CITY COUNCIL CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS

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HELD AT: COUNCIL CHAMBERS - CITY HALL

B E F O R E: MAJORITY LEADER KEITH POWERS

COUNCIL MEMBERS: Keith Powers Adrienne Adams Justin Brannan Gale Brewer Selvena Brooks-Powers Crystal Hudson Perina Sanchez Rafael Salamanca, Jr.

## A P P E A R A N C E S (CONTINUED)

Sylvia Hinds-Radix Jocelyn Strauber Towami Komatsu

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 3 MAJORITY LEADER KEITH POWERS: Good 4 morning, and welcome to the Committee on Rules, 5 Privileges, and Elections. My name is Keith Powers, 6 and I'm the MAJORITY LEADER of this committee. 7 Before we begin the hearing today, I'd like to 8 introduce the council members of this committee who 9 have joined us today. We're joined here by our Speaker Adrienne Adams, Council Member Justin 10 11 Brannan, Council Member Gale Brewer, Council Member 12 Selvena Brooks-Powers, Council Member Crystal Hudson, 13 and Council Member Pierina Sanchez. I'd also like to 14 acknowledge our staff who are here with us today. 15 Rules Committee Council to my right, Lance PolivyvV 16 (SP?) and staff members of the council's 17 investigative unit, Chuck Davis (SP?), Chief 18 Compliance Officer, and investigators Alisha Vassel 19 (SP?) and Ramsey Booden (SP?). Today, the Rules 20 Committee will consider the nomination of Justice 21 Sylvia Hinds-Radix (SP?) for employment to the 2.2 position of Corporation Counsel and Jocelyn STRAUBER 23 (SP?) for Commissioner of Department Investigations. 24 If the council gives its advice and consent, Justice 25 for Hinds-Radix will be appointed Corporation Counsel

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2	and serve an indefinite term at a salary of
3	\$243,272.00. Ms. STRAUBER will also serve an
4	indefinite term. Her salary as DOI Commissioner
5	would be \$237,240.00. The New York City Charter
6	designates the Corporation Counsel as the attorney in
7	counsel for the city and all city agencies. The
8	Corporation Counsel and by extension, the law
9	department is granted the power to conduct all the
10	law business of the city of New York. Further, the
11	Corporation Counsel shall have the right to bring or
12	defend any legal action in local, state, or federal
13	courts. The law department is comprised of
14	approximately 1000 attorney who specialize all types
15	of law that may be necessary to conduct the legal
16	business of the city of New York. The law department
17	includes specialists in a wide range of fields of
18	litigation, land use, ethics, professional
19	responsibility, contracts, administrative law,
20	juvenile delinquency, and legislative interpretation,
21	just to name quite a few. They represent the city,
22	elected officials, and city agencies with any and all
23	legal issues they may confront. The appointment for
24	the Corporation Counsel, the mayor must submit the
25	name of a nominee for Corporation Counsel within 60
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	م days of a vacancy to the city council for its advice
3	and consent. We're going to start with the
4	Corporation Counsel here today, and we welcome
5	Justice Hinds-Radix. If you could please raise your
6	hand to be sworn in, and I think we're just waiting
7	for our committee counsel to such swearing in. I
8	think we're waiting one minute, technical
9	difficulties with the streaming, so just bear with
10	us.
11	COMMITTEE COUNSEL: Your honor, do you
12	swear to tell the truth, the whole truth, and nothing
13	but the truth?
14	MAJORITY LEADER KEITH POWERS: Raise you
15	right hand. One second. Just please raise your
16	right hand to be sworn in, thanks.
17	COMMITTEE COUNSEL: Justice Hinds-Radix,
18	do you swear to tell the truth, the whole truth, and
19	nothing but the truth?
20	JUSTICE SYLVIA HINS-RADIX: (I <u>naudible)</u> .
21	MAJORITY LEADER KEITH POWERS: Thank you,
22	and welcome and congratulations on your nomination.
23	Do you wish to make an opening statement?
24	JUSTICE SYLVIA HINES-RADIX: I do.
25	MAJORITY LEADER KEITH POWERS: Okay.
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JUSTICE SYLVIA HINES-RADIX: Thank you.
3	Thank you, majority leader. Good morning. Thank
4	you, Speaker Adams, Majority leader Powers, and
5	members of the Rules, Privileges, and Elections
6	Committee for affording me the opportunity to speak
7	with you today. My name is Associate Justice Sylvia
8	Hines-Radix, and I am honored to be Mayor Adam's
9	nominee for the position of Corporation Counsel of
10	the City of New York. Standing before you today, I
11	am humbled by the vital role of the city council and
12	the nomination process, and I look forward to
13	answering your questions. I have spent my entire
14	legal career as a public servant fighting for the
15	rights of the underserved and ensuring that the law
16	in the state of New York was implemented with justice
17	and fairness. Upon graduating from law school, my
18	first position as an attorney was with District
19	Council 37 employees, Municipal Employees Legal
20	Services. The city's employees were our clients, and
21	I got to represent them in numerous areas of the law.
22	During my 16 years at DC 37, one of the many titles I
23	held was general counsel of the immigration program,
24	a program which I founded. I represented clients in
25	immigration in other courts and assisted them with
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	the preparation of paperwork to ensure they fulfilled
3	their dreams of becoming United States Citizens. I,
4	too, am familiar with the process of running for
5	office as I was elected to the Civil Court, and the
6	Supreme Court benches. My campaign for Civil Court
7	afforded me the opportunity to connect with the
8	residents of Brooklyn in a way I had not before.
9	Talking to people and hearing of the struggles they
10	encountered on an everyday basis allowed me to see
11	the world from their perspective. With the knowledge
12	I gained from DC 37 and throughout the campaigns, I
13	knew that my voice was needed to ensure that justice
14	was served for those who came through the court
15	system. I was elected in 2001 as a judge of the
16	Civil Court, and after six months and where my
17	judicial career began, I was reassigned to the
18	Criminal Court. I have built my career on the
19	judiciary on the basis of fairness, justice, and
20	equality for those who have appeared before me. When
21	I was elected to the Supreme Court in 2004, I had the
22	distinct privilege of sitting in the city part for
23	approximately two years. I heard thousands of cases
24	defended by the law department on issues from
25	personal injury to labor law and everything in

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	between. I recall being highly impressed by the
3	arguments presented by the attorneys representing the
4	city during that time. I learned of the challenges
5	faced by the law department, was cognizant of the
6	fact that they worked tirelessly for the residents of
7	this great city. In addition to the city part, my
8	judicial duties included, but were not limited to
9	foreclosures, guardianships, mental hygiene,
10	matrimonial, as well as a trial part. While in the
11	Supreme Court, I was appointed as the administrative
12	judge for civil matters. In that capacity, I oversaw
13	both the Supreme Court's civil turn and the New York
14	City Civil Court which also encompassed the housing
15	court. I supervised both judicial and non-judicial
16	staff of approximately 1200 employees in one court,
17	and some 800 in the Criminal Court. This
18	administrative role encompassed many facets including
19	keeping track of trial statistics and case load,
20	ensuring that our monthly trial schedules were being
21	met, supervising court offices and support staff
22	including court officers, court clerks, and
23	administrative staff. During my tenure at the
24	Supreme Court, I saw the opening of a new wing at 360
25	Adams which included new court rooms, jury rooms,
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	conference rooms, and new office space for large
3	departments, all of which I had to responsibility to
4	oversee an addition to my judicial responsibilities
5	on the bench. In November of 2012, I was appointed
6	as an associate justice of the appellate division
7	second department which is the second highest court
8	and is the busiest of the four appellate divisions in
9	the state. We hear cases on appeal from ten counties
10	across the state, amid issues from criminal, civil,
11	family, and surrogate court as well as the court of
12	claims. It has been an honor and a pleasure to serve
13	with some of the best and hardest working people that
14	the New York state judiciary has to offer. It is my
15	opinion that my legal training as an attorney, as a
16	trial judge, and as an associate justice of the
17	appellate division for over 35 years has actively
18	prepared me to undertake this role if confirmed by
19	you. It has always been my view in life to evaluate
20	the complexities of a situation, always being guided
21	by the facts, the law, and listening to the
22	information provided. I am always mindful of the
23	rule of law and the need for these pieces to work if
24	we are to have a just and equitable society. As the
25	mayor noted, I am the first African American

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	Caribbean female nominee for this position, having
3	been born in Barbados, a small island in the
4	Caribbean. I was raised by hard working parents who
5	instilled in my siblings and I, the importance of
6	being honest, humble and courteous. I am acutely
7	aware that I stand on the shoulders of many who have
8	paved the way for me to come before you today, and I
9	am excited to take on a new challenge in my legal
10	career if confirmed by you and given the opportunity
11	to do so, and I thank you.
12	MAJORITY LEADER KEITH POWERS: Thank you,
13	Your Honor. I want to recognize Speaker Adrienne
14	Adams who may wish to make an opening statement and
15	ask some questions.
16	SPEAKER ADRIENNE ADAMS: Thank you very
17	much, Majority Leader Powers. Good morning, Justice
18	Hines-Radix. Very nice to see you in person today.
19	JUSTICE SYLVIA HINES-RADIX: Good
20	morning, Speaker.
21	SPEAKER ADRIENNE ADAMS: And welcome. I
22	just have a couple of questions for you. In your
23	response to question 13 on the questionnaire, which I
24	will read. The question was, how do you interpret
25	the powers of Section 395 of the City Charter with

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 respect to the reporting and placement of agency attorneys. Do you agree that this Section does not 3 4 apply to the city council? And your response was, Section 395 instructs the law department and other 5 city agencies as to when agency counsel may be 6 7 retained and must be read in conjunction with the 8 role of the Corporation Counsel in other charter 9 sections. The city council, as a co-equal branch of government has a unique role under the city charter 10 11 and has historically selected its own legal staff to assist with its ordinary legislative duties and I do 12 13 not anticipate any dispute concerning this practice. So, my question is in light of your answer, on the 14 15 difference between agency counsels and the city 16 council's lawyers, how can you assure us that when 17 our powers are at issue or in other circumstances 18 where the council is your client, we will have a 19 relationship that recognizes this and doesn't treat 20 the council's input in participation as if our 21 lawyers are just an extension of the law department 2.2 as may be the case with other agency attorneys? 23 JUSTICE SYLVIA HINES-RADIX: And, Madame Speaker, that is not my intent. I am acutely aware 24 25 of the position of the city council as a co-equal

