. When you get there, you have to come in through the desk. You have to be buzzed The Fire Alarm Unit works in a specific area. Everything now is in a charge out. You can't have folders. In the past, folders would be taken out. Somebody would be working on it and leave it at their workstation locked up above. Now when you take this folder out there's a checkout system, much like they have in the public library.

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There's a card system. We instituted a card	
system. If you take this folder out, we have a	
clerk who knows you took it out. It's logged that	эt
you took it out and you have to log it back in.	
So we put in a control for that. We put in a	
control for the SOP. We wrote a new SOP manual.	
Every member of the unit was given the SOP manual	1.
We increased their training. Every member of the	9
unit was sent for updated training on the fire	
prevention information management system and the	
need to input the inspection into there. They	
received the latest training. I believe every s	ix
months we have updated training. We took	
aggressive steps to address specifically what the	3
comptroller cited.	

CHAIRPERSON MARTINEZ: What about the allegation of inadequate reporting in terms of the number of inspections conducted.

RICHARD TOBIN: He alluded to that. That was a count. You have to understand how the fire department does its inspection count. A unit goes out to 250 Broadway for an inspection. They get there and the electrical contractor isn't there. The inspector still went out to the site.

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He was there and he was tying up his time. took a mark for an inspection. It was an incomplete inspection. The problem we had was the unit wasn't differentiating between incomplete inspection and completed inspection. As part of the agreement now, under the Mayor's Management Report, the only inspections that are reported to the Mayor's Management Report are completed inspections with an asterisks and a note of how many attempted inspection so that we show the units out there working. It's not the unit's fault if there's an appointment at 9 o'clock in the morning and they get there and the contractor is not there. Sometimes we have inspectors standing around for an hour in the lobby waiting for somebody to show up. So these were some issues where we had to account for the productivity of the unit and indirectly the comptroller felt it was misleading. So from this point forward we will address it as completed inspections and the other with an asterisks as visits.

CHAIRPERSON MARTINEZ: In what manner did the department address the need to

2	segregate the duties of reviewing request forms
3	and related inspection documentation, as well as
4	scheduling among different staff members?
5	RICHARD TOBIN: That was the
6	segregated duties.
7	CHAIRPERSON MARTINEZ: Can you
8	speak to the mike?
9	ROBERT RAMPINO: The segregated
10	duties we decided would be dependent on whether or
11	not we were able to get an additional clerk and
12	actual work space down on the first floor. That's
13	something that we're still working on. That's one
14	item that hasn't been accomplished.
15	CHAIRPERSON MARTINEZ: I'm sorry,
16	Chief, let me go back because you mentioned the
17	space and where you work from. Are you located at
18	MetroTech?
19	RICHARD TOBIN: Yes, sir.
20	CHAIRPERSON MARTINEZ: We've got to
21	get you more space at MetroTech.
22	RICHARD TOBIN: Right now we're
23	fighting tooth and nail to get space on the third
24	floor. The CDA unit that we're establishing we're
25	probably going to hang them off the ceiling. We

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process?

2	don't	know	where	we're	going	to	put	these	people.
3	Space	is a	real 1	probler	n.				

CHAIRPERSON MARTINEZ: In what way

has the department enhanced the FPIMS to create

data reliability to monitoring the inspection

RICHARD TOBIN: The FPIM system is a COBOL based system. It operates on a Unisys platform. It's an old system. It's antiquated. It was a building system.

CHAIRPERSON MARTINEZ: But you mentioned some new technology though.

RICHARD TOBIN: Right now the new technology is the IBM. The fire department entered into a contract with IBM. The mayor's office was fully supportive. They pushed it through OMB for us. It's a \$25 million contract. It's a four-year implementation phase where eventually the whole department will be using handheld computers. The data will go into a central data warehouse. It will be stored at that location. It will automatically prompt them when the re-inspections are due, have a schedule of where they're going and everything will be

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automated. Data sharing will be going across from the Buildings Department, HPD, et cetera. It's a very, very aggressive undertaking. We're in the first phase of it now. We've got the big data warehouse. That has been developed. Working with IBM we have a parallel project going in the Fire Alarm downstairs on the third floor, which is know as that FARR Project. It's a handheld computer program. The FARR stands for field activity routing and reporting. It's still being pulled together. It's not ready to piloted yet. We have IBM people there working aggressively developing the workflow and understanding how the work gets done and laying everything out. They're putting the standard form of orders into these handheld computers so that the inspector is able to go out there. One of the concerns that you had about if an inspector sees items such and such, it will now be in the standard form of orders. There is no question that this is a violation. This cannot be treated any other way.

CHAIRPERSON MARTINEZ: When do you expect to have the system up and running? Do we have a target date?

2	RICHARD TOBIN: It's going to be
3	phased in. It's going to be phased in as a pilot.
4	The FARR Project is exactly that, a pilot. It's
5	been going on for a while. There's a lot of
6	roadblocks. Right now I can tell you the
7	milestones. We're trying to achieve certain
8	goalposts. We're behind schedule on some of that.
9	It was a lot more complicated than we originally
10	thought. As far as the bigger project, the IBM
11	project, which FARR will eventually be consumed
12	by, it's still a four-year implementation.
13	CHAIRPERSON MARTINEZ: Four years?
14	RICHARD TOBIN: Four years for
15	completion where the department would have it up
16	and running in its entirety. That's the goal.
17	CHAIRPERSON MARTINEZ: That's the
18	entire department, correct?
19	RICHARD TOBIN: The entire
20	department.
21	CHAIRPERSON MARTINEZ: Within that
22	four-year period, when do you foresee this coming
23	to the unit?
24	RICHARD TOBIN: To this unit?
25	CHAIRPERSON MARTINEZ: Yes.

