

CITY COUNCIL  
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON FIRE AND CRIMINAL JUSTICE SERVICES  
JOINTLY WITH COMMITTEE ON PUBLIC SAFETY,  
COMMITTEE ON COURTS AND LEGAL SERVICES, AND  
COMMITTEE ON MENTAL HEALTH, DEVELOPMENTAL  
DISABILITY, ALCOHOLISM, SUBSTANCE ABUSE, AND  
DISABILITY

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May 12, 2015  
Start: 01:06 p.m.  
Recess: 05:30 p.m.

HELD AT: Council Chambers - City Hall

B E F O R E:

ELIZABETH S. CROWLEY  
Chairperson

VANESSA L. GIBSON  
Co-Chairperson

RORY I. LANCMAN  
Co-Chairperson

ANDREW COHEN  
Co-Chairperson

COUNCIL MEMBERS:

FERNANDO CABRERA  
MATHIEU EUGENE  
PAUL A. VALLONE  
CHAIM M. DEUTSCH

## A P P E A R E N C E S (CONTINUED)

## COUNCIL MEMBERS: (CONTINUED)

JAMES VACCA

JULISSA FERRERAS

JUMAANE D. WILLIAMS

RAFAEL L. ESPINAL, JR.

RITCHIE J. TORRES

ROBERT E. CORNEGY, JR.

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CARLOS MENCHACA

VINCENT IGNIZIO

COREY D. JOHNSON

ELIZABETH S. CROWLEY

RUBEN WILLS

## A P P E A R A N C E S (CONTINUED)

Trish Marsik  
Executive Director  
Mayor's Taskforce on Behavioral Health & Criminal  
Justice System

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Deputy Commissioner  
Department of Correction

Terrance Riley  
Inspector  
NYPD Training Bureau

Susan Herman  
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Director of Alternative Sentencing  
District Attorney's Office

Jerome McElroy  
Executive Director  
Supervised Release Program

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Carol Fisler  
Director of Mental Health Court  
Center for Court Innovation

Lori Zeno  
Deputy Director  
Queens Law Associates

Justine Olderman  
Bronx Defenders Managing Director  
Criminal Defense Practice/Bronx Defenders

William Gibney  
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Special Litigation Unit - Legal Aid Society

Regina Schaefer  
Director Social Work Criminal and Civil Practices  
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Sarah Kerr  
Staff attorney  
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Barry Campbell  
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Cheryl Roberts  
Executive Director  
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Andrew Santana  
Supervising Attorney  
Day One

Lynn Kaplan  
LifeNet Project Director  
Mental Health Association of New York City

## A P P E A R E N C E S (CONTINUED)

Jamin Sewell

Counsel & Managing Director - Policy and Advocacy  
Coalition of Behavioral Health Agencies

Mary Beth Anderson

Project Director  
Urban Justice Center

Sandra Mitchell

Peer Service Provider  
Mental Health Behavior Substance Abuse Services

Carla Rabinowitz

Community Organizer  
Community Access

Diana Luck

Senior Staff Attorney  
MFY Mental Health Law Project

Richard Matsey

Howa Bah

Randolph McGlocklin

Yul-San Liem

Co-Director  
Justice Committee

COMMITTEE ON FIRE AND CRIMINAL JUSTICE SERVICES  
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6

[gavel]

CHAIRPERSON CROWLEY: Good afternoon. My name is Elizabeth Crowley and I am the chair of the Fire and Criminal Justice Committee here at the council. I'd like to recognize my colleagues who have joined me here today. We have Council Member Rory Lancman, Council Member Helen Rosenthal, Council Member Mathew Eugene, and Council Member Fernando Cabrera. Today the committee will vote on proposed intro 579A which was introduced by Council Member Rosenthal and was heard at a committee hearing on December 10<sup>th</sup> 2014. This bill will require the fire department to share demographics of the firefighter applicant pool requiring information on applicants, gender, and race at every step of the firefighter testing process from application to written test to physical test, academy entrance, and academy graduation. The bill also requires the FDNY to report on its recruiting activity for the firefighter position including recruiting expenditures, a list of the recruiting events, and a list of the preparatory materials it creates to assist the applicants. With this data the council will be able to determine if a group is disproportionately affected at some point

1 along the lengthy application and training process.

2 The information will help both the fire department

3 and the council monitor the race and gender

4 composition of the city's firefighters and help

5 ensure that is a body of qualified diverse

6 individuals reflective of the city's population.

7 Before 1977 the fire department did not allow women

8 into the ranks until the federal government passed a

9 law requiring it to do so. But even then they did not

10 allow a single woman to become a firefighter until a

11 federal court, until a federal court forced its hand

12 in 1982. After this court ruling the fire department

13 had 41 women firefighters out of approximately 9,000

14 for a total of about .4 percent, so not even one

15 percent of the department. Today 33 years later the

16 percentage of women firefighters remains very low.

17 Racial and ethnic minorities have fared [phonetic]

18 better than women but have only achieved some degree

19 of proportional representation after multiple

20 successful lawsuits. The bill we will vote on today

21 has the overwhelming support of the council what 41

22 members signed on as co-sponsors. I recommend a yes

23 vote and I will ask the main sponsor Council Member

24 Helen Rosenthal to offer her statement.

1  
2 COUNCIL MEMBER ROSENTHAL: Thank you so  
3 much Chair Crowley. Thank you for being the leading  
4 advocate for bringing more women into the fire  
5 department. I'm so proud to have been able to co-  
6 sponsor this bill with you and so proud so many of  
7 our colleagues have signed on as well. The numbers  
8 just don't add up. 18 percent of New York City police  
9 officers are women. 13 percent of US combat troops  
10 are women. 13 percent of San Francisco's firefighters  
11 are women. And less than a half of a percent of New  
12 York firefighters are women. I'm eager to learn what  
13 is so unique about being a firefighter in New York  
14 City that it should exclude women. The data we will  
15 receive from this bill will shed light on how many  
16 women apply to be firefighters and where they drop  
17 off along the application process which can take  
18 several years from start to finish. We wouldn't be  
19 here today without the leadership of Chair Crowley  
20 who has been persistent in pursuing this issue for  
21 years. I' like to thank the chair for her commitment  
22 to equal treatment for all who are able to accomplish  
23 the physical task relevant for being a firefighter  
24 and for those who are willing to risk their lives and  
25 join the brave cohort of New York City firefighters.

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1  
2 I encourage all of my colleagues to vote yes on this  
3 bill today, to bring it before the full council.

4 Thank you very much.

5 CHAIRPERSON CROWLEY: Thank you Council  
6 Member Rosenthal. I now call for a vote.

7 COMMITTEE CLERK MARTIN: William Martin  
8 Committee Clerk. Roll call vote Committee on Fire and  
9 Criminal Justice Services. Introduction 579-A. Chair  
10 Crowley.

11 CHAIRPERSON CROWLEY: I vote aye.

12 COMMITTEE CLERK MARTIN: Eugene.

13 COUNCIL MEMBER EUGENE: I vote aye and  
14 congratulation Council Member Rosenthal and thank you  
15 Madam Chair for your leadership on this issue.

16 COMMITTEE CLERK MARTIN: Cabrera.

17 COUNCIL MEMBER CABRERA: I vote aye.

18 COMMITTEE CLERK MARTIN: Lancman.

19 CO-CHAIRPERSON LANCMAN: [off mic] Aye.

20 COMMITTEE CLERK MARTIN: By a vote of four  
21 in the affirmative, zero in the negative, and no  
22 abstentions item has been adopted.

23 CHAIRPERSON CROWLEY: I'd ask the  
24 committee clerk to hold the vote open for a half an  
25

COMMITTEE ON FIRE AND CRIMINAL JUSTICE SERVICES  
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hour. And in about 10 minutes we will reconvene for  
the start of the next hearing.

[break in audio]

COMMITTEE CLERK MARTIN: Continuation roll  
call, Committee on Fire and Criminal Justice  
Services. Introduction 579-A. Council Member Vallone.

COUNCIL MEMBER VALLONE: Aye.

COMMITTEE CLERK MARTIN: Final vote now  
stands at five in the affirmative, zero in the  
negative, and no abstentions. Thank you.

[break in audio]

CHAIRPERSON CROWLEY: Good afternoon  
again. I'm Elizabeth Crowley, the chair of the Fire  
and Criminal Justice Services Committee here at the  
council. This is a joint oversight hearing with the  
Committee on Public Safety chaired by Council  
Member Vanesa Gibson, the Committee on Courts and  
Legal Services chaired by Council Member Rory  
Lancman, and the Committee on Mental Health,  
Developmental Disability, Alcoholism, Substance  
Abuse, and Disability Services chaired by Council  
Member Andrew Cohen. At today's hearing we will  
examine the mayor's taskforce on behavioral health  
and the criminal justice system. The task force was

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1  
2 convened in June of 2014 a few months after the  
3 tragic death of Jerome Murdough. Mr. Murdough was a  
4 veteran with a mental health illness and a  
5 substance abuse problem who was arrested for  
6 trespassing as he slept in a stairwell of a  
7 building. While incarcerated he died in his  
8 overheated cell on Ryker's Island. He represents  
9 just the type of person that should not have ever  
10 been on Ryker's Island. It is important that this  
11 taskforce create a framework to divert individuals  
12 like Mr. Murdough away from the jail system. Today  
13 the average jail population is approximately 11  
14 thousand. Nearly half of the population it was 20  
15 years ago. The behavioral health and criminal  
16 justice taskforce recommendations will help to  
17 further reduce the population of Ryker's Island to  
18 a much greater extent if implemented properly. I'd  
19 like to thank my staff for helping to put this  
20 hearing together in the committee staff and to all  
21 the council members here who are co-chairing. I  
22 would like to now recognize Council Member Vanessa  
23 Gibson chair of Public Safety for her opening  
24 statement.

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1  
2 CO-CHAIRPERSON GIBSON: Thank you very  
3 much Co-Chair Crowley. And good afternoon to each  
4 and every one of you. I am Council Member Vanessa  
5 Gibson of the 16<sup>th</sup> District in the Bronx and I  
6 proudly serve here as the committee chair on Public  
7 Safety. I want to thank Council Member Liz Crowley,  
8 chair of the Committee on Fire and Criminal Justice  
9 as well as my two co-chairs Chair Rory Lancman and  
10 Chair Andrew Cohen for holding this very important  
11 hearing this afternoon on the Mayor's taskforce on  
12 behavioral health and the criminal justice system.  
13 I'd also like to thank my staff who are here, my  
14 council member Ambedkar [sp?], legislative analyst  
15 Beth Golub, policy analyst Lori Wen [sp?], finance  
16 analysis Ellen Aang [sp?], and my communications  
17 director Dana Wax [sp?]. This afternoon we are  
18 examining the mayor's taskforce on behavioral  
19 health and the criminal justice system and  
20 examining the New York City's action plan. I'm  
21 pleased so far with the formation of this taskforce  
22 and its vision in putting a spotlight on many  
23 individuals with behavioral health issues and the  
24 roll of public safety. Crime has fallen to an all-  
25 time low in the city of New York and so has the

1  
2 number of incarcerated individuals at Ryker's  
3 Island. However despite our city's success in  
4 reducing crime the number of people with behavioral  
5 health issues cycling through the criminal justice  
6 system has largely remained constant. We need to  
7 address the challenge of reliably assessing those  
8 individuals to may pose a public safety risk to  
9 themselves as well as the public in ensuring that  
10 we appropriately address not just arrest the  
11 behavioral health issues that have led many of  
12 those individuals in contact with the criminal  
13 justice system. A call to 911 and the police is  
14 often the first point of contact regarding many  
15 individuals with behavioral health issues. Police  
16 however have limited options other than processing  
17 these individuals through the criminal justice  
18 system. In this afternoon's hearing I am interested  
19 to learn the protocol that would be established  
20 when 9-1-1 is called and police respond to an EDP,  
21 an emotionally disturbed person. In addition I'd  
22 like to hear about the expanded training for our  
23 police officers and how we will enable them to  
24 better recognize and understand the behaviors and  
25 symptoms of mental illnesses and substance abuse

1 and use. I want to know the different options that  
2 are available for many of our first responders and  
3 police officers. We must focus on training our hard  
4 working police officers with techniques of de-  
5 escalation and ensuring that they have the tools  
6 for assessing the alternatives to jail and entry  
7 into the criminal justice system. With respects to  
8 alternatives to jail this taskforce is in the  
9 process of implementing what we are calling drop  
10 off and diversion centers. I am hoping to learn  
11 more about these centers and the plans of expanding  
12 these locations beyond the pilot program. The  
13 safety of our city is of paramount importance to  
14 everyone and it is essential that we strike a  
15 delicate balance between the public safety and the  
16 needs of those who live with mental illnesses and  
17 substance abuse. I know we have a lot of material  
18 to get through today so I'd like to thank all of  
19 our representatives from the city agencies who are  
20 here, everyone who is here to testify. And with  
21 that I will turn this hearing over to my co-chair,  
22 chair of Courts and Legal Services Chair Rory  
23 Lancman.  
24  
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2 CO-CHAIRPERSON LANCMAN: Thank you. Good  
3 afternoon. I'm Councilman Rory Lancman chair of the  
4 Courts and Legal Services Committee. And I want to  
5 thank Council Members Gibson, Crowley, and Cohen  
6 for this joint hearing. We have been joined with  
7 the Committee on Courts and Legal Services Council  
8 Members Vincent Ignizio from Staten Island. The  
9 mayor's taskforce has rightfully identified the  
10 need for a more comprehensive strategy to handle  
11 people with mental illness ensnared in our city's  
12 criminal justice system. The committee on Courts  
13 and Legal Services is particularly focused on the  
14 taskforces recommendations concerning the quote  
15 from arrest to disposition phase of the legal  
16 process. Inmates with behavioral health issues do  
17 not just materialize in our city's jails. They are  
18 sent there by our courts usually on the  
19 recommendation of our district attorney's offices  
20 and with representation from our indigent defense  
21 providers. If we view the high number of mentally  
22 ill detained on Ryker's Island as a problem then  
23 reforming our courts and legal services system must  
24 be an integral part of the solution. The criminal  
25 justice landscape for mentally ill defendants is

1 complicated and filled with many gaps. Presently  
2 there is almost no mental health screening at the  
3 arraignment phase, a critical moment when bail  
4 decisions are made and many defendants accept a  
5 plea agreement. The criminal justice agency which  
6 evaluates all defendants prior to arraignment for  
7 bail purposes does not assess mental health nor  
8 does either the court except where defendants are  
9 evaluated to determine their competency to stand  
10 trial or the district attorney's office. And only  
11 some institutional legal defense providers have  
12 limited screening capacity through in house social  
13 workers. The taskforce makes several worthy  
14 recommendations including a pre-arraignment  
15 behavioral health screening pilot program in  
16 Manhattan revamping the risk assessment tool used  
17 by CJA in evaluating flight risk for bail  
18 consideration although importantly not necessarily  
19 incorporating mental and behavioral health status  
20 in that risk assessment tool. Requiring CJA to  
21 determine and alert the court to a defendant's  
22 veteran status and tripling existing supervisor  
23 release programs to approximately 34 hundred slots.  
24 The pre-arraignment behavioral health screening  
25

1 program is particularly important because simply  
2 put courts should not be making bail decisions,  
3 accepting plea agreements, or imposing sentences  
4 without considering a defendant's mental and  
5 behavioral health status. Post arraignment New York  
6 City is blessed with a variety of specialty courts  
7 that are particularly tailored for defendants with  
8 mental and behavioral health issues including  
9 mental health, drug, and veterans courts. But the  
10 courts are dealing with challenges that the  
11 taskforce doesn't address. Not all boroughs have  
12 these courts at the misdemeanor level. Many  
13 misdemeanor defendants choose to take a plea for a  
14 sentence that is shorter than the length of an  
15 alternative treatment program. And all these courts  
16 suffered some degree or another from limited  
17 resources and support from the city. Throughout the  
18 criminal justice process legal service providers  
19 confront legal and ethical issues surrounding  
20 disclosure of a client's mental health status which  
21 in some circumstances can actually work against a  
22 client's interests when it comes to bail and  
23 sentencing. Additionally a client's mental and  
24 behavioral health issues can present a particularly  
25

1  
2 difficult barrier to providing robust and effective  
3 legal representation which legal services providers  
4 struggle to overcome for lack of resources and  
5 availability of on staff social workers. The  
6 taskforce does not address or make recommendations  
7 concerning these specialty courts or the challenges  
8 that legal service providers face in representing  
9 the mentally ill. We need to also consider issues  
10 such as whether the city is properly supporting the  
11 social services providers who serve these specialty  
12 courts, whether legal services providers have the  
13 resources necessary, necessary to effectively  
14 represent mentally ill clients, whether private  
15 attorneys and so called 18-B attorneys are aware of  
16 the opportunity for diversion of specialty courts,  
17 whether there is a good handoff process between the  
18 alternative to detention program and the  
19 alternative to incarceration program and whether  
20 the court based intervention and resource teams  
21 asCERT program is properly calibrated to identify  
22 the appropriate defendants. I look forward to  
23 learning the perspectives of the witnesses planning  
24 to testify today and to do something significant to  
25 address this very important issue. Thank you.

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CHAIRPERSON CROWLEY: Thank you Council  
Member Lancman. Now I'd like to recognize Chair  
Cohen for his opening.

CO-CHAIRPERSON COHEN: Thank you. Good  
afternoon. My name is Andrew Cohen and I am the  
chair of the Committee on Mental Health,  
Developmental Disabilities, Alcoholism, Drug Abuse,  
and Disability Services. I am pleased to be joined  
by my colleagues Council Member Crowley chair of  
the Committee on Fire, Safety, and Criminal  
Justice, Council Member Gibson Chair of the  
Committee on Public Safety, and Council Member  
Lancman Chair of the Committee on Courts and Legal  
Services with whom I am co-chairing this joint  
hearing. Oh I want to acknowledge that we've been  
joined by Council Member Vallone, a member of the  
Mental Health Committee. I would also like to  
acknowledge Kimberly Williams committee council,  
and Michael Benjamin legislative policy analyst for  
their hard work in preparing for today's hearing.  
Of great concern to the mental health committee is  
the plight of persons who are released from our  
jails and prisons. There is much we still need to  
learn of how best to reintegrate this population

1 into society. But what we know with certainty  
2 includes the facts that, the facts that people  
3 coming out of incarceration had disproportionately  
4 high rates of chronic disease, especially mental  
5 illness and addictive disorders. These are some of  
6 the most intractable and complex disorders to  
7 manage for all members of society, certainly no  
8 less those for this population. We also know that  
9 without follow-up medical care these persons are  
10 statistically, statistically likely to be re-  
11 incarcerated or re-hospitalized. Those are, those  
12 who are uninsured leave prison with 30 days' worth  
13 of medication and then mostly left to their own  
14 devices. We know this hasn't always produced the  
15 best outcomes for many of these individuals on the  
16 past. Effective discharge programs have to be  
17 available for those released from jail or prisons  
18 because former offenders are likely to struggle  
19 with not only substance abuse but also a lack of  
20 adequate education and job skills, limited housing  
21 options, and again mental health issues. To help  
22 reduce recidivism many New York City community  
23 based organizations help inmates preparing to leave  
24 jail. And we hope to learn today both details and,  
25

1  
2 details and the effectiveness of such efforts. The  
3 committee hopes to learn more about the program  
4 called Health Home which is a care management  
5 service model whereby all of an individual's  
6 caregivers communicate with one another so that all  
7 the patients' needs are addressed in a  
8 comprehensive manner to assure that the clients  
9 receive everything necessary to stay healthy and  
10 out of the emergency and out of the hospital.  
11 Supportive housing availability for persons  
12 reentering society is a major component of  
13 successful reentry. A program named fuse for exam  
14 is an initiative that emerged as a result of  
15 ongoing interagency workgroup meetings within the  
16 New York City discharge planning collaboration.  
17 This initiative involves constant and formal  
18 information sharing coordination program monitoring  
19 and troubleshooting among agencies including  
20 DOCDHS, C, the Corporation for Supportive Housing  
21 and participating supportive housing providers. The  
22 goal is to break the cycle of repeated use of  
23 costly crisis services and involvement in shelters  
24 in the criminal justice system. I look forward to  
25 this afternoon's testimony. Thank... Oh and I'd also

1 like to acknowledge that we've been joined by  
2 Council Member Corey Johnson. And I still look  
3 forward to this afternoon's testimony. Thank you.

4  
5 CHAIRPERSON CROWLEY: Thank you Council  
6 Member and Co-Chair Cohen. I'd like to recognize  
7 council members who may not have been recognized  
8 yet. We've been joined by Council Member Eugene,  
9 Torres, Vacca, Matteo, Rosenthal... and I believe all  
10 the other council members have been recognized. And  
11 now we would like to hear testimony from the  
12 administration and all those who are going to be  
13 giving testimony or answering any questions I would  
14 ask if you could raise your right hand. We, as part  
15 of the process here in the council, as, you to  
16 affirm that you will tell the truth. So I'm going  
17 to read the affirmation and if you do affirm to  
18 just say I do. Do you affirm to tell the truth, the  
19 whole truth, and nothing but the truth in your  
20 testimony before the committee and to respond  
21 honestly to council members' questions. Thank you.

22 TRISH MARSIK: Good afternoon  
23 Chairperson Crowley and members of the Committee on  
24 Fire and Criminal Justice Services as well as  
25 members of the Committees on Public Safety, Courts,

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1  
2 and Legal Services, and Mental Health,  
3 Developmental Disability, Alcoholism, Substance  
4 Abuse, and Disability Services. My name is Trish  
5 Marsik and I am the Executive Director of the  
6 Mayor's Taskforce on Behavioral Health and the  
7 Criminal Justice System. Thank you for the  
8 opportunity to testify today. The Taskforce on  
9 Behavioral Health and the Criminal Justice System  
10 is embedded in the Mayor's Office of Criminal  
11 Justice which advises the Mayor on Public Safety  
12 Strategy and together with partners inside and  
13 outside government develops and implements policies  
14 aimed at achieving three main goals reducing crime,  
15 reducing unnecessary arrests and incarceration and  
16 promoting fairness. These three goals are at the  
17 heart of the taskforce's work. In June of 2014  
18 Mayor de Blasio launched a robust effort to address  
19 how the criminal justice and health systems can  
20 work together better to ensure that we are  
21 reserving criminal justice resources for the  
22 appropriate cases and deploying treatment and other  
23 proven effective remedies to interrupt those  
24 needlessly cycling through the system. Under the  
25 leadership of Deputy Mayor of Health and Human

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1  
2 Services Lilliam Barrios-Paoli and Director of the  
3 Mayor's Office of Criminal Justice Elizabeth Glazer  
4 the taskforce's executive committee included  
5 commissioners from city and state agencies, experts  
6 from the private sector, representatives from law  
7 enforcement and behavioral health agencies,  
8 district attorneys, defenders, judges, and other  
9 court representatives, academics, and service  
10 providers. The taskforce brought together over 400  
11 leaders and participants in this work from across  
12 the city and the nation. Over a 100 day period this  
13 group developed a comprehensive strategy to ensure  
14 that when appropriate people are diverted from the  
15 criminal justice system and that justice involved  
16 individuals with behavioral health needs are  
17 connected to care and services at every point in  
18 the criminal justice process. The result is an  
19 unprecedented 130 million dollar four year  
20 investment in targeted solutions that look not only  
21 at individual points in the system about how the  
22 system as a whole operates. In implementing this  
23 plan we are reducing the number of people with  
24 behavioral health needs cycling through the  
25 criminal justice system and connecting them instead

1 to interventions that could change the course of  
2 their lives. I will discuss with you today the  
3 strategic imperatives driving these reforms as well  
4 as the mechanisms we're using to ensure that  
5 reforms are being fully and effectively  
6 implemented. Over the last 20 years New York City  
7 has experienced the sharpest drop in crime anywhere  
8 in the nation. As crime has fallen so has the  
9 city's jail population. On the last day of 2014  
10 there were fewer than ten thousand individuals  
11 detained at Ryker's for the first time since the  
12 mid-1980s. While many factors contributed to this  
13 extraordinary achievement at its heart the success  
14 was due to a focused effort to identify who was  
15 committing crimes and where and then tailoring  
16 strategies to address those specific problems.  
17 Despite our success in reducing the overall jail  
18 population. The number of people with behavioral  
19 health issues has stayed largely constant. With  
20 individuals with behavioral health issues comprised  
21 in a bigger and bigger percentage of the total  
22 number incarcerated. While in FY2010 people with  
23 mental illness were only 29 percent of the New  
24 York City jail population today they represent 38  
25

1 percent of the overall jail population.  
2  
3 Approximately seven percent of the jail population  
4 is made up of individuals with serious mental  
5 illness meaning that they suffer from diseases such  
6 as schizophrenia and bipolar disorder. In addition  
7 approximately 46 percent of inmates in the New York  
8 City jail system report that they are active  
9 substance users although we believe that actual  
10 prevalence of substance use to be much higher. Many  
11 justice involved individuals with behavioral health  
12 needs cycle through the system over and over again  
13 often for low level offences. For example a group  
14 of approximately 400 individuals has been admitted  
15 to jail more than 18 times in the last five years.  
16 This same group accounted for more than 10 thousand  
17 jail emissions and a collective 300 thousand days  
18 in jail. To address this population more  
19 effectively and efficiently the taskforce's  
20 recommendations are rooted in the recognition that  
21 these kinds of entrenched and recurring problems  
22 can only be addressed if the system is looked at as  
23 a whole. And if the strategy recognizes that each  
24 part of the system has an effect on the other the  
25 goal of these strategies is to ensure that when

1 there's no public safety risk that individuals with  
2 behavioral health disorders do not enter the  
3 criminal justice system in the first place. If they  
4 do enter that they are treated outside of the jail  
5 setting. If they are in jail that they receive  
6 treatment that is therapeutic rather than punitive.  
7 And that upon release they are connected to  
8 effective services. A key component of this  
9 approach involves plugging into Medicaid expansion  
10 which gives us an opportunity to expand funding  
11 from supportive programming and treatment in the  
12 community while ensuring that those services lead  
13 to both better health outcomes and declining  
14 justice involvement. To that end throughout the  
15 taskforce's work we are focused on increasing  
16 enrollment in Medicaid ensuring that health homes  
17 engage and retain those justice involved and that  
18 we measure the success of the range of new Medicaid  
19 initiatives not only by how they reduce reliance on  
20 health crisis services but also the crisis of  
21 justice involvement. Here are a few examples of the  
22 taskforces work today. Achieving the taskforces  
23 goals begins on the street where police and other  
24 first responders encounter those with behavioral  
25

1 health issues. The NYPD is currently finalizing  
2 curriculum that will expand training for police  
3 officers to enable them to better recognize the  
4 behaviors and symptoms of mental illness and  
5 substance use. The training will ultimately be  
6 integrated into the police academy curriculum in  
7 the short term it will be a standalone 36 hour  
8 training for 55 hundred officers in the two areas  
9 where we'll pilot public health diversion centers  
10 to provide an option that is not hospitalization or  
11 jail for people who not pose a public safety  
12 threat. Additionally on April 14<sup>th</sup> Mayor Bill de  
13 Blasio and Chief Judge Jonathan Lippman announced  
14 Justice Reboot, an initiative to modernize New York  
15 City's criminal justice system so it is fairer and  
16 more efficient. Central to this first round of  
17 reforms is a robust strategy to significantly  
18 reduce case processing times, a goal of the  
19 Behavioral Health Taskforce. In developing better  
20 scheduling tools more comprehensive databases of  
21 case information and in creating borough specific  
22 and citywide workgroups the city is well poised to  
23 reduce case processing backlogs. The mayor and  
24 Chief Judge have committed to clearing half of all  
25

1 cases that have been going on for more than a year  
2 within the first six months of this initiative.  
3 Behavioral health screening at arraignments will  
4 launched later this summer during selected hours in  
5 Manhattan. Nurse practitioners and other health  
6 professionals will pilot a process to identify  
7 those with immediate behavioral health needs as  
8 well as connecting to their treating providers for  
9 care and potential diversion. Efforts are also  
10 underway to ask the questions currently used to  
11 screen veterans who enter the criminal justice  
12 system. Those identified will be flagged for  
13 veteran's affairs so case management support and  
14 linkage to care can be activated. To date the city  
15 has created two new specialized units to provide  
16 preventative services to inmates with behavioral  
17 health issues. The two sites have shown preliminary  
18 promising results and the following to which are  
19 scheduled to be open mid-2015. Additional  
20 expansions to substance use disorder treatment will  
21 launch in June providing discharge plans to an  
22 additional 4,000 individuals. The Department of  
23 Corrections has successfully implemented the eight  
24 additional hours of training for all uniformed  
25

1 officer recruits in working with inmates  
2 experiencing mental health issues. Current officers  
3 will also receive this training. The city is  
4 currently engaging in extensive planning to make  
5 sure that discharge of individuals with behavioral  
6 health issues sets them up for successful reentry  
7 through linkages with appropriate public benefits  
8 and supports including public health insurance. The  
9 taskforce anticipates completion of expansions to  
10 existing discharge planning contracts I can for 41  
11 hundred slots will occur in May 2015. Further to  
12 ensure minimal disruptions and public health  
13 insurance coverage the city is identifying the  
14 various processes by which Medicaid enrollment  
15 occurs for those leaving jail. HRA and DOHMH are in  
16 the planning phases for additional staff to be  
17 added to these efforts and to create a Medicaid  
18 implementation team. Beginning in October DOHMH is  
19 adding 120 permanent housing slots dedicated to  
20 justice involved individuals to the Department's  
21 portfolio. A similar model, the frequent user  
22 system engagement or fuse program was found to  
23 significantly decrease shelter, hospital, and jail  
24 stays, generate an an annual 15 thousand dollars  
25

1 public cost savings per house participant when  
2 compared, when measured against a comparison group.  
3 Additionally the Department of probation is close  
4 to launching in house behavioral health teams that  
5 will provide advisory services and the screening and  
6 assessment of behavioral health needs of  
7 individuals on probation connecting them to  
8 clinical and concrete community based services.  
9 Measuring impact and refining approaches baked  
10 directly into the DNA of the taskforce. Since the  
11 action plan was announced in December the mayor's  
12 office has been leading multi-agency teams to  
13 ensure implementation of both the projects outlined  
14 in this report as well as the ongoing planning  
15 efforts in several areas. Measurements of progress  
16 and accountability in achieving the goals laid out  
17 in the report... to ensure effective oversight and  
18 accountability the office of the deputy mayor for  
19 health and human services and the mayor's office of  
20 criminal justice will be responsible for oversight  
21 of this plan and will convene the leaders of the  
22 agencies directly charged with implementation and  
23 stake, and key stakeholders including  
24 representatives from the provider and consumer  
25

1 communities to monitor the performance of the  
2 initiative. The mayor's office will publish  
3 quarterly reports on the progress of the  
4 initiatives and related efforts to ensure that we  
5 are using the right metrics to evaluate impact,  
6 implementation of all the actions in the report  
7 will include establishing measures for process and  
8 substance outcomes as well as targets. These  
9 performance measures will be published in the  
10 second progress report and systematically monitored  
11 and reviewed. And to ensure that this city is  
12 getting the greatest public safety return on its  
13 investments the city will conduct an ongoing cost  
14 benefit analysis to ensure that the lives of people  
15 with behavioral health needs are improving, that  
16 the criminal justice system becomes more efficient  
17 at diverting people out of the system and that is a  
18 result cost for unnecessary incarceration declined  
19 and benefits to public health and safety are  
20 calculated. In addition the pilot programs that are  
21 to be initiated will be evaluated to determine  
22 whether they should be adopted citywide modified or  
23 replaced with alternative approaches. The taskforce  
24 is one way in which this administration is enact,

1  
2 enacting its commitment to continue to drive down  
3 crime, reduce unnecessary arrests and incarceration  
4 and promote fairness. I'm happy to take your  
5 questions.

