

# THE COUNCIL OF THE CITY OF NEW YORK

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Chair, Committee on Housing and Buildings

Hon. Helen Rosenthal  
Chair, Subcommittee on Capital Budget



Report of the Finance Division on the  
Fiscal 2022 Preliminary Plan, Fiscal 2022 Preliminary Capital Budget, Fiscal 2022  
Preliminary Capital Commitment Plan, and the Fiscal 2021 Preliminary Mayor's  
Management Report for the

**Department of Housing Preservation and Development**

March 5, 2021

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## Table of Contents

Department of Housing Preservation and Development Overview .....	1
Report Overview .....	1
Fiscal 2022 Preliminary Budget Highlights .....	2
New Spending Needs and Budget Actions .....	2
Citywide Savings Program .....	3
HPD Financial Plan Summary .....	3
Federal & State Legislative Actions .....	5
State Legislative Actions .....	6
Headcount by Programmatic Area .....	7
Performance Indicators by Program Area .....	8
Contract Budget .....	10
Miscellaneous Revenue .....	11
Council Priorities .....	11
Housing New York Update .....	14
Housing New York- Special Populations .....	17
Homeless Unit Starts .....	17
Homeless Unit Completions .....	18
Senior Housing Unit Starts .....	18
Senior Housing Unit Completions .....	19
Supportive Housing Unit Starts .....	19
Supportive Housing Unit Completions .....	20
Homeownership Assistance .....	20
Capital Plan Overview .....	21
Preliminary Ten-Year Capital Strategy for Fiscal 2022-2031 .....	21
Strategy Guiding Principles .....	21
Preliminary Capital Budget for Fiscal 2022-2025 .....	23
Preliminary Capital Commitment Plan for Fiscal 2021-2025 .....	23
Capital Budget Structure .....	25
City Capital Commitments .....	26
Appendices .....	28
A: HPD Reconciliation of Program Areas to Units of Appropriation .....	28
B: Budget Actions in the November and the Preliminary Plans .....	28
C: HPD Contract Budget .....	30
D: HPD Miscellaneous Revenue .....	31
E: Program Areas .....	32

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Administration.....	32
Administration Program.....	33
Development .....	34
Housing Operations- Section 8 Programs .....	35
Housing Operations- Emergency Housing.....	36
Housing Operations- Management & Disposition .....	37
Preservation- Anti- Abandonment.....	38
Preservation- Code Enforcement.....	39
Preservation- Emergency Repair.....	40
Preservation- Lead Paint .....	41
Preservation- Other Agency Services.....	42
F: Housing New York- Units Financed by Fiscal Year, Income band and Borough .....	43

## Department of Housing Preservation and Development Overview

The Department of Housing Preservation and Development (HPD or the Department) works to maximize the production and preservation of affordable housing for low- and moderate-income families in New York City by enforcing housing quality standards, financing the development and preservation of affordable homes, and ensuring sound management of the City's affordable housing stock. Operationally, HPD programs can be divided into three major categories, as displayed below.



### HPD's activities include:

- Enforces compliance with the City's Housing Maintenance Code;
- Resolves housing maintenance code violations;
- Finances the preservation and creation of 25,000 affordable housing units annually;
- Manages affordable housing assets including over 5,000 rental and co-op buildings;
- Administers rental subsidy programs for over 40,000 low-income households;
- Performs inspections and emergency repairs; and
- Initiates cases in Housing Court and seeks legal remedies to correct hazardous conditions.