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RICHARD TOBIN: This unit was not included in the pilot. So I would say it's a couple of years before this unit will be in.

CHAIRPERSON MARTINEZ: Is there a reason why they weren't included? These are men that are out in the field conducting hands-on inspections. It's just much easier to keep track of.

RICHARD TOBIN: This is a pilot project. We are looking to put a reliable plane on the street that runs, is functional and works the way we want. We're not looking to come out of the hangar with an F-18 immediately. This unit has much more complex workloads than district offices. District offices go out and it's very basic. They sign off on permits. They're not involved with electrical contractors. They're not involved with other agencies. They're not involved with some of the issues we are. It's a lot more complicated to put this unit into this. Eventually, yes, but we really have to get the first model up and running. We're not going to have the completely finished unit for a couple of years.

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CHAIRPERSON MARTINEZ: I agree with
you. I think that we need to put our heads
together because it is a complicated unit. But
again, it's a unit that deals with the daily lives
and public safety.

RICHARD TOBIN: Every one of our units to some extent.

CHAIRPERSON MARTINEZ: Because of some of the perceptions that are out there pertaining to the unit and the comptroller's report. I understand that as a new supervising chief in the department you're doing the best you can to address many of the concerns and the issues highlighted by the comptroller's report. But there needs to be some sort of technology so that when we do have inspectors out in the field we have more reliability and transparency in terms of the issues of inspections.

RICHARD TOBIN: I think we've taken steps where if you see the paperwork that's in, I think you'll see it's very transparent. There's no smokescreen out there. It's not nearly as ambiguous as it sounds. If you want to, I'm more than willing to have you come to the third floor.

I'm more than willing to have you come out and actually shadow an inspector. I'm more than willing to have you come and see how the workflow is done. There's nothing here that isn't transparent. There's nothing here that isn't addressed. But the fact is, this unit and all of the other units are going into this but technology doesn't happen like that just because a comptroller's report came out. This is a process that's been going on. When we put it out there, we want to make sure that it's actually something that works. It takes time. This unit is more complex than some of the other units.

CHAIRPERSON MARTINEZ: I agree.

aggressive steps to make sure that their workflow is accounted for. We've taken very aggressive steps to make sure that their time in the field is accounted for. Approximately 80% of their actual time is spent doing inspections. They don't have vehicles. There are no vehicles available to these people. These people are coming in and they're taking the subway or they're taking busses. When they're out in Queens you don't get

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from Point A to Point B like that. There's a lot of stuff that's involved. And still 80% of their time at work is done on actual inspections. understand the concern, but I don't think that the unit should get the living hell beat out of it because there's a lot of stuff that's gone into this unit. I know because this unit has kept me up night after night after night. We have aggressively addressed the concerns. It's very easy to sit there and say you should be able to get this or that. It doesn't happen that way in the real world. We are taking very aggressive steps to address this unit. We are very aware of the critical nature of what the work is. That's all I can really say.

CHAIRPERSON MARTINEZ: Council

Member Avella alluded and you responded to some concerns about a formal protocol. How does the department familiarize its supervising inspectors of the unit with the responsibility regarding oversight of inspection as outlined in the FDNY manual? The field personnel activity report and oversight requirements.

RICHARD TOBIN: That's all part of

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the standard operating procedures manual. In reply to the comptroller's report we sat down, updated and issued a newly revised standard operating procedure.

CHAIRPERSON MARTINEZ: Can you share that with the committee?

RICHARD TOBIN: I can share every single thing with you. I am more than willing to have you come in. I think you should come in. think that some of the stuff you're relying on, such as Chang's report and the other people that reported. Darrel Ellis [phonetic] has been convicted. Singh has been terminated by the department. Matthews has been terminated by the department. If you're going to go on nothing but that testimony there you have to understand who these people were that put a lot of this stuff out there. If you want to talk about their stuff, I have emails. But the point is, I think you should see firsthand what the unit is doing. I think you should take the time and do due diligence to actually come and see what they're doing. Maybe you can see where the shortcomings are and help us address them. But we are making very aggressive

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moves to do the same.

CHAIRPERSON MARTINEZ: Chief, with all due respect, the purpose of this oversight is not to make accusations of the men and women that are out there doing their jobs, but rather looking at a report made by the comptroller's office, an executive office of the City of New York. We have a responsibility to address these reports coming out from the comptroller.

RICHARD TOBIN: I agree with you.