6 CHAIRPERSON CROWLEY: Thank you for your  
7 testimony. My first question has to do with the  
8 population on Ryker's Island. If implemented  
9 correctly your supervisor release program will be  
10 reducing the population there. So currently there  
11 are approximately 10 to 11 thousand inmates how  
12 many fewer would there be if your plan was to be  
13 implemented the way you see it rolling out.

14 TRISH MARSIK: I can't answer that  
15 question specifically because there are a number of  
16 variables in play there but what I can tell you is  
17 that we're expanding our supervised release program  
18 by 23 hundred slots. Whether I, it's not a one to  
19 on relationship that there be 23 hundred fewer  
20 people on Ryker's Island but we do anticipate it  
21 reducing emissions and we'll be monitoring it  
22 closely to see what the impact of that is.

23 CHAIRPERSON CROWLEY: Now how quickly  
24 can you ramp up to that day when you're serving 23  
25 hundred unsupervised release.

1  
2 TRISH MARIK: That's a great question.  
3 The process is subject to city procurement rolls so  
4 we'll be releasing an RFP for vendors to provide  
5 the supervised relief services and we anticipate  
6 that launching in the fall

7 CHAIRPERSON CROWLEY: So that in, in the  
8 fall you will pick the companies...

9 TRISH MARIK: We'll have select...

10 CHAIRPERSON CROWLEY: ...the nonprofits  
11 that are awarded the contract.

12 TRISH MARIK: Yep.

13 CHAIRPERSON CROWLEY: You see it as more  
14 than one nonprofit?

15 TRISH MARIK: It may be more than one.  
16 It's not clear yet how that'll shake out.

17 CHAIRPERSON CROWLEY: So if there's  
18 currently let's say for numbers sake ten thousand  
19 people on Ryker's Island you can't say with  
20 certainty that it would bring it down 23 percent.  
21 Like that 23 hundred?

22 TRISH MARIK: No I can't say that with...

23 CHAIRPERSON CROWLEY: But it would make  
24 a significant impact in the average daily  
25 population.

1  
2 TRISH MARIK: We believe it's going to  
3 make an impact that we'll be able to see and  
4 measure.

5 CHAIRPERSON CROWLEY: When you had the  
6 report you talked about 400 inmates..

7 TRISH MARIK: Mm-hmm.

8 CHAIRPERSON CROWLEY: ...have cycled in  
9 and out and been arrested 18 times in the past five  
10 years. They make up what you're calling the  
11 significantly entail ill population that's seven  
12 percent that has bipolar or schizophrenia?

13 TRISH MARIK: That, those 400 people  
14 actually represent people who have had the most  
15 frequency, the most frequent use of the jail  
16 system. So it's not necessarily people with serious  
17 mental illness although some of them did have  
18 serious mental illness but it's more related to how  
19 often and how long they spent in jail rather than  
20 the characteristics of their behavioral health  
21 needs. Now your goal would be to provide them  
22 housing, health insurance, and a job.

23 TRISH MARIK: I think those... [cross-  
24 talk]

25 CHAIRPERSON CROWLEY: ...program...

2 TRISH MARIK: I'm sorry?

3 CHAIRPERSON CROWLEY: Through this  
4 program as it, you, you have 130 million dollars  
5 set aside to spend over the next four years.

6 TRISH MARIK: Mm-hmm.

7 CHAIRPERSON CROWLEY: And that is a, an  
8 arch goal of the program no?

9 TRISH MARIK: Yeah so I would say that  
10 it doesn't translate specifically to say those 400  
11 people but that we do have components that are  
12 about connecting people to care at all points in  
13 the system that we are rolling out housing beds. We  
14 have 120 so launch thus far and a mini solicitation  
15 we'll be ramping that up to 267 and we are looking  
16 at further at what the employment opportunities are  
17 for this population. But there wasn't a concrete  
18 programmatic initiatives attached to the employment  
19 component.

20 CHAIRPERSON CROWLEY: If you were to  
21 implement the program as planned in a few months to  
22 a few years you'd need to measure the success of  
23 the program.

24 TRISH MARIK: Absolutely.

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CHAIRPERSON CROWLEY: And wouldn't it be a way to measure it looking at those 400 that you speak about in the report?

TRISH MARIK: We're going to be looking at a number of things attached to the report. One is a reduction in jail days for people with behavioral health need. One is a reduction in arrests for people with behavioral health issues. And the other two are healthcare outcomes that those people spend less time in the hospital in the ER and they have a greater continuity of care. We imagine that those people who are cycling in and out of jail most frequently will be part of that subgroup that we'll be looking at. So we'll be able to see if...

CHAIRPERSON CROWLEY: But you'll measure the success by the reduction of the average daily population.

TRISH MARIK: Well that will be one of the components that we'll be looking at but we'll be looking at it specifically for people with behavioral health needs as well.

1  
2 CHAIRPERSON CROWLEY: Which in your  
3 report says about 85 percent of the population on  
4 Ryker's Island has substance abuse problem.

5 TRISH MARIK: Those, those numbers have  
6 since been revised a little bit. We do think, as  
7 per my testimony we do think it's about 46 percent  
8 by their own report but we do believe it's much  
9 higher than that.

10 CHAIRPERSON CROWLEY: So your plan also  
11 reduces the time somebody would wait for a trial.  
12 And that time has grown substantially over the  
13 years.

14 TRISH MARIK: Yes.

15 CHAIRPERSON CROWLEY: So today an  
16 average person is waiting about six months is that  
17 correct, six and a half months.

18 TRISH MARIK: I don't have that number  
19 in front of me but I can get it to you.

20 CHAIRPERSON CROWLEY: In reducing the  
21 length of time and, and putting these programs to  
22 help the 23 hundred combined it should reduce the  
23 average daily population to a certain extent.

24 TRISH MARIK: That's our plan.

25 CHAIRPERSON CROWLEY: That's the goal.

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TRISH MARIK: Absolutely.

CHAIRPERSON CROWLEY: But there's no goal in terms of measuring the success and the outcomes by looking at the daily population.

TRISH MARIK: We absolutely will be looking at the day, daily population. I think if I can clarify a little bit the metrics that we're using are still somewhat in development. I've given you the larger goals. But the way that all of these different initiatives will fit together and interlock and put pressure on the population on Ryker's Island is still being developed because it's complicated. You've probably hear this when you've had other testimony that different agencies measure the population in different ways. Sometimes it's the people who are coming in. Sometimes it's the daily population. We're trying to put together an evaluation and a set of performance measures that make sure we're comparing apples to apples and that we're looking at how we're reducing arrests and jail time for this population.

CHAIRPERSON CROWLEY: Your report speaks about the adolescent issues on Ryker's Island which was Department of Justice report. And it showed

1  
2 how, how significant abuses were happening with use  
3 of force and that any adolescents were in punitive  
4 segregation for extended periods of time. Now the  
5 Department of Correction has been working with the  
6 department of justice to meet the goals of, of that  
7 study and the report, the recommendations. Now how  
8 is your taskforce differing? We had a hearing last  
9 week with the Department of Correction and we saw  
10 that since the Department has been implemented the  
11 recommendations of the Department of Justice it has  
12 only seen adolescent use of force and violence  
13 decrease whereas the rest of the population  
14 continues to increase. And I want to get at the  
15 heart of your training and goals of this taskforce  
16 as it relates to the DOC because I'm not sure if  
17 they're realistic or I'm not sure that we have the  
18 infrastructure here and the city in place to do the  
19 types of training or to have the staffing ratio.  
20 Because when we look at 16 and 17 year olds they're  
21 less than 200 of the people who are on Ryker's  
22 Island, the inmates but the vast majority are not  
23 and while the goals are, are admirable and I want  
24 to help in achieving that we're not seeing any  
25 results of, of the plan. I mean look going back six

1 months ago when it was released we, we haven't seen  
2 changes on Ryker's and, and any realistic, you know  
3 any plan seems very difficult to, to reach because  
4 you just don't have the infrastructure there.  
5

6 TRISH MARIK: ...about infrastructure?

7 ERIK BERLINER: Sure. Good afternoon. So  
8 one of the first things that we've done and are  
9 doing to address this through the taskforce and,  
10 and through its work is the training issue, you  
11 know the...

12 CHAIRPERSON CROWLEY: Have to, just...

13 ERIK BERLINER: I'm sorry Erik Berliner,  
14 Deputy Commissioner of Department of Correction.  
15 The issue of training. So it takes a long time to  
16 train you know a 9,000 person workforce and more  
17 importantly once they're trained they have to then  
18 use the training to build the skills necessary you  
19 know to, you know to, to put that training into  
20 practice. So we're focusing especially of course on  
21 the adolescent population who are receiving  
22 intensive, the officers who work with adolescents  
23 are receiving intensive training, the officers who  
24 are working with our young adults are receiving  
25 training, those who work with the mental

1 observation populations are receiving intensive  
2 trainings.  
3

4 CHAIRPERSON CROWLEY: Sorry Mr. Berliner  
5 [phonetic]. Last week when we had the hearing the  
6 commissioner testified that there was nowhere to  
7 train, that they had a hard enough time filling the  
8 vacant slots of correction officers that he  
9 couldn't possibly train new correction officers in  
10 the space that they have nor train the 9,000. When,  
11 when looking at the adolescent population it  
12 appears that you have changed the ratio to 15 to  
13 one whereas the rest of the population including  
14 those who have mental health and serious health  
15 needs that, that there's no real plan in place to,  
16 to meet them. And I bring this up because we're  
17 right about the time when the, the budget is coming  
18 out and we have to be realistic about the goals.  
19 It, it, it's good to help the 200 but we need to  
20 look at the overall 10,000. And there's nowhere to  
21 train 9,000 officers. There's nowhere even to train  
22 a new class.

23 ERIK BERLINER: That's certainly an  
24 issue that we're, we're dealing with. One of the  
25 things that we are focused on in terms of rolling

1 this training out is by training staff who work  
2 with targeted populations. We can do that on site.  
3 We don't have to necessarily use the academy for  
4 that. So when training folks who are working in  
5 mental observation units for example we can do that  
6 on Ryker's in the, in the facilities in which  
7 they're working and that way we're able to get  
8 those folks who most need the training to work with  
9 this population... the training.  
10

11 CHAIRPERSON CROWLEY: Last question  
12 before I recognize Council Member Gibson for  
13 questions. It is, it is those special units, the  
14 caps units and the pace units that you need to be  
15 training, you need to fast pace that training. But  
16 there doesn't seem to be a plan with 13 hundred to  
17 15 hundred people on a waiting list right now to  
18 get into those training facilities, into those  
19 specific areas.

20 ERIK BERLINER: I'm sorry are you  
21 talking about staff or inmates?

22 CHAIRPERSON CROWLEY: No, no, no last  
23 week you testified that there were 15 hundred  
24 people who have mental health diagnoses that been  
25 involved in violent incidents and have infractions

1  
2 and need to go into an area where they can get the  
3 clinical care either an area you call caps or an  
4 area you call pace. But there's just such a waiting  
5 list that there's no realistic timeframe to have  
6 them all be served in the space that you have with  
7 the staff that you have.

8           ERIK BERLINER: Yeah so just to clarify  
9 the waiting list that you're talking about is about  
10 750 folks and that's for either punitive  
11 segregation or the RHU which is a punitive  
12 segregation with a behavioral health program  
13 component. There's no waiting list for caps. And  
14 their pace is not a post adjudication issue so  
15 there's also no waiting list for pace. That's a  
16 clinical decision who goes in there and there's  
17 upon a clinician's determination that somebody  
18 belongs in the caps unit they can go in that day.

19           CHAIRPERSON CROWLEY: So point of  
20 clarification there is no waiting list for people  
21 who have diagnosed mental health illnesses for an  
22 area where they would be considered an alternative  
23 to punitive segregation?

24           ERIK BERLINER: Not for the caps unit  
25 per say. There is absolutely a waiting list of

1  
2 about 750 people to go into, who, who have a  
3 diagnosed mental illness who have committed a jail  
4 based infraction and are waiting for a  
5 determination or for a punitive segregation bed.

6 But that would be for either the central punitive  
7 segregation unit or the restricted housing units.

8 CHAIRPERSON CROWLEY: How often are the  
9 750 in that, on that waiting list re-infracting?

10 ERIK BERLINER: We're looking at that  
11 after your, after the hearing last week and we  
12 should have an answer for you very shortly.

13 CHAIRPERSON CROWLEY: But when in your  
14 time frame do you have a space where you could  
15 serve that population?

16 ERIK BERLINER: Should somebody re-  
17 infract or commit an infraction that was  
18 particularly violent or dangerous they could be  
19 expedited to the front of the line. We do have a, a  
20 prehearing detention process that allows for that.  
21 But for others the adjudication process continues  
22 and when a bed is available they may be  
23 transferred.

1  
2 CHAIRPERSON CROWLEY: But there's no  
3 timeframe to increase the number of caps or pace or  
4 restricted housing areas.

5 ERIK BERLINER: The timeframe for an  
6 increase... there, there is no plan to increase caps  
7 beds at this time. There are two pace units that  
8 are preparing to come online. One hopefully will be  
9 next month, the other a little later on this  
10 summer. And there is no plan at this time to  
11 increase the restricted housing unit beds.

12 CHAIRPERSON CROWLEY: So when you have  
13 750 people on a, a waiting list and they're  
14 infracting and they're re-infracting you just say,  
15 you just hang out here until we get a, a spot for  
16 you or this is just an infraction that is there for  
17 show?

18 ERIK BERLINER: The new rules in place  
19 regarding the time one can spend in punitive  
20 segregation and the department and the health  
21 department's plans for implementing those rules. We  
22 have modeled that out and we believe that in the  
23 next eight to ten months the backlog will be  
24 completely remediated. There will be nobody left  
25 waiting for a bed of any kind. And everything we've

1  
2 seen in the first two months since implementing  
3 those rules continue to give us confidence that  
4 that will in fact be the case.

5 CHAIRPERSON CROWLEY: Okay Council  
6 Member Gibson.

7 CO-CHAIRPERSON GIBSON: Thank you very  
8 much Chair Crowley. And thank you for your presence  
9 and your testimony. So I'm going to frame my  
10 questions and really focus on the diversion drop  
11 off center that we're looking to open in East  
12 Harlem this fall as well as the NYPD training.  
13 Since I chair public safety we've had extensive  
14 conversations around some of the intricate details  
15 of this diversion center. So just a couple of  
16 things. This is going to be a pilot health  
17 diversion center in East Harlem starting in the  
18 fall of 2015. So a couple of things I didn't see in  
19 your testimony in terms of the staffing that will  
20 be at this center the criteria in terms of services  
21 that the individuals will be offered I assume this  
22 is volunteer right. This is to deter them from  
23 going to Ryker's Island but it is a volunteer, a  
24 process, and in the eligibility so if it's  
25 physically in East Harlem the clients that are

1  
2 going to this diversion center are they coming in  
3 with PD, with EMS, with DOC? Are they able to come  
4 in with someone else? Can you talk a little bit  
5 about that in detail?

6 TRISH MARIK: Sure. The folks who are  
7 eligible for this diversion center are going to be  
8 coming exclusively from the 2-3, the 2-5, and the  
9 2-8 precincts. They will be brought in by law  
10 enforcement. At this point the plans for the  
11 diversion center are for, that it's scheduled for  
12 law enforcement only. When they get there they'll  
13 be assessed for the types of services that they  
14 need and there's going to be a mix of both clinical  
15 staff there including some nurse practitioners as  
16 well as peers who will be able, who will be on site  
17 to help engage the individuals there and further  
18 retain them in treatment, get them excited about  
19 what we have to offer, connect them to other  
20 services. Keep in mind that it's a short term  
21 center so we want to connect, we want to get people  
22 excited about the services, help them to think  
23 about what they might need, talk to them about  
24 what's available to them and then hand them off to  
25 a next provider.

1  
2 CO-CHAIRPERSON GIBSON: Okay when you  
3 say short term stay I imagine we're talking about a  
4 couple of days from..

5 TRISH MARIK: Three days.

6 CO-CHAIRPERSON GIBSON: ...the point of  
7 entry.

8 TRISH MARIK: Three days.

9 CO-CHAIRPERSON GIBSON: And will the  
10 clients be given a discharge plan? So what if there  
11 are a series of medications or long term treatment  
12 that is identified while they're there, how will  
13 that work for many of these individuals that may be  
14 homeless, not have a place to go, some of the other  
15 social components that we deal with and we know  
16 many clients may have? How will we address that for  
17 their short term stay and then have a plan in place  
18 when they exit?

19 TRISH MARIK: The same way that we  
20 would in any other setting? They're going to be  
21 assessed for the needs that they have. They're  
22 going to be you know ideally seen by a physician or  
23 a nurse practitioner who can prescribe medication  
24 if they, if in fact they need it. They'll be  
25

1 connected to care and resources within the  
2 community.

3  
4 CO-CHAIRPERSON GIBSON: Okay. And in  
5 terms of capacity when we had an earlier meeting in  
6 terms of the number of beds that are there are we  
7 looking at a dozen to 15 or is that dependent upon  
8 funding or other matters.

9 TRISH MARIK: The plan right now is for  
10 10 beds.

11 CO-CHAIRPERSON GIBSON: Okay. So do we  
12 expect at any given time to reach capacity in terms  
13 of, I mean that's a low number for those commands  
14 2-3, 2-5, and 2-8.

15 TRISH MARIK: Yeah. I mean I think that  
16 we're going to have to see how it plays out. Yeah.  
17 And not everybody's going to be staying overnight.  
18 Some people will come in, get what they need, come  
19 out. Some people will stay one night. Some people  
20 will... some people will come in and say you know  
21 this is not something that I want right now but  
22 they'll be able to come back and receive services  
23 that the next time they come in.

24 CO-CHAIRPERSON GIBSON: So in terms of  
25 it only, you know obviously this is only in three

1 commands and it's really being led through law  
2 enforcement so what I'm trying to understand is  
3 with all of the services that will be offered at  
4 the center what factors and what indicators are we  
5 going to look at to determine success s we're  
6 looking to expand beyond the ten as well as  
7 expanding to other commands in the city. What are  
8 we going to look at to define the success?  
9

10 TRISH MARIK: It's the, it's the same,  
11 roughly the same indicators that I mentioned  
12 before. It's few arrests. It's connection to care.  
13 It's continuity of services.

14 CO-CHAIRPERSON GIBSON: So is there any  
15 thought... I always think about some of the  
16 unintended consequences of the great work we do.  
17 And do you think that there may be any possibility  
18 that because of the services that will be provided  
19 for many of these individuals that it would entice  
20 others to to try to get services there that do not  
21 come in through law enforcement through these three  
22 commands?

23 TRISH MARIK: Potentially. But the  
24 service, the part of the diversion center will be  
25 about connecting people to other, other care

1 providers. So they will be able to you know hand  
2 people off or give people information about other  
3 services that are available even if they don't  
4 come in with law enforcement.  
5

6 CO-CHAIRPERSON GIBSON: Okay. And in  
7 terms of...

8 TRISH MARIK: And, and that would be  
9 great. You know the more people we can engage in  
10 care the better.

11 CO-CHAIRPERSON GIBSON: Right. No I  
12 agree. In terms of some of the data that you're  
13 going to keep the system itself how long are you  
14 going to keep the individual's information on file.  
15 So for instance if an individual's comes in the  
16 foal and then for some reason they are in another  
17 situation where they could be getting a summons an  
18 they're diverted to the center you'll be able to  
19 know because you have their information on file.  
20 How long would you keep their information on file  
21 for any repeat, repeaters that may come back to the  
22 diversion center.

23 TRISH MARIK: I'm not sure that's been  
24 determined. Yeah I, I think, I mean it's, it's  
25 still in development. We'll be working with the

1 provider, the ven [phonetic], the successful vendor  
2 who gets awarded that to figure that out. But I  
3 think that what we're looking at is trying not to  
4 lose track of anyone. So we'll be considering how  
5 to do this best to keep, retain as many people as  
6 possible.  
7

8 CO-CHAIRPERSON GIBSON: Okay. So I do  
9 recognize... I know this is still a plan in the works  
10 and that's why I'm bringing up a lot of these  
11 questions...

12 TRISH MARIK: They're good questions.

13 CO-CHAIRPERSON GIBSON: ...because I, I  
14 really want to make sure that we capture  
15 everything. I am a huge supporter of diversion and  
16 I really like this approach and I obviously want to  
17 make sure it works so I just want to make sure that  
18 we're looking at some of the other angles as well.  
19 So the NYPD and the training, and Deputy  
20 Commissioner Susan Herman during a, a previous  
21 hearing that I held talked about this revise in new  
22 training of 55 hundred officers that would be  
23 integrated into the police academy curriculum.  
24 There will be a 36 hour training. So just a couple  
25 of questions about that in terms of the curriculum

1  
2 is being derived off of some of LA's practices so I  
3 guess I just wanted to understand what some of  
4 those practices are that we're going to look at.  
5 And then the length of the training itself who's  
6 going to do the training. I'm always very concerned  
7 about that. And then the cost. I know there was  
8 funds that came from our wonderful DA of Manhattan.  
9 Is that enough to cover the cost of the training  
10 for these 55 hundred officers? Oh wait you have to  
11 be sworn in. Hold on for a second.

12 CHAIRPERSON CROWLEY: Do you affirm to  
13 tell the whole truth and nothing but the truth in  
14 your testimony and in answering any questions.

15 TERRANCE RILEY: My name is Terrance  
16 Riley. I'm an inspector inside the NYPD's Training  
17 Bureau.

18 CHAIRPERSON CROWLEY: Okay.

19 TERRANCE RILEY: NYPD's Training Bureau.  
20 So you had asked how many days it's going to be.  
21 It's going to be four days in length and it's  
22 currently in development. It's going to start in  
23 June and we would certainly welcome any outsiders  
24 or advocates who want to come and view the training  
25 to give us some input on how to improve it, that

1 would be welcome. And this could be delivered by  
2 NYPD instructors to certain portions. But other  
3 portions for instance as it relates to mental  
4 illness we're going to bring in clinicians from  
5 outside entities.  
6

7 CO-CHAIRPERSON GIBSON: Okay. So this is  
8 based on LA's practices. What did LA do that we're  
9 looking to do?

10 TERRANCE RILEY: Yeah it's going to be  
11 informed by crisis interventions, intervention  
12 teams aren't anything new. They've been around a  
13 long time. So we're looking at models across the,  
14 the nation. We looked at LA. We're looking at  
15 Seattle, Houston, Las Vegas metro but we want to  
16 work with providers and also families of, of the  
17 people who have these problems.

18 CO-CHAIRPERSON GIBSON: Mm-hmm. Now  
19 you're doing the training in, in concert, in  
20 partnership with MOCJ and the other stakeholders  
21 right?

22 TERRANCE RILEY: That's exactly right.  
23 Yeah.

24 CO-CHAIRPERSON GIBSON: Okay.

25 TERRANCE RILEY: Yeah.

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2 CO-CHAIRPERSON GIBSON: As well as DOC?

3 TERRANCE RILEY: No we haven't worked  
4 with DOC, DOHMH.

5 CO-CHAIRPERSON GIBSON: Oh just DOHMH,  
6 okay.

7 TERRANCE RILEY: Yes.

8 CO-CHAIRPERSON GIBSON: And you're  
9 looking to start in June? How are you picking the  
10 officers that will begin the training?

11 TERRANCE RILEY: Well we're going to  
12 pick officers from the 2-3, 2-5, and 2-8. The  
13 commanding officers who know their personnel the  
14 best would choose who would attend and what we're  
15 going to do to make sure that there's no  
16 operational implications like we don't want to take  
17 too many people off of patrol and put them into  
18 training they'll be backfilled with personnel in  
19 overtime. So while these officers on straight time  
20 are in training they... have other officers cover for  
21 their open position. So while the officers are in  
22 this training they're not responding to 9-1-1 calls  
23 during that time?

24 TERRANCE RILEY: That is correct, for  
25 four days they'd be assigned to the police academy

1 at a college point. And an important point is I  
2 think a lot of the council members have seen our  
3 mock environments. There we have a subway station  
4 brownstone you know really streetscapes that look  
5 very realistic in those streetscapes we're going to  
6 have a lot of scenario based training. Small  
7 classes, not, you know not 100 200 but 30 officers  
8 at a time very small, small instructor to student  
9 ratio and we're going to have actors who have been  
10 tutored on how to show the signs and symptoms of  
11 behavioral illness, actually acting inside these  
12 scenarios.

14 CO-CHAIRPERSON GIBSON: Okay. So one of  
15 the things I wanted to also mention is that you  
16 know we are having conversations with the  
17 administration around different offences that are  
18 deemed summon sable [phonetic], certain violations  
19 like park rules or jumping a turnstile where  
20 they're misdemeanors and what I'd like to know is  
21 individuals that are encountered with police  
22 officers under some of the minor offences is it the  
23 individual officer's judgment on whether that  
24 individual should go to this diversion center if  
25 therein those commands how like what would the

1  
2 protocol be to determine how the individuals get to  
3 the center instead of getting a summons.

4 TRISH MARIK: So part of that protocol  
5 is still in development but it is going to be the  
6 officers determination. They'll be able to use some  
7 discretion about when people will be able to go to  
8 the diversion center. Do you want to answer?

9 CHAIRPERSON CROWLEY: Do you affirm to  
10 tell the whole truth in answering the questions the  
11 council poses today?

12 SUSAN HERMAN: I do. I'm Susan Herman  
13 Deputy Commissioner, Collaborative Policing. And  
14 the one thing I would add is that this is a, an  
15 idea that's similar to the diversion that we're  
16 engaged in currently in the subways so that this is  
17 someone's voluntary acceptance of the services that  
18 are offered. So it will depend on the officer  
19 recognizing a behavioral health issue. It will  
20 depend on the officer then offering these services  
21 appropriately and then the person accepting these  
22 services.

23 CO-CHAIRPERSON GIBSON: Okay. So and,  
24 and I'm glad I asked that question because there is  
25 different series of steps that obviously have to

1  
2 happen and so the training of the officers is going  
3 to be critical in this because it's their  
4 determination on who gets the services and who  
5 doesn't. And so while I...

