CITY COUNCIL CITY OF NEW YORK -----X TRANSCRIPT OF THE MINUTES of the COMMITTEE ON HOUSING AND BUILDINGS -----X November 12, 2010 Start: 10:13 am Recess: 11:00 am Committee Room HELD AT: 250 Broadway, 14th Floor BEFORE: ERIK MARTIN DILAN Chairperson COUNCIL MEMBERS: Erik Martin Dilan Gale Brewer Leroy G. Comrie, Jr. Elizabeth Crowley Letitia James Brad S. Lander Melissa Mark-Viverito Jumaane D. Williams Eric A. Ulrich

A P P E A R A N C E S

Barbara Flynn Chief of Staff Intergovernmental Division HPD

Grace DeFina Director of Planning and Support Operations Office of Enforcement and Neighborhood Services HPD

James Colgate Assistant Commissioner for Technical Affairs and Code Development NYC Department of Buildings

Deborah Taylor Chief Sustainability Officer NYC Department of Buildings

Dottie Harris Vice President of State and Local Government Relations International Code Council

Sylvester A. Giustino Director of Legislative Affairs BOMA NY

Salvatore Anelli Vice President of New York Chapter National Electrical Contractors Association

1	COMMITTEE ON HOUSING AND BUILDINGS 3
2	CHAIRPERSON DILAN: Good morning
3	everybody. My name is Erik Martin Dilan and I'm
4	the chairperson of the City Council's Housing and
5	Buildings Committee.
6	Today, the committee will conduct a
7	hearing on two legislative items. The first being
8	Intro 343. We're not going to do it in this
9	specific order, but the first will be Intro 343,
10	in relation to amending the New York City Energy
11	Conversation Code, and the Preconsidered Intro
12	sponsored by Council Member Chin, which would
13	amend Local Law 29 of 2007 to give the Department
14	of Housing Preservation and Development until
15	January 31, 2011 to implement the requirements of
16	the alternative enforcement program, which was
17	Local Law 29 of the year that I mentioned.
18	Intro 343 would establish an Energy
19	Code that is more stringent than the New York
20	State's Energy Code. In 2009, the Council had
21	established its own Energy Code, with the
22	enactment of Local Law 85. However, in April of
23	this year, the New York State Fire Prevention and
24	Building Code amended the New York State Energy
25	Code in its entirety by adopting the 2009 edition

1	COMMITTEE ON HOUSING AND BUILDINGS 4
2	of the International Energy Conservation Code,
3	which is a model code published by the
4	International Code Council.
5	The New York State amendments are
6	scheduled to take effect on December 28th of this
7	year. So in order for New York City to maintain
8	its own Energy Code, the city must establish an
9	Energy Code that would be more stringent than the
10	new state Energy Code, and Intro 343 would do
11	exactly that.
12	In a different vein, the
13	Preconsidered Intro that is before us today would,
14	as I said earlier, give HPD until January 31, 2011
15	to select multiple dwellings for the fourth round
16	of the Alternative Enforcement Program and notify
17	owners of the selected properties of multiple
18	dwellings of their participation or selection in
19	this program.
20	Normally, this would occur this
21	month, but the bill provides for an extension to
22	round four selection of multiple dwellings to
23	participate in the program because of proposed
24	changes to the legislation being worked on by this
25	committee and this Council as well as HPD. These

1	COMMITTEE ON HOUSING AND BUILDINGS 5
2	proposed changes would incorporate provisions
3	related to addressing mold and vermin conditions
4	as a permanent part of the Alternative Enforcement
5	Program and incorporate provisions to expand the
6	AP program to other buildings.
7	These changes are included in the
8	legislation that's currently being worked on by
9	this committee and this committee's staff, which
10	includes many of the provisions of Intro 224 which
11	this committee conducted a hearing on this past
12	June.
13	Today the committee expects to hear
14	testimony from representatives from the Department
15	of Buildings as well as HPD, tenants, housing
16	advocates, representatives from the real estate
17	industry and contractors and other persons related
18	to these two bills.
19	We're going to hear the
20	Preconsidered Intro, which is the extend bill.
21	We'll hear that first. We'll take any testimony
22	on the extend bill first and then we will move on
23	to Intro 343 at the conclusion.
24	I just want to acknowledge that
25	I've been joined by two of my colleagues and two

1	COMMITTEE ON HOUSING AND BUILDINGS 6
2	members of the committee: Council Member Melissa
3	Mark-Viverito of Manhattan and Council Member
4	Jumaane Williams of Brooklyn.
5	With that, we will turn these
6	proceedings over to HPD. We have Barbara Flynn
7	and she can introduce herself in her own voice, as
8	well as Grace DeFina. Again, introduce yourselves
9	in your own voice and then explain to us why the
10	Preconsidered Intro is necessary.
11	BARBARA FLYNN: Good morning,
12	Chairman Dilan and members of Housing and
13	Buildings Committee. I am Barbara Flynn, Chief of
14	Staff of the Intergovernmental Division and HPD.
15	Sitting next to me is Grace DeFina, Director of
16	Planning and Support Operations in HPD's Office of
17	Enforcement and Neighborhood Services. Grace runs
18	the AEP program.
19	We are here today to testify in
20	support of the Introduction before us which would
21	extend the effective date for Local Law 29 of
22	2007, also known as the Alternative Enforcement
23	Program, to January 31, 2011.
24	The AEP program was established as
25	a means for HPD to promote housing safety in some