CHAIRPERSON MARTINEZ: I think that a lot of progress has been made with this oversight in terms of getting some clarity in terms of the functioning. Usually when we have our oversight we're dealing with larger broad issues of the fire department. In this instance we're talking about a unit of 26 members and 16 field officers. The work that they do is so critical and important that sometimes we miss out on having these type of discussion unless a report comes out telling us it needs to be looked at. chair of the committee, I'm glad that you've taken some concrete steps in addressing the report that the comptroller came out with. You already

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mentioned that you will be sharing some of the guidelines and procedures that you already put in place. We haven't received it, so I'm glad you're going to make that available. I thank you for the offer of having a field trip to the office to see some of the new procedures that you've implemented, particularly when it comes to the record keeping, which is one of the most concerning issues coming up from the comptroller's office. We're not relying on a news report. We're relying on factual documentation and the department itself acknowledges that there have been some problems. As I mentioned, you are addressing them. However, it is also a fact that when you do have members who used to serve in the unit, and one member who is currently in the unit whose identity was not disclosed, making these type of allegations, we also need to address it. This committee has been very respectful because we understand the value that the fire department has to the city. We've been very respectful and careful in addressing any issue of corruption in the department. But I do have to ask what you have to say about those charges of corruption.

I'm not particularly interested in any individual, but overall with the unit.

RICHARD TOBIN: This addresses nothing but that report. This is a synopsis from day one when Bob Rampino took this unit over. I have notes back from May 22, 2007. As far as any issue of corruption, I can assure you that in this unit any hint or scintilla of something going wrong, Bob has been on top of it. I have the reports right here. It would take a long time to go through them. All of these pages here are emails back and forth to Ed Richards, who works with the department, he's with the Bureau of Investigations. Anytime anything happened, the reports were made right away.

interrupt you there? Just for the record I want to point out that when the allegations came to my attention as chair of the committee, I also had the responsibility of reporting it to the Department of Investigation and forwarding them any documentation that I got, which I did. I just wanted to point that out for the record.

RICHARD TOBIN: Anybody who gets

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that, right, we know that. This is why I would like for you or for somebody from this committee to go through this unit and let show you what we've done and show you physically the steps we've taken to address specific items in the comptroller's report. I think that I could state unequivocally that there is no corruption in this unit. If there is the slightest smell that something isn't right, this unit is on top of it. Ed Richards has been notified of anything that's gone wrong, or any hint of anything going wrong. Right away the reports are sent in where we thought somebody might not have been where he should have been. We have not had anything come I have numerous cases here where Bob Rampino is right on top of those situations. It would take a long time to go through all of this, but I could show you that. Not to pick on anyone, but again, some of the people making the allegations of corruption were arrested Two of them have been terminated. I have emails from them. somebody is accused and when an accuser is there, they have a right to address them. I think you should see some of the emails from some of the

2	people. Things such as accusing us of being
3	infidels or saying they are waiting to come back
4	and go to war with the fire department. This was
5	long before that report came. Some of it I would
6	go home and my wife would look and say this is the
7	ranting of a madman. But you have to see them.
8	CHAIRPERSON MARTINEZ: I will
9	follow up with you in taking up your invitation.
10	RICHARD TOBIN: I think it's
11	important that you do.
12	CHAIRPERSON MARTINEZ: I would
13	again request that you share with us your new
14	protocols and the procedures that you've put in
15	place.
16	RICHARD TOBIN: Every single thing
17	we have, we can give you. We can give you the
18	protocols. We can give you the work plan. We can
19	give you the business plan. We can give you
20	everything.
21	CHAIRPERSON MARTINEZ: Chief, what
22	happened to the bill? You liked it last year.
23	THOMAS JENSEN: The novelty bill?
24	CHAIRPERSON MARTINEZ: Did you
25	think I was going to let you get away with that?

2	THOMAS JENSEN: I think we do like
3	the concept, but I think if there is federal
4	legislation that would be the best way to go.
5	CHAIRPERSON MARTINEZ: But you
6	recommended for us to move forward on a bill to
7	ban these lighters.
8	THOMAS JENSEN: It actually wasn't
9	me. It was another chief. We totally agree with
10	the concept.
11	CHAIRPERSON MARTINEZ: And the
12	Department of Health by the way.
13	THOMAS JENSEN: We have no problem
14	with the concept. We totally agree with the
15	concept and we know the problem is out there with
16	these lighters. But we think that federal
17	legislation might actually be the way to go to
18	really coordinate with everyone outside of the
19	City of New York.
20	CHAIRPERSON MARTINEZ: But if we
21	have local laws, what impact would it have if the
22	federal government comes up with a ban?
23	THOMAS JENSEN: It's very simple.
24	We have local laws here in the City of New York
25	that you're not allowed to use kerosene heaters.

2	but they're sold all throughout the country.
3	People bring them in. If a federal law is passed
4	then that's it. They're not coming in. They're
5	not making them in New Jersey and then bringing
6	them into New York. The federal legislation would
7	be the legislation
8	CHAIRPERSON MARTINEZ:
9	[interposing] Who's sponsoring this federal
10	legislation? When did the department get
11	notification of this?
12	THOMAS JENSEN: I don't know that.
13	We'd have to check with our intergovernmental
14	group in the fire department. They've been on top
15	of that and watching legislation. That's why they
16	recommended that this may be the better way to go.
17	CHAIRPERSON MARTINEZ: But in
18	concept, does the department agree with the bill
19	we have forward?
20	THOMAS JENSEN: I think in concept
21	we can't argue with the safety factor. I agree
22	with the concept absolutely.
23	CHAIRPERSON MARTINEZ: I think we
24	should have further discussion in terms of should

we wait for the federal government to act. Many

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times this council has taken action because we can't wait for the federal government to act. The fact that they're thinking about it doesn't mean that it's going to happen.