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	branch of government and as an elected official, I am
3	aware of the difference that should be afforded.
4	Therefore, if I am confirmed, my position will always
5	be that you are treated with the respect that is
6	required of your office and that your staff is
7	treated in that manner also. So, that is an
8	assurance that I can give in that instance.
9	SPEAKER ADRIENNE ADAMS: Thank you very
10	much. Just one more question for you. For question
11	14. Question 14 was, do you think it is ever
12	appropriate for the law department to argue that the
13	city was pre-empted and quotes that the city, through
14	its local legislative body is precluded by state or
15	federal law from legislating on a certain matter from
16	passing a dually enacted local law when there is an
17	argument that the city has such power? You response
18	was, "To argue against the validity of a city law may
19	not be in the city's best interest, and therefore, it
20	is usually inadvisable for any city official to
21	oppose a position that may be a diminution of the
22	city's powers. However, in my view, it would be
23	inappropriate for a Corporation Counsel or
24	Corporation Counsel nominee to m? blanket statements
25	about any future legal positions." So, my question

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	is, how can you assure us that any future
3	consideration of arguing pre-emption against a local
4	law will center on the best long-term interest of the
5	city and that as the legislative body of the city
6	council will be involved in those decisions?
7	JUSTICE SYLVIA HINES-RADIX: Speaker, I
8	can assure you that I will always do what is in the
9	best interest of the city. The Corporation Counsel
10	is the city's attorney, and I can assure you, in
11	respect of the fact that I do not think it wise for a
12	nominee to make a blanket statement about future
13	litigation, I can always assure you of the process,
14	of the process of open communication and the fact
15	that there will always be an open communication and
16	notification to you, cause you are also a client.
17	SPEAKER ADRIENNE ADAMS: Thank you very
18	much, and I do have one more. They all have the same
19	theme as you see. So, question 17 was, do you think
20	it is ever appropriate for the law department to
21	argue that the city lacks the home rule authority to
22	act in a certain area where there is an argument that
23	the state legislature cannot act without a home rule
24	request from the city? You response was, I do not
25	believe that it is appropriate to pre-judge how I

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	would handle a particular matter. I think the best
3	and most effective way to handle this would be with
4	an analysis of the issue, and then I can make a
5	determination upon the city's best interest and the
6	potential impact. So, my follow up question to that,
7	to give you an example, if the city had, for decades
8	considered the issuance of taxing medallions, a
9	matter of local control, would it be appropriate for
10	the law department to suddenly argue that the state
11	can act without a home rule message because the city
12	needs the money to plug a hole in the budget and even
13	if there was a situation where this could conceivably
14	be appropriate, what type of engagement process in
15	making such a decision would you envision for non-
16	mayoral partners in government such as the council
17	whose powers this could also effect?
18	JUSTICE SYLVIA HINES-RADIX: Can I ask
19	you to repeat the question?
20	SPEAKER ADRIENNE ADAMS: Sure.
21	SPEAKER ADRIENNE ADAMS: Speaker.
22	SPEAKER ADRIENNE ADAMS: Sure. To give
23	context, I was giving your response to whether or not
24	it was appropriate for the law department to argue
25	that the city lacks the home rule authority to act in

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	م certain area, all right? Where there is an
3	argument that the state legislature can act without a
4	home rule request from the city, and your response to
5	that question was that you didn't believe that it was
6	appropriate to pre-judge how you would handle a
7	particular matter, and you thought that the best and
8	most effective way to handle it would be with an
9	analysis of the issue, and then you would be able to
10	best make a determination, I'm paraphrasing, and
11	JUSTICE SYLVIA HINES-RADIX: I'm sorry, I
12	thought you were done. And now under the analysis of
13	the issue, should be an analysis that involved the
14	kind of analysis that you would have with the city
15	council and agencies. It's not an exclusive, I
16	believe that in order, for us to be able to move
17	forward, it has to be communication. In order to
18	make any kind of analysis that would be beneficial,
19	there has to be communication across the board, and I
20	think that's what we have here. I am quite familiar
21	with the situation that you talked about and I do not
22	know think that it would be appropriate for me to
23	make, to take a position on the issue before the
24	medallion, but I can always assure you that the
25	communication and analysis that is supposed to go

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS into any of these situations is something that I will be willing to do and be willing to have the kind of communication with you and have the feedback in order to make determinations that are in the best interest of the city.

SPEAKER ADRIENNE ADAMS: Okay, thank you 7 very much. I think you answered the; just a follow 8 9 up to the follow up. What I'm trying to get to is that because we are a co-equal branch of government 10 11 with the mayor's office, the type of engagement 12 process for making the decisions, and we're hearing 13 communication, how would you necessarily envision 14 that engagement process for making these 15 determinations for nonmayoral partners in government 16 like us, like this body in the council whose powers 17 are also affected?

18 JUSTICE SYLVIA HINES-RADIX: Well, Madame 19 Speaker, I have not been a Corporation Counsel, but 20 I'm fully aware that attorneys have communication, 21 that there's counsels from the city, and I expect that that communication should be had with the 2.2 23 attorneys at the Corporation Counsel that these issues should be ironed out. I am also fully aware 24 that there are somethings, that issues cannot be 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 resolved between counsels and I believe that the 3 Corporation Counsel, based on the provisors of the charter, should make their final determination as to 4 how these issues should be handled. 5 SPEAKER ADRIENNE ADAMS: Okay, thank you 6 very much. 7 8 JUSTICE SYLVIA HINES-RADIX: You're 9 welcome. MAJORITY LEADER KEITH POWERS: 10 Thank you, 11 Madame Speaker, and I also want to recognize we've been joined here by Council Member Salamanca as well, 12 13 welcome, and thank you for those questions. I'm going to have some follow ups here as well, and 14 15 thanks for your testimony. You know, as you might recall, we were here just about, less than a year ago 16 17 to consider the nomination of Georgia Pestana for 18 Corporation Counsel and a few of us were here on the 19 Rules Committee as well, but the questions we asked 20 about were the institutional roles of the law 21 department and disputes regarding the legal position of the city and believing those are important to 2.2 23 establish a strong working relationship and as we're at the beginning of a new term with a new mayor and a 24 new speaker, of course, another good opportunity to 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 discuss the role of the Corporation Counsel as a 3 lawyer of the city and certainly the interaction 4 between the different branches of government when it comes to the Corporation Counsel. So, a few 5 questions. The first one is, in response to 6 7 questions about conflicts between the mayor and the 8 council regarding the legal position of the city of 9 New York, you indicated that ultimately, the Corporation Counsel decides the legal position of the 10 11 city. I agree with you on that, but a couple of 12 questions to follow up on that. I guess we're trying 13 to determine what principles would guide you in 14 making those determinations about deciding a legal 15 position when there may be a conflict between the mayor and the city council in terms of our positions, 16 17 and secondly, what would you do if a mayoral agency 18 did not comply with a dually issued subpoena from the 19 city council? 20 JUSTICE SYLVIA HINES-RADIX: Well, the 21 Corporation Counsel, as I stated, is not the attorney 2.2 for the mayor. It's not just the attorney for the 23 city council, just the attorney for the agencies, but it's the attorney for the city of New York. I will 24

always be guided by ensuring that the interest of the

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 city of New York is protected and the analysis that I 3 make, that will be the first analysis that I make as 4 I do that. Not that it's going to be beneficial to 5 the mayor, but it's going to be beneficial to the city of New York. 6 7 MAJORITY LEADER KEITH POWERS: And just to be more specific to the second question. If a 8 9 mayoral agency is not complying with the dual issued subpoena, can you just tell us, from the city 10 11 council, can you tell us what steps you might take or 12 how you might approach that? 13 JUSTICE SYLVIA HINES-RADIX: My advice, and I don't think it is appropriate for me to say 14 15 what I would say to another client, but my advice 16 would always be to the administration and to anyone 17 is to follow the rule of law. 18 MAJORITY LEADER KEITH POWERS: Okav. 19 Just to provide a clarity on the first part of the 20 question, first part of the answer rather. If you 21 believe the city council has a stronger legal 2.2 argument than the mayor in a conflict between the 23 mayor and the city council, will you uphold the city council's position if you believe it has a strongly 24 25 legal position ...

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JUSTICE SYLVIA HINES-RADIX: I will
3	always go with the argument that is in the best
4	interest of the city. If it's the city council's
5	argument that is in the best interest of the city,
6	that's the position that I will have to take, but it
7	has to be what is in the best interest of the city of
8	New York.
9	MAJORITY LEADER KEITH POWERS: Okay, I
10	appreciate that an example that used by the Speaker
11	with the tax medallion, that is not hypothetical.
12	That is a real example that happened about 10 years
13	ago where we eroded the home rule authority of the
14	city council in pursuit of a plan for the mayor, and
15	so I think that's a real life example, but we have,
16	in the city council ourselves, have experienced a
17	number of issues with the law department relate to
18	basic client services as a client. If confirmed, do
19	you commit to creating a new training or to refine
20	existing training programs
21	JUSTICE SYLVIA HINES-RADIX: I missed
22	what you said.
23	MAJORITY LEADER KEITH POWERS: Sorry.
24	JUSTICE SYLVIA HINES-RADIX: Do I commit
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	MAJORITY LEADER KEITH POWERS: If
3	confirmed
4	JUSTICE SYLVIA HINES-RADIX: Thank you
5	MAJORITY LEADER KEITH POWERS: will
6	commit to creating a new training or to refine the
7	existing training programs on basic client services
8	regarding the role of the council and our desire to
9	be a close partner on all litigation matters
10	involving the city council and what I may ask in
11	addition to that, will you also commit to meeting
12	with our legal staff to discuss ways to be a better
13	partner and to work together?
14	JUSTICE SYLVIA HINES-RADIX: If
15	confirmed, that will be one of the first things I
16	would want to do; to meet with your staff to have a
17	discussion of the issues that are of concern for you,
18	and to find out how we can better work together. I
19	believe that it would fool-hearted not to have any
20	kind of training to see what is there first. I would
21	have to have an analysis of what is there, make a
22	determination of what is working and what is not
23	working, and after I've done that, make the necessary
24	changes and make sure the attorneys are aware of the
25	ethical obligations to their clients.