1	COMMITTEE ON HOUSING AND BUILDINGS 7
2	of the city's most distressed residential
3	buildings. Through a series of selection
4	criteria, including accumulation of Housing Code
5	violations, the AEP program aims to increase the
6	pressure on selected property owners by requiring
7	buildings be brought to code in a timely fashion.
8	Should the owners not comply, the
9	law requires HPD to intervene to make the
10	necessary repairs and ultimately lien the cost of
11	the repairs against the property. The AEP program
12	has made great strides in the city's efforts to
13	ensure safe and improved conditions for New York
14	City tenants.
15	Currently, Local Law 29 of 2007
16	requires the department to identify 200 buildings
17	for inclusion in the Alternative Enforcement
18	Program by November 12th of each calendar year.
19	Furthermore, Local Law 29 requires the agency to
20	provide notice to these selected property owners
21	within 30 days of selection.
22	After three years of experience
23	with the AEP Program, HPD has embarked on a series
24	of discussions with the City Council to explore
25	modifications to the current program. As those

1	COMMITTEE ON HOUSING AND BUILDINGS 8
2	discussions progress, HPD, in agreement with the
3	Council, feels it important to delay moving
4	forward with round four of AEP to take advantage
5	of these potential changes.
6	Accordingly, the legislation before
7	us amends the effective date for round four to
8	January 31st, 2011 to allow for completion of
9	final negotiations while also ensuring the agency
10	remains in full compliance with the law.
11	We thank you for your time and are
12	happy to respond to any questions.
13	CHAIRPERSON DILAN: I just have a
14	brief question and then I'll defer to my
15	colleagues. From my perspective, I understand why
16	the legislative change is needed. While it's not
17	a hearing on the future legislation that we'll
18	pass out this committee amending the program, this
19	extension will obviously allow the Council, as
20	well as the administration, to improve upon the
21	legislation that we originally created back in
22	2007 and will capture more units and now capture
23	the titles of mold and vermin as something that
24	can lead to a building selection.
25	I just wanted to ask a question on

1	COMMITTEE ON HOUSING AND BUILDINGS 9
2	financial impact administratively. Because of the
3	delay in the selection of the fourth round, are
4	there any projected savings or expenditures to
5	HPD's budget?
6	BARBARA FLYNN: No.
7	CHAIRPERSON DILAN: So you see as
8	cost neutral?
9	BARBARA FLYNN: Correct.
10	CHAIRPERSON DILAN: So there's not
11	that much savings in delaying these 200 buildings
12	administratively for one year?
13	BARBARA FLYNN: No. We're doing
14	the same number of buildings, it's just delaying
15	it.
16	CHAIRPERSON DILAN: It will be
17	deferred until the next fiscal year. The cost
18	will be deferred one way or another. You say
19	there's none but I think that there will be
20	savings this year and the cost of the program
21	could be higher next year because we're
22	potentially doing two programs in 2011. You don't
23	see that as
24	BARBARA FLYNN: [interposing] You
25	have four months to actually important the

1	COMMITTEE ON HOUSING AND BUILDINGS 10
2	program.
3	CHAIRPERSON DILAN: Sure.
4	BARBARA FLYNN: So it's really not
5	until March that it would start.
6	CHAIRPERSON DILAN: So it would be
7	the same.
8	BARBARA FLYNN: So it's actually
9	the next calendar year anyway.
10	CHAIRPERSON DILAN: The same fiscal
11	year?
12	BARBARA FLYNN: Right.
13	CHAIRPERSON DILAN: Got it. So
14	then your answer would be correct. I have no
15	further questions on this. Do any of my
16	colleagues have anything they want to ask?
17	Council Member Williams?
18	COUNCIL MEMBER WILLIAMS: Thank
19	you, Mr. Chair. Thank you for the testimony.
20	Always good to see Vito also, who is very
21	responsive to my office. Thank you.
22	I just want to make sure I'm clear.
23	How can Council Members get buildings on the list?
24	BARBARA FLYNN: The criteria is
25	actually in the statute. So HPD has to follow

1	COMMITTEE ON HOUSING AND BUILDINGS 11
2	that criteria. If you have a building that you
3	have problems with that you feel has a lot of
4	violations or tenant complaints, call us. Tenants
5	should always call 311 with complaints. But we'll
6	respond to you and send inspectors out.
7	COUNCIL MEMBER WILLIAMS: You
8	already have a list of 200, correct?
9	BARBARA FLYNN: We haven't done it
10	for round four yet. But for the first three
11	rounds, we have buildings in the program. But we
12	have to follow the criteria that's in the statute.
13	COUNCIL MEMBER WILLIAMS: Can you
14	just run through what the criteria is?
15	BARBARA FLYNN: Currently, the
16	round four criteria would be 25 open BRC
17	violations within the last two years. It's an
18	additional five BRC violations per unit.
19	CHAIRPERSON DILAN: If you could
20	identify yourself in your own voice.
21	GRACE DEFINA: Grace DeFina. I
22	oversee the Alternative Enforcement Program for
23	HPD. For round four, it would be 25 open BRC
24	violations issued in the previous two years; a
25	ratio of at least five open BRC violations per

1	COMMITTEE ON HOUSING AND BUILDINGS 12
2	dwelling unit; a ration of \$100 per dwelling unit
3	in emergency repair charges, whether it's paid or
4	unpaid. If more than 200 buildings meet that
5	criteria, the top 200 with the highest ratio of
6	violations per dwelling unit would make the list.
7	COUNCIL MEMBER WILLIAMS: Thank
8	you.
9	CHAIRPERSON DILAN: We've been
10	joined by Council Member Elizabeth Crowley of
11	Queens. Any questions from any of the members of
12	the committee? Council Member Crowley?
13	COUNCIL MEMBER CROWLEY: Thank you,
14	Chairman Dilan. I apologize for being late, so
15	I'm not sure if you covered this. This applies
16	when a building goes under renovation, and how big
17	of a renovation does it have to be?
18	BARBARA FLYNN: This program is
19	called the Alternative Enforcement Program. The
20	statute says that HPD will choose the most
21	distressed buildings with the criteria already in
22	the law. But it's not under renovation; it would
23	be the buildings as they stand with a number of
24	violations on them.
25	COUNCIL MEMBER CROWLEY: Okay.