6 SUSAN HERMAN: ...their determination on  
7 who's offered.

8 CO-CHAIRPERSON GIBSON: Right, on who's  
9 offered, right.

10 SUSAN HERMAN: Yep.

11 CO-CHAIRPERSON GIBSON: And I'm always  
12 concerned about that because every individual makes  
13 a different decision based on different perceptions  
14 and the reality of that individual situation. But  
15 as long as we have guidelines and structure that  
16 overall outweigh you know individuals I think it's,  
17 it's a good approach to have that standard in  
18 place. The other thing that I wanted to mention is  
19 a lot of the police officers I talked to that are  
20 always concerned about responding to EDPs you know  
21 the calls don't come into 9-1-1 of that nature all  
22 the time. And so you don't recognize that you're  
23 dealing with an individual that may have a mental  
24 illness until you get to the scene.

25 SUSAN HERMAN: Mm-hmm.

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1  
2 CO-CHAIRPERSON GIBSON: So is there any  
3 conversation that we're looking to have as it  
4 relates to our 9-1-1 call operators where they  
5 would understand what is happening as well? Is  
6 there any involvement of, of 9-1-1 call takers?

7 SUSAN HERMAN: This is, this will  
8 involve 9-1-1. We, we anticipate though that  
9 there'll be many calls that, many encounters that  
10 don't flow from a 9-1-1 call that are just pickup  
11 jobs here walking down the street and you observe  
12 something. So the officer has to be well trained in  
13 responding and recognizing what the officer is  
14 dealing with.

15 CO-CHAIRPERSON GIBSON: Okay. So we're  
16 going... do you know how many officers we're going to  
17 start training before we get to the 55 hundred?  
18 Like what the, the timeframe?

19 SUSAN HERMAN: We're going to train  
20 approximately half of the officers on patrol in  
21 each of these three commands.

22 CO-CHAIRPERSON GIBSON: Starting in  
23 June?

24 SUSAN HERMAN: Mm-hmm.

25 CO-CHAIRPERSON GIBSON: Okay.

2 SUSAN HERMAN: ...several months.

3 CO-CHAIRPERSON GIBSON: Okay. Will there  
4 be any, any difference in protocol in, in  
5 responding to EDPs, that, that do come into the 9-  
6 1-1 call system, that we have identified? Will  
7 there be any difference in protocol or...

8 SUSAN HERMAN: If the 9-1-1 operator  
9 knows that that's what's happening the 9-1-1  
10 operator passes along that information. And  
11 that'll, that will continue. The, the difference  
12 here is that we have a, we still have sort of a, a  
13 very specially trained elite core of people who  
14 will deal with people in extreme distress. That,  
15 that ESU response is going to continue. This is to  
16 enhance the response of the patrol officer who  
17 hasn't determined that it's necessary to call ESU.

18 CO-CHAIRPERSON GIBSON: Okay. Okay well  
19 thank you very much. Of course I always have lots  
20 of questions but I appreciate sharing a lot of this  
21 information. And I do know that this is still in  
22 formation but I certainly appreciate the dialogue  
23 that we have had and we will continue to have. This  
24 is very important. We're investing a lot of money  
25 in this and so we wanted to work but I think the

1  
2 act that we're looking at it from a different  
3 perspective, a more holistic approach I think is  
4 good. Jail is not the answer if we can identify  
5 many of the challenges the individuals faced before  
6 they ever get to Ryker's I think we save ourselves  
7 a lot of work, a lot of money and we really can  
8 tackle the root of many of the issues and that is  
9 mental illness. So I appreciate your work and thank  
10 you so much. And now I'll turn it back over to  
11 Chair Crowley.

12 CHAIRPERSON CROWLEY: Thank you Chair  
13 Gibson. We've been joined by Council Member  
14 Gentile, Ferreras, Menchaca, Kallos, and just  
15 before I recognize Chair Lancman for questions how  
16 did the to training of your staff whether it be the  
17 NYPD or the DOC compare to one another? How do they  
18 differ and is it the same type of timeline? Because  
19 hearing from the NYPD it seems like they have  
20 advanced much further along in their plan to train  
21 their workforce. And what? And, and, and DOC seems  
22 to me to train the entire workforce because DOC has  
23 you know, you have many more... that's your  
24 population. You know 40 percent of your population.

25

1  
2 TRISH MARSIK: Well I think what I'd  
3 start with saying is that the, the long term goal  
4 is that we have a fully trained police force on  
5 identifying behavioral health issues. And this is  
6 the starting place. I think what Council Member  
7 Gibson kind of underscored for us to is how, how  
8 important it is to see these initiatives as  
9 interlocking so you can see the health department's  
10 initiative and the NYPD's initiative and the NYPD's  
11 initiative are completely interlocking. The, the  
12 circumstances of the experience of police officers,  
13 particularly patrol officers and correction  
14 officers are quite differently. So you would expect  
15 their training experiences to be running quite  
16 differently.

17 CHAIRPERSON CROWLEY: Right but when we  
18 heard the YPD answer they were going to start their  
19 training in June. They knew exactly how many hours  
20 the training was going to be... they knew that their  
21 goal was to train 55 hundred officers. It doesn't  
22 seem like the DOC is as organized as that.

23 TERRANCE RILEY: We are training the  
24 entire workforce in mental health first aid which  
25 is an eight hour class. The recruits have been

1 receiving that training since last summer and the  
2 in-service training is rolling out slowly. We hope  
3 to have that accomplished by the end of, by the end  
4 of the next, the coming fiscal year. The are, we  
5 have not yet talked about the crisis intervention  
6 training that we'll be doing jointly with the  
7 Health Department as a part of the taskforce's  
8 initiative but that training will roll out for  
9 somewhere between 300 and 600 to a thousand DOC  
10 officers will be one week training and that will  
11 happen starting in July and going through the end  
12 of the calendar year and that will allow for crisis  
13 intervention responses inside the jails as well.

14  
15 CHAIRPERSON CROWLEY: And that training  
16 will be held on Ryker's Island?

17 TERRANCE RILEY: We will almost  
18 assuredly hold it on Ryker's Island. There may be  
19 some scenario based training we will do elsewhere  
20 but it will be a joint training with health staff  
21 and correctional staff and... [cross-talk]

22 CHAIRPERSON CROWLEY: And you currently  
23 do training on Ryker's Island?

24 TERRANCE RILEY: We do many trainings on  
25 Ryker's Island, yes.

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CHAIRPERSON CROWLEY: Okay. Council

Member Lancman. Chair.

CO-CHAIRPERSON LANCMAN: Thank you. Good

afternoon. First let me say the de Blasio  
administration and everyone who participated in  
taskforce absolutely has to be commended for taking  
on this issue and for what is a very thorough look  
and approach at how we address this very very  
serious topic. But as I mentioned in my opening  
statements there are some areas that I felt were  
not addressed. First I want to ask you though about  
one area that, that you did pay attention to. And  
that's the issue of arraignments and screening  
potentially mental health behavior health  
defendants. Now I assume that we're starting this  
pilot program because you and, and the taskforce  
would agree with me that a defendant should not be  
allowed to plead guilty, have bail imposed, be  
sentenced... Without the court having some awareness  
or consideration of that defendant's potential  
mental health or behavioral health issues.

TRISH MARSIK: Well I, I would say that  
it's, it's incumbent upon the defense to determine

1 whether the disclosure of health information is  
2 helpful or not helpful to that case.

3  
4 CO-CHAIRPERSON LANCMAN: Well I would  
5 challenge that but let's talk about it. Let's talk  
6 about it. Person is brought in, they're arraigned.  
7 Presently CJA does not do any mental health  
8 assessment of that defendant correct? Person goes  
9 into the, the, the court room. We took a little  
10 field trip to arraignment part in Queens last week.  
11 They're brought before the judge. They have the,  
12 the CJA form in front of them. Perhaps the person  
13 has a record, perhaps they, they don'. But if  
14 there's nothing necessarily in their criminal  
15 record to indicate whether or not they're, what  
16 their mental health, behavioral health status is  
17 the, the legal services providers have very limited  
18 capacity, very little, limited time and very  
19 limited resources to do any kind of mental health  
20 assessment of their client which in many cases is  
21 someone that they're meeting for the first time.  
22 And the court of course has probably never seen  
23 that particular defendant so how could it be.. and  
24 I, I don't want to put it to you as aggressively as  
25 it, as it might sound, just two people having a

1 conversation, how could it be that due process is  
2 served and, and we have a just system if a decision  
3 is going to be made by the judge if it, if an  
4 application is, is going to be, a recommendation is  
5 going to be made by the district attorney as to  
6 what bail should be or what someone should plead  
7 guilty to is in the court you know should accept  
8 that or accept bail and pose a sentence. The  
9 defense attorney should be able to properly guide  
10 his or her client. If you don't know if the  
11 person's standing there has a mental health issue  
12 that could impede his or her judgment or might lead  
13 them to violate any of the provisions that, of bail  
14 that are being sent, set or if it's a sentence if  
15 it's to, to Ryker's or, or some kind of, something  
16 that's going to let them out in the community  
17 whether or not we've missed this opportunity to  
18 intervene then break what is probably in that  
19 person's case a, a, a cycle.

21 TRISH MARIK: I absolutely understand  
22 what you're saying. I think that that we want to  
23 capitalize as much as we can on addressing  
24 individuals' needs when people do touch the  
25 criminal justice systems. So there's a couple of

1 things in the action plan that will help do that.  
2  
3 One is pilot of a prearrangement screening unit  
4 that's going to be doing a healths, a preliminary  
5 health screen of individuals when they come through  
6 arraignments firstly to check and see if there's an  
7 immediate health need for this person or if there's  
8 any presenting health need that will need, need to  
9 be addressed while, if they're retained in custody  
10 and that's behavioral health or health need.  
11 Secondly, and we're working through this process  
12 right now is if we can identify someone who has  
13 behavioral health needs right now what we can do  
14 with that information. One of the the things that  
15 we potentially may be able to do is contact their  
16 care provider at that time and say hey you know  
17 Trish Marsik is down here in arraignments you know  
18 if you can come down and talk to the defense  
19 attorney maybe we can work something out that's in  
20 her favor and can try and do this in a way that  
21 supports her in the community not just in her favor  
22 but keeps her, keeps her and the community safe.  
23 Secondly I think the risk assessment screening that  
24 we're rolling out in conjunction with supervised  
25 release gets it some of what you're doing. So we

1 want to pull apart risk assessment from the  
2 assessment of their health needs. So somebody as we  
3 develop this risk assessment screening instrument  
4 in, in alternative, supervised release program  
5 we'll be using that instrument to identify people  
6 who may be eligible for release. So the risk  
7 assessment tool is assessment for re-arrest, risk  
8 of re-arrest. They'll be communicating that  
9 information to the defense along with what that  
10 person's needs might be.

12 CO-CHAIRPERSON LANCMAN: The risk  
13 assessment, so let's drill down. The risk  
14 assessment instrument or the risk assessment tool  
15 that's going to be deployed by CJA in, in pre-  
16 arraignment or you're talking about if somebody  
17 who's on supervised release.

18 TRISH MARSIK: that's going to be  
19 deployed by a supervised release vendor which is  
20 separate from CJA. So CJA is doing a risk of flight  
21 assessment this separate risk of re-arrest  
22 assessment will be done by a supervised release  
23 program and that...

24 CO-CHAIRPERSON LANCMAN: So it's done  
25 once somebody's already in supervised release.

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2

TRISH MARIK: No it's done preliminary

3

to them...

4

CO-CHAIRPERSON LANCMAN: The, the pilot

5

programs that exist now for supervised release...

6

TRISH MARIK: Mm-hmm.

7

CO-CHAIRPERSON LANCMAN: Is there

8

something more than the CJA risk assessment that

9

exists today that is used to determine whether or

10

not someone is eligible for supervised release?

11

TRISH MARIK: There is not a screening

12

instrument that's used... [cross-talk]

13

CO-CHAIRPERSON LANCMAN: So this is a

14

new screening instrument.

15

TRISH MARIK: This is a new instrument.

16

CO-CHAIRPERSON LANCMAN: So you're doing

17

two things. You're, you're bulking up the CJA

18

flight risk assessment and you are introducing a

19

separate assessment for supervised release which

20

includes re-arrest?

21

TRISH MARIK: Correct.

22

CO-CHAIRPERSON LANCMAN: That's

23

interesting because...

24

25

1  
2 TRISH MARIK: Right. We're trying to,  
3 we're trying to use data to make some of these  
4 decisions.

5 CO-CHAIRPERSON LANCMAN: In our  
6 conversations with the District Attorney's Offices  
7 including the one in, in Queens there are concerns  
8 that the metric used to support supervised release  
9 is, is, is quote merely risk of flight which we all  
10 know is what the bail statute limits us to but  
11 there is significant re-arrest issues which courts  
12 wink wink are trying to also deal with when they  
13 set bail. So the, the risk assessment instrument  
14 that CJA is going to be used, be using going, going  
15 forward that's necessarily going to include some  
16 kind of mental health component in terms of...  
17 [cross-talk]

18 TRISH MARIK: The, the, the supervised  
19 release will have to be procured so we don't know  
20 that it will be CJA who deploys that... [cross-talk]

21 CO-CHAIRPERSON LANCMAN: No no I'm  
22 talking about the, the, the pre-arraignment.

23 TRISH MARIK: Okay.

24 CO-CHAIRPERSON LANCMAN: It, it...

25 TRISH MARIK: I'm sorry.

1  
2 CO-CHAIRPERSON LANCMAN: No that's  
3 alright. The, the prearrangement flight risk  
4 assessment, the CJA is doing and is going to be  
5 doing, is going to be, that's going to be changing  
6 right?

7 TRISH MARIK: Yes.

8 CO-CHAIRPERSON LANCMAN: Right. Is a  
9 defendant's mental health status going to be built  
10 into the formula that CJA uses to determine  
11 someone's flight risk.

12 TRISH MARIK: I don't believe so now.

13 CO-CHAIRPERSON LANCMAN: Okay. So, so  
14 you're not, you're not contemplating giving CJA at  
15 the pre-arraignment stage any additional  
16 responsibility for evaluating someone's mental  
17 health status and reporting it to the court?

18 TRISH MARIK: No.

19 CO-CHAIRPERSON LANCMAN: The pilot  
20 program that you're doing in, in Manhattan, that's,  
21 okay I thought that that would be performed by CJ.  
22 It's not going to, CJ it's not... Okay alright. And,  
23 and do you know who is going to be performing that?  
24 Is that going to be a private vendor? Is it going  
25 to be HHC or...

1  
2 TRISH MARIK: That's going to be done  
3 through the health department.

4 CO-CHAIRPERSON LANCMAN: I DIDN'T HEAR...

5 TRISH MARIK: It's going to be done  
6 through the health department.

7 CO-CHAIRPERSON LANCMAN: Okay. And do  
8 you know if it's going to be health department  
9 employees or they're going to hire private vendors...

10 TRISH MARIK: It's a, it's a mix.

11 DOCTOR VENTERS: Doctor Homer Venters,  
12 Assistant Commissioner of Correctional Health for  
13 DOH. So it'll be a combination of staff. We have  
14 clinical staff who will be doing... so nurse  
15 practitioners and PCAs were like med techs who will  
16 be doing the screening. They're going to be taking  
17 over one tour a day during the week from the EMS  
18 staff that you've probably seen do their quick  
19 health screen. So those staff will likely be  
20 through our vendor horizon and we're hiring up  
21 those nurse practitioners because we need to be  
22 able to backfill those positions. Also Manhattan as  
23 you know is linked to Manhattan House where we have  
24 a lot of other staff who can help them out if they  
25 need it. We also receive support for some diversion

1 liaison staff. And so that's really critical  
2 because when we start this process because of the,  
3 there's a quick stipulation that mandates this. The  
4 grub settlement... because we want to be attentive to  
5 the arrest to arraignment time. We want to not slow  
6 things down. We're going to be focusing on doing  
7 the health screen that happens currently but doing  
8 it with the benefit of our electronic health record  
9 and you know having a higher level provider but  
10 we're also going to be mapping out all of the  
11 diversion potential that you've been talking about.

12 CO-CHAIRPERSON LANCMAN: Yeah. Let me  
13 ask you something. How long do you expect the pilot  
14 program in Manhattan to last before you assess it  
15 and decide whether and how to expand it to the rest  
16 of the city?

17 DOCTOR VENTERS: So Trish and her office  
18 have given us... work out some very important metrics  
19 an timelines and so overall we have a six month  
20 window but it really within that six months every  
21 month we're going to be reporting back metrics  
22 about the number of people we see about our  
23 progress in working with partners around the  
24 diversion questions and she's going to be leading  
25

1 the supervised release question which is really  
2 going... [cross-talk]

3  
4 CO-CHAIRPERSON LANCMAN: So, so is it  
5 that you anticipate you're going to give the pilot  
6 six months, you'll feel at that point you've  
7 collected enough data potentially and then you'll  
8 decide whether and how to expand it citywide?

9 TRISH MARSIK: I'm sorry, yes. It might  
10 take us a little bit longer than six months to  
11 crunch through it all but we want to do this  
12 quickly. We think, we have high hopes for this.

13 CO-CHAIRPERSON LANCMAN: Alright. Well  
14 we do too. The, the folks from CJA are here so I'll  
15 get a chance to ask them directly later but while  
16 we're, while you're doing the pilot and doing it  
17 thoughtfully and deliberatively and, and making  
18 sure you've got the right people to do these  
19 assessment as quickly as possible because the  
20 arraignment rules of the arraignment you know  
21 speedy trial speedy trial, speedy arraignment is  
22 speedy arraignment. Wouldn't it make sense to at  
23 least admit to CJA's current questioning to at  
24 least ask people to self-report if they have a  
25 mental health illness, issue. You know are you

1  
2 seeing a mental health provider, are you in a, some  
3 kind of program to do something to you know as soon  
4 as possible at least, at least get that, that  
5 information before the court to the extent that you  
6 can get it from someone self-reporting. Because  
7 right now the courts are flying blind and, and they  
8 really don't know if the person in front of them  
9 who they're going to maybe except the plea from,  
10 set bail for, maybe set a sentence for. Nobody  
11 knows if that person has a mental illness.

12 TRISH MARIK: I think that we need to  
13 be mindful of, of disclosure of personal health  
14 information but it's something we can think about  
15 further.

16 CO-CHAIRPERSON LANCMAN: Yeah you might  
17 want to think about it and maybe just release it to  
18 the person's defense council. What's that? Well  
19 whether, wonder whether, it is suggested maybe they  
20 ask for someone to waive it but it raises a  
21 question the question of someone with a mental  
22 illness can, can, can voluntarily and consensually  
23 waive disclosure. Let me ask you about the  
24 specialty courts. I, I, unless I'm missing  
25 something it's not the specialty courts, the mental

1 health courts, drug courts, veterans courts, human  
2 trafficking courts, all of whom deal with people  
3 with mental health... issues. They played an  
4 incredibly important role in keeping people with  
5 behavioral issues outside of Ryker's. Did the  
6 taskforce not look at the, those specialty courts  
7 for, for, for a reason or did they look at and I, I  
8 just missed it.

10 TRISH MARSIK: Well it, the, the  
11 taskforce looked at a wide array of things and, and  
12 I will say that we, we do treat this action plan as  
13 just a starting point for, for jumping off from any  
14 issues. And so capturing the 24 recommendations  
15 that we did was a task in and of itself, you know  
16 trying to figure out which pieces fit together and  
17 feasibility and so on. I think that the, the  
18 problem solving courts remain an important  
19 component of how we serve people with behavioral  
20 health needs in the community and I think we'll  
21 continue to work with them and think about how to  
22 best knit them into this fabric going forward. They  
23 certainly were represent, people from those... courts  
24 were, were both co-chairs and representatives on  
25 the many different committees.

1  
2 CO-CHAIRPERSON LANCMAN: So just tell me  
3 briefly about the, the, the process from here of  
4 the, the, the taskforce.

5 TRISH MARIK: Yeah...

6 CO-CHAIRPERSON LANCMAN: ...you talked,  
7 you issued a report. What, do you meet every month?  
8 I mean...

9 TRISH MARIK: We're, we're reconvene...

10 CO-CHAIRPERSON LANCMAN: Is there, is  
11 there... you know part two of the recommendation...

12 TRISH MARIK: We're reconvening  
13 quarterly at this point. We just had our, our first  
14 reconvening which was basically an update on where  
15 we are from here. We'll be... again in another couple  
16 of months and at that point I anticipate having a  
17 deeper dive into okay where do we go from here now  
18 that we've kind of kicked off everything we have  
19 metrics, we'll have metrics in place by then... what  
20 are the next steps moving forward?

21 CO-CHAIRPERSON LANCMAN: Good well I, so  
22 I would just close my, my questioning with, with,  
23 with a plea to in the next round and you've done  
24 terrific work so far really...

25 TRISH MARIK: It's a lot of work.

1  
2 CO-CHAIRPERSON LANCMAN: But in the next  
3 round if you can put some focus on these specialty  
4 courts which on a day to day basis are literally  
5 keeping people with, with behavioral and mental  
6 health issues out of the jail system. And by most  
7 reports they are successful and, and effective when  
8 you look at recidivism rates etcetera. Thank you  
9 very much.

10 CHAIRPERSON CROWLEY: Thank you Chair  
11 Lancman. Now I'd like to recognize Chair Cohen.

12 CO-CHAIRPERSON COHEN: Thank you for  
13 your testimony. One I think the administration  
14 should be applauded in all those many many people  
15 involved in just the, the, the net reduction in  
16 population at Ryker's I think that that's really a  
17 very positive step. I have to say when, when I took  
18 the tour at Ryker's I, I could see why trying to  
19 get people out of there is a good thing. I... But  
20 the, obviously the, as the population goes down  
21 the, the concentration of people with behavioral  
22 health issues is, is staying constant. I'd like to  
23 learn a little bit more about that in terms of the  
24 length of stay, the general population, the length  
25 of stay versus people with behavioral health issues

1  
2 and, and, and any change that, that's in those, in  
3 those amount of time?

4 TRISH MARIK: We certainly can't point  
5 to a change at this point. We're very early in the  
6 rollout of our initiatives but we do know that  
7 people with behavioral health issues tend to stay  
8 longer for a host of reasons some of which is  
9 attached to bail some of which may be a little bit  
10 about the charges that they have but it's not  
11 totally clear. We don't have all of the data that  
12 we'd like to have about looking at this population  
13 and that's one of the big components of this, of  
14 the action plan is to create a means for  
15 integrating data across the many different points  
16 in the system so that we can have a more  
17 comprehensive picture of how people travel through  
18 the system why, why the prevalence is what it is on  
19 Ryker's Island an, and what are some of the other  
20 methods we can use for driving it down.

21 CO-CHAIRPERSON COHEN: But, but you gave  
22 us a stat today as the percentage of the population  
23 that, that has behavioral health issues. I mean  
24 could you, could we identify those people on any  
25 given day?

1  
2 TRISH MARSIK: I mean yes. I mean that's  
3 how, that's how we came up with a statistic.

4 CO-CHAIRPERSON COHEN: So if we know who  
5 they are do we know how long they stay there?

6 DOCTOR VENTERS: The general population,  
7 the, the overall system length of stay is about 54  
8 to 55 days. For those with diagnosed mental illness  
9 it's about 112 days.

10 CO-CHAIRPERSON COHEN: Sorry 120?

11 DOCTOR VENTERS: 1-1-2.

12 CO-CHAIRPERSON COHEN: And, and those,  
13 and those numbers have been constant in, I say in  
14 under since...

15 DOCTOR VENTERS: As the percentage of  
16 our population with felonies goes up so too does  
17 the length of stay. So the numbers do drift up a  
18 little bit but they track one another. They're,  
19 they're going up at similar rates.

20 CO-CHAIRPERSON COHEN: Is that what we  
21 attribute to the overall reduction in population  
22 that we're trying to weed out people who are not  
23 committing serious crimes?

24 DOCTOR VENTERS: What, what, what it,  
25 what exactly are we doing to make that happen?

1  
2 TRISH MARIK: To reduce the number of  
3 misdemeanants in, in the courts... I mean that's been  
4 the host of efforts that we've started to roll out  
5 already. Some of which you've seen in the reduction  
6 in case processing times some of which is the  
7 supervised release that we do have in place, the 11  
8 hundred slots. We have a number of alternative to  
9 incarceration programs across the city that are  
10 addressed in the needs of misdemeanants who might  
11 be jail bound.

12 CO-CHAIRPERSON COHEN: Do we, do we know  
13 on any given... I, I guess we do now, how many people  
14 enter the system every day and how many people exit  
15 the system every day?

16 DOCTOR VENTERS: We do. I don't want to  
17 give you bad information. We do our, we're tracking  
18 for about 70 to 72 thousand admissions for the  
19 year. So I could quickly do the math but it's about  
20 12 hundred admissions a week so that's what about  
21 145 150 people a day?

22 CO-CHAIRPERSON COHEN: And, and, and the  
23 ratio out is more or less the same? Well obviously  
24 we're, we're, we're slowly getting more people out  
25 than in...

1  
2 DOCTOR VENTERS: Yes we discharge about  
3 the same number of people in a year as we admit.

4 CO-CHAIRPERSON COHEN: Switching to  
5 diversion is there any way when we have an EDP call  
6 that we like... but I guess is that a qualifier right  
7 away for, for diversion if we know it's an  
8 emotionally disturbed person call?

9 TRISH MARIK: It's not necessarily a  
10 qualifier for diversion. It's a qualifier for how  
11 the police handle the, the situation. There's a  
12 special deployment of a emergency services unit.

13 CO-CHAIRPERSON COHEN: I understand that  
14 but I want it now that, in these three precincts  
15 what we're going, where we're trying diversion will  
16 that be an indicator for, for potential diversion?

17 TRISH MARIK: There are, there's a wide  
18 number of people who are, who are attribute as a  
19 EDP, an emotionally disturbed person if you will.  
20 And some of those may have a, a, be engaged in a  
21 low level offense or just a behavior that people  
22 think is problematic or, or potentially  
23 threatening. And then there might be people who  
24 actually have a weapon or are engaged in something  
25 that's life threatening. And so the, the

1  
2 opportunity for diversion has less to do with the  
3 equity of their mental illness and more to do with  
4 the charge that they're facing, and the danger.

5 Thank you.

6 CO-CHAIRPERSON COHEN: In, in terms of..  
7 what, what do we think currently is the, I know you  
8 mentioned the ten beds but what do we think really  
9 is the capacity for diversion presently?

10 TRISH MARIK: I may have that number.  
11 Just give me one moment. We've identified roughly  
12 in, you're speaking specifically about the  
13 diversion center. We've identified roughly 4,000  
14 people who may be eligible for it. So we're  
15 estimating that some, there'll be some fraction of  
16 those who will come through and not be subject to  
17 contact with the criminal justice system formally.

18 CO-CHAIRPERSON COHEN: So 4,000 sort of  
19 system wide?

20 TRISH MARIK: 4,000 for those three  
21 precincts.

22 CO-CHAIRPERSON COHEN: Oh for those  
23 three precincts. Oh that's great. Finally I, I  
24 would like to take up NYPD on their invitation to  
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view the training at some point. So thank you very  
much.

CHAIRPERSON CROWLEY: Council Member

Johnson.

COUNCIL MEMBER JOHNSON: Thank you Chair

Crowley. Thank you all for being here. I want to  
echo Council Member Lancman's praise for taking on  
this incredibly important task. I wish this had  
been done years ago. I don't think this is all of a  
sudden needed. I think this has been something that  
has been a long time in the making so I'm happy to  
see the collaboration between all the city agencies  
as part of this taskforce. I just wanted to in your  
testimony you said to date the city has created two  
new specialized units to provide preventative  
health services to inmates with behavioral health  
issues. Is that caps and pace?

TRISH MARIK: That's pace.

COUNCIL MEMBER JOHNSON: That's pace.

The two sites of... promising results schedule to  
open in mid-2015. Those are the two additional pace  
units?

TRISH MARIK: Yes.

1  
2 COUNCIL MEMBER JOHNSON: What is the  
3 capacity of each pace unit?

4 TRISH MARSIK: It's roughly 26.

5 DOCTOR VENTERS: There are two pace  
6 units whose capacity will be 20 beds each and two  
7 pace units whose capacity will be 35 to 40 beds  
8 each.