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	22 MAJORITY LEADER KEITH POWERS: Okay. I
3	have a couple of questions. I'll ask one and then
4	I'll move on to some members and come back as needed.
5	Can you describe the process by which would determine
6	whether to defend a dually enacted law? For example,
7	if the mayor vetoes a bill of the city council and we
8	override that veto, can you tell us how you would
9	handle that? Would you defend the city law if the
10	council still wishes to defend it against the veto,
11	and tell us how you might approach similar theme
12	here, conflict between branches of government,
13	council passes a law, how will your approach be in
14	terms of defending that dually enacted law?
15	JUSTICE SYLVIA HINES-RADIX: I know and
16	attorneys know that there is a presumption of
17	validity of a law. If it's a valid law, the
18	Corporation Counsel should defend it.
19	MAJORITY LEADER KEITH POWERS: Okay, I
20	have a few more, but I'm going to let some colleagues
21	ask questions, I'll clean up if needed. Okay, we're
22	going to head over, I think, to Council Member Brewer
23	to start, and then we'll take sign ups from other
24	folks as well.
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	مر COUNCIL MEMBER GALE BREWER: Thank you
3	very much, and I appreciate the fact that you reached
4	out, not just to us, but to the previous Corporation
5	Counsels. They really appreciated that, and one of
6	the questions I have obviously, what the Speaker
7	asked, we're all interested in, but I'm also, looking
8	at the budget, do you have some ideas about how to
9	get people not to sue the city so that there are
10	obviously, when they do, you are, your department
11	handles that, but there's always been a hope that we
12	could find ways to be less, a city that sues all the
13	time. So, I know it's a, not necessarily, a legal
14	question, but I'm wondering if you had any thoughts
15	about that? I know that some of the previous
16	Corporation Counsels did.
17	JUSTICE SYLVIA HINES-RADIX: Well, one of
18	the things, and I think the Corporation Counsel
19	implemented something, as I had been discussing with
20	them, in the risk management unit, so that those
21	issues that are progressive, that can be a discussion
22	about those issues, so we can stave them off before
23	they become lawsuits or look at agencies or where
24	things are going on that we can make agency heads
25	aware of, and try to see whether they're bad actors

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 25 and how they can deal with these bad actors to ensure that the situations that cause lawsuits, that we can stave them off.

5 COUNCIL MEMBER GALE BREWER: Okay, and I think that's something important cause it's not been 6 7 satisfactorily accomplished, but there have been 8 attempts in the past. The other question I have is 9 maybe you addressed with Council Member Powers, but the training and the retention, because you are not 10 11 going make fortune in Corp Counsel as an attorney, 12 but you are certainly going to get great experience 13 and we want that experience to last because it is so 14 important for our city. So, what suggestions, given 15 your past, would have for retention of these great attorneys? 16

17 JUSTICE SYLVIA HINES-RADIX: The great 18 thing that we have in the Corp Counsel is that there; 19 an attorney who leaves law school and goes to a law 20 firm will probably not get to do a deposition or have 21 a trial for years, but this situation exists as you 2.2 go into the city because of our volume and because of 23 how participation that is given to these attorneys and that is something that we have to be able to 24 25 play. One of the other things that, if I were

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	confirmed, that I would like to do is to have
3	discussions with Deans at law schools to be able to
4	go out, and encourage some of our attorneys from the
5	Corporation Counsel to go out to speak to young
6	lawyers who are now in law school or who are being
7	trained to tell them about the Corporation Counsel,
8	to tell them about the work that they do. There are
9	a lot of people who are committed to civil service.
10	When I got out of law school, I wanted to be a civil
11	servant. I didn't want to go to a law firm, and
12	there are lots of people that are committed to doing
13	that, and I think that if we get the message out
14	about our agency and the work that we do and the
15	wonderful partners that we have for equal branches of
16	government who do all of these things, that this
17	would be an ability to be able to retain some of the
18	people that come to us.
19	COUNCIL MEMBER GALE BREWER: Okay, and
20	then picking up on what, the excellent questions from
21	the Speaker on this issue, I was a president
22	previously, and we did work on some cases where we
23	were in conflict with the mayor, and we were able to
24	hire outside counsel that then was paid for by the
25	law department. So, my question is, again, sort of

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 1 2 picking up on what the Speaker suggested, obviously, 3 it's not just the city council. You have other 4 independently elected ... 5 JUSTICE SYLVIA HINES-RADIX: ... right ... COUNCIL MEMBER GALE BREWER: ... and do you 6 7 have any sense of how you would make those decisions 8 about when there was a conflict or is that something, 9 as you said earlier, it would be case by case? JUSTICE SYLVIA HINES-RADIX: I believe it 10 11 has to be on a case-by-case basis. There couldn't be 12 a blanket, what we're going to do because without the 13 facts before me and the analysis of what has happened between either the agency and the mayor, the mayor 14 15 and the council, there couldn't be a determination 16 unless I have a specific case. 17 COUNCIL MEMBER GALE BREWER: It's going 18 to come up more and more, would be my guess, just for 19 your information. 20 JUSTICE SYLVIA HINES-RADIX: I'm sorry? 21 COUNCIL MEMBER GALE BREWER: It's going 2.2 to come up more and more. 23 JUSTICE SYLVIA HINES-RADIX: I'm quite 24 sure. 25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	COUNCIL MEMBER GALE BREWER: So, you
3	might have that as, thinking about it as a priority.
4	Then finally, I know that this mayoral override, I
5	know when we discuss it, it's not something that most
6	people know about, but I dealt with it as both
7	president working with the DiBlasio administration,
8	and it was very unclear as to how the mayor makes a
9	decision. Basically, a mayoral override in our case
10	was when we're not going to do the zoning, we're
11	going to do it our way, and of course, there's only
12	one industry in New York City. It's called real
13	estate, and when that comes up in the political
14	context, it's always controversial. So, I guess,
15	what I'm saying to you, is on the issues like mayoral
16	override, we would need some transparent guidelines
17	as to what determines a mayoral override, and I
18	assume that would fall in the hands of the
19	Corporation Counsel.
20	JUSTICE SYLVIA HINES-RADIX: And I
21	believe in transparency, and I am not, as I said to
22	Council Member Powers, I am not fully familiar with
23	the document that was referred to on override, but I
24	can commit to you that should I be confirmed, I will
25	work to ensure that I am fully familiar with it and

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 you, as a client, will be entitled to whatever 3 information with reference to this, that this not 4 privileged. 5 COUNCIL MEMBER GALE BREWER: It will come 6 up on real estate. Thank you very much. 7 MAJORITY LEADER KEITH POWERS: Thank you. 8 I just wanted to add on to that last point cause it 9 was an issue that was raised, obviously, in the press as well which I know you're familiar with on the 10 11 zoning override. It may or may fall entirely in your 12 jurisdiction as Corporation Counsel to display and 13 provide us that sort of entirety of the policy around 14 the administration or the administration's goals or 15 guidance when it comes to mayoral override. It is 16 one of the strongest powers the city council has, is 17 the ability to impact and effect decisions around 18 land use, and I think both for the administration and 19 for the Corporation Counsel it would be a good policy 20 and it would be an important policy for us to have a 21 better understanding of how the guidance is issued 2.2 and what are the policies, and I assume somewhat 23 discretionary for the administration to make decisions about important factors, but I also believe 24 there is a Corporation Counsel role in guidance for 25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	memos that help, and we have, I think to date, not
3	been able to see those, so I would, not a question,
4	but I would encourage and implore yourself and the
5	administration to come to the council and give us a
6	little bit of better guidance of when, if there is
7	available documents for us to share those with us,
8	but also to provide us with clarify of explanation.
9	I've also raised that to the other folks in the
10	administration as well because it does, you know,
11	intersect with one of our primary powers.
12	I will now hand it over to Council Member
13	Selvena Brooks-Powers.
14	COUNCIL MEMBER SELVENA BROOKS-POWERS:
15	Thank you, and thank you for your opening remarks,
16	Judge. I do have one brief question. I'm interested
17	in understanding how you would handle lawsuits before
18	the city in terms of the public. Often times, the
19	city is in positions where they have to decide to
20	settle out in cases and how that is handled because
21	sometimes it's a pretty extensive process and so, I'm
22	interested in how you would handle a situation like
23	that?
24	JUSTICE SYLVIA HINES-RADIX: If you're
25	talking about the settlement process, the charter

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	sets out for settlements or claims with the
3	controller's office in conjunction with the
4	Corporation Counsel, and if you're talking about if
5	the case goes on, or your talking about a case that
6	goes on, it goes through a court process.
7	COUNCIL MEMBER SELVENA BROOKS-POWERS:
8	So, just in terms of the process in itself, but also
9	in the instance where there's a settlement to be
10	reached. How would you handle it under your
11	leadership in that office?
12	JUSTICE SYLVIA HINES-RADIX: Well,
13	settlements are going to be by negotiation and by an
14	evaluation of the case, what has happened. I think
15	primarily if there's a case and there is the
16	perception by the Corporation Counsel or by the
17	lawyers that this is a case where a citizen has been
18	harmed and we have some responsibility. That
19	determination should be made early to get rid of the
20	case, and in the best interest of the city rather
21	than just continued litigation that may be perceived
22	as being frivolous.
23	COUNCIL MEMBER SELVENA BROOKS-POWERS:
24	Thank you.
25	
I	

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 JUSTICE SYLVIA HINES-RADIX: You're 3 welcome. 4 MAJORITY LEADER KEITH POWERS: Thank you. Do we have other members who wanted to ask questions? 5 Council Member Sanchez. 6 7 COUNCIL MEMBER PIERINA SANCHEZ: So, good morning. 8 9 JUSTICE SYLVIA HINES-RADIX: Good morning, Council Member 10 11 COUNCIL MEMBER PIERINA SANCHEZ: Judge, 12 it's very good to see you in person this time. Thank 13 you for taking the time for our early conversation yesterday. 14 15 JUSTICE SYLVIA HINES-RADIX: Thank you 16 for speaking with me. 17 COUNCIL MEMBER PIERINA SANCHEZ: Of 18 course. So, my first question is regarding, as we 19 talked about yesterday, the broad preview of course 20 that the law department has with so many different 21 city agencies. Can you tell us a little bit about 2.2 what your philosophy will be in terms with working 23 with agency counsels and in particular, you know, my interest in seeing the city of New York be a little 24 bit more aggressive in terms of affirmative 25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	litigation, especially in the area of housing,
3	holding landlords accountable, you know, helping to
4	protect New Yorkers in an affirmative way as opposed
5	to just reactive, you know, getting lawsuits and the
6	different ways that we respond?
7	JUSTICE SYLVIA HINES-RADIX: So, there
8	are two questions. So, right, council member? So,
9	I'll address first your issue with affirmative
10	litigation, and there is an affirmative litigation
11	unit at the Corporation Counsel. It's small
12	COUNCIL MEMBER PIERINA SANCHEZ: Very
13	small.
14	JUSTICE SYLVIA HINES-RADIX: I would have
15	no reservations about extending that unit if I saw it
16	was in the best interest of the city and the areas
17	where affirmative litigation is needed, and you have
18	mentioned some of them, and I would like to
19	continued, if confirmed, that conversation with you
	, , , , , , , , , , , , , , , , , , , ,
20	or any member of the city council who knows of areas
20 21	
	or any member of the city council who knows of areas
21	or any member of the city council who knows of areas that they would like to be addressed so that we can
21 22	or any member of the city council who knows of areas that they would like to be addressed so that we can have that unit up and running and doing the types of
21 22 23	or any member of the city council who knows of areas that they would like to be addressed so that we can have that unit up and running and doing the types of things that would save, and we all know it, it could