1	COMMITTEE ON HOUSING AND BUILDINGS 13
2	Thank you.
3	CHAIRPERSON DILAN: Thank you,
4	Council Member Crowley. Seeing no other
5	questions, I'd like to thank Ms. Flynn and Ms.
6	DeFina for coming by and providing testimony.
7	Thank you very much.
8	BARBARA FLYNN: Thank you.
9	CHAIRPERSON DILAN: Is there any
10	public testimony on the Preconsidered Intro? Does
11	anyone from the public wish to speak about it? If
12	not, we'll close that portion of the hearing and
13	we'll move on to the oversight of the Energy
14	Conservation Code. We have Mr. James Colgate, who
15	is the Assistant Commissioner of the Department of
16	Buildings. You can introduce yourself in your
17	own voice before you begin your testimony. You
18	can introduce the lady sitting beside you.
19	JAMES COLGATE: Sure. Thanks.
20	CHAIRPERSON DILAN: Welcome.
21	JAMES COLGATE: Chair Dilan and
22	committee members. My name is James Colgate. I
23	am the Assistant Commissioner for Technical
24	Affairs and Code Development at the Department of
25	Buildings. Sitting to my left is Deborah Taylor.

1	COMMITTEE ON HOUSING AND BUILDINGS 14
2	She is the Chief Sustainability Officer for the
3	New York City Department of Buildings.
4	I am speaking to you today in
5	support of Intro 343. It's an update to the New
6	York City Energy Conservation Code. As you
7	recall, the City Council and the Mayor enacted
8	Local Law 85 of 2009 last December as part of the
9	City's Greener, Greater Buildings Plan. Local Law
10	85 instituted the New York City Energy
11	Conservation Code. The City energy code is based
12	on the New York State energy code but, unlike the
13	New York State code, applies to all alterations.
14	In addition, it is now an integral part of the New
15	York City Construction Codes.
16	In April of this year, the state
17	updated its code, based on the newer 2009 edition
18	of the International Energy Conservation Code.
19	This update by the state is now more stringent
20	than the current 2007 New York State Energy Code.
21	This change was adopted in response to a federal
22	funding mandate.
23	In accordance with the New York
24	State Energy Law, Section 11-109, an energy code
25	adopted by a local jurisdiction such as ours must

1	COMMITTEE ON HOUSING AND BUILDINGS 15
2	be more stringent than the state energy code; .
3	therefore, the Department of Buildings in New York
4	City has developed Intro 343 for these reasons.
5	To continue our New York City Energy Conservation
6	Code, to adopt the updated New York State code as
7	the basis of our technical provisions, and to
8	maintain our New York City code to be more
9	stringent than the State Code.
10	Intro 343 is the minimum amendment
11	to the 2010 State energy code, which will become
12	effective on December 28, 2010. It does the
13	following: it makes no change to any of the
14	technical provisions of the New York State code.
15	It does amend Chapter 1 of the energy code and the
16	administrative provisions in there with Title 28
17	and the rest of our Construction Codes, while
18	retaining the provisions from the State chapter
19	that will be more stringent than our provisions.
20	It is in Chapter 1 of the Energy
21	Code that we continue the applicability of the
22	City Energy Code to all alterations. It modifies
23	a few definitions in Chapter 2 of Energy Cod,
24	mostly in accordance with our Construction Codes.
25	It adds as referenced standards in the Energy Code

1	COMMITTEE ON HOUSING AND BUILDINGS 16
2	the 1968 New York City Building Code and the 2010
3	Residential Code of New York State.
4	The primary changes in Chapter 1 of
5	the Energy Code from our current New York City
6	Amendments to the 2007 New York State Energy
7	Conservation Construction Code are that the state
8	eliminates the exemption for local historic
9	buildings and districts, and therefore we have
10	conformed Intro 343 to say the same thing, to
11	avoid being less stringent than the State code.
12	The State code adds four additional
13	exceptions to the section on alterations, allowing
14	eight conditions under which an alteration is not
15	required, and we have included these exceptions to
16	conform to the state code. Minor changes have
17	been made in our filing requirements which we
18	believe will ease the filing process for
19	applicants.
20	Progress inspections have been
21	incorporated into our filing requirements in the
22	Energy Code. These are currently already on the
23	books as rules. The State expands the
24	construction document requirements and requires
25	that changes made to the approved construction

1	COMMITTEE ON HOUSING AND BUILDINGS 17
2	documents during construction be submitted for
3	approval. Inspection requirements follow the same
4	format in our other Construction Codes, and
5	include State requirements only where they are
6	more stringent. The bill also makes three minor
7	changes in Building Code requirements for
8	coordination with the Energy Code.
9	We urge you to pass Into 343 so
10	that it can become effective on December 28, 2010,
11	allowing our Department and the industry to
12	smoothly transition to the new State code, which
13	will preempt our code if this bill does not pass.
14	In other words, the changes in the State code are
15	coming to New York City regardless of any City
16	actions, and we request the enactment of this bill
17	so that the technical requirements can be more
18	easily enforced through our own administrative
19	structure.
20	One final note, the rule that helps
21	us implement the Energy Code will need to be
22	amended through the capital process, based on the
23	revised technical requirements and on a
24	renumbering of chapters in the State code. We are
25	not able to move this amended rule into the public