9 COUNCIL MEMBER JOHNSON: So that brings  
10 a total of pace beds to just over a hundred?

11 DOCTOR VENTERS: Yeah that's about a  
12 right. A, to a maximum of 120.

13 COUNCIL MEMBER JOHNSON: and what is the  
14 estimated need for pace?

15 DOCTOR VENTERS: Sure so I think that we  
16 are not, there's not a global need because what  
17 we're looking, the way we approach this is the way  
18 an inpatient mental health system would which is we  
19 think about what a population, subgroups of this  
20 population need. So the hospital return unit was  
21 the first pace unit to open. We opened that with  
22 one unit. And that one unit is basically  
23 accommodating all the need for people coming back  
24 from Bellevue to Ryker's. similarly we have  
25 another unit that was opened after the first unit

1  
2 for people that are decompensating but don't quite  
3 yet meet hospital admission criteria in that one  
4 unit suffices for that group. I think that there  
5 are two or three more groups, subgroups of the  
6 population that we want to think about opening new  
7 pace units for. And so that's kind of how we're  
8 approaching it as opposed to having a global  
9 number. So the third pace unit for instance will be  
10 a diagnostic unit. So in our system like any, every  
11 other system there's a group of patients for whom  
12 we're not confident about our diagnosis. They have  
13 a complex mix of you know what you might know as  
14 access one, access two and, and, and other issues.  
15 And so the diagnostic unit will be the third unit  
16 to open actually in June. And we think that that  
17 unit will be sufficient in size. I think that there  
18 are a couple more groups that we're concerned  
19 about. So we think that the fourth unit, the fourth  
20 pace unit that'll open a little bit later in the  
21 summer will be for people who are coming back from  
22 the OMH system so these are the 730 returns that  
23 you hear about coming back, you know going to get  
24 restored in the... So we're thinking about it in  
25 terms of what are the actual needs of the patients.

1 We think that we have a couple three more, four  
2 more of these subgroups of populations that we need  
3 to do a better job for.

4  
5 COUNCIL MEMBER JOHNSON: Thank you. So  
6 Doctor Venters since I have you and since you're up  
7 there and since you have been so great at all of  
8 these hearings I want to just come back to the use  
9 of solitary confinement for people who do have a  
10 serious mental health diagnosis or people who may  
11 not be categorized as having an SMI but do have  
12 mental health issues. The American Psychiatric  
13 Association said prolonged isolation may produce  
14 harmful psychological effects including anxiety,  
15 anger, cognitive disturbance, perpetual distortion,  
16 obsessive thoughts, paranoia, and psychosis. For  
17 persons with serious mental illness these effects  
18 may exacerbate underlying psychiatric conditions  
19 such as schizophrenia, bipolar disorder, and major  
20 depressive disorder. A district court found that  
21 placing people with mental illness and  
22 developmental disabilities in the shoe is the  
23 mental equivalent of putting an asthmatic in a  
24 place with little air to breathe. I know that DOC  
25 has eliminated punitive segregation, solitary

1 confinement for 16 and 17 year olds and there's  
2 imminent action being taken on 18 to 21 year olds.  
3  
4 Could you tell us a little bit about what the  
5 epidemiology says on using punitive segregation for  
6 people who have mental health problems?

7 DOCTOR VENTERS: Sure. And I appreciate  
8 the, you referencing the APA statement. I think for  
9 us because we're a data driven agency we don't  
10 actually, we support those statements but we don't  
11 need to look any further than our own data, our own  
12 patients. And what we see is that patients who are  
13 in restrictive settings fair worse than patients  
14 who are in treatment settings. So I'm presenting  
15 this distinction that's really important in the  
16 jails when somebody has a behavioral problem do we  
17 engage them with a treatment response or do we  
18 engage them with with a punishment response. And  
19 obviously it's the job of the correction department  
20 to assess the, the primary obligation of the agency  
21 which is security, which is making sure that people  
22 aren't hurting others. However when we look at our  
23 patients whether they have a diagnosed behavioral  
24 health problem or not those who have exposure  
25 to solitary confinement are the seven times higher

1 risk of harming themselves. We certainly see that  
2 specific subgroups and who have extra risk are  
3 those who are adolescents, those with mental health  
4 problems definitely. But we, you know I think that  
5 our success is actually the best indicator of where  
6 we need to go. So in the caps units we have low  
7 rates of self-harm. We have low rates, relatively  
8 low rates of violence. There's a cohort of patients  
9 that have been both in a caps unit and in a  
10 restrictive housing unit. And what we see is that  
11 those patients who have been in both types of  
12 settings that you've seen very well yourself they  
13 do much better when they're in the cap setting.  
14 They have very low rates of self-harm compared to  
15 when they're in the restrictive housing unit. So  
16 that's why both departments are engaged in figuring  
17 out how we can together meet the security mandates  
18 of, of DOC but also broaden the benefit of a  
19 treatment response to people with behavioral  
20 problems.  
21

22 COUNCIL MEMBER JOHNSON: Thank you for  
23 that answer. I know my time is up. I think we  
24 should stop calling RHU or restrictive housing  
25 unit. It's solitary confinement. It's punitive

1 segregation. It's being in the shoe. It's being in  
2 the box. And it's bad for peoples' mental health.  
3 It is cruel and unusual punishment and I think that  
4 we should work our best to expand caps and pace to  
5 everyone that needs it. The first answer is they  
6 shouldn't end up there in the first place which is  
7 why you all are doing this important work. And I  
8 look forward to supporting it and I appreciate you  
9 being here for this hearing today. And I appreciate  
10 the mayor's leadership on this. Thank you very  
11 much. Yeah I just have one more question. It's not  
12 about this. It's about the crisis intervention  
13 teams that were discussed before. I know that there  
14 is a pilot project I believe that's happening in  
15 Manhattan, is that right? Yeah. And I just wanted  
16 to ask I know these teams have been, are being  
17 formed in the training that was talked about that's  
18 going to happen at the police academy which I think  
19 is very exciting. The NYPD of course are the folks  
20 that are going to be responding when an incident  
21 takes place when there is what's deemed to be an  
22 emotionally disturbed person. And the hope is to  
23 divert them away from the criminal justice system  
24 and get them the treatment that they need before  
25

1  
2 they end up at Ryker's or in any type of detention  
3 facility. But I wanted to ask how much have mental  
4 health professionals, how much has DOHMH been  
5 involved in working with the NYPD on determining  
6 best practices as it relates to the CITs

7 TRISH MARIK: They've been working hand  
8 in glove on this the entire time. They've traveled  
9 on site visits together. They've been... They're  
10 inseparable. And so..

11 CHAIRPERSON CROWLEY: Do you swear to  
12 tell the whole truth and nothing but the truth in  
13 answering the questions to the committee today?

14 JOHN VULPE: John Vulpe from the health  
15 department. So yeah the answer to your question is  
16 we've been working with NYPD for over a year on  
17 both the diversion center and more recently on the  
18 training and in particular the, in April we  
19 convened a, a, in order to include the community  
20 consumers advocacy groups, Commissioner Herman and  
21 Doctor Venters the, the EDC and Health Department  
22 convened a broad ranged group to start advising us  
23 and will meet regularly on how the CIT police roll  
24 out happens as well as the July meeting will focus  
25

1 on the soon to opened diversion center in  
2  
3 Manhattan.

4 COUNCIL MEMBER JOHNSON: Thank you very  
5 much and I'll just end with this. I'm sure Council  
6 Member Crowley's going to think I'm a broken record  
7 for saying it but there is an opportunity before  
8 December 31<sup>st</sup> of this year to get rid of Corazon  
9 [sp?]. They are not doing a good job at Ryker's.  
10 Washington D.C. just did not reapprove their  
11 contract. I believe that HHC if possible should  
12 take over the care of our inmates here in our city  
13 jail system. And I look forward to the  
14 administration, to DOC, to DOHMH, to HHC, to the  
15 NYPD, to everyone coming up with a viable solution  
16 that is not Corazon, they are unacceptable. There  
17 have been too many preventable deaths happening  
18 under their watch. Thank you Madam Chair.

19 CHAIRPERSON CROWLEY: Thank you Council  
20 Member Johnson. We've been joined by Council Member  
21 Cornegy, Council Member Williams, Deutsch, and  
22 Wills, and Espinal. And with us today in the  
23 balcony is a class from Borough of Manhattan  
24 Community College. We'd like to welcome the law  
25

1 class. And I'd like to recognize Council Member  
2 Vallone for questions.

3  
4 COUNCIL MEMBER VALLONE: Thank you Madam  
5 Chair. Good afternoon everyone. A lot of great  
6 things here and there's so many different topics so  
7 you almost need days to go through it but well just  
8 a couple of quick things. I mean there's the health  
9 diversion center, the supervised release program.  
10 We haven't even touched on the expansion of the  
11 discharge programs which on my days at the Board of  
12 Correction was always something we envisioned on  
13 doing. There's no greater way of tackling  
14 recidivism then to have a proper discharge plan  
15 and, and guiding the folks leaving the island to,  
16 to make sure they don't come back. So I applaud you  
17 on that. I guess the council's been pretty unified  
18 in their call for a thousand additional police  
19 officers and I, I think the underlying belief on  
20 that is that because we need more officers to keep  
21 our city safe. So when I, when I questions on the  
22 health diversion center is my concern on the burden  
23 on the police officers and the eight million people  
24 that here in the city and the decision they're  
25 going to have to make on the street regarding a

1 decision whether to bring someone into a health  
2 diversion center or bring someone into the precinct  
3 for arraignment concerns me. So have we thought of  
4 possibly removing that situation and maybe bringing  
5 those services into the department so that it's not  
6 done on the street of the cities of New York and  
7 that the police officers have some backup that the  
8 services maybe could be ascertained at the police  
9 department rather than at a police car?

11 TRISH MARSIK: I, I'm not sure I'm  
12 totally understanding your question. But if I could  
13 I, I would clarify that the, the process for  
14 diversion is ideally faster than any other option  
15 that the police would engage in. If in fact  
16 somebody who voluntarily accepts going to the  
17 diversion center.

18 COUNCIL MEMBER VALLONE: How would that  
19 person... let's go... how is that person going  
20 voluntary. So where would the police officer in  
21 what situation be to offer that voluntary service  
22 would be... because I heard testimony before about  
23 officers walking down the street and seeing someone  
24 which is not realistic or officers responding  
25 actual to a call for a crime or an incident

1  
2 occurring and now is confronted with someone saying  
3 I see Satan and that's why I created this crime and  
4 now they have to make a decision so..

5 TRISH MARIK: We actually, we actually  
6 do anticipate that it will be a patrol officers who  
7 identify in persons particularly in the 2-3, 2-5,  
8 and 2-8 areas who do see a street presence of folks  
9 who may be in some sort of behavioral distress and  
10 that they would identify those individuals for  
11 potential diversion. And at that point the  
12 officers...

13 COUNCIL MEMBER VALLONE: So we're just  
14 talking about folks who visibly look like they need  
15 mental help? Not somebody who's committed a  
16 possible violation or a crime?

17 TRISH MARIK: There's two...

18 COUNCIL MEMBER VALLONE: There's a big  
19 difference.

20 TRISH MARIK: There's two populations  
21 that we're looking at here. One is people who are,  
22 who are potentially have or would receive a  
23 violation and the second is people who are at risk  
24 which I know as, as lighter definition. But you  
25 could imagine perhaps somebody that officers see

1 repeatedly engaged in behavior that they think  
2 could be problematic or could be leading to  
3 something problematic.  
4

5 COUNCIL MEMBER VALLONE: Yes. But I'm  
6 also seeing a vision where someone's going to try  
7 to get out of a situation of being arrested because  
8 they're claiming they have mental health issues. So  
9 I, I want to be able to make sure that when I go  
10 home at night with my children that I'm, I'm safe.  
11 So there's a balance here that we have to, to  
12 weigh. And I'm very concerned about someone being  
13 able to make a judgment call and, and may not be  
14 right. So let's, let's take the scenario that  
15 someone has brought to the health diversion center.  
16 And it's determined the person doesn't have a  
17 mental health issue. What happens if that person  
18 was brought there because there was a crime  
19 committed or a violation that should have been  
20 issued. What happens at that point to that person?  
21 Are they just let go or do they come back through  
22 the police department for arraignment?

23 TRISH MARSIK: Now once the police drop  
24 somebody off at the diversion center that's the end  
25 of the police interaction with that individual.

1  
2 COUNCIL MEMBER VALLONE: So then how do  
3 we track... so, so that means they got a free pass.  
4 So if someone's brought to a health diversion  
5 center and they were not now given a ticket or  
6 arrested that person goes home that's not a  
7 scenario for me that works.

8 TRISH MARIK: And that's why the, the  
9 police training is so important that they be able  
10 to identify people who were actually had behavioral  
11 health issues.

12 COUNCIL MEMBER VALLONE: Well that,  
13 that's just such a huge, I mean our police force  
14 now has to become mental health professionals. We  
15 could spend the entire day debating whether I'm  
16 sane or not because there's certain days I'm not.  
17 So it, there's, there's a very fine line here that  
18 we're giving our officers a huge amount of  
19 taskforce. A three hour course is not going to do  
20 it to, to decide if someone should get a pass or  
21 not. Right now is there any, going to be records  
22 kept for someone who's got received numerous passes  
23 or now is coming back for the second or the third  
24 thing will the health diversion center keeps  
25 records of those who report through and are let go.

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TRISH MARSIK: The training that the officers will receive is not a three hour training, it's a 36 hour training. The records that will be kept on the individuals there will be kept for health purposes.

COUNCIL MEMBER VALLONE: So there's nothing. So I, I think there is. I mean I guess that we could talk all day on... I think there needs to be serious help given to our police officers in making this determination. And I also take odds that the, the previous comment in one of my colleagues at the courts are flying blind. I've been an attorney for 20 years trying cases. The courts are certainly not flying blind. So the, you, to, to be... means you're just ignoring the fact that people have defense attorney's prosecutors, district attorneys, and have months and months and months and adjournments at hand before any decision has made. So increasing the services available for defense council in the judges is a wonderful thing that I completely disagree with the fact that courts are flying blind. That's not the case. I think giving additional instruments for those to seek if they have mental health. But there's a big

1 decision if you're the attorney for that person  
2 whether you're the attorney for that person whether  
3 you're going to seek that defense or not. And it's  
4 not our place to take, make that defense for people  
5 now but to provide additional services. So I thank  
6 you for that.

8 TRISH MARIK: Thank you.

9 COUNCIL MEMBER VALLONE: Thank you  
10 chairs.

11 CHAIRPERSON CROWLEY: Thank you Council  
12 Member Vallone. Next we have Council Member  
13 Cornegy.

14 COUNCIL MEMBER CORNEGY: Good afternoon.  
15 So I have more of a, a statement than a question. I  
16 think that this hearing, I want to thank the chairs  
17 because this is long overdue. As somebody who  
18 represents one of the seven communities that's  
19 responsible for 90 percent of the incarcerated  
20 individuals in the city and in the state who knows  
21 that there's a lack of resources as it relates, as  
22 it relates to mental health on the ground I know  
23 that without looking at this in totality we can't  
24 continue to function in the way that we do. And  
25 also as someone who in a prior life worked on

1  
2 Ryker's Island as the assistant director of  
3 substance abuse there got to witness the shortage  
4 of care in the MO Units or in the entail  
5 observation units or throughout that entire  
6 facility and who somebody who saw the main way of  
7 getting treatment was through self-reporting. And  
8 so obviously that didn't work at all times so  
9 really having to get, a look at this system and the  
10 way it's failing the individuals that it's supposed  
11 to serve today I'm just really pleased that this is  
12 happening. So again I won't to thank the chairs for  
13 thinking enough of those individuals who may be  
14 diagnosed or undiagnosed throughout this city who  
15 occur, who find themselves in the criminal,  
16 criminal justice system with no recourse.

17 CHAIRPERSON CROWLEY: Okay. We're almost  
18 finished with our questions. I would like to  
19 understand how you're, you and this taskforce will  
20 evaluate the success of the taskforce and what  
21 point in time where you stop and measure the  
22 reduction of, recidivism the number of participants  
23 how will you know that the money is well spent?

24 TRISH MARSIK: So that's a really  
25 important and complicated question because there

1 are a lot of initiatives being thrown at, at not  
2 just a behavioral health population but a justice  
3 involved population right now. So you know folks  
4 talk about the noise so you're never totally sure  
5 which intervention is making the change but we have  
6 money dedicated in our budget to doing an  
7 evaluation of these efforts. We're going to be  
8 looking at each of the initiatives in setting in,  
9 as I, as I indicated earlier setting progress  
10 indicators for each of those. We will be making  
11 them public so that people can see the movement on  
12 various initiatives that we have anticipated here.  
13 The, the question of timing is, is tricky because  
14 the rollout of all of these different action steps  
15 is not simultaneous you know. Some will roll out at  
16 different times than others. I would anticipate  
17 that in the next 18 months to two years we'll be  
18 able to, to say something more, more definitive,  
19 perhaps not conclusive but more definitive about  
20 how we've been doing and where we need to go from  
21 here.  
22

23 CHAIRPERSON CROWLEY: So in two years  
24 you think would be a, a good time for us to come  
25 back to ensure the success of the program?

1  
2 TRISH MARSIK: We'll be measuring, we'll  
3 be measuring our progress all along. My point about  
4 18 months to two years is we'd actually be able to,  
5 to see a longer term effect. So you know if you  
6 want to look at repeated, or something like  
7 repeated arrests we would need some, some months  
8 out to see that happen. And if we're not launching  
9 some of these initiatives due to their procurement  
10 till the fall that's, puts us into 2016.

11 CHAIRPERSON CROWLEY: Was one of your  
12 initiatives to build a home for 300 participants?

13 TRISH MARSIK: One of our initiatives is  
14 to provide 267 units of scatter site supportive  
15 housing for people who are justice involved and  
16 chronically homeless.

17 CHAIRPERSON CROWLEY: Is that amongst  
18 other housing units or it's specific? When, because  
19 when you say supportive you'll, they'll need  
20 specific support for their type of diagnosis?

21 TRISH MARSIK: Yeah so this is not, this  
22 is, this won't be in a single building. All the  
23 units won't be in a single building. It's a  
24 scatter-site program. We've done this you know  
25 through the health department on a number of

1 occasions even particularly with this population.  
2 Those units will probably start coming online in  
3 the fall. And we'll have service, we'll include  
4 both a rent subsidy for the people who move into it  
5 and supportive services. For some people that will  
6 be a, a place where they can live for, for a long  
7 time. In other cases it might be a stepping stone  
8 to something even more permanent.

10 CHAIRPERSON CROWLEY: The 130 million  
11 dollars that is the budget for the program, is that  
12 still the same amount? And how does that get  
13 divided up in years? Is that over two years or four  
14 years...

15 TRISH MARIK: That's over four years. I  
16 can give you. I can put together the breakdown...

17 CHAIRPERSON CROWLEY: And is that money  
18 in the budget right now, the budget that we are...

19 TRISH MARIK: Yes it is.

20 CHAIRPERSON CROWLEY: ...analyzing. And  
21 and... Okay. I have no further questions. We'll stay  
22 in touch. I want to thank you for being here today  
23 for your testimony and your leadership on this  
24 issue.

25 [pause]

CHAIRPERSON CROWLEY: Next up we have  
New York City Independent Budget Office. We have  
Paul Lopatto and Nashla Rivas-Salas.

[pause]

NASHLA RIVAS-SALAS: Good afternoon  
Chairpersons Crowley, Gibson, Lancman, Cohen, and  
members of the committee. My name is Nashla Rivas-  
Salas and I'm a Senior Budget and Policy Analyst  
for the New York City Independent Budget Office.  
I'm joined by Paul Lapatto. Supervising Analyst for  
Social and Community Services. Thank you for the  
opportunity to testify today on behavioral health  
and criminal justice system. My testimony will not  
directly address the city's action plan but rather  
highlights some of the findings from a report our  
office released yesterday that bears directly on  
the subject of this hearing. Our report looked at  
correctional mental health spending since 2009 and  
the city's progress towards meeting its obligations  
to provide mental health and discharge planning  
services in jails. More than a decade ago the city  
reached a legal settlement with plaintiffs in a  
case that became known as Brad H.. The city agreed  
to provide inmates who are convicted in its jails

1  
2 for at least 24 hours and who receive treatment for  
3 mental illness join their time there with a plan  
4 for accessing ongoing services upon release.

5 Although the average daily population in city jails  
6 continues to decrease the number and share of  
7 inmates for the mental health diagnosis is growing.

8 In response to the recent turmoil in the city's

9 jail system the de Blasio administration has

10 adopted a number of new initiatives for addressing

11 mental health services and other needs in the jails

12 including the action plan recommendations announced

13 in December. As the city moves forward with these

14 new efforts it is worth looking back at how well

15 the Department of Corrections and Health and Mental

16 Hygiene met the obligations of the Brad H..

17 Settlement. IBO has compared spending in fiscal

18 years 2009, the earliest year the Health Department

19 could provide data for and, and 2012 the latest

20 year data was available at the time IBO made its

21 request. Some of the service provision data

22 analyzed was through 2013. Among our findings from

23 2009 through 2012 Health Department Spending on

24 Mental Health Services in the city's jails remain

25 flat at about 35million a year. Over that same

1 period the number of inmates with mental health  
2 diagnoses increase by nearly ten percent to more  
3 than 20,200 admissions in 2012 and comprise a  
4 larger share of the inmate population. Because  
5 health department spending on correctional mental  
6 health services have not kept pace with the  
7 increasing number of inmates with mental health  
8 diagnoses per inmate spending on mental health  
9 services declined. The decline was particularly  
10 notable in spending on administrative and support  
11 areas, purchase of psychotropic medication and to a  
12 lesser extent discharge planning. But the de Blasio  
13 administration's new initiatives though per inmate  
14 spending is likely to rise. In terms of the  
15 absolute number of services provided to inmates  
16 eligible under the Brad age settlement the health  
17 department delivered more services in 2013 than in  
18 2009 including an increase of over 56 percent in  
19 the number of discharge plans completed to 8,492 in  
20 2013. But some of the ten different types of  
21 discharge services identified under Brad H. were  
22 reaching a smaller share of eligible inmates in  
23 2013 than in 2009 including referrals made,  
24 appointments scheduled for post release care and  
25

1 Medicaid, and public assistance applications  
2 submitted. It is not possible to assess the  
3 effectiveness of the discharge services because  
4 neither the correction department nor the health  
5 department tracks inmates with entail health issues  
6 post release. The report also compares data on  
7 demographics, length of stay, and reasons for  
8 arrest for the inmates covered by the Brad H.  
9 Settlement compared to the general inmate  
10 population. Thank you for the opportunity to  
11 testify today. I'm glad to answer any questions you  
12 may have.  
13

14 CHAIRPERSON CROWLEY: Your testimony  
15 states that in a given year between 2012 and 2013  
16 there was a substantial jump or sometime in  
17 comparing some number before 2013 there was 56  
18 percent increase in the number of Brad H. discharge  
19 plans. Can you state why you think that there was  
20 such a jump in that one year?

21 PAUL LOPATTO: 2009. And we get, we had  
22 spending data for as late as 2012. In some cases...

23 CHAIRPERSON CROWLEY: Sorry can you just  
24 identify your name for the record?  
25

2 PAUL LOPATTO: I'm Paul Lopatto  
3 Supervising Analyst for Social Services at the IBM.  
4 In the case of discharge planning data we had data  
5 for as recently as 2013. What they show is that in  
6 a few cases some of the discharge planning services  
7 went up between 2009 and 2013. For most of them  
8 they actually declined as, as it... a percentage of  
9 the eligible inmates were being discharged at the  
10 time... description.

11 CHAIRPERSON CROWLEY: And that says  
12 something about the previous administration.

13 PAUL LOPATTO: It's not surprising  
14 considering that when, prior to that we had  
15 examined spending data and we found out that  
16 spending per inmate with a mental health diagnosis  
17 was actually going down between 2009 and 2012. So  
18 the outcome data is not surprising giving that,  
19 giving the spending data.

20 CHAIRPERSON CROWLEY: Do you believe  
21 that everybody who is in the category of Brad H.  
22 today at Ryker's Island is leaving with a Brad H.  
23 plan that is eligible for it?

24 PAUL LOPATTO: Well there are, in our  
25 report we have a table that, that shows, the,

1 breaks out the various kinds of, there are actually  
2 10 services which Brad H. clients are guaranteed  
3 depending on their specific circumstances. And for  
4 some of the categories comprehensive treatment  
5 plans compete at which is the most basic thing. And  
6 by 2013 all of them are going to be receiving it.  
7 For other categories such as things like walk-in  
8 medications provided for those who are eligible was  
9 only 96 percent for referrals made to outside help  
10 when they.. was only 83 percent of those eligible.  
11 So it really depended on the category.

12 CHAIRPERSON CROWLEY: So the referral  
13 for outside help is at 83 percent?

14 PAUL LOPATTO: As of 2013 yes.

15 CHAIRPERSON CROWLEY: Which is not so,  
16 that's not such a bad statistic.

17 PAUL LOPATTO: Well it should be...

18 CHAIRPERSON CROWLEY: Not a, it should  
19 be 100 percent.

20 PAUL LOPATTO: Should be 100 percent  
21 according to the, the court agreement yes.

22 CHAIRPERSON CROWLEY: And can you give  
23 an explanation as to why you think they didn't meet  
24 that 100 percent?  
25

COMMITTEE ON FIRE AND CRIMINAL JUSTICE SERVICES  
JOINTLY WITH COMMITTEE ON PUBLIC SAFETY, COMMITTEE  
ON COURTS AND LEGAL SERVICES, AND COMMITTEE ON  
MENTAL HEALTH, DEVELOPMENTAL DISABILITY, ALCOHOLISM,  
SUBSTANCE ABUSE, AND DISABILITY 111

PAUL LOPATTO: Oh we, we don't have the specifics but it, it likely was just a lack of resources being that that the actual spending per inmate during that time was actually going down.

CHAIRPERSON CROWLEY: Okay we have no further questions. Thank you for your report.

PAUL LOPATTO: Thank you.

CHAIRPERSON CROWLEY: Next up we have Queens District Attorney's Office Douglas Knight from DA Richard Brown's Office.

DOUGLAS KNIGHT: [off mic] Good afternoon my name... Good afternoon... [on mic] Better? Good afternoon. I would like to thank Council Member Elizabeth Crowley chair of the Committee on Fire and Criminal Justice Services, Vanessa Gibson chair of the Committee on Public Safety, Rory Lancman Chair of the Committee on Courts and Legal Services, and Andrew Cohen Chair of the Committee on Mental Health, Developmental Disability, Alcoholism, Substance Abuse, and Disability Services for giving me the opportunity to testify at this hearing on behalf of Queens District Attorney Richard A Brown. My name is Douglas Knight. I'm the Director of Alternative Sentencing

1 at the District Attorney's Office. I have a  
2 Master's degree in criminal justice and I am a  
3 credentialed alcoholism and substance abuse  
4 counsellor with over 25 years of alternative  
5 sentencing experience. Our office has had both a  
6 misdemeanor and felony mental health court for many  
7 years and we offer a variety of alternative  
8 sentencing options to individuals whose criminal  
9 activity is motivated by mental health issues.  
10 These include programs targeted to assist young  
11 offenders, veterans, those who are duly diagnosed  
12 with substance abuse and mental health problems and  
13 trafficking victims. At present we seek to identify  
14 at the earliest time possible defendants who may in  
15 a need, who may be in need of mental health  
16 services. It is our view that the earliest someone  
17 enters treatment the more likely they will be  
18 successful. Identifying those in need of mental  
19 health services however is not always easy. There  
20 is currently no mechanism in place to conduct an  
21 independent professional and comprehensive mental  
22 health assessment of all defendants entering the  
23 criminal justice system. Consequently, consequently  
24 aside from those cases where the defendant's mental  
25

1 health issues are so substantial that they are  
2 immediately obvious to all we have surprisingly  
3 little information at the time of a defendant's  
4 arraignment about his or her mental health needs.  
5 Such information might be provided by the  
6 defendant's family or defense council but it is  
7 equally likely that no one present at arraignment  
8 will have access to any information about whether  
9 the defendant has been hospitalized in the past,  
10 whether he or she is currently on medication, well  
11 whether he or she has been diagnosed with a  
12 particular mental illness. As a result it may not  
13 be until the defendant is assessed at Ryker's  
14 Island or speaks with a defense attorney after  
15 arraignment if he or she is ROR that a mental  
16 health assessment may occur. Our office will  
17 affirmatively reach out to defendants who are  
18 nonviolent and whom we believe may safely  
19 participate in community based treatment programs.  
20 If a defendant is interested in participating in a  
21 diversion program he or she will undergo a  
22 comprehensive mental health assessment conducted by  
23 mental health task, a well-known, and well  
24 respected not for profit organization. Task will  
25

1 then facilitate a thorough and objective  
2 psychological assessment. This will include not  
3 only a, a, a clinical interview with the defendant  
4 but it will also include a review of the  
5 defendant's psychiatric history, medical records,  
6 school records, medications, conversations with  
7 relevant persons who can provide information about  
8 the defendant's clinical history, behavior, and  
9 ability to obtain stable housing including family  
10 members, treating doctors, and correctional  
11 personnel. Task will then help investigate a  
12 defendant's eligibility for various benefits  
13 including SSI, SSD, Medicaid, and health insurance.  
14 At the end of the assessment Task will make a  
15 recommendation as to whether the defendant is in  
16 need of treatment and what type of treatment would  
17 be most beneficial for his or her individual needs.  
18 Once a defendant is recommended for an appropriate  
19 level of treatment we attempt to place them in a  
20 specific treatment program which may be outpatient  
21 or residential depending upon the need. However  
22 this can sometimes be a slow and difficult process  
23 for a variety of reasons. Treatment programs may be  
24 wary of accepting defendants with serious criminal  
25

1 histories or prior arson or sex crime convictions.  
2  
3 It may take some time for homeless defendants to  
4 obtain documentation necessary for various  
5 entitlements. Defendants' whose mental health  
6 status deteriorate while waiting for a treatment  
7 place, placement may wind up in a psychiatric  
8 hospital or for stabilization or Mid-Hudson for a  
9 competency assessment. Defendants who do not speak  
10 English may have difficulties finding a treatment  
11 program that they can, that will meet their needs.  
12 Young offenders may be delayed in obtaining  
13 treatment when parental consent for both assessment  
14 and treatment purposes cannot be obtained promptly.  
15 And defendants who are undocumented may have  
16 difficulty accessing services because they cannot  
17 obtain insurance coverage. Defendants who enter  
18 treatment through the criminal justice system will  
19 enter into a plea agreement that permits the judge  
20 and the attorneys in the treatment court an  
21 opportunity to monitor their progress in treatment.  
22 Upon successful completion the criminal charges  
23 against the defendant are either reduced or in some  
24 cases dismissed. We believe that our ability to  
25 provide mental health services to those in need in

1  
2 Queens County could be improved in a number of  
3 ways. In many of our treatment courts it has been  
4 extraordinarily helpful to have a trained clinician  
5 available in the courtroom who can work with  
6 defense council to identify those in need of  
7 treatment and begin the assessment process quickly  
8 enabling task an other agencies to have  
9 representatives at arraignment for those interested  
10 in assistance could greatly facilitate our ability  
11 to identify mental health clients early. It would  
12 also be an... it would also be enormously helpful to  
13 expand the pool of treatment providers available,  
14 particularly programs that provide residential care  
15 to individuals with serious and chronic mental  
16 illness. Additional options would also be welcome  
17 for young offenders. There are simply not enough  
18 residential treatment slots available for seriously  
19 ill high risk youth under the age of 18. In Queens  
20 County, the most diverse county in the nation,  
21 there are few mental health programs available for  
22 non-English speaking defendants and it is very  
23 difficult to find appropriate treatment for many  
24 others with special needs including pregnant women,  
25 individuals with developmental disabilities, or

1 those who are in need in medical accommodations.  
2  
3 Accommodating criminal justice involved defendants  
4 with behavioral health issues is extremely  
5 challenging. However we continue in Queens County  
6 to work tirelessly to assist them in accessing  
7 appropriate clinical services which, which best  
8 meet their needs. I want to thank, I want to thank  
9 you for the opportunity to speak before you today  
10 and I'm happy to answer any questions that you may  
11 have.