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	COUNCIL MEMBER PIERINA SANCHEZ: Thank
3	you, and anything in addition to your philosophy
4	about working with agency counsels?
5	JUSTICE SYLVIA HINES-RADIX: My goal is,
6	and it has always been my goal, and it will continue
7	to be my goal to ensure that there is proper respect
8	and communication across the board. If attorneys are
9	able to communicate effectively, it makes it much
10	easier with the work that we have to do. You know,
11	in the final analysis, we're all doing what we
12	believe is in the best interest of the city because
13	we love the city. So, it doesn't help us, the pigeon
14	hole and say I belong here and I belong here, and not
15	be able to talk to each other and make the
16	determinations that are necessary in order to ensure
17	that the city's interest is protected.
18	COUNCIL MEMBER PIERINA SANCHEZ: All
19	right, thank you, and my last question is following
20	the lead of our Majority Leader and our Speaker, and
21	it's with respect to your answer to question 15, and
22	the question was, the law department has previously
23	demanded that the city council agrees to stays of
24	city law that mayor disagreed with. Will you commit
25	to not use multiple extension requests to delay the

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	عج implementation of local laws in which the mayor
3	disagrees, and your response was with the respect to
4	the process of litigation, and of course, doing what
5	is in the best interest of the city and avoiding
6	unnecessary delays. My follow up question is in
7	additional context where there isn't litigation and
8	perhaps for different reasons, the mayor has
9	requested multiple stays or delays of implementation
10	of city law. What criteria would you use in those
11	instances to communicate with us and to arrive at
12	decisions about implementation?
13	JUSTICE SYLVIA HINES-RADIX: I will
14	always make sure that there's communication between
15	your legal staff and our staff, but I always also
16	have to be mindful; you're not asking me how I would
17	a specific litigation, but how I would handle the
18	communication back and forth, and to go direct issue
19	that I raised before, this seems to be a question of
20	communication and information that should be
21	received, and I am very much on board with you, that
22	information should be provided to you as a co-equal
23	branch of government and I will be respectful of
24	that.
25	

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 26 2 COUNCIL MEMBER PIERINA SANCHEZ: Thank 3 you. 4 MAJORITY LEADER KEITH POWERS: Thank you. We'll now go to Council Member Hudson. 5 COUNCIL MEMBER CRYSTAL HUDSON: Hi there, 6 7 good morning. 8 JUSTICE SYLVIA HINES-RADIX: Good 9 morning. COUNCIL MEMBER CRYSTAL HUDSON: I just 10 11 wanted to expand upon question six which asks can you make a commitment that the law department will send 12 13 copies of all draft legal papers to the appropriate 14 city attorneys from the relevant agency or non-15 mayoral city entity including the city council at least three business days before they are due with 16 17 the exception of replied papers in New York State 18 court or unexpected time sensitive filing that would 19 not allow for three business days? You know when the 20 city council has major constitutional litigations, scheduling orders, stipulations, and we're given an 21 extremely short turnaround to make comments on 2.2 23 substantive briefs, it puts the council at a huge disadvantage, and so I'm wondering if you can make a 24 clear commitment that the law department will provide 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2 all legal papers at least three business days before
3 they're due?

4 JUSTICE SYLVIA HINES-RADIX: Well, and the reason why three business is sometimes 5 unrealistic, but I'd like propose this. I'd like to 6 7 propose that we are working on this area and with 8 this litigation much earlier than waiting for the 9 last three days because if you're working with this, your staff is working with Corporation Counsel, then 10 11 your staff, with all due respect, if you do not mind, I would like to see your staff working with the 12 13 Corporation Counsel staff, cause if they're working 14 together, the necessary information that is needed in 15 this case will not be a surprise, and that's where I would like to see us going, in a (inaudible) 16 17 collaboration is upfront and not at the tail end 18 because I think the reason why there are issues with 19 not getting papers and documents is because they are 20 at the tail end, and if we are able to cut this off, 21 then we're able to get where we're going, but I would 2.2 be hard pressed, and to some extent be disingenuous 23 of me to say well, I'm going to commit to three days when I know that based on the volumes that it doesn't 24 25 happen, but I will commit to ensure that the

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 1 2 information, and to ensure and to tell the staff, if 3 I'm confirmed, that the information has to be sent 4 out as expeditiously as possible and the cases, like 5 the case that you've mention is something that has to be highlighted and dealt with. 6 7 COUNCIL MEMBER CRYSTAL HUDSON: Thank 8 you. 9 JUSTICE SYLVIA HINES-RADIX: Oh, you're I'm sorry, council. 10 welcome. 11 MAJORITY LEADER KEITH POWERS: All right, 12 we have one more question, a follow up question from Council Member Sanchez. 13 COUNCIL MEMBER PIERINA SANCHEZ: 14 Hi. 15 It's actually not a follow up question. I just 16 wanted to shout out in advance, the administrative 17 law regulatory litigation division that does 18 incredible work and is probably one of the ones that 19 we will be interfacing the most with at the council 20 as we negotiate legislation. So, there is an 21 incredible team there attending your confirmation. 2.2 JUSTICE SYLVIA HINES-RADIX: Thank you. 23 MAJORITY LEADER KEITH POWERS: Thank you. Do we have any other questions? Congratulations on 24 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 your nomination, and thank you for taking time with 3 us today. 4 JUSTICE SYLVIA HINES-RADIX: Thank you 5 very much. MAJORITY LEADER KEITH POWERS: Thank you. 6 7 We're now going to move on to the Department of 8 Investigations. 9 JUSTICE SYLVIA HINES-RADIX: Do I leave or do I have to stay? 10 MAJORITY LEADER KEITH POWERS: What's 11 12 that? 13 JUSTICE SYLVIA HINES-RADIX: Do I have to 14 stay or do you want me ... 15 MAJORITY LEADER KEITH POWERS: Your choice. You are allowed to. You are allowed to 16 17 leave. We're done with you. She may want some 18 company. I don't know. 19 JUSTICE SYLVIA HINES-RADIX: Okay, I'll 20 stay. 21 MAJORITY LEADER KEITH POWERS: A little 2.2 solidarity never hurt. So welcome. Thank you, thank 23 you, and we're going to move on with the Department of Investigations. We welcome you here today 24 pursuant to the charter chapter two section 31, the 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 mayor appoints the Commissioner of the Department of Investigations upon the advice and consent of the 3 4 city council. Before taking a vote, the city council holds a public hearing. The DOI Commissioner is 5 authorized and empowered to conduct any study or 6 7 investigation in which, in the judgement of the 8 Commissioner are in the best interest of the city of 9 New York. These include, but not limited to investigations concerning the affairs, functions, and 10 11 council methods, personnel and effectiveness of the 12 city agencies over which DOI has jurisdiction. The 13 DOI Commissioner also has a duty to conduct 14 investigations demanded by the mayor or the city 15 council. The (inaudible) of Interest Board also has 16 the power to direct DOI to conduct investigations 17 concerning matters relating to COIB's responsibility 18 under chapter 68 of the New York City Charter. Upon 19 request, the DOI Commissioner must investigate any 20 such council and COIB investigation within a 21 reasonable time. The DOI has jurisdiction over any 2.2 agency officer or employee of the city of New York, 23 any person doing business with the city and any person or entity paid or receiving money from the 24 city. DOI also has a complaint bureau which receives 25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	complaints from the public. The DOI commissioner is
3	also responsible for approving the appointments of
4	New York City agency inspector general, IGs and
5	conveys the associated standards of conduct for all
6	appointed IGs. In order to ensure the uniformity of
7	their activities, the DOI Commissioner monitors and
8	evaluates the activities of the IGs. IGs report
9	directly to the Department of Investigations
10	Commissioner. The Commissioner is required to a
11	member in good standing of the Bar of the state of
12	New York and must have at least five years of law
13	enforcement experience. We welcome here today, Ms.
14	Stabber. Would you please raise your right hand to
15	be sworn in?
16	COMMITTEE COUNSEL: Ms. STRAUBER, do you
17	swear to tell the truth, the whole truth, and nothing
18	but the truth?
19	JOSLYN STRAUBER: I do.
20	MAJORITY LEADER KEITH POWERS: Great.
21	Thank you, and welcome. Do you wish to make an
22	opening statement?
23	JOSLYN STRAUBER: Yes, I do.
24	MAJORITY LEADER KEITH POWERS: Okay, go
25	ahead.