1	COMMITTEE ON HOUSING AND BUILDINGS 18
2	comment until Intro 343 is enacted. As a result,
3	it cannot be adopt by December 28. The sooner
4	Council can pass this Intro prior to December 28,
5	the sooner that my department can move the rule
6	into CAPA and to adoption, and this will greatly
7	facilitate the construction industry's compliance
8	with the law. Thank you.
9	CHAIRPERSON DILAN: Thank you. I
10	will call upon Council Member Melissa Mark-
11	Viverito to open the questioning.
12	COUNCIL MEMBER MARK-VIVERITO:
13	Thank you, Mr. Chair. Thank you for your
14	testimony. Obviously, this is a very technical
15	bill and I don't understand all the nuances. One
16	question that comes to mind immediately is at one
17	point when it comes to residential buildings, at
18	what point does this Energy Code kick in? Now I
19	see that it says here that in one of the sections,
20	it says additions, alterations, renovations or
21	repairs to existing buildings must comply with the
22	ECC. But is there a minimal size of a building?
23	I mean, I'm wondering if it's going to be an
24	additional cost low income co-ops, if there's any
25	upgrades that are necessary, or any of the

1	COMMITTEE ON HOUSING AND BUILDINGS 19
2	affordable housing. So just if you could talk a
3	little bit about that.
4	JAMES COLGATE: Sure. This bill
5	will not make anything, from a technical
6	perspective, what you actually have to do to the
7	building, any more stringent than the state is
8	already going to require of us. So the state's
9	code already says you have to do certain things.
10	Those things happen when you propose alterations
11	or changes to a building or you build a new
12	building. In terms of what that means is that
13	means that if you're putting in a new boiler, the
14	new boiler has to meet a certain efficiency rating
15	in order to be able to be put in.
16	COUNCIL MEMBER MARK-VIVERITO: So
17	basically, if it's a one-family home or two or
18	three, this covers everybody.
19	JAMES COLGATE: It covers
20	everybody.
21	COUNCIL MEMBER MARK-VIVERITO: I
22	mean, I'm not dismissing it. Obviously, I believe
23	in energy conservation. But I'm just wondering,
24	do you see that if you are a one-family home,
25	you're on limited income but you have to change

1	COMMITTEE ON HOUSING AND BUILDINGS 20
2	the boiler.
3	JAMES COLGATE: Right.
4	COUNCIL MEMBER MARK-VIVERITO: In
5	terms of the new expectations with these
6	regulations, would it be more expensive to replace
7	it with these requirements as opposed to not doing
8	it that way?
9	JAMES COLGATE: I think with regard
10	to boilers, because this Energy Code that we've
11	adopted in New York City is the same as the state
12	and is the same Energy Code that has been used
13	throughout the country basically through the
14	International Energy Conservation Construction
15	Code, it's based on that. All the manufacturers
16	of boilers now, they design their machinery and
17	equipment to comply with these codes. I don't see
18	it as a major issue. Deborah, do you want to add
19	to that?
20	DEBORAH TAYLOR: I think, with
21	regard to the residential chapter in the code,
22	which remains whether or not we adopt this Intro,
23	the changes are not substantial in the residential
24	chapter of the code. There are more substantial
25	changes in the commercial chapter.

1	COMMITTEE ON HOUSING AND BUILDINGS 21
2	JAMES COLGATE: And let me add to
3	that.
4	CHAIRPERSON DILAN: I need to stop
5	her there. Just for the record, I just need you
б	to introduce yourself.
7	DEBORAH TAYLOR: I'm sorry. I'm
8	Deborah Taylor. I'm Chief Sustainability Officer
9	with the Department of Buildings.
10	JAMES COLGATE: So what I want to
11	add to that is that you're right, the code is
12	technical and it's complicated, but when you get
13	down to it and start digging into the pages, if
14	you look at the requirements for a single-family
15	house, they're different requirements than if you
16	were going to build a 30-story apartment building.
17	The code takes into account the complex nature of
18	a 30-story apartment building versus the simple
19	nature of a one-family house or a two-family house
20	or a three-family house.
21	So the code has built into a
22	certain ease of use for smaller projects than for
23	larger ones, if that's something you're concerned
24	about. But generally, mechanical installation
25	contractors, they understand and know this and

1	COMMITTEE ON HOUSING AND BUILDINGS 22
2	they know what the requirement is. The only
3	requirement in the Energy Code for residential
4	small buildings, if I understand correctly, is
5	that they must meet a certain energy efficiency
6	rating. You can't put in a furnace which is not
7	going to be very efficient and burn the oil
8	poorly.
9	DEBORAH TAYLOR: Actually, the
10	equipment requirements, the furnace and the
11	boiler, are under federal mandates anyway for
12	efficiency. The real differences will come in
13	insulation values. Those have changed a bit, but
14	not significantly I would say.
15	JAMES COLGATE: But insulation
16	values are when you're reconstructing walls, it's
17	not to do with an existing building.
18	DEBORAH TAYLOR: That's correct.
19	COUNCIL MEMBER MARK-VIVERITO: All
20	right, I appreciate it. Thank you for the
21	clarity. Thank you, Mr. Chair.
22	CHAIRPERSON DILAN: Council Member
23	Williams? And we've also been joined by Council
24	Member Lander of Brooklyn.
25	COUNCIL MEMBER WILLIAMS: Thank