12 CHAIRPERSON CROWLEY: Thank you Mr.  
13 Knight. I appreciate you being here and giving  
14 testimony. Your testimony states that if you had a  
15 clinician, right now you don't have a clinician, is  
16 that correct?

17 DOUGLAS KNIGHT: We, we don't have  
18 dedicated clinicians. We have multiple agencies who  
19 are working in, in some cases collaboratively. We  
20 have the supervised release program and, and, and  
21 many cases they will do the preliminary work and  
22 then they'll hand the case off over to an  
23 organization like Task who will facilitate a, a  
24 placement and, and do the case management post-  
25 plea.

1  
2 CHAIRPERSON CROWLEY: Seems like Queens  
3 County has a pretty good handle on those that, who  
4 would have mental health needs because you have a  
5 mental health court.

6 DOUGLAS KNIGHT: Correct.

7 CHAIRPERSON CROWLEY: You had one for  
8 many years. Do you know how you compare to other  
9 boroughs?

10 DOUGLAS KNIGHT: I'm not sure  
11 statistically how we, we, we fair but I do know  
12 that we have successful completion rates that are  
13 in the 75 percent range and I know that CCI has  
14 historically done a recidivism studies and we've  
15 always faired very well.

16 CHAIRPERSON CROWLEY: Do you know how  
17 many people you divert from having to go to Ryker's  
18 Island through your program?

19 DOUGLAS KNIGHT: We divert hundreds of  
20 individuals per month in the various ATI and ATD  
21 programs that we have in Queens County.

22 CHAIRPERSON CROWLEY: Okay. Council  
23 Member Lancman has questions, Co-chair.

24 CO-CHAIRPERSON LANCMAN: Thank you. Good  
25 afternoon. It's good to see you again.

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DOUGLAS KNIGHT: Likewise.

CO-CHAIRPERSON LANCMAN: Very happy to have your, your testimony both because it raises some interesting points but also we know Judge Brown's long commitment to, to these issues and his view of his responsibilities beyond the, the four corners of, of an indictment or, or an information.. Let's talk a little bit about the arraignment process. And just to, to emphasize you, you know the offices believe that it's very important at that stage where somebody is potentially accepting a plea to guilt, pleading guilty, somebody who is going to have bail set and may or may not be going home that day. Or if they are accepting a plea, being sentenced, that to a large extent the court, your office, the defense attorney's unless as you put it the defendant's mental health issues are so substantial that they are immediately obvious to everyone that person's mental health, health issues may not be considered in those important decisions. How do your assistant district attorneys who are staffing arraignment court told to, to, to, to deal with those kinds of situations.

1  
2 DOUGLAS KNIGHT: Well the first thing  
3 that I would, I would say and one thing that I'm  
4 very very proud of is that Judge Brown has a  
5 director alternative sentencing and I think we're  
6 the only office in the city that does have a  
7 director of alternative sentencing. The, one of the  
8 other things that we do with all of the new  
9 incoming assistant district attorneys is that we  
10 provide them a full day training with respect to  
11 alternative sentencing. We provide them the basic  
12 information with respect to and access one  
13 diagnosis. We review with them all of the many  
14 different ATI and ATD programs that are available  
15 and we also take them on a field trip to a  
16 therapeutic community so that they become very  
17 familiar with the treatment process. So as you know  
18 arraignment is a very fast moving process and I do  
19 believe that many of the young assistants that are  
20 in that capacity when presented with those facts  
21 and circumstances would exercise the appropriate  
22 judgment and on a case by case basis respond  
23 appropriately if, in the event that an alternative  
24 to detention was, was presented.

1  
2 CO-CHAIRPERSON LANCMAN: I understand  
3 that one of the challenges that, that your office  
4 has is the proliferation of organizations all doing  
5 good work, all well-intentioned, who are in a  
6 situation of more or less vying for clients to do  
7 the, the assessments particularly as I understand  
8 it the assessments for which defendants are  
9 eligible for specialty court and which court.  
10 Could, could you tell us a little bit about some of  
11 those challenges and, and whether or not you think  
12 it might make sense to narrow the number of  
13 organizations that are doing the, providing those  
14 services both, both for uniformities sake but also  
15 you know just to, for everyone's sanity?

16 DOUGLAS KNIGHT: I believe in trying to  
17 be as efficient as possible and one of the benefits  
18 of me being in the capacity that I am with the  
19 district attorney's office is that we convey one  
20 message. And one of the things that I try to do on  
21 a consistent basis is to coordinate ATI and ATD  
22 services so that we can be as efficient as  
23 possible. We have numerous organizations, ATI  
24 organizations, the fortune society cases, we have  
25 the Osborne Associate, we have Task, we have

1 supervised release, and one of my primary goals is  
2 to try to streamline the services as best we can.  
3 One of the frustrating things that we deal with on  
4 a, on occasion is that there are individuals that  
5 are trying to undercut one another for a, a  
6 statistic if you will. It doesn't happen that often  
7 but in the world of performance based contracts  
8 there is a desire to accommodate paper eligible and  
9 clinically eligible clients into appropriate  
10 treatment services. So one of the things that I  
11 tried to do is work collaboratively with all the  
12 ATI and ATD programs in Queens County so that we  
13 have one message that's being conveyed. And again  
14 with the goal of trying to be as efficient as  
15 possible one of the other things that I tried to do  
16 in facilitating my role as Director of Alternative  
17 sentencing is to treat the, the clients that are  
18 represented by QLA, legal aid, the private bar, as  
19 equally as possible. Nobody gets better treatment  
20 or worse treatment because of whoever's  
21 representing them. And I think that we do a very  
22 very good job in achieving that goal.

24 CO-CHAIRPERSON LANCMAN: Well I think  
25 the office definitely has a, an excellent

1 reputation in, in the legal community on those  
2 issues as well as, as all others. Let me just ask  
3 you about the testimony you gave regarding the  
4 treatment programs and the challenges that you have  
5 finding placement for people have maybe less  
6 traditional backgrounds; undocumented immigrants,  
7 people with, who might be US Citizens but have,  
8 have language issues, who if anyone in, in the city  
9 administration, because a lot of these programs are  
10 funded by the, by the city whether specifically for  
11 that treatment option or somewhere else. I mean is  
12 there somewhere... the city that, that, that you can  
13 go to and say listen they've got a defendant who  
14 meets these criteria, no one's taking him or her,  
15 you can either spend whatever it is a year to,  
16 housing them in Ryker's or, or we can try to make  
17 this, make this work. Who in the city is making  
18 sure that, that there are these options available?  
19

20 DOUGLAS KNIGHT: I'm not exactly sure  
21 who's making sure that these options are available  
22 but we do utilize the resources at our disposal if  
23 in fact somebody is incarcerated and they have a  
24 major depressive disorder and we are in need of  
25 residential treatment services we will access

1  
2 depending upon their housing process if in fact  
3 somebody requires an HRA 2010 application you know  
4 we'll use the... process which sometimes can be a  
5 frustrating. But we, we try to collaborate with  
6 community based resources. One of the things that  
7 I'm most proud of is that in Queens County for the  
8 most part we are treatment rich and we try to  
9 collaborate with existing resources to overcome  
10 those obstacles so that we can again be very  
11 efficient in individualized treatment plans so that  
12 the, the defendants are getting the best chance at  
13 success.

14 CO-CHAIRPERSON LANCMAN: Well just again  
15 I mean, I mean if you look at the work that the  
16 Queens DAs office is doing from the establishment  
17 of your position to all the different programs that  
18 you run and, and operate, and the operations,  
19 options that you you know are willing to take the  
20 risks because there are risks involved is really  
21 something the rest of city should look at. So I  
22 appreciate your testimony and, and definitely some  
23 of the issues that you raised are going to guide  
24 some of the follow-up that we're going to have with  
25 the taskforce and, and others. Thank you.

2 DOUGLAS KNIGHT: Thank you.

3 CHAIRPERSON CROWLEY: Thank you Co-Chair  
4 Lancman. And thank you Mr. Knight for your  
5 testimony and...

6 DOUGLAS KNIGHT: Thank you.

7 CHAIRPERSON CROWLEY: ...for all that you  
8 and the district attorney do. We're going to call  
9 up the next panel which is a New York City Criminal  
10 Justice Agency Jerome McElroy and we'll also hear  
11 from the Center for Court Innovation Carol Fisher,  
12 Fisler. Please begin Mr....

13 JEROME MCELROY: McElroy.

14 CHAIRPERSON CROWLEY: McElroy.

15 JEROME MCELROY: Mm-hmm. I'd like to  
16 introduce also Murry Cabello [sp?] who is our  
17 Associate Director and who is the Principal  
18 Architect of the supervised release programs that  
19 we've been providing now for little over five  
20 years. I didn't have a prepared statement. I was  
21 really responding to the invitation to join a panel  
22 and to ask, answer whatever questions you might put  
23 to us. I did distribute or are distributing a  
24 couple of pages that just kind of outline the  
25 various things which CJA is charged with doing in

1 the city. But I understood that your principal  
2 concern was with the supervised release program. So  
3 I would be... In that regard let me just say we  
4 started, designed and started with the assistance  
5 of the coordinator's office five and a half years  
6 ago a supervised release program in Queens. It was  
7 specifically for non-violent felonies and it had a  
8 variety of other criteria which were eligibility  
9 criteria for people coming into the program. It  
10 works at criminal court arraignment that is the  
11 court reps that we have employed are looking to  
12 identify cases that would appear to be eligible.  
13 And to clear that with the defense attorney, the  
14 defense attorney is essentially the gatekeeper in,  
15 in these cases. If the defense attorney believes  
16 that this is not necessary or appropriate in his  
17 case then we back off. If the defense attorney  
18 agrees we go forward to interview, re-interview I  
19 should say the defendant, figure out whether or not  
20 he understands the program, he's willing to go into  
21 it, and he has sufficient community ties that we'll  
22 be able to, we'll be able to supervise him in the  
23 community. And if that's the case... go back to the  
24 defense attorney, the defense attorney will then  
25

1  
2 include that in his bail application. And it's up  
3 to the court obviously as to whether or not they  
4 will put the defendant in supervision. If so that  
5 there is a contract signed that becomes part of the  
6 court papers the defendant, or the client as we now  
7 call him now goes to the program office. He will  
8 undergo a fairly extensive assessment which is  
9 among other things designed to identify either  
10 substance abuse problems or mental health problems  
11 or a combination of both. He is required to appear  
12 in person at least twice a week and with one phone  
13 conversation. The case managers whom we apply are...  
14 social workers and so they are attempting to engage  
15 him seriously in the process. We report to the  
16 court each time the defendant has a scheduled court  
17 appearance and in exceptional circumstances that is  
18 if the person is re-arrested and arraigned we will  
19 give a special report to the court about that fact  
20 or if in the rare instances we actually lose  
21 contact with the defendant that will also prompt  
22 another special report. In Queens we have so far  
23 served I think I have 17, 18 hundred people over  
24 the last five and half years. A couple of years ago  
25 the city impressed with the performance in Queens

1 asked us to extend the program in Manhattan which  
2 we did, we've been operating there for a little  
3 over, just over two years. We have served 775  
4 defendants through that period of time. We believe  
5 our failure to appear rates are rather low and our  
6 re-arrest rate although may be somewhere in the  
7 neighborhood of 22 to 24 percent in the two  
8 boroughs. I think it's important to note that  
9 between 65 and 75 percent of those re-arrests are  
10 for quality of life type misdemeanors, lesser  
11 severity charges. The vast majority of those cases  
12 that are of that type when brought to the attention  
13 of the court are continued under our supervision  
14 and ultimately are successfully completing the  
15 program. Maybe that's enough for the moment and  
16 I'll be happy to answer any questions you may have.

18 CHAIRPERSON CROWLEY: Okay. Thank you.

19 Is anyone else providing testimony?

20 CAROL FISLER: Yes I am.

21 CHAIRPERSON CROWLEY: Okay thank you.

22 You may begin.

23 CAROL FISLER: Okay. Good afternoon

24 Chair Lancman, Chair Crowley, Chair Gibson, Chair

25 Cohen, and the other members of the council. My

1 name's Carol Fisler. I'm the Director of Mental  
2 Health Court Programs at the Center for Court  
3 Innovation and for somewhat to apologize that I  
4 just realized as I was walking up here that you  
5 only have three pages worth of five page testimony.  
6 We didn't get the double sided copying right. I  
7 will email the full testimony to you later this  
8 afternoon but this will help me stay very focused  
9 on the important points most of which do fall on  
10 pages three and five. Just wanted to say the Center  
11 for Court Innovation has been deeply involved in  
12 initiatives involving offenders with mental illness  
13 in the court system through the specialty courts,  
14 mental health courts and drug courts through our  
15 community justice centers like the midtown  
16 community court, Bronx Community Solutions, Red  
17 hook Community Justice, Justice Center. And also  
18 through some of the newer programs, the Brooklyn  
19 Justice Initiatives which includes a supervised  
20 release component in Brooklyn and we're involved in  
21 the CERT program in, in the Bronx and Brooklyn.  
22 What I wanted to do with my testimony was just  
23 highlight a few of the things that the research in  
24 the field, both local and national field has taught  
25

1 us in recent years much of which is  
2 counterintuitive, comes as a surprise even to  
3 people who've been deeply involved in the field.  
4 First of all the very good news is that the  
5 emerging body of research on mental health courts  
6 is really across the board quite positive. The  
7 mental health courts that have been studied  
8 rigorously with a comparison group of people going  
9 through the traditional courts shows that  
10 participants in specialized mental health courts  
11 have significantly lower rates of recidivism, fewer  
12 days of incarceration, and that these gains can be  
13 sustained for at least up to a year after people  
14 exit the court. So that's, that's very good news.  
15 Mental health court participants also show greater  
16 engagement in community based services than people  
17 who go through more traditional pathways. Some of  
18 the things that the research has shown us that are  
19 not necessarily obvious, the seriousness of a  
20 defendant's charges or a defendant's most serious  
21 prior offense typically is not associated with  
22 higher rates of reoffending. In fact we see better  
23 results, lower rates of recidivism in a number of  
24 the studies among defendants who have been charged  
25

1  
2 with violent felonies compared to defendants whose  
3 charges were property crimes or drug related  
4 crimes. And so I think the lesson there is that  
5 there really is an opportunity for jurisdictions to  
6 consider you know expanding their, their programs  
7 to include violent felony offenders that with  
8 appropriate supports and supervision people who  
9 have committed violent offenses can do well in the  
10 community. Second really important point I wanted  
11 to make is the national research that has been  
12 published in recent years shows quite surprisingly  
13 that there is really not the correlation between  
14 how symptomatic people are and what kind of  
15 treatment they're getting and how deeply involved  
16 they are in mental health treatment and whether or  
17 not they reoffend. The factors most associated with  
18 reoffending among mental health court participants  
19 are the same risk factors that we see in the  
20 general population of justice involved people. And  
21 so the field has really shifted its focus here to  
22 looking at criminogenic risk factors and trying to  
23 understand the interplay of those factors with,  
24 with mental illness. And I think the mayor's  
25 taskforce report the action steps reflect a lot of

1 that very appropriate focus on criminogenic risk  
2 factors. And just a final point on the research one  
3 of the things that we think really is an important  
4 explanation for the positive results that we see in  
5 mental health courts is the importance of  
6 procedural justice, the connections that are made  
7 between the judge and the participants, the  
8 prosecutors and the participants, the, the whole  
9 court team. There's, there's all growing body of  
10 research not only in the court system but with  
11 police, probation, parole that shows that when  
12 people are treated with dignity, given a voice in  
13 proceedings, made to feel like the, the justice  
14 system cares what happens to them they're more  
15 likely to comply with judicial orders and follow  
16 the law and, and those dynamics in the courtroom  
17 are perhaps more important than the treatment  
18 people get. I'm honored to make a big pitch for  
19 expansion of supervised release programs and I am  
20 about to run out of time so I will stop it there  
21 and you'll see some of the concerns that I wanted  
22 to highlight in the written testimony that I will  
23 email you after this.  
24  
25

1  
2 CHAIRPERSON CROWLEY: Thank you to both  
3 agencies, well one agency sort of, the other  
4 provider. Councilmember Lancman, I'd like to  
5 recognize the co-chair for question...

6 CO-CHAIRPERSON LANCMAN: Thank you. Good  
7 afternoon. Thank you for coming in today. Very much  
8 appreciate it. We're going to have a bail hearing  
9 next month so I don't want to have to do this  
10 twice. So we're going to put some questions aside  
11 that we're really interested in and try to focus on  
12 the behavioral health aspect of it all. So right  
13 now, this is for the CJA folks, right now just to,  
14 to clarify I mean I've, I've seen it, I've got the,  
15 the forms that, that you fill out and, and what is  
16 handed over to the court. There's no mental health  
17 examination, behavioral health examination that you  
18 conduct prearrangement to give to the court  
19 district attorneys defense, defense council for  
20 their use during arraignment, correct?

21 DOUGLAS KNIGHT: That is correct as, as  
22 part of our pre-arraignment interview and where it  
23 goes forward to the arraignment court there is  
24 nothing on that that has anything to do with mental  
25 health.

1  
2 CO-CHAIRPERSON LANCMAN: Now it's the  
3 taskforce recommendation that that change. There's  
4 going to be a pilot program whereby someone is  
5 doing that mental health assessment but it's not  
6 going to be CJA. Now I don't have a, an opinion  
7 whether that's...

8 DOUGLAS KNIGHT: No I believe the, I  
9 believe that is correct. There is a project or a  
10 demonstration project going on right now I think to  
11 design the tool that would be used to identify  
12 somebody with a mental health... [cross-talk]

13 CO-CHAIRPERSON LANCMAN: So, so one of  
14 the challenges we have in government and we heard  
15 testimony to this effect somewhat from the district  
16 attorney's office is we institute programs and then  
17 you wake up a few years later and there are you  
18 know 53 different providers of the services that  
19 you, you want to achieve. Is, is CJA not capable  
20 of, of performing this assessment if, if you were  
21 given the resources?

22 DOUGLAS KNIGHT: Well I'd have to say  
23 that we are not now capable of it. We don't know  
24 what tool we would use to do it. We are constrained  
25 as you know by the serious time constraints on the

1 pre-arraignment process. So this is, and I think  
2 the, the other question that we would be concerned  
3 with and I'm sure you are as well is the legitimacy  
4 of collecting personal information of that sort and  
5 simply passing it on. I'm not quite sure where it  
6 should go. I would think the defense bar would have  
7 serious questions about passing on that information  
8 without the approval of the defense bar.  
9

10 CO-CHAIRPERSON LANCMAN: Well they're  
11 here and we'll ask them. It would seem to me pretty  
12 straight forward if at least there was something  
13 that was passed on to the, to the defense bar.

14 DOUGLAS KNIGHT: Mm-hmm.

15 CO-CHAIRPERSON LANCMAN: But defendants  
16 have to be assessed for bail purposes, potentially  
17 sentencing purposes. I just wanted to know or give  
18 you the opportunity if you had a strong objection  
19 or any objection to that service being provided by  
20 some entity other than CJA which is already in the  
21 business of interviewing defendants pre-  
22 arraignment. And if you don't that's, that's fine  
23 as well.  
24  
25

1  
2 DOUGLAS KNIGHT: I would not have any  
3 objection to some other organization or some other  
4 entity doing that.

5 CO-CHAIRPERSON LANCMAN: Mm...

6 DOUGLAS KNIGHT: I should add and I  
7 think I pointed this out before. We're talking  
8 about the pre-arraignment interview that CJA  
9 performs. But in the supervised release programs  
10 there we look fairly extensively at a person's  
11 mental health needs and we make referrals where  
12 they are appropriate.

13 CO-CHAIRPERSON LANCMAN: Okay. And I  
14 understand that and, and there are lots of good  
15 questions that need to be asked about the  
16 supervised release programs and, and just in the  
17 general bail context. But maybe you could tell us a  
18 little bit about the collaboration or coordination  
19 or maybe lack thereof between the alternative to  
20 detention program which is the supervised release  
21 and, and the alternative to incarceration programs  
22 which is the, the, the specialty courts, courts.  
23 Any observations on how that handoff is, is done,  
24 whether or not things get lost in the cracks?

1  
2 DOUGLAS KNIGHT: When we began the  
3 program in Queens we had extensive discussions with  
4 the district attorney's office and others and we  
5 provided the in, the assurance that we would in no  
6 way try to interfere with the handoff that you're  
7 talking about. And I think I would say that in  
8 Queens particularly that has worked very  
9 effectively. I think perhaps maybe as much as 30  
10 percent of our cases. A little bit less than that  
11 but a substantial portion of the cases that we have  
12 been supervising ultimately wind up in the drug  
13 court or the mental health court in Queens. I think  
14 that that relationship has been a little less  
15 successful so far in Manhattan but we are working  
16 obviously with the District Attorney's Office to  
17 accomplish what is needed.

18 CO-CHAIRPERSON LANCMAN: So... to preview  
19 the, the bail hearing just a little bit, but am, am  
20 I correct that the supervised release programs  
21 terminate at the, the centers for... disposition.

22 DOUGLAS KNIGHT: At the plate.

23 CO-CHAIRPERSON LANCMAN: At the plate?

24 DOUGLAS KNIGHT: Yep. ... excuse me.

25 That's generally true and that is what we desire

1  
2 for among other things resource issues. There are  
3 instances in which a judge may specifically ask us  
4 to continue somebody under supervision. This has  
5 happened in at least, well some number of cases in  
6 Queens. Whenever we are asked to do that we agreed  
7 to do it and we will continue the person until the  
8 judge imposes sentence.

9 CO-CHAIRPERSON LANCMAN: And would you  
10 have any opinion particularly in, in this context  
11 where we're expanding supervised release and, and,  
12 and particularly for, for folks with mental health  
13 issues whether or not it should just as a matter of  
14 course continue through to, to sentencing. It  
15 seemed to me intuitively that there's a greater  
16 risk of, of flight once a person you know knows  
17 what's coming.

18 DOUGLAS KNIGHT: Well let me say that  
19 although this is a felony program the vast majority  
20 of the cases that are exposed are in fact disposed  
21 as misdemeanors. And in fact the, in the vast  
22 majority of those cases the sentences imposed at  
23 that point in time that's particularly true in  
24 Queens. The issue of whether or not the person  
25 should be continued under supervision is ultimately

1 I think up to the court and in some instances the,  
2 the defense bar may have an objection to that. But  
3 if the court as I indicated earlier if the court  
4 thinks it is appropriate and asks us to do so we  
5 will do so. If we were to assume that this was to  
6 become a matter of course then I think we would  
7 among other things need more resources than we  
8 currently have to continue that.

10 CO-CHAIRPERSON LANCMAN: Hi, from, from  
11 CCI, by the way your, your, thank you very much.  
12 Your reports were very informative and, and very  
13 very helpful.

14 DOUGLAS KNIGHT: Could I, could I just  
15 make one point I should have made earlier that in  
16 many of these cases that end where our supervision  
17 ends because a person has gone on to a drug court  
18 or mental health court or whatever those courts of  
19 course have their own supervision capacity. And so  
20 it isn't as if it's, it's over and there is no  
21 supervision.

22 CO-CHAIRPERSON LANCMAN: Right. But I  
23 assume, I, my understanding is those courts... and  
24 it's not a criticism but those courts will use the,  
25 the program providers that are providing the mental

1 health or drug treatment services as a proxy for,  
2 as providing the supervision. They 're the ones who  
3 are reporting back to the court... so and so you know  
4 attending their treatment or, or, or not their  
5 treatment, not that there's anything wrong with  
6 that but, but let me ask, ask you if I can you are  
7 a, a student of the specialty courts, the mental  
8 health courts, the drug courts, etcetera. I think  
9 two months ago we had a hearing here where we had  
10 folks from the human trafficking court in Queens  
11 including Judge Serita pleading with us for the  
12 city to provide additional resources so that those  
13 courts can do their, their job. They didn't not  
14 more court officers, they didn't need you know  
15 bigger court rooms, they needed the social services  
16 providers that make those courts what they are to  
17 provide mental health, psychological, educational,  
18 employment counselling etcetera etcetera etcetera  
19 to, to their clients. I mean we have the human  
20 trafficking court folks saying that one of the, the  
21 providers had to cease accepting clients for 30  
22 days just to deal with the backlog. Were, were you  
23 surprised that the, the taskforce doesn't make any  
24 recommendations for the city's additional support  
25

1 of these courts so we can serve the people who, who  
2 are being kept out of Ryker's Island by being in  
3 those courts.  
4

5 CAROL FISLER: ...you'd raised the  
6 question earlier about why the taskforce report was  
7 silence on the specialty court and I know my own  
8 answer to that as Trish Marsik was responding to  
9 that question was I think people feel like the  
10 specialty courts are by in large doing a very good  
11 job and so the taskforce didn't really have to be  
12 dealing with him. I do think the human trafficking  
13 courts have not been as resourced as, as the mental  
14 health courts and the drug treatment courts. And  
15 that is an issue. You know those are much newer  
16 courts and providers like the center for court  
17 innovations are... stepped up and provide some  
18 services without full payment for them and so now  
19 the others are struggling to, to be able to  
20 institutionalize those. I'll say there are really  
21 two separate categories of services we have to  
22 think about when we think about the specialty  
23 courts. One is the, the staff of the courts  
24 themselves. And we have an incredible patchwork in  
25 New York City. Every single one of the courts has

1 and I'm seeing the attorneys here you know nodding  
2 their heads to this. It's, it's different funding  
3 sources and different providers in each of the  
4 different counties. And each of the providers has  
5 the responsibility of going out year after year and  
6 figuring out where the funding is going to come  
7 from to sustain what they're doing. That is a big  
8 challenge. I would say the, the upside, the virtue  
9 to that from the, from the providers perspective is  
10 that it allows us to keep defining what it is that  
11 we think is important to do as opposed to having a,  
12 say a single payer saying for all the specialty  
13 courts, all the treatment courts here's what we  
14 want, here's what you're going to have to do. So  
15 there's a, you know a bit of a tension there  
16 between scrambling for resources but that scramble  
17 also keeping us a bit nimble and, and innovative.  
18 So I, I would love to have more resources for all  
19 of the treatment focused courts but we don't want  
20 those to come at the expense of you know sort of  
21 bureaucracy and, and over standardization. There's  
22 a separate issue about what the services are that  
23 the courts are linking people to. And I don't think  
24 in the hearing today so far that that question has  
25

1  
2 been addressed as much as it might have been. We've  
3 talked a little bit about the expansion of you know  
4 some, some housing. But you know there are, there  
5 are a few categories of services on the mental  
6 health court side that are extremely difficult act  
7 to access. Especially supportive housing or  
8 affordable housing generally and that many  
9 potential participants in the courts are kept  
10 waiting in Ryker's Island for months and months and  
11 months until those resources become available. I'm  
12 not as familiar with the human trafficking services  
13 and the providers and, and where the gaps are on  
14 that on the mental health side. You know generally  
15 speaking there's easy rapid access to outpatient  
16 treatment to good mental health outpatient  
17 treatment, you know serious shortages of housing  
18 and we're in a changing landscape right now with  
19 care coordination services. We used to rely on  
20 intensive case management as an important resource  
21 and now we're in the world of health homes and  
22 that's a, you know a different service. So we're,  
23 we're adjusting to that. So I just want to be clear  
24 and maybe ask you if you wanted to re-ask the  
25 question with a specific focus on this, the

resources to support the operations of the court  
versus the resources to provide the, the treatment  
services.