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JOSLYN STRAUBER: microphone.
3	MAJORITY LEADER KEITH POWERS: We do
4	recommend that.
5	JOSLYN STRAUBER: How's that? Better?
6	MAJORITY LEADER KEITH POWERS: Better.
7	JOSLYN STRAUBER: Okay, start again.
8	Thank you Speaker Adams, Chair Powers, Chair Brewer,
9	and the members of the Rules Committee for the
10	opportunity to speak with you today as Mayor Adams'
11	nominee for the Commissioner of the Department of
12	Investigation. My name is Joslyn Strauber, and I'm
13	honored to have been asked to serve as Commissioner.
14	DOI plays a critical role in ensuring accountability,
15	transparency, and integrity in city government, and
16	this is a critical time for our city when good
17	government is enormously important. DOI, which has a
18	dual mission is uniquely positioned to uphold that
19	standard. It's work helps to identify and eliminate
20	fraud, corruption, and abuse through investigations
21	that may result in the recovery of tax dollars,
22	criminal and civil enforcement actions and proposals
23	for policy and procedural reforms that can prevent
24	future misconduct and waste of city resources, and
25	DOI's mission is particularly compelling to me

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	because it's work supports the work of other city
3	agencies including those who serve the most
4	vulnerable New Yorkers. I'm also honored to appear
5	before you here today. This hearing is intended to
6	ensure DOI's independence and as a former prosecutor,
7	I full appreciate the independence in powers and
8	investigative agency to follow the facts where ever
9	they may lead and to do so fairly and impartially.
10	This council also can direct DOI to undertake
11	investigations and plays its own vitally important
12	oversight role with respect to city agencies, and I
13	hope if confirmed to work in partnership with you in
14	furtherance of our shared interests in ensuring that
15	city government works better for all New Yorkers.
16	I'd like to start by telling you a bit
17	about my personal and professional background and I
18	believe I am qualified for this very significant
19	role. I was born and raised in Manhattan. I've
20	lived here nearly all of my adult life and my husband
21	and I are raising our two children here. I'm a third
22	generation New Yorker. My grandfather immigrated
23	here from Europe through Ellis Island as a teenager
24	and raised his family including my father in
25	Brooklyn. My dad's enthusiasm for his litigation

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	practice and mother's intense focus on the importance
3	of hard work and career, led me to law school, and
4	then to two federal judicial clerkships; one with the
5	late Chief Justice of the United States, William
6	Rehnquist, and a fellowship at the Department of
7	Justice in Washington D.C. I then returned to New
8	York City and after a few years at a large, private
9	law firm, I was fortunate to be hired as an assistant
10	United States Attorney for the Southern District of
11	New York, a job I had hoped to have since law school.
12	Working in that office, like the DOI, the oldest of
13	its kind in the nation, I had the great privilege of
14	working every day with dedicated colleagues and
15	skilled law enforcement agents to make the city a
16	safer and more just place. I am grateful to have
17	served for eight and a half years in an officer where
18	we followed the facts where ever they led without
19	fear or favor, and where we sought to do the right
20	thing in the right way for the right reasons. I am
21	also thankful for the supervisors who helped me apply
22	those values to each case and each decision every
23	day. That approach and those values have guided my
24	work ever since, and if I am confirmed, they will
25	guide my leadership of the talented and dedicated

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	مح staff of DOI as well. After a few years handling
3	investigations, trials, and appeals, I was ultimately
4	promoted to deputy chief and then co-chief of a newly
5	formed unit that combined the office's prosecutions
6	of international narcotics with its prosecutions of
7	terrorism and national security. In that capacity, I
8	supervised over 20 prosecutors, handling complex,
9	high-profile international investigations and
10	prosecutions, working closely with the NYPD, the FBI,
11	the DEA, and other law enforcement agencies. During
12	the over four and half years that I served as a
13	supervisor, we charged and convicted numerous
14	defendants on terrorism, narcotics conspiracy, and
15	money laundering charges among others. Many of these
16	cases, most notably the so-called Time Square bomber
17	who is currently serving life in prison for seeking
18	to detonate a car bomb in Time Square on May 1st,
19	2010, directly impacted the safety of this city.
20	Both as a line prosecutor and as a supervisor, I saw
21	firsthand the value of close working relationships
22	between prosecutors and law enforcement agents to
23	build cases that are factually and legally sound.
24	DOI had a particular strong and productive
25	partnership with the SDNY US Attorney's Office during
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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	my time there, and many significant prosecutions
3	resulted from that relationship. I also learned to
4	navigate complex, sensitive situations requiring the
5	cooperation of multiple stakeholders, each of whom
6	were duty-bound to serve varying interests. I
7	believe this experience will serve me well if
8	confirmed as Commissioner. I will work to enhance
9	DOI's relationships with its key law enforcement
10	partners. I will also work in alignment with the
11	heads of city agencies, city hall, and this council
12	to improve city government and I understand the
13	importance balance between this valuable
14	collaboration and the equally important need to
15	maintain DOIs crucial independence. At the end of
16	2013, I left the US Attorney's Office for private
17	practice and have been since then with the Law Firm
18	of Skadden, Arps where I'm a litigation partner and
19	have spent the last eight years. In that capacity, I
20	represent individuals and companies in their response
21	to civil and criminal government investigations and
22	prosecutions. These matters involve suspected civil
23	and criminal fraud and corruption offenses as well as
24	money laundering, sanctions violations, among others.
25	They often involved multiple regulators and law

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 1 2 enforcement agencies and are handled under time 3 pressure and at times, intense public scrutiny. Ιn 4 this work, I have seen from the defense side of the table, the tremendous power of government 5 investigators and prosecutors and how important it is 6 7 that they do rigorous work, dig deeply into all the key facts, and refrain from jumping to conclusions 8 9 without sufficient support. This experience has well-prepared me to serve as Commissioner and to 10 11 quide and support the executive staff and inspectors general and the handling of complex sensitive 12 13 investigations. Through my work on these 14 investigations, I have seen firsthand that it is 15 critical that institutions convey a commitment to 16 compliance at the senior-most levels, the tone at the top, and support that commitment with robust and 17 18 appropriately targeted policies and procedures. I am 19 mindful also that such processes must be compatible 20 with the realities and resources of the work places 21 where they apply. I would bring that nuance 2.2 perspective to my work at DOI and to the policy and 23 procedural recommendations that DOI is empowered to make. A dedication to pro bono work is hallmark of 24 my firm's culture and I have maintained an active pro 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 bono practice. For the past two years, I have 3 represented indigent clients charged in criminal 4 cases in federal court in Manhattan. In getting to know these clients, I have come to understand in a 5 much more personal way, the challenges that many 6 7 faced from a young age, and that many of the most 8 vulnerable New Yorkers face today, particularly in 9 the ongoing COVID pandemic, poverty, domestic violence, gang violence, substance abuse, and a lack 10 11 of educational opportunity. I have also been active 12 in the firm's diversity, equity, and inclusion 13 efforts, and I have seen that the best work places are diverse and inclusive, such that people of very 14 15 different backgrounds feel respected, safe, and comfortable sharing different perspectives. I 16 17 believe that the best decisions are made after full 18 consideration of truly diverse viewpoints by people 19 working toward a common mission, and if confirmed, I 20 intend to maintain and foster that kind of workplace 21 I will close by saying that I would be at DOI. 2.2 thrilled to have the chance to take on this role and 23 to apply my skills and experience to the very significant work of leading this critical agency at 24 this very important time. For me, this is an 25

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1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	opportunity to return to public service in and for
3	the city that I love and it has given me and my
4	family so much, both personally and professionally,
5	and it is an opportunity to work with this council
6	and with other components of city government to
7	ensure that our government better serves all New
8	Yorkers while preserving and protecting the
9	independence that makes DOI so effective. Thank you
10	for your consideration of my nomination, and I'm
11	happy to answer any questions that you have.
12	MAJORITY LEADER KEITH POWERS: Thank you,
13	and thank you for that testimony, and I'm now going
14	to call on Speaker Adrienne Adams to ask some
15	questions.
16	SPEAKER ADRIENNE ADAMS: Thank you,
17	Majority Leader. Good morning.
18	JOSLYN STRAUBER: Good morning, Speaker.
19	SPEAKER ADRIENNE ADAMS: It's good to see
20	you. Ms. Strauber, I wanted to open by noting that
21	in your prehearing questions, you describe the ways
22	in which the council's oversight functions compliment
23	those of DOI, and as the Speaker, I agree with your
24	assessment that proper oversight can create, as you
25	put it, "greater accountability, transparency, and

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	integrity." If you're confirmed, we look forward to
3	partnering with you in this important work. You also
4	noted your interest in strengthening whistle blower
5	protections, and that's a goal that I share as well,
6	and I look forward to working with you on that
7	important objective. In looking at your questions
8	and answers, I'm going to go to question 10 which
9	was, Sometimes DOI investigators will not disclose
10	anything about an investigation to the recipient of a
11	subpoena. When issuing a subpoena to the city
12	council, a mayoral agency, or a non-mayoral agency
13	such as a borough president, public advocate, or
14	controller, would you commit to instructing DOI
15	investigators to provide at least a high-level
16	overview of what is being investigated to assist the
17	government agency, council, or non-mayoral agency in
18	properly responding to such a subpoena? Your
19	response, I am reluctant to provide a blanket
20	commitment of this nature because I think these sorts
21	of issues are best handled on a case-by-case basis. I
22	can envision circumstances in which sharing a high-
23	level overview of the nature of the investigation
24	would be appropriate and others in which that
25	disclosure might risks compromising an investigation.

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	As I know this council is aware, DOI must maintain
3	confidentiality with respect to its investigations in
4	order to do its job effectively. I can say that in
5	general, I believe it would be appropriate for DOI to
6	assist any recipient of a subpoena in its response by
7	clarifying where necessary and helpful, the nature
8	and scope of the materials requested. That kind of
9	dialogue would conserve the entity's resources in
10	identifying and producing materials and ensure that
11	DOI receives the materials that it needs. So, we
12	asked you about whether you would commit to providing
13	at least a high-level overview when issuing subpoenas
14	to the council regarding the nature of the
15	investigation to assist us in gathering responsive
16	materials to assist with your investigations. While
17	DOI's investigatory authority is very broad, there
18	are some limits, and it also serves as a check that
19	an investigation is actually valid. Will you commit
20	to working the council to ensure at least additional
21	dialogue about the nature of subpoenas unless there
22	is an extremely sensitive reason, it would impede a
23	DOI investigation?
24	JOSLYN STRAUBER: I am certainly open.
_	

25 Thank you for the question, and this question and

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	52 issue of how we can collaborate while maintaining the
3	necessary confidentiality and thereby DOI's
4	effectiveness is an important one. I am certainly
5	committed, you know, as I learn my way into this
6	role, to having a dialogue with the council about the
7	nature of the communication that we can have. As you
8	noted in my answer, you know, my concern is that
9	these can be very fact specific situations where
10	different types of information may or may not be
11	appropriate depending on the facts, and I am
12	certainly committed to have a dialogue about this
13	issue and I look forward to finding a way forward
14	where DOI can continue to protect the confidentiality
15	of its work and where appropriate, prove the council
16	with the information that it needs to respond to any
17	request that may be made.
18	SPEAKER ADRIENNE ADAMS: Thank you very
19	much. I guess the concern was the response to
20	provide a blanket commitment. That's what got my;
21	but you answered the question. Thank you very much.
22	I just have one more, regarding question number 6.
23	The question was, there have been allegations of
24	fraud in city contracting around PPE purchased at the
25	height of the COVID-19 pandemic. Some of us actually

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 experienced some of those things that felt like fraud 3 in our districts. What specific plans, if any, do 4 you have to prevent fraud and corruption in city 5 contracting, which I thought was an excellent question. Your response was, this is a significant 6 7 and complex issues and one that highlights the need 8 for DOI to work collaboratively with other city 9 agencies. This problem requires not only an investigative response, and DOI has pursued 10 11 investigations in this area including one that 12 resulted in an arrest of a New Jersey man for price 13 gouging for PPE that he was not authorized to sell, 14 and could not deliver, but also robust internal 15 corruption prevention practices on an agency-by-16 agency basis to identify and prevent fraud and 17 corruption in contracting. Those practices were the 18 focus of DOI's annual corruption report last month. I'm going to skip the last paragraph, but my question 19 20 is, in your written responses, you indicated an 21 interest in better using data analytics to 2.2 proactively identify potential fraud in city 23 contracting. So, can you please describe in more detail how DOI could better use such analytics for 24 25 this purpose?