1	COMMITTEE ON HOUSING AND BUILDINGS 23
2	you, Mr. Chair. I just have one question. I know
3	this bill is supposed to be more stringent than
4	the state, correct? In one of these bullets, it
5	says the state code adds four additional
6	exemptions to the section of alterations, along
7	with eight conditions under which an alteration is
8	not required. I just got confused by the
9	language. It says we have included these
10	exceptions.
11	JAMES COLGATE: I can see why that
12	would be a little confusing there. Our code will
13	be more stringent than the state. The main reason
14	is that because of the Greater Green Buildings Law
15	that we enacted earlier this year, we apply this
16	code to all alterations. Whereas, if you were
17	outside of New York City, if you were in
18	Westchester or Long Island or Albany and you were
19	renovating a building, you wouldn't trigger the
20	requirements unless you did a rather major
21	renovation. So we say that just replacing parts
22	of the building, you have to comply. That was
23	already
24	COUNCIL MEMBER WILLIAMS:
25	[interposing] So you include the alterations. I

1	COMMITTEE ON HOUSING AND BUILDINGS 24
2	thought you were including the exceptions.
3	JAMES COLGATE: Yeah.
4	COUNCIL MEMBER WILLIAMS: Okay.
5	JAMES COLGATE: Then the more
6	complicated thing. When you get to the New York
7	State version of the code, the code that is going
8	to, starting December 20th, apply outside New York
9	City, New York State lists some very, very certain
10	exceptions. They've enumerated them and spelled
11	them out in great detail in the state code. So in
12	order to conform to that, we had to take those
13	exceptions and write them in directly the same
14	way. I think that's what we did.
15	So we took the state exceptions and
16	we wrote them in exactly the same way that the
17	state already has on their books. Because 28th,
18	that's coming anyway, whether we want to have it
19	or not.
20	COUNCIL MEMBER WILLIAMS: So the
21	exceptions that the state has, the city also has?
22	JAMES COLGATE: Right.
23	COUNCIL MEMBER WILLIAMS: You
24	couldn't exclude them?
25	DEBORAH TAYLOR: They actually give

1	COMMITTEE ON HOUSING AND BUILDINGS 25
2	some relief. They're exceptions to the
3	requirement to comply for alterations. So they
4	actually give some relief.
5	COUNCIL MEMBER WILLIAMS: So it's a
6	good thing?
7	JAMES COLGATE: It's a good thing.
8	DEBORAH TAYLOR: So it's a good
9	thing.
10	JAMES COLGATE: They were sensible
11	exceptions. The state said these are exceptions
12	we think are good.
13	COUNCIL MEMBER WILLIAMS: Okay.
14	Thank you.
15	CHAIRPERSON DILAN: Thank you. I
16	just want to take the time to just add a few brief
17	questions. I guess the need for this is so that
18	the city's Energy Conservation Code remains in
19	existence. For that to happen, it has to be more
20	stringent. So in what respects does our code
21	differ from the new state code?
22	DEBORAH TAYLOR: It is primarily in
23	this requirement that it apply to all alterations.
24	There are some other minor things, but it's
25	primarily in this requirement that it apply to all

1	COMMITTEE ON HOUSING AND BUILDINGS 26
2	alterations. This requirement which differs from
3	the state was actually enacted in Local Law 85.
4	So it's not new in this legislation. But we did
5	that because the state's Energy Code applies to
6	alterations that affect 50 percent or more of any
7	building system. So when we have a 60-story high
8	rise and a tenant has 20 floors in that high rise
9	and they are doing major renovation or fit-out in
10	the initial building, they don't have to comply
11	with the Energy Code.
12	JAMES COLGATE: Of New York State.
13	DEBORAH TAYLOR: In New York State.
14	In New York City now, they do. So we were losing
15	a lot of opportunity to capture those.
16	CHAIRPERSON DILAN: I just want to
17	shift the focus for a second. I believe it was
18	mentioned in your testimony. The code would
19	exempt certain historical buildings from its
20	application but not all historic buildings. I
21	guess buildings with only a Landmark Preservation
22	Commission designation are not exempt. Why was
23	this made and how could the ECC impact the
24	renovation of a building with a Landmarks
25	Preservation designation?

1	COMMITTEE ON HOUSING AND BUILDINGS 27
2	JAMES COLGATE: Let me explain that
3	because it's a little complicated. There are
4	several different layers of possible designation.
5	A building can be designated as a federal or a
6	state landmark or in a federal or state historic
7	district. That's not the same thing as the
8	Landmarks Commission. So if you're certified on
9	the list of National Historic Places or the State
10	Register of Historic Places or you're certified as
11	being eligible to be on those, that's one category
12	of buildings and then there are city landmarks.
13	Now, they overlap a lot. Large
14	numbers of city landmarks are also on the State
15	Register. What the state did this time around,
16	this is the Albany rule, this new state law that's
17	coming in on December 28th says that the only
18	buildings that get exemptions are if you're on the
19	state or federal register. They didn't include an
20	exemption for buildings that are locally
21	designated by municipalities or counties.
22	So that means that when the
23	Landmarks Commission says you are a landmark, by
24	the city law it doesn't give an automatic
25	exemption to the state Energy Code anymore. Only

1	COMMITTEE ON HOUSING AND BUILDINGS 28
2	if that building is also on the state or federal
3	register or certified as being eligible to be on
4	the state or federal register. Did I get that
5	right?
6	DEBORAH TAYLOR: That's correct,
7	yes.
8	JAMES COLGATE: I hope that's
9	clear. It's hard to say that any clearer.
10	CHAIRPERSON DILAN: I guess
11	basically what we're saying is we can't do
12	anything about the exemption to federal and state
13	buildings, but we can on the city landmark
14	designated buildings?
15	JAMES COLGATE: No, it's not that.
16	It's that the buildings that now get the exemption
17	under the state Energy Code are only if they're on
18	the state or federal lists. If you're on a city
19	list, it doesn't mean that you get the exemption
20	unless you're also on the federal or state list.
21	So that's what the state did to us.
22	That's how they did their exemptions. You don't
23	get exempt from the Energy Code if you're a city
24	landmark but not also on the federal or state
25	lists.