CO-CHAIRPERSON LANCMAN: Well I think  
it's definitely the resources to provide that the  
treatment services... in terms of the operations of  
the court as I said they don't... for court officers  
and, and...

CAROL FISLER: No I'm talking about the  
clinical services, the people who are doing the  
evaluations, the treatment planning. It served two  
layers of services. There are the, the people who  
are the... the, the... [cross-talk]

CO-CHAIRPERSON LANCMAN: The  
gatekeepers?

CAROL FISLER: The, the boundary  
spanners.

CO-CHAIRPERSON LANCMAN: Okay.

CAROL FISLER: The clinical people who  
staffed the court, the people doing what, what Doug  
Knight was referring to in Queens. You've got Task,  
you've got the social workers of the legal aid  
society, the center for court innovation provides  
the clinical support... [cross-talk]

1  
2 CO-CHAIRPERSON LANCMAN: So the folks  
3 who were providing the support information to the  
4 court as opposed to the defendant slash client.

5 CAROL FISLER: Or they're doing the  
6 initial work of the, the assessments, the treatment  
7 planning, and making the initial linkages to  
8 services.

9 CO-CHAIRPERSON LANCMAN: So let me ask  
10 you in, in, in the plan, in the taskforce  
11 recommendations which I know you're very familiar  
12 with in the plan going forward which is good if not  
13 complete but as it was told it was a first step  
14 where do you think that our attention should be?  
15 For example the, I think and we've heard testimony  
16 that the, and, and it was one of the commission's  
17 taskforce recommendations that the lack of mental  
18 health assessment at the very earliest stage for  
19 the benefit of the, the court council, district  
20 attorney's office is a very very serious problem.  
21 So as, as we look to, to, we're about to finish the  
22 city budget hopefully in you know six weeks where  
23 should we and the taskforce be putting the  
24 taxpayers money right, right now. I wouldn't be  
25 shocked or, or verse if, if we were to hear that

1 right now we need to get the courts and the, the  
2 people in the, in the, in the process better  
3 information, more timely information than right now  
4 we need all of our money to go to more services  
5 directly to the, to the clients of the defendants.  
6 Like where do you, give us some guidance.

8 CAROL FISLER: I do think that expansion  
9 of supervised release opportunities starting  
10 prearrangement, at arraignment, but also in the  
11 days and weeks immediately following arraignment,  
12 kind of a whole web of, of resources for assessing  
13 people and connecting them to services is an  
14 important step and I think the taskforce  
15 recommendations include of, you know substantial  
16 expansion and capacity for that area. I do want to  
17 caution and I know you're going to hear this point  
18 from the, the defense bar. First of all there are  
19 real concerns about who's going to have access to  
20 the information, who's going to be the gatekeeper,  
21 when is it appropriate to introduce that  
22 information. There I think is a very wide array  
23 among the judges and among prosecutors as to the  
24 openness and interest in using clinical information  
25 to expand community based opportunities or

1 responding to it in a very negative stigmatizing  
2 way. There are certainly judges who are much more  
3 likely to detain a defendant as soon as they hear  
4 that there's a mental health issue.  
5

6 CO-CHAIRPERSON LANCMAN: Right and  
7 we've, we've heard that.

8 CAROL FISLER: And, and, and that, that  
9 is a, that is a real concern. So, so I think again  
10 we don't necessarily want to be focusing too much  
11 on expanding all the resources at the moment of  
12 arraignments partly because you want time for the  
13 defendant and the defense attorney to take a look  
14 at what's going on in the case but also it takes  
15 time to do a good mental health assessment and to  
16 really figure out what other services somebody  
17 needs. So again I think if we think in terms of  
18 resources that support kept a web of assessments,  
19 treatment planning, connections to services during  
20 the early days and weeks there's only so much that  
21 you can really accomplish well if you try to pack  
22 it in at arraignment. But expanding the services  
23 along the lines of what was contemplated in, in the  
24 CERT program but a more flexible set of models  
25 would be a very wise use of city funds.

2 CO-CHAIRPERSON LANCMAN: Last question.

3 Since you mentioned CERT...

4 CAROL FISLER: Mm-hmm.

5 CO-CHAIRPERSON LANCMAN: ...I don't know  
6 if you have an opinion on this but we've heard  
7 concerns from both the defense bar and from the  
8 district attorneys that how shall I put it the, the  
9 calibration of who is eligible for the program is  
10 either too lax or, or too stringent.

11 CAROL FISLER: Mm-hmm.

12 CO-CHAIRPERSON LANCMAN: You have any  
13 opinion on that as a, as a...

14 CAROL FISLER: I would say that sort of  
15 speaks to the point I was just trying to make that  
16 we're talking about people who present complicated  
17 issues and being set up with an array of resources  
18 that allow the parties that take the time to do  
19 good assessments. One of the challenges with CERT  
20 is that it's very driven by you know a handful of  
21 proxies for complex issues. So there are a few  
22 factors that trigger a, an assessment as to whether  
23 someone is at high, medium, or low risk of  
24 reoffending. The assessment is to their mental  
25 health needs is based on the M designation that

1 they get at Ryker's Island. Those pieces of  
2 information in and of themselves are not enough to  
3 really understand what somebody needs to put  
4 together a meaningful service plan. So it's a good  
5 jumping off point for saying here's someone who  
6 merits a closer look. So I think CERT is making  
7 progress towards figuring out you know how to use  
8 these different screening mechanisms, these  
9 different proxies for risks and needs to identify a  
10 body of people who merit more attention. And when I  
11 talk about kind of a web of resources for  
12 supervised release it would be again a cross you  
13 know from arraignment through early days of  
14 incarceration at Ryker's Island or even for people  
15 who are released in the pretrial context for  
16 defense attorneys have more opportunities to access  
17 services for their clients, even who are out in the  
18 community, I think we could do a lot more to  
19 expedite long term connections to appropriate  
20 services with a greater investment in pretrial  
21 services CERT is in the right direction but it's,  
22 it's trying to like thread a, a needle and maybe we  
23 need a, a bigger set of needles to play with let me  
24 put it that way.  
25

2 CO-CHAIRPERSON LANCMAN: Got it. Thank  
3 you very much.

4 CHAIRPERSON CROWLEY: Thank you Co-Chair  
5 Lancman. Next, thank you providers for being here  
6 today, next we have Queens Law Associates Lori  
7 Zeno, the Bronx Defenders Justine Olderman, William  
8 Gibney from LAS, also from Legal Aid Society Reena,  
9 Regina Schaefer and Sarah Kerr.

10 REGINA SCHAEFER: Hi.

11 CHAIRPERSON CROWLEY: Please begin once  
12 you're ready.

13 LORI ZENO: Okay thank you. Good  
14 afternoon. My name is Lori Zeno. I am the Deputy  
15 Director of Queens Law Associates. I think members  
16 of council for asking us to come and testify on  
17 this issue. My organization as a public defender  
18 office located in Queens we represent 25 thousand  
19 people a year in criminal and family court as well  
20 as providing advice and representation on  
21 immigration matters. And I will say we welcome this  
22 administration's commitment to reforming the  
23 criminal justice system. The much needed reforms  
24 outlined in the mayor's action plan will benefit  
25 our most vulnerable population; those in need of

1  
2 mental health and behavioral services rather than  
3 being incarcerated. These reforms are a clear  
4 acknowledgement that the old criminal justice  
5 system just did not work. This proposed 130 million  
6 dollar four year investment plan does have the  
7 potential to reduce unnecessary arrests and  
8 incarceration to redirect criminal justice  
9 resources to where they will have the greatest  
10 public impact and finally make our city's criminal  
11 justice system more fair. In the long run this plan  
12 will benefit many people. While this plan is  
13 comprehensive I do have some concerns. First the  
14 projected timeline of the roll out of the number of  
15 these reforms I believe are going to take too long.  
16 What I mean by that is what about all the people  
17 that need these services right now. I believe that  
18 there are immediate steps that can be taken to  
19 serve the people to, to make programs accessible to  
20 them to make them more affordable to those people  
21 who are in the need of services by giving more  
22 resources to existing public defender offices,  
23 diversion courts, diversion programs. And rather  
24 than you know taking a large amount of this 130  
25 million dollars to trained police officers to

1 figure out you know if they're going to bring  
2 somebody to a drop off center you know rather than  
3 arrest them or if the, you know, and training  
4 corrections officers to decide you know whether or  
5 not somebody should be housed in a mental  
6 observation ward. You know I'm, I'm all for training  
7 people but I would think that we have a, that's,  
8 there's a better use of that money it, you know we  
9 have 10 thousand people in jail today and 40  
10 percent of those people are facing behavioral  
11 health issues on some level. That's 4,000 people.  
12 And only seven percent which is less than 300  
13 people are facing serious mental illness at, which  
14 means the overwhelming majority of these people, 40  
15 percent of the people that are housed in jail right  
16 now are, are currently not getting services that  
17 they need. And of, they remain incarcerated and  
18 they remain incarcerated when 85 percent of them  
19 are charged with low level offenses, violations,  
20 and misdemeanors. And, and, but they can't get out  
21 because they can't get a program. So that also,  
22 that brings me to my second, my second concern  
23 which is the plan relies heavily on, on the fact  
24 that court involved individuals should have access  
25

1 and qualification or Medicaid, well they do not.  
2  
3 Treatment courts are consequently finding a program  
4 for somebody who does not have health insurance or  
5 does not qualify for Medicaid is almost impossible.  
6 Treatment courts and the diversion courts, many of  
7 them only take clients who are already eligible for  
8 Medicaid. So even the treatment courts which have a  
9 great success in which I'm, I'm here saying that  
10 you, you know they should be given more funding.  
11 They're the ones that you know like, like  
12 Councilman Lancman says keeps our, you know keeps  
13 these people out of jail. But even they only take  
14 people who qualify for, for Medicaid. And applying  
15 for Medicaid itself is very lengthy, very  
16 complicated, and you know also is a, you know a  
17 cause of delay. And of course people who are  
18 incarcerated are staying in jail during all of that  
19 time. You know it's on average 90 days to get  
20 approved for your Medicaid which is interesting  
21 considering when we were talk, when one of the  
22 speakers was, or was asked about how long do these  
23 people stay incarcerated when there's the general  
24 population staying for only 54 days you have a  
25 mental health population staying for 112 days. You

1 know not much above that 90 day waiting period.

2 Now...

3 CHAIRPERSON CROWLEY: Are you...

4 LORI ZENO: Even the people...

5 CHAIRPERSON CROWLEY: You're going to  
6 have to... your, your time ran out, I'm sorry.

7 LORI ZENO: Oh you know what I'll just  
8 make one comment about...

9 CHAIRPERSON CROWLEY: Mm-hmm.

10 LORI ZENO: ...the people that's, are, are  
11 covered by Medicaid. Even Medicaid does not cover  
12 the types of programs that these people need and  
13 the types of programs that are offered to dispose  
14 of these cases which are the anger management  
15 programs, batterers intervention programs, and  
16 likewise. And the intake fees and the cost of the  
17 clients are not feasible which means that even  
18 though there are programs there they can't use  
19 them. So my, my request is that these programs be  
20 made available to, to people free of cost,  
21 something that the taskforce plan doesn't mention  
22 anything about and that they get in family court.  
23 If they're, if they're supposed to go to a program  
24

25

1  
2 in family court they get it for free. We need to  
3 have that as well.

4 CHAIRPERSON CROWLEY: Okay...

5 LORI ZENO: ...court. Thank you.

6 JUSTINE OLDERMAN: Good afternoon. My  
7 name's Justine Olderman. I'm the Managing Director  
8 of the Criminal Defense Practice at the Bronx  
9 Defenders. Thank you so much for inviting me to be  
10 here this afternoon. I'm just going to skip ahead  
11 since I know my time is short. You know sitting  
12 here through the testimony and reading through the  
13 memos that were distributed to the council members  
14 it's clear that the members of the council and the  
15 various committees have done a tremendous amount of  
16 research already into the problems that our clients  
17 who suffer from mental illness face in the criminal  
18 justice system. And you've identified three areas  
19 for further discussion, arraignment, specialty  
20 courts, and client representation. While I will  
21 speak to these three areas in my written testimony  
22 and I'm happy to answer any questions that you have  
23 when the panel is done giving their initial  
24 comments I do want to draw attention to one issue  
25 that was just very briefly touched on in the last

1 panel, that we have to address if the city's  
2 efforts at reform are to be successful. And that is  
3 the problem of stigma, stigma. Our mentally ill  
4 clients are as unique and varied as our other  
5 clients are. There're mothers, there're fathers,  
6 there're children, there're elderly, there're  
7 people with graduate degrees and people who dropped  
8 out of school. There are people who have been  
9 stabilized on treatment their entire lives and  
10 people who have been self-medicating their whole  
11 lives. There are people with co-occurring disorders  
12 and people who are only struggling with their  
13 mental illness. There are people who have suffered  
14 unspeakable trauma in their lives and then there  
15 are other people for whom their mental illness is  
16 the trauma in their life. And their mental health  
17 issues that they face are just as diverse. They  
18 suffer from depression and anxiety and  
19 schizophrenia and bipolar disorder, and the list  
20 goes on and on. And what is especially important to  
21 recognize is that the exact same diagnosis means  
22 very different things to very different people. And  
23 that's because each one of our clients is unique.  
24 Yet one of the biggest problems that we see day in  
25

1  
2 and day out as public defenders in the criminal  
3 justice system is that there's actually very little  
4 differentiation. People with mental health issues  
5 are labeled and stigmatized. Regardless of their  
6 individual diagnoses successes and struggles. They  
7 are feared. Now obviously there's been mention  
8 about specialty courts and I representative from  
9 the Queens DAs Offices here. Those aren't the  
10 people that I'm talking about within every criminal  
11 justice system in every borough there's a handful of  
12 people, people that are well trained, people that  
13 understand. But then there's the rest of the people  
14 in the criminal justice system. These people,  
15 judges, prosecutors, other criminal justice  
16 stakeholders sometimes I will admit it even defense  
17 councils. They fear those that are mentally ill.  
18 The mentally ill in the criminal justice system are  
19 viewed not only as the ones that everybody fears  
20 won't come back to court but they are viewed as the  
21 people that will go out and, and commit unspeakable  
22 crimes. They are the ones that truth be told that  
23 judges and prosecutors will cause them to land on  
24 the front page of the New York Post. And as with  
25 most fears the fears in the criminal justice system

1 of the mentally ill is not born out of knowledge  
2 and it's not born out of experience, ignorance of  
3 what it means to be mentally ill, ignorance of what  
4 mental illness means in terms of behavior,  
5 ignorance of how mental ill, mental health  
6 treatment works. And that ignorance leads many  
7 people in the criminal justice system to simply  
8 assume the worst about our clients. It's easier  
9 that way for people. It's safety that way for  
10 people. And so we treat everybody as a danger. If we  
11 do that nobody can criticize us, no one can blame  
12 us when they go out and commit another crime when  
13 they reoffend. So what ends up happening is that  
14 once somebody is labeled with that M designation is  
15 more often set than not, jail and prison is more  
16 often offered than not. And we end up repeating the  
17 pattern of feeding the criminal justice system with  
18 the most vulnerable of New Yorkers. And there is a  
19 tendency to address the problem currently with a  
20 one size fits all approach and that is exactly why  
21 we are where we are today in a society that  
22 essentially treats its criminal justice system as one  
23 big psych ward. The only way to begin to address  
24 the problem identified here today is to start  
25

1 chipping away that ignorance and roll back this fog  
2 of fear. We have been talking about educating  
3 Department of Corrections Officers and we've been  
4 talking about educating the NYPD. But we need to  
5 educate everybody in the criminal justice system,  
6 not just the one judge that provides over a  
7 specialty part and not just... the one prosecutor who  
8 filters through these cases. Everybody, all  
9 prosecutors, judges, institutional providers, 18-B  
10 attorneys, people who interact with our clients in  
11 the criminal justice system, the DOC officers that  
12 work in the courts, the court officers that work  
13 there, the clerks who interact with our clients,  
14 and I do mean educate in the traditional sense. We  
15 all need to be trained, formally trained in who our  
16 clients are, what they need, and how they can help.  
17 We need to recognize it's, a couple of other  
18 things, that we need to be as mindful on the  
19 programmatic level as on the cultural level. We  
20 have to create a culture in our courts of  
21 compassion. We need to message that it's okay to  
22 take chances on people. We need to bring in some of  
23 the, what I think would be very startling  
24 information to many people in the criminal justice  
25

1  
2 system that was highlighted in the last panel about  
3 what risk factors are and what they are not. And we  
4 need to also help people understand that mental  
5 illness is not something to be cured but it's  
6 something to be managed. And we need to recognize  
7 the successful management of mental illness does  
8 not come in a one size fits all package that can be  
9 easily handed out by supervised release programs or  
10 specialty courts or other criminal justice based  
11 programs. The recommendations we've heard about I  
12 think are great ones but they are only going to  
13 work if we learn to treat people with mental  
14 illness in the criminal justice system as  
15 individuals with their own histories, their own  
16 diagnosis, and their own... [cross-talk]

17 CHAIRPERSON CROWLEY: Okay I appreciate  
18 your testimony. Thank you.

19 JUSTINE OLDERMAN: Thank you.

20 WILLIAM GIBNEY: Chairpersons Crowley,  
21 Gibson, Lancman, members of the council, thank you  
22 for this invitation to testify. I'm William Gibney.  
23 I'm the Director of the Special Litigation Unit,  
24 Criminal Practice Special Litigation Unit at the  
25 Legal Aid Society. We appreciate the Difficulty of

1 the situation where we are now for over 20 years  
2 the NYPD engaged in an aggressive stop, frisk, and  
3 arrest, practice that incarcerated disproportionate  
4 numbers of, of mentally ill for low level crimes.  
5 We're trying to work out of a system that has  
6 existed for generations. And we appreciate the  
7 policy change that the city is attempting to make  
8 now and, and also the difficulty of that policy  
9 change. Chairperson Crowley mentioned a victim of  
10 this policy Jerome Murdough. Our testimony focuses  
11 on, mentions the, the two tourists who were shot in  
12 Times Square in 2013 because a, a person with  
13 mental illness was weaving in and out of traffic  
14 and two NYPD officers shot at him and missed him  
15 but hit the two bystanders. There are story after  
16 story that can be told about improper interactions  
17 between the police and the mentally ill. The  
18 incarceration policy and it, it was a deliberate  
19 policy, it was a designed policy was an incredibly  
20 expensive one. It cost over 167 thousand dollars  
21 each year to incarcerate an individual at Ryker's  
22 Island. We applaud the formation of a taskforce, of  
23 Seymour James, the, the attorney and chief of the  
24 Legal Aid Society, was a member of the taskforce,  
25

1 many members of the legal aid society including  
2 those on the panel today participated in some of  
3 the working groups. We applaud the, the general  
4 direction of, of some other recommendations;  
5 expanded training, hospital drop off centers, risk,  
6 detailed risk assessment instruments, supervised  
7 release screening for fiscal and mental health  
8 problems at arraignments, identification of  
9 veterans, reform of bail, reduction of case  
10 processing times. I will mention it's good to hear  
11 that there's a bail hearing coming up. We think  
12 bail, bail reform has great potential for helping  
13 not only those with behavioral health issues but,  
14 but making the criminal justice system really a  
15 more just system, the bail is as being used today  
16 punishes only those who don't have money and  
17 therefore can't get out. It has nothing to do with  
18 dangers as it has nothing to do with the  
19 seriousness of the offense. It, it punishes only  
20 the poor who, who cannot afford to post bail. And  
21 it, it makes all the difference in the world in  
22 terms of the disposition of the, of the criminal  
23 case itself. CJA reports that there's about a 50  
24 percent conviction rate for those who can't post  
25

1 bail. There's over 92 percent conviction rate for  
2 those who are stuck in Ryker's Island because it  
3 changes the entire dynamic of the case that, that a  
4 primary goal often becomes just get me out of  
5 Ryker's Island whether I'm guilty or not becomes a,  
6 a secondary factor. It was mentioned earlier by the  
7 city, the legal aid society worked with the city to  
8 modify a court order, class action court order that  
9 we entered into in the case of grubs versus saffer  
10 [phonetic]. That modification was done by the  
11 federal court about a month ago. And that expands  
12 the use of people who can be used to screen people  
13 at arraignments, nurse practitioner... the old order  
14 required EMTs but the, we now have an expanded  
15 range of people who can do those assessments. What  
16 is missing from what we're hearing from the city  
17 right now. I have some confusion on, on this point.  
18 We didn't see the inclusion of CIT partnerships in  
19 the written proposals, written proposals coming  
20 from the, the taskforce. I did hear this morning,  
21 this afternoon that CIT partnerships are actually  
22 part of the planning with the NYPD. If that is the  
23 case I think that's a significant step but our  
24 concern was we didn't see it in the written plans  
25

1 and we think that is vitally important. Council  
2  
3 Member Vallone expressed concern about the  
4 resources that are available to the police officers  
5 and their obligation even with training to make  
6 individual assessments if they were trained  
7 professionals who could partner with the police  
8 officers that would make that assessment I think  
9 much, much more accurate and useful interaction.  
10 And we've seen those interactions work well in  
11 other areas of the city, of the country. Thank you.

12 REGINA SCHAEFER: Good afternoon. My  
13 name is Regina Shafer. I'm the Director of Social  
14 Work for the Criminal and Civil Practices of the  
15 Legal Aid Society. Thank you for having me here  
16 today. We've discussed a lot of pieces to the  
17 Mayor's taskforce and their report while my  
18 testimony includes a lot of information I'm going  
19 to hone in specifically on the diversion options  
20 that the council is looking at as well as the roll  
21 of the social work department at the Legal Aid  
22 Society and how we are, might believe uniquely  
23 situated to address these issues with an expansion  
24 of resources in the current programs that we have.  
25 Three of the programs I'm going to speak to very

1 quickly I begin with our misdemeanor arraignment  
2 project that program is up and running in four of  
3 the five boroughs that began in 2010. It was  
4 piloted through grant funding. It's, it has since  
5 been expanded to, to the our boroughs excluding  
6 Richmond County although it will up and running in  
7 Richmond County shortly. Misdemeanor arraignment  
8 project puts social workers, licensed clinical  
9 social workers in the arraignment parts to partner  
10 with the defense council to identify and assess  
11 folks who come through the system who may have  
12 mental health issues, may have history of  
13 hospitalizations, there's a number of different  
14 ways in which we can identify or attempt to screen  
15 those that come through the arraignment process who  
16 may benefit from our early intervention services  
17 through the use of the licensed clinical social  
18 workers. We do do a screening and assessments while  
19 it not a thorough mental health assessment we do an  
20 assessment that does include clinical measures to  
21 determine if the clients that we are, come in  
22 contact with can benefit from early intervention if  
23 they've been, they can be reconnected to service  
24 they've had previously or if there are services  
25

1 that we can identify in the community and can then  
2 present to the courts as an option to avoid going  
3 into Ryker's Island where we know they are not  
4 serviced appropriately. The second program that the  
5 legal aid society utilizes that address system, the  
6 issues the council has, has looked at and the task  
7 force also addresses is our mental illness and  
8 chemically addicted project, our MICA project which  
9 is an interdisciplinary team of the licensed  
10 clinical social worker and an attorney who identify  
11 and work with those clients of the legal aid  
12 society who are dealing with mental illness and  
13 chemical addiction. We, we work with them  
14 throughout the course of their case. We provide  
15 connections to community based programs. We often  
16 work with alternatives to, to incarceration  
17 programs to identify appropriate housing. We tried  
18 to connect, reconnect them if they've connected  
19 before to services in the community and we also are  
20 able to provide an 18 to 24 month case management  
21 follow-up which is key to, has been mentioned  
22 earlier this afternoon key to avoiding folks and  
23 getting re-arrested and falling through the cracks,  
24 losing connections with services, etcetera. On both  
25

1 of those, both of those programs we have done some  
2 preliminary recidivism data that we've collected  
3 and assessed around particularly with our map  
4 program which for the figures that we have for the  
5 most recent 2013 through the 2014 fiscal year we've  
6 identified in Manhattan only a 27 percent decrease  
7 in the number of arrests post map intervention  
8 services. So for those clients who have been  
9 arrested and we have met them through arraignment  
10 projects. They're a decrease in rearrests from a  
11 year out from when we first began with them. And so  
12 that while is, is a small window we do feel that  
13 that's a promising statistic. Finally the third  
14 program that I want to talk about very briefly is  
15 our defender services program which again is social  
16 workers in the legal aid society we have that pair  
17 with attorneys. They're referred cases of clients  
18 who we feel we can, we do interview them at  
19 Ryker's. Our social workers go to Ryker's  
20 regularly. We meet with them in their homes. We  
21 mate, meet with them in court at any other location  
22 where they are in residents and do an assessment  
23 with the goal of identifying those services or  
24 circumstances that we may utilize to inform the  
25

1 courts and court staff of opportunities and  
2 possibilities for diversion, not diversion but  
3 identify opportunities for treatment and services  
4 that perhaps they have not been connected to  
5 before. Thank you.

7 SARAH KERR: My name is Sarah Kerr. I'm  
8 a Staff Attorney with the Prisoner's Rights Project  
9 at the Legal Aid Society. Thank you Chairs Lancman,  
10 Crowley, Gibson, and Cohen and I'm afraid I left  
11 two of you off our testimony. Apologize for that  
12 oversight. I along with others at the legal aid  
13 society was involved in the taskforce work group on  
14 the jails. Many of the taskforce initiatives are  
15 being implemented in the jails now and will benefit  
16 individuals with behavioral health, health issues  
17 through reduction and violence and improvement in  
18 treatment opportunities. I want to talk about the  
19 crisis intervention team in particular it's not  
20 just about treatment. It's about reducing the  
21 violence in the jails. I think that the training of  
22 the DOC staff and the, also the idea of using  
23 steady staff in particular places particularly in  
24 places where we house people with behavioral health  
25 issues is going to be a huge reduction, help with

1 the reduction in the violence in our city jails. So  
2 the idea that we were looking at reducing punitive  
3 segregation, how to make our jails therapeutic and  
4 have a therapeutic response to people with  
5 behavioral health issues rather than the punitive  
6 response, CIT training, and the CIT teams are a big  
7 part of that. This will be fully integrated  
8 clinical insecurity staff members who are assigned  
9 by a facility, you know are facility based so they  
10 will have familiarity with the people who they are  
11 responding to and that's different than the ESU,  
12 the emergency services unit which we are sorry to  
13 see is now part of the antiviolence project because  
14 the ESU isn't like that. It's not based in a  
15 facility. The people will not have knowledge of the  
16 people that they're responding to in that way that  
17 steady officers can have. The ESU is also, does not  
18 have that dual clinical staff as part of it.  
19 Reduction in the use of punitive segregation  
20 clearly a very important issue. The board of  
21 correction passed new standards in January after an  
22 overwhelming need for reform was demonstrated  
23 including numerous studies and a number of tragic  
24 and avoidable deaths. Prior to these change... the  
25

1  
2 revisions to the Department of Correction used to  
3 force policy that's clearly part of the Department  
4 of Justice and Legal Aid Society Nunez litigation  
5 that is going to address other ways of reducing  
6 violence in the jails. But that avoiding force  
7 training in de-escalation is all part not just of  
8 responding better to people with behavioral health  
9 needs and having a more therapeutic environment but  
10 also in reduction of violence in our jails. The  
11 creation of the program, I'm going to call it pace  
12 instead of going through the name which I can never  
13 remember is a wonderful change where instead of the  
14 caps unit that only provided this need for  
15 treatment to people who had already run afoul of  
16 the department rules we create units where people  
17 can be identified before they go through the  
18 disciplinary process and be, can be given that kind  
19 of enhanced treatment opportunity. I do think that  
20 one of the things it's missing from the taskforce  
21 plan is a need to improve all of the mental  
22 observation housing units not just these four  
23 special units but to recognize that we need a  
24 larger range of treatment available in the jails  
25 and that the MO units that are going to continue to

1  
2 exist should have higher clinical staffing. I'm  
3 going to skip ahead to just other needs that I  
4 think are not necessarily addressed by the  
5 taskforce; improve clinical space throughout the  
6 jails is important for safe and confidential  
7 treatment to be available in the jails, integrated  
8 mental health and substance abuse treatment that's  
9 not really the model within the jails and it should  
10 be, most of the people we're talking about have  
11 multiple needs. Trauma informed care and treatment  
12 is something that needs to be emphasized in this  
13 population as well. There's a great deal of people  
14 in our jails who have substantial trauma histories.  
15 I also want to address a couple of things that  
16 there's push back on right now in particular... I'll  
17 just do the one... There's a move being considered  
18 about changing visiting procedures as part of the  
19 anti-violence agenda. And if we're talking about  
20 improving our jails and improving treatment and  
21 improving outcomes. We can't at the same time make  
22 it even harder for people to maintain their family  
23 contacts and their community contacts. The evidence  
24 is clear that those are the most important things  
25 to keep recidivism down. If anything we should be

1  
2 looking at making it easier to visit, more pleasant  
3 to visit, and not so time consuming. And I'll end  
4 there and be happy to answer any questions.