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JOSLYN STRAUBER: Sure, and Speaker
3	Adams, this is something that I hope to learn more
4	about as I immerse myself in the data analytics work
5	that DOI is currently doing. I understand there are
6	three relatively new employees at DOI in this role,
7	but the city collects vast amounts of data on
8	expenditures, on needs, on invoices, I mean, there's;
9	and again, I haven't seen any of this material yet,
10	but I know that it's out there, and my hope is that
11	potentially through the use of algorithmic programs
12	that can be run over vast amounts of data, we will be
13	able to develop a way to identify what I would call
14	red flags, not conclusive indicia of wrongdoing, but
15	a suggestion that there might be something amiss and
16	would be able to target our investigative efforts
17	more efficient as a result as opposed to doing spot
18	checks for example, or you know, interviews with
19	various people where we don't have targeted basis to
20	do so. So, it's another way in potentially to
21	investigations in this area beyond complaints that
22	might be made, reports that might be made to DOI, for
23	example.
24	SPEAKER ADRIENNE ADAMS: Thank you very
25	much. I consider that very forward thinking in the

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 needs of DOI and the things that we're looking to 3 promote forward the best practices and efficiencies 4 for DOI, so thank you very much for your testimony. I appreciate it. 5 6 JOSLYN STRAUBER: Thank you. 7 MAJORITY LEADER KEITH POWERS: Thank you, Madame Speaker, and welcome, and I have a few 8 9 questions as well. My first one is, can you provide us some detail about how you would avoid perception 10 11 of bias in an investigation of the mayoral administration since of course, the mayor is the one 12 13 who appoints this position. Let's state there. Can you just give us some comfort about how you will 14 15 tackle any sort of perception or reality bias in an 16 investigation of city agency, the mayor's office, or 17 any entity that of course is related to folks who are 18 appointing you and putting you in that seat today? 19 JOSLYN STRAUBER: Sure. I'm happy to 20 address that cause I think we all agree that DOI's 21 independence is really critical to its work, to any 2.2 investigator's work to be able to be and be viewed as 23 fair and impartial. I think the way that you do that is you do your job when you're doing your 24 investigations, and by that I mean, you know, you do 25

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 follow the facts where they lead, you don't fail to 3 pursue an investigative angle because it might expose 4 any particular person, any particular target or any 5 particular issue, and investigations, once begun when thoughtfully done, have a momentum of their own, 6 7 you know, facts lead to other facts, documents you 8 review lead to questions you need to ask of various 9 people and if you are faithful to those facts, then your investigations will be fair and impartial and 10 11 they will not turn or bend or stop because they 12 happen upon a particular target of any kind, any 13 person in any position so I think doing the work that way is the best way to dispel any concern that there 14 15 might be a lack of independence or a fear of pursuing 16 certain targets, and I can assure you that that is 17 how I would intend to do the job if confirmed. In 18 terms of the public perception of that which I think 19 is also very important because the public needs to 20 know that DOI is doing its job as a watchdog. It's 21 an important part of trust in government which I 2.2 think is a very important thing especially now, and I 23 think you do that by being as transparent as you can about the work that you're doing. Now, often in the 24 context of an investigation, that transparency 25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	doesn't come until the investigation is concluded,
3	and indeed, you wouldn't want to be transparent along
4	the way because you might be looking at a target that
5	turns out to not have done anything wrong, and you
6	wouldn't want to unfairly, you know, tar that person,
7	smear that person in the press as being, you know, as
8	being the subject of any investigation which has a
9	very significant negative effect, but I do think by
10	being as transparent as possible in your reports and
11	in your public statements, you will assure the public
12	to the extent that you can while maintaining the
13	necessary confidentiality that your doing your job in
14	the right way, the independent way which is really
15	the only way that DOI can function effectively.
16	MAJORITY LEADER KEITH POWERS: Thank you
17	for that answer, and there's a perception that the
18	city council members, independently elected
19	officials, and some city employees are treated
20	differently than the mayor and top city hall aids.
21	This isn't about one administration or another, but
22	just in general over the course of time, in DOI
23	investigations. You've answered part of that
24	obviously, but can you give us more, sort of sense of
25	how you might change that public perception and give

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 50 comfort to folks that if that is the reality or perception of that will be changed over the course of time while you're at DOI.

5 JOSLYN STRAUBER: I mean, I think fundamentally it's the same practice of sort of day-6 7 in and day-out doing your job the right way and 8 keeping your focus on the facts and on DOI's mission 9 which is finding those facts as they pertain to corruption fraud, waste, and abuse. You know, 10 11 there's other proactive work that DOI does when it 12 goes out to agencies, for example, to speak about 13 prevention of corruption, so there are other ways 14 that DOI can speak about its mission, but I think in 15 terms of assuring that its investigations are fair 16 and impartial, it's to do them in that way and then 17 to be as transparent with the public as is 18 appropriate given the nature of the job about the 19 work that you're doing.

20 MAJORITY LEADER KEITH POWERS: Yep, I 21 appreciate that. You had mentioned, I think the 22 Speaker had mentioned as one of her questions around 23 whistle blower protections and ways and your interest 24 in strengthening whistle blower protections under 25 city law, and I note that we did a; I had a Bill and

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	I think it passed into law last year that was to
3	strengthen those protections around city contractors
4	of a certain amount of money to make sure they also
5	have obligations. Do you have other areas where you
6	might identify today, I know you haven't taken office
7	yet, but where you might identify areas where you
8	feel there is a need to strengthen protection from
9	whistle blowers?
10	JOSLYN STRAUBER: So, I think to answer
11	that question, I need to be sort of in the job and
12	doing the work and speaking with the folks on the
13	ground who are dealing with people who are sharing
14	information, and although there is whistle blower
15	protection, anytime anyone does that, they are doing
16	it at some person risk. I am very much in favor of
17	the expansions that I understand, you know, this
18	council has included in the whistle blower law over
19	the last, you know, 10 or 15 years, and I look
20	forward to considering whether there are ways that we
21	could either strengthen the existing protection or
22	clarify it, but I don't feel like I am in a position
23	yet to have an informed view on that and to offer

24 suggestions.

MAJORITY LEADER KEITH POWERS: Okay.

25

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JOSLYN STRAUBER: But I think it is a
3	very important area and you know, we've talked about
4	the importance of DOI's independence to doing its
5	work. Another very important component, and this is
6	related to independence is that individuals feel like
7	they can come forward and provide information, and
8	they'll be treated with respect and taken seriously
9	and entitled to all the legal protections that they
10	thereby obtain under the law, and so, taking that
11	commitment seriously and DOI has done, as you know,
12	investigations of violations of the whistle blower
13	protection law, is also very important to the
14	continued functioning and effectiveness of DOI.
15	MAJORITY LEADER KEITH POWERS: Good, and
16	I would note that, I believe your predecessor had
17	testified to council at some point within the last
18	few years on some of the recommendations. So, you
19	might want to go back and look at in terms of ways to
20	strengthen it. I think it has turned into some
21	legislation here at the city council as well. On the
22	topic of your predecessor, in 2020, your predecessor
23	had recommended and reported to responding to the
24	George Floyd protests and policing here in the city,
25	recommended combining the DOIs, NYP Inspector

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 General, the CCRB, and the Commission to Combat 3 Police Corruption into a single entity. Do you agree 4 with that recommendation? JOSLYN STRAUBER: So, I've looked at the 5 report, obviously, I've studied it. I'm sure I could 6 7 study it more, it's a very thorough sort of scholarly 8 work, I think, and that recommendation was made after 9 consulting with a number of different folks with direct experience with the CCRB with the work that 10 11 the OIG and NYPD does. Again, I think this is an 12 area where; and I should also say that the concern 13 that was expressed that having three overlapping 14 bodies is not efficient, that there could be 15 competition, that there can be challenges in 16 obtaining necessary information and no central, you 17 know, individual to go to for that information. Ι 18 think those are all real concerns, and concerns at a 19 conceptual level that I take very seriously as well. 20 I mean, certainly efficiency and the streamlining of 21 processes is something that's very important to DOI 2.2 as a general matter. In terms of whether or not I 23 agree with the specific recommendation, I would like to have some time, if I'm confirmed in the role to 24

speak to more of the folks involved to speak to

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	people at DOI. There's a great deal in the report,
3	but I'd like to have some, you know, sort of some
4	one-on-one conversations to try to get a better sense
5	of what my view is and ask some questions about the
6	cost and benefits of the alternative, so I can
7	certainly say that conceptually, I think the
8	recommendations are sound and the goals they express
9	are ones that I share. I would want to understand,
10	among other things, how the oversight body that's
11	proposed would work in practice. There's some
12	recommendation in the report about how its members
13	would be appointed. I'd like to understand in a more
14	granular way what that would look like before
15	expressing a view and obviously I would say that
16	position, as it currently exists in DOI because
17	legislative changes would be required to affect that
18	recommendation, will continue to be, obviously, a
19	very important component of the work that we do and
20	that will continue, you know, unchanged until this
21	council or others see fit to make a legislative
22	change.
23	MAJORITY LEADER KEITH POWERS: Okay, and
24	I appreciate that, and I think I note that we also

25 need to fill that position of the IG, I think in the

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	office. I think the individual left recently. We'll
3	call on other colleagues. I believe we're starting
4	with Council Member Salamanca.
5	COUNCIL MEMBER RAFAEL SALAMANCA: Thank
6	you, Mr. Majority Leader, and I would like to
7	congratulate both of you guys on your nomination,
8	congratulations.
9	JOSLYN STRAUBER: Thank you.
10	COUNCIL MEMBER RAFAEL SALAMANCA: I just
11	have some very basic questions for you. So, DOI is
12	in charge of background checks for city employees and
13	throughout my time in government, at times, they have
14	worked at a very snail pace in terms of the
15	background checks. How do you plan on improving that
16	process?
17	JOSLYN STRAUBER: Yeah, I think that's a
18	really important issue, so what I know about this
19	issue historically is that my predecessor made a
20	significant effort including reorganizing the
21	background unit to make the process more efficient,
22	and I think those efforts were successful, but I also
23	think we can all agree that they could be even more
24	efficient and should be more efficient, you know,
25	especially for particularly sensitive positions, you

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	know, you can think about. There's lots of examples,
3	but folks who are in daycare centers, for example,
4	you know, other situations where there are vulnerable
5	people involved that they're dealing with. So, I
6	will say that I don't have an answer for you as I sit
7	here today about how the process could be made more
8	efficient. I think it is certainly a goal to make it
9	more efficient, and that's something that I want to
10	study at DOI, consult with the background unit about,
11	you know, speak to folks about, and think about what
12	we could do to build on the improvements that I think
13	have already been made, but I'm sure could be further
14	improved upon.
15	COUNCIL MEMBER RAFAEL SALAMANCA: Okay,
16	thank you. Here, you've mention about ways to be
17	proactive, lectures and other means to educate city
18	agencies on identifying corruption and potential
19	fraud. I know here in the council annually, we need
20	to take some type of seminar classes, conflict of
21	interest training that we have to do yearly. What
22	are your plans in terms of that proactive work with
23	city agencies to kind of educate them on dos and
24	don'ts?