1	COMMITTEE ON HOUSING AND BUILDINGS 29
2	DEBORAH TAYLOR: So for us to
3	change that would make us less stringent than the
4	state code. We can't do that by State Energy Law.
5	But I've just been notified that Landmarks is
6	actually in the process right now of trying to
7	make all city-only landmarks certified as eligible
8	for designation on the state register. So given
9	that, if they're eligible, if they're certified as
10	eligible for state designation, they are exempt.
11	JAMES COLGATE: So Landmarks is
12	working on that.
13	CHAIRPERSON DILAN: Okay. We've
14	also been joined by Council Member Gale Brewer of
15	Manhattan. I want to point to a certain section
16	in the bill, Section 101.4.4. It relates to the
17	change of occupancy or use and provides that
18	spaces undergoing a change in occupancy that
19	result in an increase in demand of fossil fuels or
20	electrical energy shall comply with this code. I
21	guess this appears kind of subjective. How will
22	the city determine that a particular change in
23	occupancy will result in an increased demand of
24	fossil fuel or electrical energy?
25	DEBORAH TAYLOR: This is in the

1	COMMITTEE ON HOUSING AND BUILDINGS 30
2	state code and we've brought it directly from the
3	state code. In fact, it's in the International
4	Energy Conservation Code. The impact of this is
5	if a building changes occupancy that would
6	increase the requirement for a fossil fuel, then
7	the lighting, which is Section 505, the lighting
8	would have to comply with the table for 505. So
9	if it is increasing the requirement of energy, the
10	lighting which would otherwise not have to be
11	affected if it was not being touched in the first
12	place.
13	So there's a requirement in
14	alterations that if you touch it, then you have to
15	bring it up to code. If you're changing use and
16	you're not changing the lighting, this would say
17	that you have to change the lighting because of
18	the new use, not because you're affecting it
19	necessarily in your alteration. Is that
20	understood?
21	CHAIRPERSON DILAN: I think it's
22	understood but I guess it doesn't make it clear
23	how the new use or occupancy would actually use
24	more energy or draw more energy. I guess your
25	answer would lead me to believe that whether it

1	COMMITTEE ON HOUSING AND BUILDINGS 31
2	does or it doesn't is basically irrelevant.
3	Because you're changing the use of the occupancy,
4	you want
5	JAMES COLGATE: [interposing] No,
6	there's two issues here. One is the fossil fuel
7	and one is lighting. There's two halves to this
8	section.
9	CHAIRPERSON DILAN: Sure, I got
10	that part.
11	JAMES COLGATE: I mean, it's easy
12	for my department to know when the change of use
13	happens. They come for the Certificate of
14	Occupancy.
15	CHAIRPERSON DILAN: Sure.
16	JAMES COLGATE: So now the question
17	is if I'm changing from one thing to another, how
18	do I know that that new use has an increase in the
19	demand for fossil fuel or electrical energy.
20	CHAIRPERSON DILAN: That's the
21	question here.
22	JAMES COLGATE: Well, electrical
23	energy is easy for the engineers because when you
24	look at the codes, it establishes for each of the
25	uses, for the lighting, which one has more

1	COMMITTEE ON HOUSING AND BUILDINGS 32
2	electrical requirements or what the limits are, I
3	should say, for the different ones. For the
4	fossil fuel, that's going to be done by the
5	engineer who has to review that application and
6	submit it to us and certify to us that the new use
7	either does or doesn't increase the demand for
8	fossil fuel.
9	Now, the codes establish what the
10	minimum requirement is for temperature inside
11	buildings and they're different. So if I was,
12	let's say, in a hospital or in an apartment
13	building, there may be different requirements for
14	how much I have to heat the building. If I'm
15	changing from a hospital to an apartment or from a
16	apartment to a hospital, I can look at the table
17	and say I need more heat here or less heat here.
18	I can understand it from that perspective. I
19	mean, I don't think it's that
20	CHAIRPERSON DILAN: [interposing]
21	Dramatic.
22	JAMES COLGATE: Dramatic.
23	DEBORAH TAYLOR: Or if you're
24	converting from a warehouse to offices.
25	JAMES COLGATE: That's really what

1	COMMITTEE ON HOUSING AND BUILDINGS 33
2	this is meant to capture. I have an unheated
3	warehouse space and I'm going to change it to an
4	office building.
5	CHAIRPERSON DILAN: Well, what you
6	see in my area is you see mainly old manufacturing
7	warehouses being converted to residential.
8	DEBORAH TAYLOR: Exactly.
9	CHAIRPERSON DILAN: That's what you
10	see commonly, at least in my part of the city.
11	JAMES COLGATE: Right. So what
12	this says is that if you do that, you have an old
13	warehouse and you change it to an apartment being
14	you're in your part of the city or wherever it is
15	in the city that it happens, if the old use wasn't
16	required to provide much heat at all and the new
17	use has more heat, well then you have to comply
18	with the Energy Code.
19	DEBORAH TAYLOR: Which actually
20	gives you a benefit in that case.
21	CHAIRPERSON DILAN: Thank you. Any
22	other questions from my colleagues? We've finally
23	been joined by Council Member Lander. I
24	acknowledged Council Member Brewer. I think that
25	will conclude our questioning for this panel.