THOMAS JENSEN: We'd be open to have further discussions as time goes on to see which would be the best way to go.

CHAIRPERSON MARTINEZ: T want to thank you for your testimony today. This is a very important and essential unit to the fire department and the City of New York in terms of public safety and five prevention in the City of New York. As we move along to improve the understanding of this unit, we will continue to have further dialogue in terms of the understanding of the operation and function of the unit and some of the new procedures that have been implemented in the department to ensure that we deal with the backlog and ensure that we deal with inspection and re-inspection of violations in a timely manner. I want to thank the three of you for your testimony. I look forward to visiting the floor of the unit at MetroTech.

THOMAS JENSEN: Thank you.

We have

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2	the	same	goals	in	mind.
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3	CHAIRPERSON	MARTINEZ:	Thank	you.

[Pause]

CHAIRPERSON MARTINEZ: Thomas Papa,

Zygmunt Staszewski, and Edward Keshecki. Are you
here? Call the next panel. Gentleman, if you
have written testimony, please summarize because
unfortunately there's a scheduled meeting here at
one and we several panels coming up. Please
identify yourself and start your testimony.

THOMAS PAPA: Good morning,
everyone. My name is Thomas Papa. I'm the
current president of the New York Fire Alarm
Association. I'm a licensed fire alarm installer
in the state of New York and involved in
installation, maintaining, servicing and
inspecting fire alarms since 1993. I'm also Level
II certified in fire alarms technology by the
National Institute for Certificate in Engineering
Technology, known as NICET. I speak on behalf of
the New York Fire Alarm Association. The New York
Fire Alarm Association is a representative
organization of engineers, manufacturers,
installers, fire alarm equipment distributors and

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central office monitoring companies. It's principle mission is to provide for the education of its members and to ensure that they remain current and up to date as it regards to codes and standards. Individuals within this organization have been an integral part of the New York City Building and Fire Code development process as far back as the landmark legislation for high rise buildings, known as Local Law 5 of 1973. All fire installations are subject to a test and inspection by the Fire Alarm Inspection Unit in accordance with applicable codes governing the work. successful installation of a fire alarm system is a multi-disciplined activity requiring knowledge of Electrical Codes, Construction Codes, standards such as the National Fire Protection Association, NFPA, American National Standards Institute, ANSI, and the American with Disabilities Act, ADA. installation of fire alarm systems involves the application of over 50 recognized standards which deal with installation requirements. It requires approvals from Underwriters Laboratories as UL and/or Factory Mutual, to name just two. The list is voluminous since it is the fire alarm system

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that integrates all aspects of the building systems, not the least of which is the basic alarm functions to notify the occupants but control such functions as elevator recall, smoke control and notification to the fire department. Everything related to fire alarm is codified in one form or another. Members of our association are required to maintain proficiency through a variety of certifications by which they maintain their qualifications. As an organization which has intimated connections with the fire alarm inspection unit, we ask that this unit be provided with the much needed support so that they have the necessary tools, both educationally and physically to perform their vital role of ensuring the public safety. We strongly urge that all inspectors be required to have a minimal level certification by the way of NICET, NFPA or ICC courses. advocate a continuing educational program designed to allow for the upgrading of their skills to keep pace with this dynamic and ever-changing field. This is extremely necessary as the new New York City Construction Code nears implementation. We strongly advocate more complete testing of

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systems, recognizing that this is not easy in terms of available manpower and current methods. We believe it can and should be done. This takes more time than is currently allotted and will require the cooperation of the fire department and industry to develop the methodology for this to happen. This organization and its members depend greatly on the Fire Department Inspection Unit and its ability to perform knowledgeable, thorough and timely inspections. We trust that this hearing will provide the impetus for the modernization of the unit as a partner which is responsible for the life safety of the residents of the City of New I would like to start by urging a York. reactivation of the FDNY Industry Advisory Board. The FDNY Industry Advisory Board was established on September 12, 1989 by then Chief of Fire Prevention Joseph DeMeo, at the request Automatic Fire Alarm Association of New York. The Fire Department Industry Advisory Board meetings were held by several subsequent chiefs of fire prevention on the first Thursday of each month, except for July and August, from 1989 to 2001. Then a few meetings were held in 2002 and 2003.