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JOSLYN STRAUBER: Well, I think the
3	ongoing, I would guess you could call it a lecture
4	process or a series, I think that's been very
5	effective in not only providing information, but what
6	I found certainly in other contexts is when you
7	provide people with information about potential legal
8	risks, compliance risks, and violations, that can
9	also prompt reporting of complaints, so it's not just
10	that hopefully, they are more mindful of these issues
11	or think about ways to improve their own compliance,
12	but they may also then appreciate that something
13	they've observed or been aware of, that they might
14	now have thought was an issue is actually an issue
15	and something that's worth reporting. So, I think
16	that is a process that we should continue. You know,
17	I think on an agency-by-agency basis, the types of
18	training that an agency wants to offer is within
19	their preview, but I think the sort of overview that
20	we can give of the issues as we see them from DOI's
21	perspective, can help an agency build on those
22	trainings and their own internal policies and
23	procedures.
24	COUNCIL MEMBER RAFAEL SALAMANCA: All
25	right. One of your biggest oversights is appointing

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	inspector generals throughout certain city agencies.
3	One that stands out for me here, of course, is NYPD,
4	but also the Department of Corrections, and you know,
5	throughout the last couple of years, I'm pretty sure
6	you've read the issues that we've had in Riker's
7	Island and the other jails that we have throughout
8	the city of New York, and just recently, there was a
9	report that during COVID, there was an increase of
10	drug seizures in the city jails, yet, visits were
11	limited. So, therefore, we know that these narcotics
12	are coming in, obviously through non-visitors, so it
13	must be employees. What is your plan on addressing
14	this?
15	JOSLYN STRAUBER: So, I think I may have
16	just seen the same report which was relatively
17	recent. I think this is a good example of an issue
18	where the article suggests that it may be coming in,
19	I think the article's query was is it coming in
20	through corrections officers. There were some other
21	sides of the story in the article. Maybe something
22	was coming in through the mail; maybe, you know there
23	were some other alternatives that were offered. So,
24	it's certainly seems, you know, like an issue that
25	may warrant further scrutiny, this is, again the

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	kind of thing where, in terms of dealing the
3	Department of Corrections in general, I want to
4	really spend some time with the folks at DOI, the
5	inspector general, you know, the folks who are on the
6	ground and can, you know, give me more of an in-depth
7	briefing on how they see the circumstances. I hope
8	that within its jurisdiction, DOI can be a positive
9	agent for change and I certainly have seen, you know,
10	the public articles about these issues over the last
11	couple months. Exactly what form that would take is
12	something that, you know, I'll want to dig in and
13	learn more about if I'm confirmed.
14	COUNCIL MEMBER RAFAEL SALAMANCA: All
15	right, and my last question, if possible, during
16	these DOI background checks, employees, many times,
17	have to pay for these background checks, and some of
18	these employees, their income is not at that level.
19	Is there a way, once you're confirmed that you can
20	review the fees that are being charged for the
21	employees, and maybe create some type of scale where
22	employees at a different salary base will be charged
23	less than other employees that can actually afford
24	these fees that are being charged.

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	JOSLYN STRAUBER: So, that's a great
3	question. This is not an issue that I've thought
4	about. I can certainly see from a fairness and
5	proportionality perspective that scaling fees to
6	correlate with someone's anticipated salary makes
7	sense. How feasible that is and sort of what drives
8	the fee structure is something I'm yet familiar with,
9	but I'm very happy to take a look at that issue, and
10	I certainly think it's an important point to address.
11	COUNCIL MEMBER RAFAEL SALAMANCA: All
12	right, thank you. Thank you, Mr. Chair.
13	MAJORITY LEADER KEITH POWERS: Thank you.
14	We're now going to move over to Council Member
15	Brooks-Powers.
16	COUNCIL MEMBER SELVENA BROOKS-POWERS:
17	Thank you, and thank you for being here today. I had
18	the opportunity to speak with you recently as well.
19	As I mentioned before, the DOI Commissioner is a very
20	important role in ensuring that we root out
21	conflicts, corruption, and what have you, and it's
22	super important to ensure that someone in that
23	position is free from all perception as we spoke
24	about of bias, and so just on the record, I'd like to
25	have you state, just as you told me before, how you

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS would handle a case or a matter that had a perception of bias.

4 So, if I became JOSLYN STRAUBER: Sure. aware of any investigation where I thought, and I 5 take that your question is directed to any bias that 6 7 I might have, you know, the first thing I would do 8 would be to consult with the general counsel at DOI 9 and seek their guidance. There's also the possibility of seeking quidance from the Conflict of 10 11 Interest Board depending on the issue, and I would 12 always, you know, in all circumstances want to follow 13 the quidance that I received to the letter, you know, to be aware of and alert to these issues immediately. 14 15 I agree with you that it is important in general and 16 particularly important at DOI given my role, so my 17 plan would be to consult, seek guidance, and then act 18 on that guidance, you know, to the letter to make 19 sure that I was conducting myself in the most 20 appropriate way.

21 COUNCIL MEMBER SELVENA BROOKS-POWERS: 22 And I know that a question came up in terms of 23 membership on not-for-profits boards, and even as 24 council members, we, in taking the role as council 25 members have had withdraw ourselves from boards and COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS to remove any potential conflict, and while it may not be required in certain instances, it often removes any question of it. So, if that was a matter that faced you, would you be willing to remove yourself from a not-for-profit board?

7 JOSLYN STRAUBER: If I was on a board where there was any, you know, again, I would seek 8 9 quidance from, in this case, from COIB and I would follow their advice. If there was a reason, for 10 11 example a strong concern that I had or that this 12 council had that related to a particular issue, I 13 would also want to seriously consider that as well in 14 making a decision about whether or not to resign.

15 COUNCIL MEMBER SELVENA BROOKS-POWERS: 16 Also, how will oversee agencies like HPD to ensure 17 that their contracts are enforced and adhered to? 18 I've found since being council member, there have 19 been moments where there are existent city programs 20 that require citizens that buy into these programs to 21 follow a particular contract, but the agency itself 2.2 does not. So, how would you handle matters like 23 that?

24 JOSLYN STRAUBER: So, I think that's an 25 area where I would want to know a little bit more

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	about the specific issue, and I would want to educate
3	myself more against through speaking with the
4	inspector general on the ground that covers that
5	agency to get guidance about what they're seeing,
6	what they're thinking, and then develop a plan to
7	address that.
8	COUNCIL MEMBER SELVENA BROOKS-POWERS:
9	Thank you.
10	MAJORITY LEADER KEITH POWERS: Thank
11	you, just before I go to the next individual, just
12	wanted to, on the record, be more clear about the two
13	conflicts that I think have been asked and addressed.
14	You are sitting on two board right now. I think
15	you're familiar with which two they are; they
16	Brearley School located on the upper east side; I
17	love the upper east side, and of course, public color
18	board organization funded here by the city. Could
19	you just give us a sense of how you're planning to
20	handle those resignations from those boards, or how
21	you're planning to handle your membership on those
22	boards on the record?
23	JOSLYN STRAUBER: Sure, and I want to be
24	very clear in my view on this. So, I have resigned
25	from, or committed to resign from the public color

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	board in light of initial indications from the
3	Conflict of Interest Board that that's a complicated
4	situation to manage given the many touch points with
5	the city. I have received just today in writing from
6	the Conflicts of Interest Board their determination,
7	and I should note it's not a formal opinion of the
8	board, but of the council to the board that I could
9	remain on the school board that you mentioned
10	consistent with Chapter 68. However, if there is a
11	strong concern on the part of his council, as I said,
12	about that membership, although it is obviously like
13	all things, and like the memberships you all had as
14	well, I'm sure, important to me, and in this case to
15	my family given the nature of the connection, I am
16	prepared to resign from that board as well. So, I do
17	want to make sure that my position on that is very
18	clear.
19	MAJORITY LEADER KEITH POWERS: I
20	appreciate it, and I believe members have a copy of
21	the letter. We're going to move over to Council
22	Member Brewer, and then I think Council Member
23	Sanchez.
24	COUNCIL MEMBER GALE BREWER: Thank you
25	very much, and I appreciate your reach out previous
	, man, and i approvide your reach out previous

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 to his hearing. One of the question I have, I think 3 there's lots of way of approaching this job, and you 4 certainly outlined your concept and it's excellent, but one of the challenges; and sort of picking up 5 maybe earlier with Council Member Sanchez's idea 6 7 about how to be proactive, and one of the ways to do 8 that was done by some previous DOI Commissioners and not others. So, the question I think as you know, 9 when you have perhaps, as was mentioned earlier with 10 11 corrections, but there are many others, a potential 12 systemic issue. How would you approach that in terms 13 of a public, a report; unfortunately, you are going to find that there are people who commit acts that 14 15 are not appropriate to their job, and etc., and you 16 have to investigate that, but then there's the other 17 which is a more systemic approach. So, I'm wondering 18 if you plan to do that. These are what I would call public reports, different than what we talked about 19 20 earlier, and I wanted to know if you think that's 21 part of the job and if that's something that you 2.2 would look to as a DOI Commissioner? 23 JOSLYN STRAUBER: Yes, I absolutely think that public reports are part of the job and sometimes 24 25 those reports are about a single issue and sometimes

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 they are about a series of events that have reflected 3 or highlighted a broader issue, you know, for 4 example, security lapses at the jails that allowed 5 drugs and guns to come in, just to given an example of a recent, you know the subject of a report, a 6 7 relatively recent report. So, I think that, and one 8 of the things that is very appealing to me about this 9 work is that it allows you to do both things. You are certainly going to pursue individual acts of 10 11 wrong doing that relate to corruption, waste, and 12 abuse, but you also have the opportunity, you know, 13 through your broader preview to look at all of the situations you're seeing, to study issues at a higher 14 15 level and to, where appropriate, prepare reports that include recommendations for procedural and policy 16 17 changes that can improve that issue, and I think, you 18 know, sometimes those things go together. Sometimes 19 you have a report where there's no enforcement action 20 against an individual, but I think to do the job 21 requires pursuing, you know, both aspects. 2.2 COUNCIL MEMBER GALE BREWER: Okay, and I 23 assume that there are reports that have been in the past where there have been recommendation and they 24 25 have not been fulfilled. Is that something that you

COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS vould look at to see if there are undone opportunities to commit better public policy. Is that something that you would look at in terms of past commissioners?