1	COMMITTEE ON HOUSING AND BUILDINGS 34
2	We'd like to thank you both for your time and your
3	testimony. Next, I'd like to call on Ms. Dottie
4	Harris from the International Code Council. I
5	need to step out for a brief second and I'll be
6	right back. But you can proceed, Ms. Harris.
7	DOTTIE HARRIS: Good morning
8	Chairman Dilan, Members and Staff of the City
9	Council Committee on Housing and Buildings. My
10	name is Dottie Harris. I am the Vice President of
11	State and Local Government Relations and your
12	liaison to the International Code Council.
13	The International Code Council is a
14	membership association dedicated to building
15	safety and fire prevention which develops the
16	codes used to construct residential and commercial
17	buildings, including homes and schools. It is the
18	mission of the ICC to provide the highest quality
19	codes, standards, products, and services for all
20	concerned with the safety and performance of the
21	built environment.
22	I would like to commend the City of
23	New York for its outstanding work to ensure the
24	safety, health and well being of its citizens.
25	Intro 343, a Local Law to amend the Administrative

1	COMMITTEE ON HOUSING AND BUILDINGS 35
2	Code of the City of New York, in relation to
3	amending the New York City Energy Conservation
4	Code will have a positive effect on sustainability
5	in the built environment and therefore I offer the
6	following testimony in support of the legislation
7	before you today.
8	As the previous panel mentioned, in
9	April of 2010, the State Fire Prevention and
10	Building Code Council voted to move forward with
11	the implementation of the 2009 International
12	Energy Conservation Code with some New York State
13	modifications so that the State could be in
14	compliance with the requirements of the American
15	Recovery and Reinvestment Act of 2009.
16	The Energy Conservation and
17	Construction Code of New York State will become
18	effective on December 28, 2010 and therefore the
19	City must update its Energy Code so that it
20	continues to stay in compliance with Article 11 of
21	the New York State Energy Law.
22	The International Energy
23	Conservation Code is currently adopted at the
24	state and local level in 44 states and Washington,
25	DC. In the September 3, 2010 Federal Register,

1	COMMITTEE ON HOUSING AND BUILDINGS 36
2	the Department of Energy has preliminarily
3	determined that the 2009 version of the
4	International Energy Conservation Code would
5	achieve greater energy efficiency in low rise
6	residential buildings than the previous document,
7	which was the 2006 IECC.
8	The IECC, along with the other
9	International Codes, are revised and updated every
10	three years by a national consensus process that
11	strikes a balance between the latest technology
12	and new building products, economics and cost
13	while providing for an acceptable level of public
14	and first responder safety.
15	It is an open, inclusive process
16	and encourages input from all individuals and
17	groups and allows for those governmental members
18	to determine the final code provisions. The
19	International Codes are correlated to work
20	together without conflicts so as to eliminate
21	confusion in building design or inconsistent code
22	enforcement among differing jurisdictions.
23	Included in the historic
24	legislation passed by your committee, under your
25	leadership to adopt the new New York City

1	COMMITTEE ON HOUSING AND BUILDINGS 37
2	Construction Codes is a requirement to review the
3	next version of the codes every three years
4	modeled after the national code development
5	process. Accordingly, these codes should be
6	reviewed and updated next year so that New York
7	City Construction Codes do not become dated again
8	as referenced in Mayor Bloomberg's Press Release.
9	Intro 343 begins that process and I
10	look forward to continuing to work with you as you
11	embark on a review of the rest of the 2009
12	international Codes.
13	The International Code Council is
14	honored to partner with the City of New York and
15	we look forward to continuing to serve your needs.
16	Thank you.
17	CHAIRPERSON DILAN: I have no
18	questions. It's good to see you again.
19	DOTTIE HARRIS: Nice to see you
20	too.
21	CHAIRPERSON DILAN: Apparently
22	you're going to be around a little bit more.
23	DOTTIE HARRIS: That's right.
24	Boring you all on codes.
25	CHAIRPERSON DILAN: Do any of my

1	COMMITTEE ON HOUSING AND BUILDINGS 38
2	colleagues have anything they want to add? I
3	guess I hate to make you come all this way for
4	just a short piece of testimony.
5	DOTTIE HARRIS: It's not far at
6	all, and I'm happy to do it.
7	CHAIRPERSON DILAN: Thank you.
8	DOTTIE HARRIS: Thank you.
9	CHAIRPERSON DILAN: Okay, next we
10	have Mr. Sylvester Giustino and Mr. Salvatore
11	Anelli.
12	[Pause]
13	CHAIRPERSON DILAN: I guess we'll
14	begin with Mr. Giustino.
15	SYLVESTER A. GIUSTINO: Good
16	morning, Chairman Dilan and members of the City
17	Council. My name is Sylvester Giustino, Director
18	of the Building Owners and Managers Association of
19	Greater New York.
20	We represent more than 700 property
21	owners, managers and building professionals who
22	either own or manage 400 million square feet of
23	commercial space. We're responsible for the
24	safety of over 3 million tenants, generate more
25	than \$1.5 billion in tax revenue and oversee

1	COMMITTEE ON HOUSING AND BUILDINGS 39
2	annual budgets of more than \$4 million.
3	We commend the Bloomberg
4	Administration for taking the lead in proposing a
5	bold program to make existing buildings more
6	energy efficient. BOMA New York firmly stands
7	behind the concept of greening our City, and we do
8	that every day in the buildings we own and manage.
9	In June of 2009, BOMA New York
10	supported Intro 564-A, enacted as Local Law 85
11	which established a New York City Energy
12	Conservation Code.
13	Today, BOMA is proud to support
14	Intro 343, which would bring New York City in
15	compliance with the recently amended New York
16	State Energy Code which uses the 2009
17	International Energy Conservation Code standards
18	and requirements.
19	BOMA New York members know that by
20	making buildings more resourceful is the single
21	biggest step to make New York achieve its
22	sustainability goals and remain competitive as the
23	business capital of the world.
24	We look forward to continuing
25	working with the Bloomberg Administration, the