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The attendance list from December 12, 1989 shows representation of the FDNY; the Buildings Department; Society of Fire Protection Engineers; the Fire Safety Directors Association; the Automatic Fire Alarm Association, which was the predecessor of the New York Fire Alarm Association; the Real Estate Board; New York Fire Sprinkler Contracts Association; Building Owners and Managers Association; the New York Board of Fire Underwriters and the New York City Builders Association. Over the years, representatives of the Port Authority, New York Society of Architects, Society of Professional Engineers, Local 3 IBEW, and the Subcontractors Association have also attended these meetings. For almost 15 years, the Industry Advisory Board served as a conduit of information flowing from the fire department to the private industry and vice versa. Its many accomplishments included code changes after the first World Trade Center bombing; convincing the Port Authority to replace their centralized emergency lighting and fire alarm, which on September 11, 2001, helped save thousands of lives; revision of the Halon 1301 regulations;

revisions of Central Station monitoring 2 3 regulations; several memoranda and code interpretations regarding free egress in fire and non-fire emergencies; et cetera. Since September 5 11, 2001, there were only a few IAB meetings. 6 last of them was on April 15, 2003. We strongly 8 believe that the presence of the International Advisory Board helped disseminate information from 9 10 the fire department and allowed for a constructive 11 dialogue between the FDNY, the Department of 12 Buildings, Real Estate and the fire protection industry. With numerous problems related to the 13 fire protection industry building up over the past 14 15 few years, and the adoption of the IBC, there is even more need for reactivation of the Fire 16 17 Department Industry Advisory Board as soon as 18 possible. Other additional members of our 19 association are here. We have Ed Keshecki, who is 20 vice president of the New York Fire Alarm 21 Association and Zygmunt Staszewski who was the 22 founding president of the New York Fire Alarm 23 Association. They will be speaking in more 24 specific terms as to the steps which this 25 association feels will enhance the effectiveness

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of the Fire Alarm Inspection Unit. Thank you.

3 CHAIRPERSON MARTINEZ: Thank you.

Again, if we have your written testimony here for the record, please summarize. We're going to activate our prompter.

ED KESHECKI: My name is Ed I'm the owner of Statewide Fire Corp located on Staten Island. We're an FDNY approved central station where we monitor several thousand New York City fire alarm systems. I'm currently vice president of the New York Fire Alarm Association, a member of the National Fire Protection Agency Building Fire Safety System section. I'm Level IV certified in fire alarm technology by the National Institute for Certificate in Engineering Technologies. I'm also a member of the New York Fire Safety Directors Association and the Society for Fire Protection Engineers. Central issues related to the scheduling, conducting of inspections and entire process leading up to acquiring a fire alarm system final letter of approval are directly related to a lack of resources. The New York Fire

Alarm Association recognizes the current lack of

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resources to adequately manage all inspections, documentation and defect corrections. Bringing the existing system in line with current technologies will encourage time management, document standardization, document control and accessibility, data retrieval and storage through an electronic database, which will generate revenue and increase public safety. Lacking current technology, the present system often results in inspection dates for fire alarm systems running three months or longer. The engineer, building owner, electrician and fire alarm company would have to file for an inspection date at least three months prior to completion of the fire alarm system in order to obtain a timely inspection. the case where an inspection date needs to be rescheduled, calling the telephone number provided by the fire department has not proven to be a viable solution. Much of this communication can and should be performed by email. This would allow for immediate notification of schedule changes by the fire department and would eliminate situations where fire inspectors arrive to premises where concerned parties have tried to

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reschedule inspections. Email and cell phone communications would provide instant communication between the fire department and those needing to reschedule or cancel. It can also take up to several more months to obtain the actual Fire Department Letter of Approval due to the internal policy that requires payment of the inspection bill before the document is issued. This should be a collections matter and should not be related to the issuance of the letter of approval. Credit card payments are presently accepted by most city agencies. The acceptance of credit cards as payment would simplify and expedite the process. There are code related issues which presently are considered to be subject to interpretation by fire inspectors. Consistency during installation will lead to consistency during inspections. Consistency during installation can only occur when written clarifications are issued by the fire department relative to items which may be subject to interpretation and more importantly, that information must be distributed to and applied uniformly by all fire inspectors and all members of the fire alarm industry. As a suggestion, the

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New York Fire Alarm Association and the fire department could design a standardized inspection form to be used for different occupancies being inspected. Based on the comptroller's audit report, there are currently over 4,000 open letters of defect. I understand that was being addressed somewhat. An increase in staffing, combined with the use of modern technology, such as PDAs and laptops, would allow the department to generate revenues exceeding the expense involved. Additionally, the revisiting and closing out of these pending letters of defect and violation orders will ensure that proper corrective measures have been taken, thereby providing the public with the protection that the system was intended to The Fire Alarm Association has the means provide. to promptly disseminate new information through our website, our meetings and the symposium we will be holding in June. We would also welcome input from the Fire Department Inspection Unit if they are aware of particular items that are frequently written on letters of defect which are not addressed by electricians and fire alarm installers. This is just one item which could be

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2	addressed	by	the	reactivation	of	the	Fire

3 Department Industry Advisory Board. When the fire

4 department and the fire alarm community work

5 together, the result would certainly be

6 consistency in installation and inspection.

7 Providing the inspection unit with new technology

8 and adequate staffing is absolutely essential if

9 the unit is to function efficiently and in a

10 manner which will greatly increase productivity

and most certainly increase revenue. Thank you.