5 CHAIRPERSON CROWLEY: Chair Lancman.

6 CO-CHAIRPERSON LANCMAN: Thank you. Good  
7 afternoon everyone. Thank you for coming. Thank you  
8 for sticking around and, and I know him from any of  
9 you listening to all the testimony that they  
10 proceeded you. I have some questions that each of  
11 you could answer... want to chime in and then, and  
12 then a question maybe for some of their, your  
13 particular organizations. So let's start with an  
14 issue that, that has come up with the other  
15 witnesses. And that has to do with the appropriate  
16 level of disclosure for a health assessment. So in  
17 an ideal world and, and part of the pilot project  
18 is the defendant prearrangement will get screened  
19 and have his or her mental health status assessed.  
20 What should happen with that information. Should it  
21 just go, well first of all should, should, should  
22 the defendant be asked whether or not it should be  
23 released to anyone that doesn't sound sensible.  
24 Should it be released just to the defense attorney?  
25 Should it be released to, to the courts and to the

1  
2 district attorney's offices? They've got to figure  
3 this out so whatever guidance you can provide we'd  
4 love to hear it.

5 WILLIAM GIBNEY: We participate in... some  
6 of the recommendations here. We think defense  
7 council has a crucial role to play. Justine spoke  
8 eloquently about stigma. And it's, it's not a kind  
9 and supportive criminal justice system that we are  
10 all too often participating in. And a concern of  
11 the defense would be if, if there were just a  
12 broadly done screen that applied to everybody and  
13 then the often confidential mental health  
14 information was disclosed to all the players at the  
15 initial court appearance which after all is about  
16 release on ROR or bail that you're actually going  
17 to have worse consequences as a result of people  
18 being identified as having a mental illness in the,  
19 in the bail context.

20 CO-CHAIRPERSON LANCMAN: But don't you  
21 think that whether or not someone has a mental  
22 illness should be considered and whether or not  
23 bail is, is, is granted and, and there are people  
24 who... [cross-talk]

1  
2 WILLIAM GIBNEY: ...information here that  
3 because someone has a mental illness doesn't  
4 necessarily make them more dangerous. And I think  
5 that is the association that is the improper  
6 association that would be applied by some people.  
7 And we see that. I mean it's, it's, it's not like  
8 we're making this up. I mean I, we, in, in, I'm  
9 very familiar with the parole release process. I  
10 can tell you the stigma in that parole release  
11 process for the mentally ill. It's just incredible  
12 that their release times are so much more difficult  
13 and, and we see you know that the difficulty in, in  
14 releasing the mentally ill out of Ryker's Island  
15 that... stigma... [cross-talk]

16 CO-CHAIRPERSON LANCMAN: Well, well let  
17 me, let me put it in two ways. And I, I want to  
18 hear, maybe you can respond to this and whatever  
19 you wanted to say. It would seem to me as a lay  
20 person that whether or not a person has a mental  
21 illness or not would in some circumstances  
22 appropriately bear on whether or not they are a  
23 flight risk or risk to, to not return as well as an  
24 opportunity to give the, the court information that  
25 might be helpful in directing the defendant into a,

1  
2 into an appropriate program. And, and then of  
3 course you have people who take pleas at  
4 arraignment.

5 REGINA SCHAEFER: Honestly with regard  
6 to whether or not, whether somebody has a, a mental  
7 health issue should have anything to do with bail  
8 that's set, I mean there are statutory guidelines  
9 that require you know what, what things somebody,  
10 you know you have to take into consideration when  
11 you're sitting bail. And you know the main point or  
12 the main purpose of bail is to determine if  
13 somebody's going to come back to court, period.

14 CO-CHAIRPERSON LANCMAN: Right.

15 REGINA SCHAEFER: You know yes they take  
16 into consideration the nature of the charges and  
17 the safety of the public.

18 CO-CHAIRPERSON LANCMAN: But only in so  
19 far as they bear on whether or not the person is  
20 going to return to court.

21 REGINA SCHAEFER: Exactly.

22 CO-CHAIRPERSON LANCMAN: So is a  
23 person's mental health status something that might  
24 bear on whether a person's going to return to court  
25 or not?

2 REGINA SCHAEFER: No.

3 CO-CHAIRPERSON LANCMAN: Okay. Why not?

4 REGINA SCHAEFER: You know, why not?

5 Well first of all a person's mental health status  
6 is their own business. It's their, they're entitled  
7 to confidentiality of whatever... Let me just finish.  
8 Whatever they're... oh sorry. You know not, not  
9 whether or not they're going to come back to court.  
10 They, you could have a mental illness and you could  
11 have a lot of support at home. You could be in a  
12 facility already or you could have you know your  
13 supervised release. There's plenty of ways in which  
14 you can ensure somebody comes back to court even if  
15 they have a mental health issue. So, so for, you  
16 know and what we've said already is just...

17 CO-CHAIRPERSON LANCMAN: But you, but  
18 you need...

19 REGINA SCHAEFER: ...the stigma involved.

20 CO-CHAIRPERSON LANCMAN: ...to know that  
21 they have a mental health... If...

22 REGINA SCHAEFER: Well...

23 CO-CHAIRPERSON LANCMAN: ...if someone who  
24 has a mental health issue needs some additional  
25 service...

REGINA SCHAEFER: That's why they have a  
lawyer. That's why we're there. We talk to the  
client, we meet the client, we, we know...

CO-CHAIRPERSON LANCMAN: So you would  
limit the disclosure to...

REGINA SCHAEFER: Absolutely.

CO-CHAIRPERSON LANCMAN: ...defense  
council.

REGINA SCHAEFER: Yes.

CO-CHAIRPERSON LANCMAN: Okay. So now  
let me get, ask my second general question. And,  
and I ask this as, as, as a lawyer who's  
represented clients or their, in, in the civil  
setting. How do you navigate the ethical issues?  
Are there clear guidelines? You have a client who  
your, you're informed has a mental health issue.  
However you, you have come to learn at this point  
weighing you know the client's desire to get out as  
soon as he can knowing that if you say that there's  
a mental health issue the, the judge may direct  
some kind of, of treatment which may be in the  
client's best interest both as a human being as,  
and as someone who you know is jurying through the,  
the... [cross-talk] the criminal... That seems like

1 you've got some tough issues to, to, to think  
2 through which I don't, I don't envy.

3  
4 REGINA SCHAEFER: I think the truth is  
5 is that we are all very comfortable and very used  
6 to playing that roll. It's kind of inherent in what  
7 we do. So what we have to recognize as a jumping  
8 off point is that there are, that somebody's mental  
9 health, behavioral health needs sometimes have no  
10 bearing once so ever on what brought them into the  
11 criminal justice system or what's going to happen  
12 to them once they're there. That doesn't mean that  
13 they don't necessarily need services. The truth is  
14 the vast majority of our clients who come into the  
15 criminal justice system could use something. They  
16 need connection to education services. They need  
17 connection to you know services to address  
18 addiction issues or alcohol issues, or mental  
19 health issues, or they've been evicted from their  
20 homes. And that is a much more likely factor that  
21 will lead somebody to come into the criminal  
22 justice system than some of the other ones that  
23 we've been talking about. And our job as defense  
24 attorneys and in some ways we actually discussed  
25 this a little bit at the hearing that was held on

1  
2 how should we evaluate the efficacy of indigent  
3 defense services right. And we talked about housing  
4 a lot of that within an institutional provider and  
5 with the lawyer because the lawyer is not only able  
6 to, one develop relationships of trust with the  
7 client, get access to information about where they  
8 are at that moment in time, what did or did not  
9 bring them into the criminal justice system. We  
10 have access to their families and to their network  
11 of support people to get even more information to  
12 help us identify what their needs are, what their  
13 goals are, what they're capable of. And so we are  
14 constantly in the process of trying to stabilize  
15 our clients' lives. I think that the tension is  
16 that the, the, all of this focus on wanting to help  
17 people comes from such a great place. And there is  
18 a real need for the criminal justice system to  
19 shift. But there's a danger in it shifting too much  
20 and for us to get into a net widening where we look  
21 at the criminal justice system as the focal point  
22 for resolving all of the things that you know our  
23 clients struggle with when really the best place to  
24 do that is in services in the community and allow  
25 the criminal defense function to play out in that

1 way so that we can identify where the intersection  
2 is so where people do need to know about it, where  
3 the criminal justice system is an appropriate place  
4 to address these issues and where really the  
5 defense function can expand along with civil  
6 service providers to try to address those needs  
7 outside of the criminal justice system.

8  
9 WILLIAM GIBNEY: Right. And I do think  
10 there are some...

11 CO-CHAIRPERSON LANCMAN: Yes.

12 GIBNEY issues here about getting a  
13 system that, that works. And we think a system that  
14 is non-threatening to the criminal defendant that  
15 can encourage the, the, the, the accused  
16 cooperation with a treatment system that, that,  
17 that gets the voluntary consent to, to participate  
18 in the treatment is much more likely to work, much  
19 more likely together the essential information that  
20 you're looking for at those initial assessments.  
21 But if, if, if the word is out that you know if I  
22 cooperate here I'm going to [phonetic] get punished  
23 in some way. That, that word will circulate through  
24 the defense system and I think undercut a lot of  
25

1 the alternative placements that we're trying to  
2 make early on.

3  
4 CO-CHAIRPERSON LANCMAN: If, have you  
5 had experiences and... I'm sure that you have and I  
6 think it came up in the, in that hearing that we  
7 had where there was a lot of conversation about  
8 wrap around services etcetera. But one of the  
9 things that was missing from the taskforce and  
10 again the first step so it's not a criticism. But  
11 how mental health issues, behavioral health issues  
12 of your clients interferes with your ability to  
13 represent them as criminal defense attorneys and  
14 whether or not the, the, the contracts that you  
15 have with, with the city provide for whatever  
16 resources would be necessary to break through  
17 that, that barrier. I mean forget helping so and so  
18 with his or her mental health problems, as mental  
19 health problems, like how do you represent someone  
20 who you know you may not be able to communicate  
21 with or to get information from etcetera etcetera.

22 REGINA SCHAEFER: I think that's very  
23 often the situation that arises when an attorney in  
24 the legal aid society refers a case to the defender

1 services program which is comprised of licensed  
2 social workers who very often are brought in to...

3  
4 CO-CHAIRPERSON LANCMAN: That's the my,  
5 the NYCAP?

6 REGINA SCHAEFER: But, no we have map,  
7 we have NYCAP but then we have about 100 social  
8 workers, defense, defender services program. So  
9 they, and they can be brought in at any point  
10 during the case and very often that is our role is  
11 to help the attorney to navigate how to talk to  
12 their clients or to, to assess what exactly is  
13 going on with their client to contact collateral  
14 contacts family, employers, community based  
15 services that the client may be familiar with but  
16 certainly in the court setting very often we're  
17 called upon to, to, you know the client may be, may  
18 have some behavior that's of concern to the  
19 attorney and they're not really sure what to do  
20 with it or what it is because very often behavior  
21 can look like many different things. Sometimes  
22 mental health issues, sometimes not. And to be able  
23 to make that distinction so as to best represent  
24 the client when they go into court. So that is one  
25 area where we have been able to address that

1 although on a small scale because our, the number  
2 of attorneys that we have versus the number of  
3 social workers we have could greatly be expanded.

4 CO-CHAIRPERSON LANCMAN: Practical  
5 question. The map program, the misdemeanor  
6 arraignment project. How, how long does it take to,  
7 to do that assessment through your, you've got a  
8 social worker there in the court...

9 REGINA SCHAEFER: Right.

10 CO-CHAIRPERSON LANCMAN: And, and they  
11 do the assessment. How long does that, does that  
12 take, does that interfere with the, with the flow  
13 of the...

14 REGINA SCHAEFER: Sometimes it interferes  
15 with the flow very often a judge will give us a  
16 second call if they know the social worker's there  
17 to, to assess the situation and, and try to get  
18 enough information to explain and provide the court  
19 with information or the attorney with information  
20 about what things can be done at that particular  
21 moment.

22 CO-CHAIRPERSON LANCMAN: And how does  
23 the social worker know, does the social worker talk  
24 to every single defendant or the social worker you  
25

1 know kind of has an instinct that so this, this  
2 person, I need a close look.

3  
4 REGINA SCHAEFER: So our social workers  
5 are physically in the court house for their  
6 entries, for the entire shift, the arraignment  
7 shift. We've trained our attorneys pretty  
8 extensively on what information or what they see  
9 may be a, you know red flags for them that they  
10 should bring the social worker in. There are other  
11 scenarios where the social worker will go through  
12 the cases that we're about to arraign to see what  
13 kind of information. When we have clients who are  
14 repeatedly arrested for jumping a turnstile or for  
15 urinating in you know housing complexes and, and  
16 there's a number of, a high number of misdemeanor  
17 arrests over a short period of time or maybe they  
18 just came from the hospital or maybe we know  
19 they're on medication or maybe they're behavior  
20 just warrants based on the attorney's gut or  
21 sometimes other court personnel's gut that can we  
22 have somebody take a look at him or her.

23 CO-CHAIRPERSON LANCMAN: So you find,  
24 you find some things there, the social worker finds  
25 something's there. What are they, they don't just

1 sit on that information. They share it with the,  
2 the, the attorneys who's there.

3 REGINA SCHAEFER: Right.

4 CO-CHAIRPERSON LANCMAN: And, and, and  
5 then, and then that turns into what, what you're  
6 asking for in terms of a bail decision or what  
7 you're willing to accept or not accept in terms of  
8 a plea decision?  
9

10 REGINA SCHAEFER: If I could give you an  
11 example...

12 CO-CHAIRPERSON LANCMAN: Sure.

13 REGINA SCHAEFER: ...we may have a, a  
14 person who comes into court and is being arraigned  
15 for a low level misdemeanor offense, being charged  
16 with that and there is something that alerts the  
17 attorney whether it be behavior or something in  
18 the, in the file. And he has the, he or she has the  
19 social worker come and meet with the clients. And  
20 the social worker may learn that the client was  
21 living in supportive housing in the community  
22 because he or she has a long history of mental  
23 health issues that, but he, he or she has that  
24 connection and we want to get the client back to  
25 that supportive housing situation. And so the

1 social worker will call the supportive housing  
2 facility or agency and call it, you know make the  
3 connection with an individual to confirm that  
4 information. Sometimes we have them come to court  
5 in the hopes that they can you know present to the  
6 court that this person has a connection to the  
7 community and that he or she may be a, you know a  
8 low risk flight, risk of flight because they do  
9 have connections to the community, who may have  
10 family members and make connections to the vis-a-vi  
11 phone calls at that moment right in the middle of  
12 arraignments you know based either on a second call  
13 or before the case was called so that we can  
14 present to the court those connections that the  
15 individual has and speak to the application to, to...

17 CO-CHAIRPERSON LANCMAN: Yeah... but that,  
18 that's not really a mental health issue or mental  
19 health assessment. I mean you might as well just  
20 have someone in the court who can ask where do you  
21 live, what's your housing situation...

22 REGINA SCHAEFER: Mm-hmm.

23 CO-CHAIRPERSON LANCMAN: Alright that's  
24 not a, you, you...

25 REGINA SCHAEFER: ...certainly are...

1  
2 CO-CHAIRPERSON LANCMAN: ...found out  
3 about their housing situation and their connection  
4 to community.

5 REGINA SCHAEFER: Right.

6 CO-CHAIRPERSON LANCMAN: ...an inquiry  
7 about their, their mental health.

8 REGINA SCHAEFER: But we do more than  
9 just an inquiry on mental health...

10 CO-CHAIRPERSON LANCMAN: Yeah... you know  
11 you're assessment right...

12 REGINA SCHAEFER: ...I mean sometimes we  
13 use tools and you know to, to identify if there is,  
14 is, have they, do they have any substance abuse  
15 history? Have they ever been, do they see a  
16 psychiatrist? Do they have medications? What are  
17 the names of the medications. But sometimes you'll  
18 have somebody who'll have medication in their  
19 pocket. I mean it's, there's a number of different  
20 questions that are asked. Is, it's certainly not a  
21 as was indicated earlier that a real, really  
22 quality extensive mental health assessment is going  
23 to take a significant amount of time. But we touch  
24 on the mental health piece to identify what may be  
25

1  
2 going on. And that may include questions about  
3 their functioning and their history etcetera.

4 CO-CHAIRPERSON LANCMAN: At, at, at some  
5 point shouldn't this be made known to the, to, to  
6 the judge?

7 REGINA SCHAEFER: Sometimes it, it  
8 should be and sometimes it shouldn't be right? If  
9 it's going to affect the outcome of the disposition  
10 in some way you know many many times you know we'll  
11 get the information regarding our clients and  
12 regarding whether there's a mental health issue or  
13 not or to what extent there's a mental health  
14 issue. You know we will then you know go and use our  
15 resources to find or connect them with some kind of  
16 a program on the outside or whatever it is right.  
17 And you know there, there's also the use of the  
18 treatment courts already in play right in the  
19 courthouse. So you know if you identify that your  
20 client is suffering from a particular issue you  
21 know you'll go to the district attorney's office so  
22 you'll go to the judge and say look you know I,  
23 I'd, I'd like to have this case get put into the  
24 diversion part. And then you'll specify whatever  
25 part you want right? Then when you get there you'll

1 say you know listen my client doesn't really need  
2 to be in jail. My client really needs this kind of  
3 a service or whatever it is and then a lot of times  
4 that's how you dispose of a case where they'll be  
5 a, an identified program of some kind right where  
6 they'll be an identified part, courtroom, you know  
7 the treatment part. You'll go there. Sometimes the  
8 client will take a plea that's conditioned on their  
9 completing this program or getting this service or  
10 whatever it is. And then the case is monitored. The  
11 client will come back to court a couple of times  
12 depending on what it is, how long it is. And then  
13 once they successfully complete then you come back  
14 into court and you as the attorney say they  
15 completed, they this, they that, and then you know  
16 however it was that you've bargained. You know  
17 they'll either reduce the case from a felony to a  
18 misdemeanor or a misdemeanor to a violation or  
19 they'll dismiss the case outright but you know I  
20 think that you know you, these are very very  
21 sensitive issues for personally right, the person  
22 who actually suffers from the mental disorder.  
23 There are also while it's wonderful that everybody  
24 wants to help. You know the reality is though  
25

1 they're there in court charged with a crime. So you  
2 know... And sometimes that, you know the crime that  
3 has them facing a lot of time in jail right. And so  
4 you know they get a lawyer for a reason. And it's  
5 to help them navigate you know through the criminal  
6 justice system as a lawyer you know you're of  
7 course the first thing you're thinking about is  
8 their case and their legal issues and how you know  
9 to deal with that. But then at the same time you  
10 know as, as my you know colleagues are saying as  
11 part of our job as public defenders and with the,  
12 with the population that we work with on a day to  
13 day basis. You know part of your job is to not only  
14 try to keep them out of the system or get them out  
15 of jail or get them out of their, you know the  
16 court you know calendar or whatever right but it's  
17 to prevent them from coming back. So you know  
18 that's part of what we do every day. You know we  
19 try to you know get them into whatever services in  
20 the community. We also have social workers. That's  
21 why we all have...

22  
23 CHAIRPERSON CROWLEY: I just, sorry  
24 don't want to cut you off but we have four more  
25 panels. Co-chair?

CO-CHAIRPERSON LANCMAN: Thank you very  
much.

REGINA SCHAEFER: Can we just... one  
other...

UNIDENTIFIED FEMALE: I just, can I just  
respond to it very, just very briefly to your last  
question? I think that it, it's totally  
understandable. There are all these categories of  
information that people want to know. The question  
is whether or not that category of information is  
over inclusive in a way that is not indicative of  
rights of return. And the CJA studies show that 93  
percent of all people return to court either on  
their court date or within 30 days on their own  
voluntarily of their court dates. So the slice  
we're talking about is a really small slice of  
people who don't come back to court. And the CJA  
sheet is very well tailored to the predictive  
qualities of what makes somebody come back to  
court. Wouldn't we like to know along the same vein  
whether or not somebody is an alcoholic. Wouldn't  
we like to know whether or not they suffer from  
drug addiction because maybe in our minds we think  
that, that sends a message that they might not be

1 stable enough to come back to court. But what  
2 you're in danger of is incredible over  
3 inclusiveness and net widening where we assume  
4 because somebody has an addiction, because somebody  
5 has a mental illness that therefore they are  
6 unstable and won't come back to court and that's  
7 just not a correlation I think we can be making in  
8 the criminal justice system.  
9

10 CHAIRPERSON CROWLEY: Thank you co-  
11 chair.

12 CO-CHAIRPERSON LANCMAN: Thank you.

13 CHAIRPERSON CROWLEY: Thank you to the  
14 panel.

15 [cross-talk]

16 CHAIRPERSON CROWLEY: Next we have from  
17 the Fortune Society Barry Campbell, Greenburger  
18 Center Cheryl Roberts, Day One Andrew Santana, Lynn  
19 Kaplan from LifeNet, Jamin Sewell from the  
20 Coalition of Behavioral Health, and Mary Beth  
21 Anderson from the Urban Justice Center. If you  
22 could.. order that you were called please.

23 BARRY CAMPBELL: My name is Barry  
24 Campbell. I'm here testifying today on behalf of  
25 the Fortune Society but first I would like to thank

1 the various members of the committee for allowing  
2 us to testify today. I have about five pages of  
3 testimony but I'm not even going to begin to go  
4 through all of them. A lot of the points have  
5 already been made. I just want to point out one very  
6 important part. Someone sitting on one of the  
7 previous panels mentioned something about stigma.  
8 There's also subcultures thriving in the Department  
9 of Corrections and the police department and the  
10 mental health field that a lot of the individuals  
11 that when they come into these social service  
12 agencies or when they come in contact with these  
13 criminal justice agencies they don't divulge a lot  
14 of the information that they have because of the  
15 way that they're going to be treated once that  
16 information comes out I will say this that there's  
17 a culture that needs to be addressed in each one of  
18 these departments on how they handle the formerly  
19 incarcerated the individuals with mental health and  
20 the way that they deal with these individuals from  
21 the police department to the Department of  
22 Corrections even from the DOHMH. A lot of these  
23 individuals when you have a conversation with them  
24 let me just say that I've been with fortune society  
25

1 well over 20 some odd years and I've been working  
2 with this population ever since then. What happens  
3 is is when a lot of these individuals come in  
4 contact with these agencies that are supposed to  
5 support them or provide them with service. They're  
6 literally treated like dirt or gum on the bottom of  
7 somebody's shoe. That within itself is discouraging  
8 to an individual who's supposed to be reaching out  
9 for help to one of these agencies whether it's a  
10 criminal justice agency or a mental health agency  
11 it really doesn't matter what agency it is if you  
12 don't start out from the subset of, of thinking  
13 about the individuals that come through your door  
14 as human beings, not as somebody's who's formerly  
15 incarcerated, not as somebody who's a convict, not  
16 as somebody who's, who has behavioral health  
17 issues. You need to look at them as a human being  
18 so there's a culture that needs to be changed first  
19 of all. I understand that it's great that we want  
20 to put all of these policies in place but if you  
21 still have the same culture that's delivering all  
22 of these policies that you're changing you're going  
23 to pretty much get the same results. People don't  
24 want to walk into a place where they're treated  
25

1 like the gum on the bottom of somebody's shoe.  
2  
3 Nobody wants to be treated that way. Nobody wants  
4 to extend themselves and say I need help if they  
5 have to deal with stigma or a way that a certain  
6 individual feels about their population or the way  
7 a certain individual feels about the way this  
8 person has conducted themselves in the past. I  
9 myself am formerly incarcerated. I did two and a  
10 half to five in state prison. I've changed my life  
11 completely around. But if you ask somebody how was  
12 Barry Campbell in 1989 they'll tell you I was the  
13 gum at the bottom of their shoe. Thank you for your  
14 time.

15                   CHERYL ROBERTS: Thank you for this  
16 opportunity to testify today. I'm Cheryl Roberts.  
17 I'm the Executive Director of the Greenburger  
18 Center for Social and Criminal Justice. For the  
19 last 18 months the Greenburger Center has been  
20 developing a, an alternative to incarceration for  
21 those with serious mental illness who have  
22 committed felony level crimes and are not otherwise  
23 eligible for community based ATIs because they  
24 present a risk to themselves or society such that a  
25 DA or a judge would be unwilling to divert them to

1 community based ATI. We've been developing this  
2 model because of an experience Mr. Greenburger had  
3 with his oldest son who has suffered from mental  
4 illness since he was about four years old. While he  
5 was involved with his felony level cases within the  
6 Manhattan DA's office one of the ADAs said if you  
7 could find a secure locked facility where your son  
8 could receive treatment we would consider diverting  
9 him. Mr. Greenburger searched for this facility and  
10 found that it didn't exist in New York City or the  
11 state or the country. And so unfortunately his son  
12 took a plea of five years as upstate in prison and  
13 Mr. Greenburger decided to create an alternative so  
14 that other New Yorkers would have an option who  
15 found themselves in a similar situation. Our  
16 alternative would provide residential treatment for  
17 up to two years and a therapeutic like environment  
18 which would provide restorative justice, balance  
19 reduction, and other programs. It would be  
20 supplemented by a clinic to provide a therapeutic  
21 Medicaid like reimbursable services. All of this  
22 will be provided in a secure environment maintained  
23 by the Greenburger Center. We've gotten a lot of  
24 support for this proposal. You'll see in your  
25

1  
2 packet letters from DA Vance, DA Thomson, DA Brown,  
3 Judge Marks, Judge Demmick, Obis, Isias, Serita,  
4 and others. So a lot of folks who are dealing with  
5 these, this population think that a secure facility  
6 is needed. Unfortunately the taskforce did not  
7 include this population nor did they support or at  
8 least include this option in it. We hold out hope  
9 that the administration will come around. Ms.  
10 Marsik has indicated that this is only a start and  
11 is open to our ATI. We have also a letter from Liz  
12 Glazer in our packet for you. We're looking to the  
13 council to, to follow the tradition of supporting  
14 pilots and innovative programs. So we're hoping  
15 that you will fund us along with our fiscal  
16 partner, the Fortune Society to Develop this much  
17 needed and one of a kind ATI. Thank you.

18 ANDREW SANTANA: Hi, good afternoon. My  
19 name is Andrew Santana. I'm the Supervising  
20 Attorney at Day One. I wanted to thank everyone  
21 here for holding this really important hearing. Day  
22 One is the only organization in New York that  
23 devotes all its resources towards ending the issue  
24 of dating violence for young people age 24 and  
25 under through a combination of services that

1 include prevention, direct services, and innovation  
2 we seek to create a world without dating violence.  
3 So that's things like workshops in schools, direct  
4 legal services for young people and community  
5 organizing services. So why are we here at this  
6 panel. It' because through these lenses we  
7 recognize that the end of dating violence requires  
8 a critical analysis of the role that the criminal  
9 justice system plays in the lives of the survivors  
10 we serve, their abusive partners and within their  
11 communities particularly as this applies to mental  
12 illness. While we believe that abusive behavior is  
13 a choice we appreciate and must understand that the  
14 context, motivation, and impact of that behavior  
15 particularly through analysis of behavioral health  
16 and mental health plays an important role. To be  
17 clear our clients are often the young survivors who  
18 are calling the police and they're often the  
19 complaining witness in criminal cases. They may  
20 call the police to file a police report. And  
21 although their primary goal is often for the  
22 violence to stop they believe often that their  
23 partners need help and that the resources that they  
24 tried prior haven't worked. And while that, they  
25

1 keep that in mind because these are people with  
2 whom they've had intimate relationships they don't  
3 want their partners to be treated disrespectfully,  
4 unfairly through that, through that system. For our  
5 survivors who believe that their partners have  
6 behavioral health and mental health issues we  
7 recognize that if we want a long term solution  
8 proper resources need to be in place. And so for  
9 example for a young person who is being stalked  
10 relentlessly despite court involvement that young  
11 person will remain at risk if the system doesn't  
12 incorporate possible mental health analysis and  
13 treatment. And we know that this is hard because we  
14 know that suspicion and scrutiny about mental  
15 health and the stigma attached to it make young  
16 people particularly reluctant to disclose mental  
17 health issues as they arise. We know that for our  
18 clients even with an order of protection we know  
19 that these resources for both survivors and abusive  
20 partners cannot be only punitive in nature. And so  
21 for example a survivor seeking child support knows  
22 that her family's needs aren't met when her abusive  
23 long term partner's, an abusive partner's capacity  
24 of financial aid support his child is compromised  
25

1  
2 by untreated mental illness or incarceration. Her  
3 family's needs are not met when she fears that her  
4 ex partner's experience of mental health will  
5 reduce his ability to build a healthy emotional  
6 relationship with his child during parenting time  
7 or visitation. We believe that these, this issue  
8 requires a bigger broader and long term solution  
9 that requires appropriate institutional and  
10 creative responses and we hope that folks who are  
11 doing this work recognize that for adolescents the  
12 criminal justice system might not be the  
13 appropriate response when mental health issues are  
14 important. Thank you.