JOSLYN STRAUBER: Absolutely, and I 6 7 believe there is publicly available information about 8 the recommendations that have been made by DOI and 9 the extent to which they have or have not been fulfilled. DOI certainly has that information, I 10 11 should say, I think, at least some of it is also 12 public and I completely agree that given that our 13 authorization is not too demand those changes, but 14 only to recommend them. The practice of following up 15 ensuring that they're being followed; if they're not, 16 figure out why not, is something that I think is very 17 important. So, yes, I agree with that and that is 18 something that I would do.

19 COUNCIL MEMBER GALE BREWER: Our council 20 (<u>inaudible</u>) but obviously, we have agencies like 21 Housing Authority, Department of Education, Health 22 and Hospital, slightly different than the usual city 23 agency. Would you also commit to working with their 24 inspector generals or DOI to see how even more 25 aggressive, perhaps, it would be an oversight of what

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	74 their up to, because I don't want to talk about
3	NYCHA. I have great respect for it, but there's
4	always a million issues at NYCHA, for example?
5	JOSLYN STRAUBER: So, it seems to me, if
6	I'm understanding the question right, I think, you
7	know, DOI has a responsibility and an obligation with
8	respect to all agencies. You know, obviously, like
9	every agency, we have limited resources, and one of
10	the reason I mentioned the data analytics point is
11	that I think prioritizing what we're going to pursue
12	is going to be very important, and as I said in some
13	of my written answer, although it's a somewhat
14	general statement, I am interested in doing things
15	that are impactful, right. Using our resources in a
16	way that really helps New York City and its
17	residents, but I don't think there's any agency where
18	I wouldn't want to undertake, you know,
19	investigations where necessary, exercise our
20	oversight responsibility where necessary.
21	COUNCIL MEMBER GALE BREWER: Okay, cause
22	I think DOE has a special situation with a special
23	school commissioner, and that would be something to
24	also look at. Just finally, we're all interested in
25	independence. Obviously, it came up with the

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	77 Corporation Counsel and it comes up with you. Do you
3	sort of, to pick up on some of the ways you answered
4	your questions, can you just reiterate how you would
5	see independence? You could get questions from the
6	outside about somebody in the mayor's office. You
7	obviously don't want anybody to taint how you do your
8	investigations, etc. Can you just talk about how you
9	would be independent?
10	JOSLYN STRAUBER: Sure. Well, I think
11	first of all, with certain types of investigations,
12	they really can't be shared with anyone outside of
13	DOI. That could be because you might compromise
14	ongoing covert investigative activity, it might be
15	because, you know, there are, you know, folks
16	involved at the agency, potentially in the
17	misconduct, and you wouldn't be able to share the
18	nature of your investigation. So, you know, that's a
19	situation where, that's one way of foreclosing
20	interference. You know, obviously to the extent, and
21	I'm not, certainly not expecting this to happen, but
22	if I was ever asked to delay or limit an
23	investigation, I simply would not do that. I also
24	think that with those concerns, you know aside, there
25	is room as I said for collaboration. I think it's

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	$^{7 \Omega}$ important for DOI to understand and have
3	relationships with the other city agencies so that it
4	has an understanding of what's going on, what the
5	issues are. If we're making policy or procedural
6	recommendations, obviously, we have to make sure that
7	they're well-suited to the agency. The mayor's
8	office, like this council can direct DOI to undertake
9	investigations, so there's obviously going to be a
10	need for communication there, and I think maybe the
11	most important way to preserve independence is always
12	use your own independent judgement and to base that
13	judgement on the facts that you're finding, and what
14	the law is, and really on nothing else, no outside
15	influence, but really your own judgement on that
16	issues, and that is how I would pursue the job.
17	COUNCIL MEMBER GALE BREWER: Thank you.
18	I'm excited about your interest in data analytics.
19	It's something I pursued as the author of the Open
20	Data Bill and I look forward to that topic. Thank
21	you very much.
22	JOSLYN STRAUBER: I do too. Thank you.
23	MAJORITY LEADER KEITH POWERS: Thank you.
24	I do believe we have questions from Council Member
25	Sanchez.

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	COUNCIL MEMBER PIERINA SANCHEZ: Okay,
3	good afternoon now. So, my question is actually a
4	very brief one, and it's with respect to your answer
5	to number 9 which is the council has important
6	oversight responsibilities. How would you envision
7	working with the council to ensure that DOI and the
8	council's respective oversight functions can co-exist
9	smoothly and productively, and your response was that
10	you look forward to working with the council's
11	Committee on Oversight Investigation Chair, which is
12	to my right, Council Member Gale Brewer, and so my
13	question is just about partnership with the other
14	committees on the council who will be also working in
15	partnership with, of course, the Committee on
16	Oversight Investigation? We're all, you know,
17	constantly performing oversight, so it's kind of just
18	a word choice kind of question, but I would love to
19	hear about your, you know, plans to work with the
20	rest of us and other committees.
21	JOSLYN STRAUBER: Well, I very much
22	appreciate the question. I think I think
23	principally, so I certainly didn't mean to exclude
24	any other committees of this council, and really, you
25	know, I think it's an important question because I

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2	think our role is always to listen to concerns that
3	are raised and to act appropriately on those
4	concerns, and I would want to make sure that we were
5	able to have that dialogue and to hear issues that
6	are being identified in any committee that seem, you
7	know appropriate for DOI's consideration. So, the
8	answer is of course, and I was not intending to
9	exclude other committees in that statement, so thank
10	you for the opportunity to clarify.
11	COUNCIL MEMBER PIERINA SANCHEZ:
12	Excellent. Thank you so much. The Committee on
13	Housing and Building looks forward to working with
14	you if confirmed, and congratulations on your
15	nomination to both.
16	JOSLYN STRAUBER: Thank you.
17	MAJORITY LEADER KEITH POWERS: Thank you
18	for both of your testimonies and congratulations on
19	your nominations as well and thank you for answering
20	questions for us. We're not going to now open up the
21	floor to the public for comments. Comments are two
22	minutes per person. Council Member, are there any
23	members of the public who are present here to speak?
24	Okay, I am told no. Well, I thank both of you, and;
25	I think we have an individual coming own right now.

1 COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS 2 We will put two minutes on the clock and if you can 3 just state your name at the beginning as well, thank 4 you. TUANI COMATSU: My name is Tuani Comatsu. 5 I talked to you previously, Mr. Powers. 6 I also 7 talked to you, Gale Brewer on September 26, 2017, and 8 September 28, 2017 about Public Town Hall meetings 9 that I was illegally prevented from attending. You told me on September 26th, that you were going to 10 11 look into that. On September 28th, you said something to the effect of maybe I was being 12 13 difficult (CROSS-TALK) ... 14 MAJORITY LEADER KEITH POWERS: I'm sorry, 15 sir. Are you testifying about the nominations today? 16 TUANI COMATSU: Yeah. 17 MAJORITY LEADER KEITH POWERS: Okay. 18 TUANI COMATSU: Because I've made 19 complaints to DOI previously, the law department's 20 Corporation Counsel is in charge of the defendant's 21 attorneys in my federal court litigation. So, I was 2.2 hoping to have a conversation about what commitment 23 the two of you have as was discussed today by Ms. Brewer about trying to reduce the number of lawsuits 24 25 that are filed against the city when the city public

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	officials violate the first amendment, 14th amendment
3	rights in regards to public forums. You talked about
4	open data, open meetings, and yet, like I stated, I
5	told you when it was happening, that I was being
6	illegally branded from attending these public forum.
7	You said you would look into it. That practice
8	continued long after you and I had those
9	conversations. So, the question is, if someone like
10	Ms. Brewer is the chairwoman of the oversight
11	committee, working people like me possible expect to
12	have any oversight when we have these conversations
13	more than three years ago and these practices are
14	still happening. I had a conversation recently with
15	Federal Judge Edgardo Ramos about a week ago in
16	regards to the fact, Penny Ringle, he yet again,
17	violated my first amendment and 14th amendment rights
18	at a public meeting on November 16th, last year, and
19	that was the first time I had conversation with Mayor
20	Adams. So, the point is, all this stated from a bate
21	and switch regards to a lease agreement. So, I
22	signed an apartment lease agreement. You talked
23	about real estate today, and HRA changed my lease
24	agreement two days after I signed it. I got more
25	than 15 punches to my head

1	COMMITTEE ON RULES, PRIVILEGES, AND ELECTIONS
2	MAJORITY LEADER KEITH POWERS: Okay,
3	we'll talk. We will have individuals talk to you off
4	the clock. Thanks.
5	TUANI COMATSU: Thank you.
6	MAJORITY LEADER KEITH POWERS: I
7	appreciate it. Any other individuals here from the
8	public? I don't believe so. Well, again, thank you
9	both of you and congratulations on your nominations,
10	and against every here who came and participated in
11	today's hearing. We now recess today's hearing and
12	reconvene on Thursday, February 24th for our vote on
13	these nominations. Thank you everyone, and we'll see
14	you then.
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## CERTIFICATE

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date \_\_\_\_\_ February 28th, 2022