ZYGMUNT STASZEWSKI: My name is Zygmunt Staszewski. I'm a licensed professional engineer in New York State involved in design and inspections of fire alarm systems since 1982. In addition, for 17 years now, I've been teaching fire alarm courses at New York University School of Continuing and Professional Studies. a New York State certified instructor authorized to conduct security and fire alarm system courses required for New York State licensing. I'm also Level III certified in fire alarm technology by the National Institute for Certification in Engineering Technologies, NICET. I would like to address one of the most important topics related

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to the Fire Alarm Inspection Unit which is the training and certification of inspectors. Currently, under the Department of Buildings' rules for special inspections agencies already in effect, personnel conducting inspections of fire alarm systems when not inspected by the fire department must be NICET Level II certified in fire alarm systems and work under a supervision of either a New York State licensed professional engineer or a New York City licensed master electrician. Under the present fire department rules, there are no established certification requirements for either the Fire Alarm Inspection Unit inspectors or their supervisors. We believe that the Fire Alarm Inspection Unit should take advantage of the NICET certification program currently recognized by many cities and 31 states. Back in the 1980s, Fire Department Chief Inspector Milton Fishkin was a licensed professional engineer. Currently, there are no licensed professional engineers supervising all inspection units within the Bureau of Fire Prevention, which I believe is contrary to the New York State Education Law. In the late 1990s, the Automatic

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Fire Alarm Association conduction several NICET courses. At that time we invited all Fire Alarm Inspection Unit inspectors to participate in our training at no charge and many of them did. training gave them a thorough knowledge of the NFPA standard 72, currently known as the National Fire Alarm Code. Please note that both the New York City Building Code, effective July 2009, and the New York City Fire Code, already in effect as of July 1, 2008, adopted the 2002 version of NFPA 72. Therefore, knowledge of NFPA 72 and certification based on such code will be crucial to conducting proper inspections of fire alarm systems designed and installed under such code. The New York Fire Alarm Association will be soon conducting additional courses on NFPA 72 and preparation courses for the NICET certification. We welcome all Fire Alarm Inspection Unit inspector and supervisors to attend these courses. After the courses we will welcome their participation in the NICET examination and certification process, which will result in a unified standard. Thank you.

CHAIRPERSON MARTINEZ: Thank you.

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So, in essence, your recommendation is that the unit participate in some of the training and dialogue with your association.

a NICET certification which is the only nationally recognized certification in fire alarm systems.

The fire alarm industry has been training their own people in NICET certification for the last 20 years or so. The New York City Buildings

Department requires the certification for people doing fire alarm system inspections if they're not conducted by the fire department. I think it's only prudent to ask that the fire department inspectors meet the same criteria as the private industry.

CHAIRPERSON MARTINEZ: Mr. Papa, you mentioned that there was an advisory board that was made up of all the industry when it came to fire alarm inspections?

THOMAS PAPA: That is correct. As

I had stated, it eventually just sort of

dissolved. At present there is no industry

advisory board in the process of meeting. Our

association includes engineer, installation

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2	companies, manufacturers, monitoring companies.
3	So if we get all these different aspects of this
1	industry in one room, along with the fire
5	department, I think that we could clarify and
5	solidify a lot of these issues before they become
7	bigger issues.
3	CHAIRPERSON MARTINEZ: What's your

8 CHAIRPERSON MARTINEZ: What's your 9 opinion of the current unit right now?

THOMAS PAPA: Obviously, we would like things to be sped up a little bit. It's our association's position to try to help make that happen any way we can.

CHAIRPERSON MARTINEZ: Thank you for your testimony, gentleman.

THOMAS PAPA: Thank you.

CHAIRPERSON MARTINEZ: The next panel includes John Dean from the National Association of State Fire Marshals, Bill Wells from the Congressional Fire Services Institute and David Baker from the Lighter Association. Anyone wanting to testify needs to fill out one of these slips as well. Gentleman, please identify yourself for the record. Again, if you have written testimony, I will ask you to summarize

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your	nar	nes	so	we	know	who	you	ı are?			

DAVID H. BAKER: David Baker.

JOHN C. DEAN: John Dean.

6 CHAIRPERSON MARTINEZ: Bill Webb is

missing. You can start your testimony.

JOHN C. DEAN: Council Member

Martinez and distinguished members of the

Committee on Fire and Criminal Justice Services.

11 My name is John Dean. I'm the immediate past

12 president of the National Association of State

13 Fire Marshals, NASFM, whose members are the senior

14 state level fire officials of the United States

and the District of Columbia. I'm also the state

16 | fire marshal of Maine. I'm proud to say that in

17 March 2008, Maine was the very first state to pass

18 a statewide ban on novelty lighters. I will

19 attempt to summarize some points here so that

20 perhaps I can answer some of your questions and

21 concerns regarding the federal legislation. I'll

22 try to be brief. We, obviously, are in support of

23 the Novelty Lighter Act that you're considering.

24 We think it's a well-crafted bill and it will go a

25 long ways towards decreasing fire losses in your

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city and protecting some of our most valuable possessions, our children. We know that four out of five associated deaths and injuries in the United States involve matches and lighters. your own city child fatality report that you made mention of in your opening remarks, one-quarter of the city's fire deaths are a result of children playing with matches or lighters. This is not just a youth issue. We know that from research done in Oregon and in my own state that youth involved in fires are often eight years and older. In Oregon, in the group using lighters, they saw it rise from 55% to 70% preferring lighters. Maine, we see that ages 6 to 16 account for more than 85% of the fires. Now, there are some children who have psychological problems and need some close supervision and some care. But there are many youths who are just curious about fire. One small mistake can prove deadly. There are plenty of things in anybody's home that can burn very easily with just a flick of one lighter. These toy-like or novelty lighters by design have characteristics that appeal to children and adults, obviously, because they're collecting

them. They put them out where everybody can see them. It's very easy for children to get a hold of them. These lighters are supposed to comply with the federal child resistant standards but they oftentimes do not. And even if they do, that is only meant to deter 85% of the children under age 5. As I just mentioned, there are children older than that that are very much involved in this and have better dexterity.