15 LYNN KAPLAN: Chair Crowley,  
16 distinguished committee chairs, and members of the  
17 committee thank you for giving me the opportunity  
18 to testify before you today on the behavioral  
19 health and criminal justice system, the New York  
20 City's action plan. My name is Lynn Kaplan and I'm  
21 the Life Net Project Director at the Mental Health  
22 Association of NYC. LifeNet operated by MHA of NYC  
23 since 1996 through a contract with the New York  
24 City Department of Health and Mental Hygiene is New  
25 York's only 24/7/365 nationally accredited

1  
2 multilingual mental health information and referral  
3 support and crisis and suicide prevention hotline.  
4 Life Net is a single point of access for New York  
5 City's mobile crisis teams. Life Net also responds  
6 to calls from call boxes and all major bridges in  
7 the New York City metropolitan area to allow people  
8 contemplating suicide on area bridges to connect  
9 directly with the council in their hour of need.  
10 Life Net recognizes one of our nation's leading  
11 crisis hotlines and has helped shape the national  
12 model for crisis hotline collaboration with 9-1-1  
13 and emergency services. New Yorkers calling life  
14 net are connected with culturally sensitive multi-  
15 lingual trained behavioral health professionals who  
16 provide person centered culturally competent  
17 service and connect callers to appropriate mental  
18 health interventions within the broad continuum of  
19 care including crisis services and suicide  
20 prevention resources. MHANYC commends NYC for  
21 developing a comprehensive ambitious strategy to  
22 divert people with behavioral health issues into  
23 treatment when appropriate and to ensure that  
24 justice involved individuals with behavioral health  
25 needs are connected to care every point in the

1 criminal justice process. MHANYC is supportive of  
2 the recommendations that have been set forth in the  
3 action plan which will help to ensure that we  
4 appropriately address the behavioral health issues  
5 that have led many into contact with the criminal  
6 justice system in the first place. MHANYC is eager  
7 to serve as a collaborative partner as NYC  
8 continues to put these recommendations into action.  
9 Through Life Net as well as through various policy  
10 and public education initiatives MHANYC has  
11 maintained a long history of collaborating with  
12 public and private partners including the New York  
13 police department in connecting community members  
14 to the level of care that most appropriately meets  
15 their need. To this end Life Net has remained  
16 continually involved in training NYC Sergeants and  
17 cadets on mental health crisis and management of  
18 emotionally disturbed persons. These training  
19 initiatives reinforce the use of life net as a free  
20 resource that the police can offer when responding  
21 to individuals in need of mental healthcare. MHANYC  
22 and Life Net are also committed to collaborating  
23 with key partners on newly formed strategies such  
24 as crisis intervention teams. We also look forward  
25

1  
2 to integrating newly developed resources including  
3 the community based drop off centers into the  
4 continuum of services to which we're able to  
5 connect individuals in order to help ensure every  
6 New Yorker is able to access the most appropriate  
7 level of care which best promotes his or her  
8 health, wellness, and recovery. On behalf of my  
9 colleagues at the mental health association I would  
10 like to thank you all for your attention to the  
11 intersection of behavioral health issues with the  
12 criminal justice system and for the opportunity to  
13 speak before you today.

14 JAMIN SEWELL: Good afternoon Chairs  
15 Crowley, Cohen, and Lancman. Thank you for the  
16 opportunity to testify here today. I'm Jaymen  
17 Sewell. I'm the Council Managing Director for  
18 Policy and Advocacy for the Coalition of Behavioral  
19 Health Agencies. The coalition is the umbrella  
20 advocacy organization of New York's behavioral  
21 health community representing 130 nonprofit  
22 community based behavioral health and when we say  
23 behavioral health we mean mental health and  
24 substance abuse disorder treatment agencies and we  
25 serve, our agencies serve more than 350 thousand

1 clients consumers throughout New York. Our member  
2 agencies are the on the ground frontline safety net  
3 providers retreat some of the most needy  
4 individuals including those with dual diagnosis of  
5 mental health and substance abuse problems. Our  
6 providers serve the homeless and the formerly  
7 incarcerated as well as victims of trauma and  
8 abuse. The agencies we represent are in every  
9 council district and neighborhood in the city. On  
10 behalf of our CEO Phillip Superior who regrets that  
11 he cannot attend today and the coalition board I'd  
12 like to thank you for the opportunity to speak on  
13 the mayor's behavioral health and criminal justice  
14 plan. In full disclosure Mr. Superior and I both  
15 served on work groups that were charged with  
16 developing recommendations for the action plan. The  
17 process was fairly inclusive with many different  
18 stakeholders in the government and provider sectors  
19 represented. It could have benefitted from more  
20 participation from formerly incarcerated  
21 individuals with mental health injuries, histories,  
22 although my work group did have a family member of  
23 an individual, of an individual participating. The  
24 coalition firmly supports the recommendations of  
25

1 the taskforce that are presented in the action  
2 plan. Specifically we strongly advocate for the  
3 following approaches recommended in order to reduce  
4 the number of incarcerated people with behavioral  
5 health issues from the jail population including  
6 diversion to appropriate care settings for people  
7 with mental illness that commit low level  
8 nonviolent crimes, providing therapeutic treatment  
9 rather than punitive treatment if such individuals  
10 are in fact incarcerated, and ensuring that  
11 individuals are connected to services upon release.  
12 It appears that the mayor's executive budget begins  
13 to fund the implementation of the action planned.  
14 For example there's 1.7 million for mental health  
15 and substance abuse programming for all youth at  
16 Ryker's Island. The process of treating  
17 individuals... [beeping] Okay. I just wanted to say  
18 last year Council Member Debbie Rose promoted a new  
19 funding initiative providing mental health services  
20 to you, to court involved youth. That has been very  
21 successful. And I, we would like you to consider  
22 restoring that initiative at the, in the coming  
23 budget. Thank you.

1  
2 MARY BETH ANDERSON: Good afternoon. And  
3 thank you to the chairs and the council members  
4 that are still here. I wish that Council Member  
5 Lancman was still here because he would probably  
6 get better answers on the defense oriented  
7 questions from me. I was 23 years a defense lawyer,  
8 spent most of that time working with people with  
9 serious mental illness and have advised hundreds  
10 and hundreds of lawyers on how to deal with the  
11 very difficult ethical issues but he's my council  
12 member so I'll write him a letter. I'm Mary Beth  
13 Anderson. I'm the Director of the Urban Justice  
14 Center Mental Health Project. We are a legal  
15 services organization. We monitor the Brad H.  
16 litigation as we were one of the lead plaintiff's  
17 council. I think one of the things that has been  
18 missing from today's hearing is the opportunity  
19 that the pre-diversion element of the work plan has  
20 to send a true recovery message to people with  
21 mental health issues. People access mental health  
22 treatment better and more effectively in the  
23 community than they do in jail or prison setting.  
24 And the pre diversion option is a great opportunity  
25 for people to be able to get that community leg up

1 and, and I find that in my practice when I've met  
2 people who are just developing a mental health  
3 problem and I'm able to get them out of the  
4 criminal justice system and into community based  
5 treatment they do far better. We at the Urban  
6 Justice Center are really thrilled about the  
7 developments of the pre-diversion, pre-booking  
8 diversion programs. We're also exceedingly grateful  
9 of that crisis intervention teams are going to take  
10 place both at the NYPD as a pilot and then also in,  
11 within the corrections environment. We hope that  
12 the training includes cross disciplinary training  
13 where law enforcement and mental health providers  
14 have the opportunity to learn from each other  
15 especially because law enforcement needs to know  
16 about mental health and mental health providers  
17 need to know about the concerns of law enforcement.  
18 We agree with Sarah Kerr of the legal aid society  
19 that there need to be more mental health units,  
20 peace units should be available for anyone with a  
21 mental health issue. And, and we encourage the city  
22 to create more of those units. We must say that it,  
23 the city still is, we're very sad to say not  
24 complying in any significant way with the Brad H.  
25

1 litigation it, this settlement happened in 2003 and  
2 the, it's been, monitoring has been extended over  
3 and over and over. Reforms have to be made in  
4 different processes to better help people with  
5 their... could I just have one more minute to better  
6 help people with their discharge planning. People  
7 leave without active Medicaid, the suspension of  
8 Medicaid has made the problem worse. People leave  
9 without essential identity documents and then don't  
10 have the wherewithal to get them often or to  
11 ashamed to ask for help. People who are homeless  
12 upon entry into the jails generally leave homeless  
13 and people have a very difficult time accessing  
14 supportive housing 120 plus 267 beds is like a drop  
15 of water in the ocean for people with mental  
16 illness who end up with criminal justice  
17 involvement. There need to be more beds put  
18 together for this population. And I really  
19 encourage people to try to send a recovery message,  
20 not a management message. We really want people  
21 with mental illness can and do recover. Some people  
22 have greater measures of recover, of recovery than  
23 others. And we should be working to try to maximize  
24 that recovery message. Thank you very much.  
25

1  
2 CHAIRPERSON CROWLEY: Okay we're going  
3 to call up the next panel. Thank you for your  
4 testimony everyone who has just testified. Sandra  
5 Mitchell from the Community Access and National  
6 Action Center, Carla Rabinowitz [sp?] Community  
7 Action, Community Access, we have Matsey Richard,  
8 and from M, MFY legal services we have Diana Luck.

9 SANDRA MITCHELL: Good afternoon City  
10 Council Members Crowley, Gibson, Lancman, and  
11 Cohen. Good afternoon to all council persons still  
12 in attendance and to all those present in the  
13 audience. I want to thank you for the opportunity  
14 to testify in reference to the importance of the  
15 CCIT in New York City. My name is Sandra Mitchell.  
16 I am a Peer Service Provider of Mental Health  
17 Behavior Substance Abuse Services and also trained  
18 in crisis and trauma informed care. I'm also  
19 trained by the state for safety for mental health  
20 service providers in the community and I'm the  
21 chairperson of the Disability Committee at the  
22 National Action Network. I'm also a member of the  
23 Community Crisis Intervention Team Spearheaded by  
24 Community Access where Steve Coh [sp?] is the  
25 Director and Carla Rabinowitz at my right is the

1 community organizer. I want to just give you a  
2 personal testimony of it, something that I  
3 witnessed in the spring of 2014 I was returning  
4 from worship services and it was about 2:30 p.m.  
5 and I was waiting for the northbound Lennox avenue  
6 bus on 125<sup>th</sup> street near Starbucks I witnessed a  
7 police officer engaged with an African American  
8 Male who was seemingly demonstrating signs of  
9 mental health disorder, the individual cursing,  
10 talking to himself, and responding to internal  
11 stimulation perhaps hearing voices and was spitting  
12 on the ground. The police officer ordered the  
13 individual to move on, leave the area, and the  
14 individual continued to curse, spit, but slowly  
15 started to move on. The officer then pepper sprayed  
16 the man. The man then stepped, faced the officer,  
17 wiped the pepper spray from his eyes, and ask what  
18 did you do that for. I think the officer panicked  
19 and so he'll, he asked for backup. More than 12  
20 officers came in police cars and swat team vans and  
21 the police captain arrived, ordered everyone to  
22 clear the area and tapered the man, and tapered the  
23 man. Before the taser-ing the man did step away, he  
24 clutched his hands in prayer and was sinking to the  
25

1  
2 ground but he was tapered and handcuffed and  
3 dragged away like a piece of meat. The bystanders  
4 in the community were incensed. Two people were  
5 filming with their cell phones, they were ordered  
6 to get away and it was just pandemonium then. I  
7 want to say that if a specially trained CCI team  
8 would have been deployed and, and a peer provider  
9 of the CCI team would have been riding with the  
10 backup that responded to the original officer's  
11 called I'm certain that the outcome would have been  
12 much more helpful to this man than him being  
13 traumatized, pepper sprayed, tapered, and  
14 handcuffed, and dragged away with creation of the  
15 volunteer diversion drop off centers a person like  
16 this particular man could receive immediate and  
17 mental health assessment counselling, health  
18 screening, referral to community based services  
19 like housing, public assistance, food stamps, you  
20 know it. In closing if I could just have a few  
21 seconds the pre-diversion option with more people  
22 would want to voluntarily say I do need help, I do  
23 need mental health services, I am homeless, and New  
24 York City would be a safer place to live. Thank  
25 you.

1  
2 CARLA RABINOWITZ: Can you hear me?  
3 Yeah? Okay. Hi, I'm Carla. I'm the organizer at  
4 Community Access. Community Access is a 40 year old  
5 non-profit that empowers mental health recipients  
6 by providing quality housing, employment training,  
7 and other stuff. I also coordinate CCITNYC which is  
8 Communities for Crisis Intervention Teams. We're  
9 excited about the mayor's plan. We support it.  
10 We've been on the taskforce. CCITNYC seeks to  
11 implement training so police who have a tough job  
12 can identify a mental health recipient and respond  
13 in a way that the escalates crisis and keeps the  
14 mental health recipients at a prison. We're  
15 thankful the mayor has embraced our vision.  
16 Basically a CIT is just a method of policing that  
17 provides officers with the tools they need to  
18 respond to incidents involving people in emotional  
19 crisis. CCIT does require coordination between the  
20 police, the public health system, and the mental  
21 health community. Why do we need CITs? Because NYPD  
22 responds to 150 thousand calls, EDP calls per year.  
23 And today according to the NYPD the ordinary  
24 officers receive not one hour of training on how to  
25 respond to these calls. There's 300 specially

1  
2 trained officers that gets one day of training but  
3 the regular officers get not one hour of training.  
4 So what happens? A family member or a housing  
5 agency like us calls 9-1-1 if the person is in  
6 crisis. Police show up and go into what they're  
7 taught which is command and control proving police  
8 are in control. Police may start shouting commands  
9 at people. So right away the encounter escalates  
10 and the person who is in crisis becomes more upset.  
11 Sometimes these encounters result in serious  
12 injuries or death of EDPs or officers and sometimes  
13 they just result in unnecessary arrests. There are  
14 also financial cost of not having a CIT. If you  
15 think about it last year New York City set aside  
16 674 million for claims against New York City. Do  
17 you know a third of all those claims were against  
18 NYPD for police misconduct, civil rights, it's a  
19 lot of money. Just one killing could cost a lot of  
20 money. We're the benefits of CIT. For the officers  
21 less time in between calls. So Chicago reduced  
22 their time from eight hour to 30 minutes picking up  
23 the person and dropping them off. Fewer injuries to  
24 police and mental health recipients and police  
25 improve perception of police by mental health

1 recipients and staff at mental health agencies we  
2 really need to know we can call 9-1-1. Law  
3 enforcement will have a better view of mental  
4 health leaders and they will have better confidence  
5 when working with a person who's an EDP. It'll be  
6 much better positive media relations for the NYPD  
7 and the mayor. We're only, we're the only one of  
8 the seven largest cities in the USA without a CIT  
9 program. They'll be few arrests and more  
10 diversions. CITs are a win win for police, mental  
11 health community, and the general public. I'm so  
12 excited that Mayor de Blasio and Commissioner  
13 Bratton have embraced training of police and  
14 interactions with the mental health community  
15 leaders and we hope you do fund their plan. Thank  
16 you.  
17

18 Hello. I didn't prepare a speech. I'm  
19 speaking from the heart. I'm mentally ill. I'm the  
20 story of recovery. We need services for the people.  
21 We need programs for the people. We need CITs. We  
22 need, I can't stress enough the point is a proper...  
23 of new, NYCPD for CITs so they know when a  
24 consumers decompensated. They know how to properly  
25 act when that person calls 9-1-1. If he picks up a

1  
2 brush it doesn't mean a cop's going to pull out his  
3 gun. We need proper training for the police and for  
4 the CITs. I'm not going to speak that long because  
5 I, like I said I... say more than please help us.  
6 ...been fighting a long time for this situation for  
7 the CITs. I, it's what an organization call...  
8 ability to... to the lack of funding we don't exist  
9 anymore. But... Carla Rabinowitz and the community  
10 access gives me the position and the platform to  
11 get back on and speak once again about the CITs.  
12 Thank you.

13                   DIANA LUCK: Hi. My name is Diana Luck.  
14 I'm a senior staff attorney at MFY's Mental Health  
15 Law Project. The Mental Health Law Project works  
16 with New Yorkers with severe and persistent mental  
17 illness primarily to keep them stably housed in the  
18 community by preventing eviction from housing that  
19 they are already living in. Since we're not a  
20 criminal legal services provider I'm going to speak  
21 just briefly on a civil issue which is the crisis  
22 in safe and affordable housing for people with  
23 entail illness. The task, the action plan speaks  
24 briefly about housing in the return to community  
25 section and talks about the provision of 267 beds

1 which as urban justice center said is a drop in the  
2 bucket. Housing is central for stability and  
3 recovery for people with mental illness and  
4 unnecessary arrest and pretrial detainment greatly  
5 increases the risk of the loss of housing for  
6 people who are currently living in stable housing  
7 because incarceration interrupts employment and  
8 benefits and tenants are not able to defend  
9 themselves in housing court if they are in jail.  
10 But more importantly for the significant number of  
11 people with mental illness who are homeless or in  
12 transient forms of housing their precarious housing  
13 situation contributes to instability which in turn  
14 increases interaction with the criminal justice  
15 system. So while MFY support the action plan we're  
16 concerned that the proposal such as diverting  
17 people from incarceration and ensuring Medicaid  
18 coverage are insufficient to prevent further  
19 criminal justice interactions if they simply remain  
20 homeless or in unstable housing once they're  
21 discharged from jail or prison. To that end we  
22 propose a few recommendations that are, are not  
23 included in the action plan around housing, first  
24 to create a rental subsidy through the human  
25

1  
2 resources administration that would be similar to  
3 FEPS [phonetic] and HASSA [phonetic] for people  
4 with mental illness in order to increase their  
5 ability to obtain and maintain housing and, in the  
6 community, to continue to lobby the state to  
7 increase its commitment to New York, New York Four  
8 Agreement to provide, to build additional  
9 supportive housing units in the city. And finally  
10 to lift the ban on single room occupancy  
11 construction which can provide stable housing to  
12 low income single adults. The city has lost about  
13 150 thousand SRO units since the ban on SRO housing  
14 was passed. And that's housing that hasn't been  
15 replaced by another affordable stable model. Thank  
16 you.

17 CHAIRPERSON CROWLEY: Our last panel  
18 Richard Matsey, Randolph McGlocklin Esquire, Yul-  
19 San Liem, and Howa Bah. Alright if you could begin  
20 your testimony in the order in which you were  
21 called up that would be helpful.

22 RICHARD MATSEY: Thank you. Thanks for  
23 having me. My name is Richard Matsey. I came here  
24 not representing any organization and not  
25 representing any group that's going to ask you for

1 funding. Oddly enough I, I came to offer my help.  
2  
3 The papers that I brought I'm not going to go  
4 through them. I'm going to leave them with you for  
5 you to go over. Because you've got a problem,  
6 you've got a crisis in New York. I spent 22 years  
7 working on Ryker's Island as Counsellor for the  
8 inmates. Now you can't see my Ryker's Island from  
9 here. As a matter of fact you can go there on a  
10 tour and you still won't see Ryker's Island because  
11 we were very skillful at hiding it from you. That  
12 was our job. It was you, when you came it was  
13 called the dog and pony show and it was our job to  
14 prepare everything so that it would look good for  
15 your appearance and your approval. You don't know  
16 what's going on on Ryker's Island because they  
17 won't tell you. The commissioner that you have  
18 there now, Mr.... I believe is a good man. But  
19 they're going to hide it from him too. There's a  
20 culture there that's got to be hidden. And what I'm  
21 going to offer you is, is, is the contact with me..  
22 we can have, start running a CIA. You get in touch  
23 of me... question and I'll let you know what's really  
24 going on. Now here's where the problem really is.  
25 Whatever you have on Ryker's Island you're going to

1  
2 get on the streets of New York. Eventually they all  
3 come back. And they go all over the place. Don't  
4 just think that they're going to be in, in those  
5 seven zip codes that we get most of them from.  
6 They're going to be everywhere. And what happens  
7 while they're on Ryker's Island is very significant  
8 as to how they're going to be when they come back  
9 here to live with you. You know I've been sending  
10 you promotional material for my, my reentry program  
11 and you recognize it because it has the logo of the  
12 twin towers and I'm sure you've seen it. You'll get  
13 some more.

14 CHAIRPERSON CROWLEY: Thank you sir. I'm  
15 sorry to cut you off there but we're finished with  
16 the three minutes that we allotted you.

17 RICHARD MATSEY: Oh. Can I touch on the  
18 mental health? It's very important.

19 CHAIRPERSON CROWLEY: How long are you  
20 going to...

21 RICHARD MATSEY: Just a second. The lady  
22 who was here who was referring to ignorance about  
23 mental health... let me tell you where it starts. It  
24 starts with the mental health practitioners. It  
25 starts with the DSM five. The diagnosis and

1  
2 statistical manual that they are using to diagnose  
3 the problems of the inmates on Ryker's Island isn't  
4 working. Because when you are incarcerated you  
5 could have the same malady... [cross-talk]

6 CHAIRPERSON CROWLEY: Sir is that in  
7 your testimony, the written testimony...

8 RICHARD MATSEY: Yes it...

9 CHAIRPERSON CROWLEY: ...will, it will be  
10 submitted for the record.

11 RICHARD MATSEY: There's a page here for  
12 mental health.

13 CHAIRPERSON CROWLEY: Good.

14 RICHARD MATSEY: Yes, okay. Thank you  
15 very much but...

16 CHAIRPERSON CROWLEY: Alright and we  
17 have your contact information so...

18 RICHARD MATSEY: Contact me if you have  
19 any questions about what, what's going on and  
20 what's not...

21 CHAIRPERSON CROWLEY: Thank you.

22 RICHARD MATSEY: ...going on on Ryker's  
23 Island I'll let you know.

24 CHAIRPERSON CROWLEY: Okay thank you.

25 RICHARD MATSEY: Okay.

1  
2 HOWA BAH: Good afternoon. My name is  
3 Howa Bah. I am the... who give me a great pair. He  
4 was, he was a student in Bronx Community college.  
5 He was, he had never committed a crime in his life.  
6 NYPD officer took him from me on September 25<sup>th</sup>,  
7 2012. Mohamad was sick and I know he need help. I  
8 call 9-1-1 to get him an ambulance to take him to  
9 the hospital. The police came and he stand standing  
10 of... they... to him like a criminal... [speaking foreign  
11 language]

12 RANDOLPH MCGLOCKLIN: She's asking me to  
13 finish her testimony if I may. I'm, My name is  
14 Randolph McGlocklin and I represent Ms. Bah and the  
15 state of Mahammad... the police knew that Mahammad  
16 was not well when they responded to the call.  
17 Instead of addressing Mohamad with care the police  
18 violently escalated the situation. They should have  
19 allowed Mrs. Bah to speak with her son and help her  
20 to get him to open his apartment door. Instead they  
21 refused her help, broke down his door, and shot and  
22 killed him in his own arm. None of the officers  
23 responsible for Mrs. Bah's son's death have ever  
24 been held accountable by the NY, by the DA's  
25 Office, by NYPD, or by the federal prosecutors.

1  
2 There are far too many other family members and,  
3 mothers who have lost their loved ones and there's  
4 almost never been an accountability for the  
5 injustices that have been done to these families.  
6 And there's a conflict of interest with respect to  
7 criminal prosecutions when district attorneys are  
8 asked to prosecute the very police departments that  
9 we work with on a daily basis. That's why we've  
10 been asking for an independent prosecutor to handle  
11 these cases. Interestingly in Baltimore the police  
12 are now asking for that when they've been edited by  
13 the local DA. But that's a side issue. There's  
14 another pressing issue that Mrs. Bah's story  
15 raises. And that is the NYPD's failure to institute  
16 policies and practices designed at helping  
17 emotionally distressed persons when they're  
18 confronted with them in the streets. We're pleased  
19 to see or hear today that the Mayor's Office has  
20 opted and Commissioner Bratton a pilot EDP CIT  
21 program. If that program had been in place when the  
22 police came to Mr. Ba's door maybe he would be  
23 alive today. And there are too many instances, I  
24 won't go over them where this situation has  
25 happened. So we strongly urge the council to back

1 the CIT program and not just a pilot but across the  
2 board for more lives aren't lost because of  
3 ignorance and fear.

4  
5 YUL-SAN LIAM: Thank you for the  
6 opportunity to testify today. My name is Yosan  
7 Liam. I'm the co-director of the Justice Committee  
8 which is an organization that does a lot of work to  
9 support families and mothers who have lost loved  
10 ones to the police. My comments will focus also on  
11 the NYPD's interactions with people with  
12 psychological disabilities or people who are in  
13 distress. I want to begin by telling you a story of  
14 another person Imam Morales who was a 35 year old  
15 Puerto Rican man. He was a loving son who helped his  
16 low income mother secure an apartment on Roosevelt  
17 Island. He was a caring brother who helped his  
18 younger siblings with school and job applications  
19 and was a human being who struggled on a daily  
20 basis and overcame the challenges of his mental  
21 illness. On November 24<sup>th</sup> 2008 Imam was having a  
22 bad reaction to new medication. His mother like Ms.  
23 Bah wanted to get him help. She called 9-1-1. The  
24 NYPD responded and they escalated the situation.  
25 Eventually Imam fled out his window. He was tapered

1  
2 by NYPD Officer Nicholas Marchasona [sp?] while he  
3 was elevated on an awning. This is against NYPD  
4 protocol. There are, protocol states there should  
5 be airbags below. He was tapered anyway. He fell on  
6 his head. He was immobilized. He fell on his head  
7 and died. Some other names Louise Bias [sp?],  
8 Eleanor Bumpers [sp?], Gideon Bush [sp?], Callao  
9 Coppin [sp?], Shades Francis [sp?], Mohammad Bah  
10 [sp?], Rexford Daswreth [sp?]. These are all names  
11 of human beings who like Yemon needed care and  
12 instead were killed by the NYPD. Addressing the  
13 NYPD's use of excessive and too often deadly force  
14 should be an overall priority and in particular  
15 attention should be paid to the department's long  
16 history of disrespect and blatant dehumanization of  
17 those with disability and those who are in  
18 distress. We must treat and emotional and mental  
19 health concerns correctly. Disability should not be  
20 criminalized. It's extremely problematic to assume  
21 that the NYPD with its tendency to shoot first and  
22 ask questions later will respond appropriately in  
23 these situations. For this reason we also support  
24 the CIT model. The other piece I want to touch on  
25 though is that there has to be accountability for

1  
2 police officers when there is misconduct and abuse  
3 particularly when it targets those who have  
4 increased vulnerability. For example with people  
5 with psychological disabilities. Lack of  
6 accountability contributes to a culture within the  
7 NYPD that allows officers to act as though they are  
8 above the law. What that means for some New  
9 Yorkers, low income people of color and EDPs for  
10 example is that the presence of NYPD officers does  
11 not mean greater safety. It means danger. In,  
12 internally the department must develop a  
13 comprehensive accountability system that includes  
14 clear consequences for misconduct and excessive,  
15 excessive force especially when interacting with  
16 emotionally disturbed persons and to rectify this  
17 systemic conflict of interest when, that district  
18 attorneys have we need a special prosecutor to  
19 handle these cases and for this reason we're asking  
20 the council to support families who have lost loved  
21 ones in calling on the governor to sign an  
22 executive order for a special prosecutor. Thank  
23 you.

24 CHAIRPERSON CROWLEY: Thank you for your  
25 testimony. This concludes the hearing of Fire

COMMITTEE ON FIRE AND CRIMINAL JUSTICE SERVICES  
JOINTLY WITH COMMITTEE ON PUBLIC SAFETY, COMMITTEE  
ON COURTS AND LEGAL SERVICES, AND COMMITTEE ON  
MENTAL HEALTH, DEVELOPMENTAL DISABILITY, ALCOHOLISM,  
SUBSTANCE ABUSE, AND DISABILITY 226

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Criminal Justice, Public Safety, Mental Health, and  
Courts hearing of May 12<sup>th</sup>, 2015.

[gavel]

C E R T I F I C A T E

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date May 21, 2015