1	COMMITTEE ON HOUSING AND BUILDINGS 40
2	City Council and our industry partners in making a
3	greener New York a reality. That's it for my
4	statement, Mr. Chairman.
5	CHAIRPERSON DILAN: Good to see you
6	again as well.
7	SYLVESTER A. GIUSTINO: Same here,
8	sir.
9	CHAIRPERSON DILAN: Mr. Anelli?
10	SALVATORE ANELLI: Good morning,
11	Councilman Dilan. I don't have a formal written
12	statement and I'll explain why. My name is Sal
13	Anelli. I am Vice President of the New York
14	Chapter of the National Electrical Contractors
15	Association. I am also a New York City licensed
16	master electrician and I sit on the New York City
17	licensing board and I sit on many of the panels
18	that do the Electrical Code.
19	I just want to, at the outset, say
20	that NECA and New York Electrical Contractors are
21	very much in favor of this Intro 343. I think we
22	believe that we are very supportive of making New
23	York City green and help save the environment.
24	Back on August 16th, I was sent an
25	email by Ms. Deborah Taylor asking me,

1	COMMITTEE ON HOUSING AND BUILDINGS 41
2	representing Commissioner LiMandri, asking me to
3	sit on an advisory committee for this code, the
4	New York City Electrical Construction Energy Code.
5	I responded and I told them I would. At that
6	point, soon afterwards, I was sent electronically
7	the comments and the changes on the code. I
8	responded.
9	The reason why I don't have a
10	formal written statement is because I wasn't aware
11	of this hearing until yesterday. I only have one,
12	because I was explained the landmark restoration
13	just a few minutes ago. But my only objection to
14	this code, and maybe it's misput, but once I gave
15	my remarks, I never heard back from Commissioner
16	LiMandri or Ms. Deborah Taylor, so I didn't know
17	what the outcome was.
18	My only remark is that it seems to
19	me that code puts the onus of the design and the
20	functionality of this code on the electrical
21	contractor. Meaning that they put the New York
22	City Electrical Code as part of the requirements
23	that these changes make. New York State does not
24	have a uniform electrical code. So when the
25	changes are made, the New York City Electrical

1	COMMITTEE ON HOUSING AND BUILDINGS 42
2	Code was referenced in it. Maybe I'm mistaken,
3	but since I never had any other discussions
4	electronically or otherwise with the
5	commissioner's office or Ms. Taylor, I felt I
6	should come here and make that clear.
7	New York City electrical contactors
8	are installers. We install what engineers and
9	architects design. Once we sign an application,
10	we affirm that we are going to install all the
11	equipment as per New York City Electrical Code. I
12	don't want to be responsible, nor do any of my
13	constituents want to be responsible for the design
14	of the building to comply with the Conservation
15	Code. We are not designers, we are not engineers,
16	we are merely installers.
17	Basically, that was my only remark.
18	I had a second remark, which I didn't know why
19	landmark restoration buildings would be exempt.
20	Sometimes you've got a whole landmark restoration
21	building leaving the fascia up just to comply.
22	But I think it was explained somewhat by the
23	previous panel.
24	So I just want to make that
25	statement that if this code puts the onus of the

1	COMMITTEE ON HOUSING AND BUILDINGS 43
2	design on the electrical contractor, I am very
3	much against it.
4	CHAIRPERSON DILAN: I'm glad that
5	Ms. Taylor is here to actually hear your concern
6	in person. I know you've made similar objections
7	to the Electrical Code.
8	SALVATORE ANELLI: Intro 160.
9	CHAIRPERSON DILAN: Yeah, in
10	private conversation. So that would apply to the
11	Energy Code as well.
12	SALVATORE ANELLI: Exactly, yes.
13	CHAIRPERSON DILAN: So it's good
14	that she's here. As a committee, we can look into
15	whether that's fact or not as well.
16	SALVATORE ANELLI: We otherwise
17	support it 100 percent. NECA, National Electrical
18	Contractors Association are very supportive of
19	greening. We have taken the initiative on a lot
20	of electrical usage and greening for New York
21	City.
22	CHAIRPERSON DILAN: Thank you, Mr.
23	Anelli. We've been joined by Council Member Tish
24	James of Brooklyn. Do any of my colleagues have
25	any questions? Seeing none, I'd like to thank you

1	COMMITTEE ON HOUSING AND BUILDINGS 44
2	both for your time and for your testimony. Do we
3	have anybody here on behalf of the New York
4	Chapter of Architects and Engineers? We've also
5	been joined by Council Member Eric Ulrich of
6	Queens.
7	[Pause]
8	CHAIRPERSON DILAN: We have
9	testimony from the New York Chapter of the AIA and
10	it will be submitted for the record. It is
11	largely in favor. They just have concerns about
12	Section 101.5.3.1 which deals with LEED
13	professionals. We'll have that entered into the
14	record. If need be, we can provide the Department
15	of Buildings with a copy of the testimony. With
16	that, both items before the committee will be laid
17	aside and that will conclude this hearing.

CERTIFICATE

I, Donna Hintze certify that the foregoing transcript is a true and accurate record of the proceedings. I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

Dana Sintze

Signature___

Date _November 29, 2010_

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