CHAIRPERSON MARTINEZ: Just

summarize.

short. I want to tell you that I've been the state fire marshal in Maine for over 11 years and I'm at the legislature a lot and involved in a lot of bills. This was the easiest bill to pass that I've ever been involved in. We had no opposition whatsoever. Everybody spoke in favor of it. Enforcement has been very, very easy. People have voluntarily complied. In fact, many of the retail folks said they were glad to get rid of them because they're not very well made. They're rarely sold through legitimate distributors.

They're sold by what we call trunk slammers,

2	people who just sell them out of their car and
3	they're gone. These come back because they're
4	defective. They have to give the money back and
5	then they can't get their money back because they
6	may never see the person who sold them to them.
7	So some of them were very glad to get rid of them.
8	CHAIRPERSON MARTINEZ: Can you
9	touch base on the federal legislation?
10	JOHN C. DEAN: There is no federal
11	legislation pending. There were two bills that
12	introduced the last session. They died.
13	Nothing's happened in this session. There's no
14	guarantee that anything will happen, or even if
15	it's introduced that it will pass. I think that
16	this is a very good venue to mention that New
17	York's leadership in the fire safe cigarette area.
18	It was the first state to do it. Over 85% of the
19	population in the United States is covered by the
20	fire safe legislation because all the states are
21	adopting it. And still we have no federal
22	legislation. So I think that oftentimes the local
23	and state actions eventually prompt the federal,
24	but it's not a guarantee.

DAVID H. BAKER: Mr. Chairman,

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members of the committee and legal counsel, my name is David Baker. I'm the general counsel of the U.S. Lighter Association. I'm going to very briefly summarize our position. We think this is a great bill. It follows on the heels of Fire Marshal Dean's bill in Maine. We actually think this is better drafted than any ordinance or law in the country. This is a banning bill. It is very cleanly written. It clearly delineates what needs to be banned. Our position is that lighters are tools, they are not toys. They are designed to produce a flame. They are not something to be played with. Children should not be playing with lighters, period. Our position is that novelty lighters should be banned and we strongly support this bill. Thank you. Mr. Chairman, my WILLIAM M. WEBB:

NILLIAM M. WEBB: Mr. Chairman, my name is Bill Webb. I'm the executive director of the Congressional Fire Services Institute in Washington, D. C. We're a nonprofit, non-policy institute that works with members of Congress to educate them about the challenges and the needs of the fire service. We work mostly with the leadership of the Congressional Fire Services

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Caucus, which is the largest caucus in Congress with over 300 members, split evenly among Republicans and Democrats. The driving force of our organization is our National Advisory Committee, of which the National Association of State Fire Marshals serves. We have 42 members that serve on this organization. We passed a resolution unanimously in 2007 in support of an effort to ban the sale of novelty lighters. is the reason why I'm here and that is to speak on behalf of our NAC. In reference to the question about federal legislation, Mr. Dean is correct. There were two pieces of legislation introduced in the last session and they died. One of the members who introduced the bill last year stepped down. So we now have to find a new House member to pass that bill. We have indications that a member from the Senate will reintroduce his measure, but again, time is of the essence here. We have worked on cigarette legislation for probably the last 10 or 12 years and have gotten nowhere. Following the Warwick fire in Rhode Island that killed over 100 people, we worked on legislation that would provide economic incentives

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to install sprinklers. That was six years ago and we're still waiting for Congress to act on that bill. So Congress does have a tendency to not go at breakneck speed on a number of initiatives. So that is why we're here today to impress upon you the need to address this issue at the local level where we're seeing so much advancements made at the state and local level. Any type of federal legislation that would be approved, would not supersede what is being done at the local and state level. In summary, I'd just to quote one of Mr. Dean's colleagues, a former Oregon State fire marshal who has taken a lead on this issue as well. She said, "there is simply no good reason for a hazardous substance, a flammable liquid, to be placed in a toy-like container." Thank you very much. It's my pleasure to be here. CHAIRPERSON MARTINEZ: Thank you,

CHAIRPERSON MARTINEZ: Thank you, gentleman. I think your testimonies were clear cut. I appreciate you coming and taking the time to come and testify in favor of this important bill. Thank you.

WILLIAM M. WEBB: Thank you.

CHAIRPERSON MARTINEZ: The next

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2 panel includes Susan Santanello and Xuejie Wong.

Anyone else who wants to testify, please fill out a slip. Thank you.

SUSAN SANTANELLO: My name is Susan Santanello. I've been a novelty lighter collector and retailer for the last 11 years. My customer base consists of collectors throughout the United States as well as worldwide. Since 1994, novelty lighters have been subjected to tests overseen by the CPSC to make sure the children five and younger are unable to light them. Statistics from Children and the Fire, a report in 1994 through 1997, states that the fires that resulted in child deaths, 94% were due to residential fires. Over one-third of all fires involving child injuries and deaths were the result of open flame. Matches as the form of ignition remained relatively consistent over the four years. There was a consistent yearly decrease in injuries and deaths related to lighters. The percentages for the period from 1994 to 1997 went from 22% to 12%. This is proof that the CPSC's document instituted in 1994, which required child resistant mechanisms for lighters was working. The report also stated

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that from 1993 to 1997 matches as a form of heat ignition in 58% of children playing with fire was still the most common form. An article titled, Study of the Effectiveness of the US Safety Standard for Child Resistant Lighters, state that there was a 58% reduction of fires caused by children age five and younger. A note that most children that suffer and die in fires are actually five and older. They're the statistics in a lot of these articles. According to statistics for a ten-year period from the U.S. Fire Administration, Residential Structure and Building Fires, stated October 2008, that between 1996 and 2005, the percent of fire deaths have gone down by 18.1%. The NFPA estimated that the 83% of fire deaths occurred in residential structures. According to the 2005 report the causes of the residential fires were mainly cooking; open flame, which included candles, matches and careless cause; heating and smoking. The playing with a heat source was only at 6%. In January 2009, an article came out, Children Playing with Fire, written by Jennifer Flynn from the NFPA. stated that 2006 structure fires, deaths, and

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injuries are the lowest ever recorded. Why aren't Colbri, Ronson and Zippo required to have child resistant devices on their products? If you drop a lit Zippo lighter, it continues to burn. A novelty lighter needs pressure on the ignition to remain lit. Bic and Scripto safety devices are easily removed as well as easy to light. child could have the overall strength to depress the igniter on a novelty lighter, they surely could light a Bic, a Zippo or any other lighter. What about matches? According to the U.S. Fire Administration report, 58% of children playing with fires were started with them. This is an unfair attack on a product that has been in compliance with the CPSC. By banning novelty lighters, this will no effect on children playing They will continue to use matches, with fire. Bics and the rest that have no childproof mechanisms. By banning novelty lighters in New York and possible the U.S. you'll only be opening the door of an illegal importation of the product from foreign services who are not in compliance with child safety requirements. You will also be hurting many small businesses through the country

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on top of the economic crisis. I fail to see why
banning an item that is currently in compliance
with the law, while allowing other types that are
available that are easily disabled or not in
compliance at all to remain in the marketplace.
It seems self-defeating that those items which are
a much bigger threat.

CHAIRPERSON MARTINEZ: I need you to summarize. Finish up.

SUSAN SANTANELLO: It seems more practical to make all lighters child resistant and to educate the public.

CHAIRPERSON MARTINEZ: Thank you.

XUEJIE WONG: Good morning, elected officials, chairman and other VIPs. My name is Xuejie Wong. I'm co-chair of the Product Safety Association International, speaking on behalf of the other chairman in our association, Mr. Lin. I'm here to oppose the introduction of this Novelty Lighter Act. As Susan described earlier, this novelty lighter has already been regulated by the CPSC which has the child resistant together with safety warning labels. The regulation is strictly enforced. Furthermore, according to the

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supplementary documents in the Exhibit 1, that we find out that there are many other more dangerous products currently in the U.S. market, posing more of a threat than the novelty lighters. Yet these products have not been banned from distribution or In the recent years, the novelty lighters have captured more and more market shares, thus the sales and distribution of the disposal lighters has been decreasing. That's the main purpose that this well founded association has been attacking their competitors to try to monopolize the market and control the pricing so that in the future there will be no competition against them. We should be taking other proactive steps instead of banning the sales and distribution of novelty lighters altogether. From the statistics that were revealed earlier that there is no mention in the number of deaths and injuries that are caused specifically by the novelty lighters. Rather the lighters is a whole group. So this is an assumption that the youth are susceptible to playing with the novelty lighters. But actually there is no statistics reflecting that. One may argue that the youth

just like to play with fire, regardless if it's a novelty lighter or a regular disposable lighter. So therefore, we need to consider the health and safety of the product for public use and also the availability of the variety of lighters in this category, as well as the economic interests of all the merchants. This needs well balancing, rather than banning the novelty lighters altogether. So therefore, I respectfully request consideration in our favor.

CHAIRPERSON MARTINEZ: Thank you both for your testimony and taking the time to come here and testify on the bill. Thank you very much.

ROB CALANDRA: Also submitted for the record are statements from Xing Yung Zi [phonetic] from ASG Enterprise in opposition to the Proposed Intro. 884-A. Testimony submitted from Tommy Wong of Zreative Product Inc in opposition to Proposed Intro. 884-A. A statement in opposition to the Introduction from Hank Chang from Wing Sale, Inc. A statement from John Gibson of Gibson Enterprises in opposition to the proposed introduction. A statement in support of

2	Proposed Intro.	884-A	from	the	New	York	State	Fire
3	Administration.	Flovd	Madis	son.				

CHAIRPERSON MARTINEZ: Thank you.

Seeing no other witnesses, at this point, we have concluded our oversight hearing of the Fire and Criminal Justice Committee. Meeting adjourned.

I, Donna Hintze certify that the foregoing transcript is a true and accurate record of the proceedings. I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

Dona Linge

Signature__

Date __February 19, 2009_____