### Report Overview

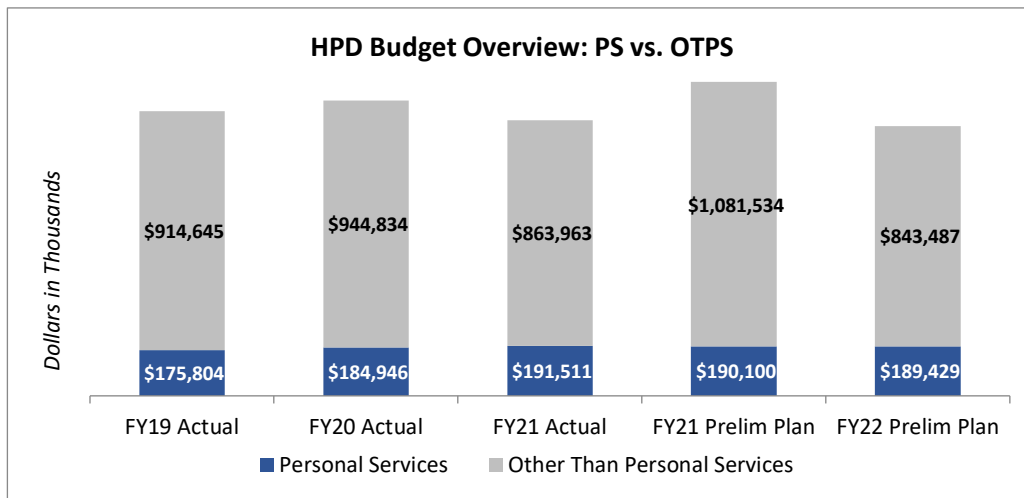
This report provides a review of HPD's Fiscal 2022 Preliminary Budget. The first section presents highlights of the \$1 billion Fiscal 2022 Preliminary Budget, followed by a financial summary of HPD's budget. Details regarding the impact of recent State and federal budget and legislative actions are also included, followed by a programmatic review of the Department's budget and key performance indicators. The third section presents the Department's Contract and Revenue budget followed by a review of Council priorities included in HPD's budget. The fourth section provides a programmatic update on HPD's implementation of the Administration's Housing Plan. The final section reviews the proposed capital budget for HPD with a discussion of significant changes proposed to the \$6 billion Preliminary Commitment Plan for Fiscal 2021-2025. Finally, appendices are included that provide additional programmatic and budgetary details pertaining to the Department's Fiscal 2022 Preliminary Budget and affordable housing units financed under the Housing New York plan.

### Fiscal 2022 Preliminary Budget Highlights

The City’s Fiscal 2022 Preliminary Budget is \$92.3 billion, \$4.1 billion more than the Fiscal 2021 Adopted Budget of \$88.2 billion. City funds (City tax and non-tax revenues) total \$67.2 billion, up \$3.5 billion from \$63.7 billion in the Fiscal 2021 Adopted Budget.

The Fiscal 2022 Preliminary Budget for the Department of Housing Preservation and Development (HPD) totals approximately \$1 billion, including \$189.4 million for Personal Services (PS) to support 2,502 full-time employees and \$843.5 million in Other Than Personal Services (OTPS) to support the Department’s affordable housing development pipeline and housing assistance programs.

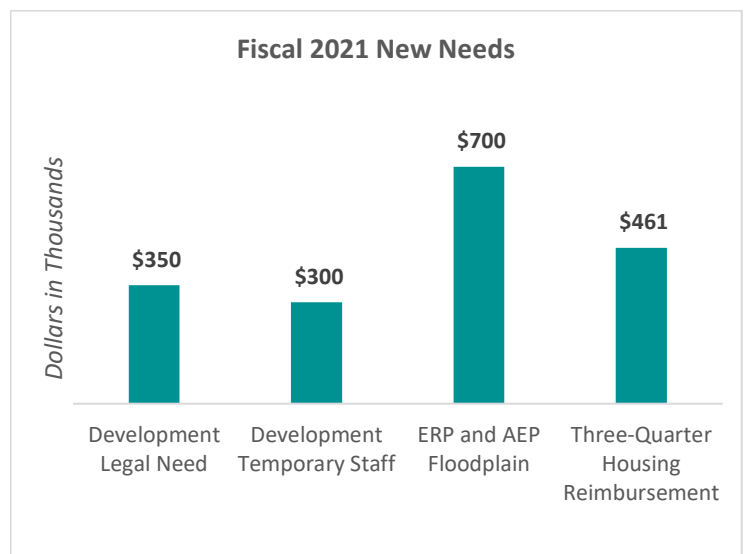
The Fiscal 2022 Preliminary Capital Commitment Plan includes \$6 billion in Fiscal 2021-2025 for HPD (including \$5.84 billion in City funds and \$160 million in federal funds). The Department’s Preliminary Capital Commitment Plan for Fiscal 2021-2025 is unchanged compared to the amount scheduled in the Adopted Capital Commitment Plan.



### New Spending Needs and Budget Actions

The Fiscal 2022 Preliminary Budget includes \$1.8 million for new needs in Fiscal 2021 only and a net increase of \$4 million in Fiscal 2021 and \$1.6 million in Fiscal 2022 in other adjustments. The changes reflected in HPD’s Fiscal 2022 Preliminary Budget are primarily due to expenses related to new staffing needs, hotel expenses incurred from the three-quarter housing initiative and other technical adjustments, as demonstrated in the right chart. Appendix B provides a complete list of new needs and adjustments included in the November 2020 and Fiscal 2022 Preliminary Plans.

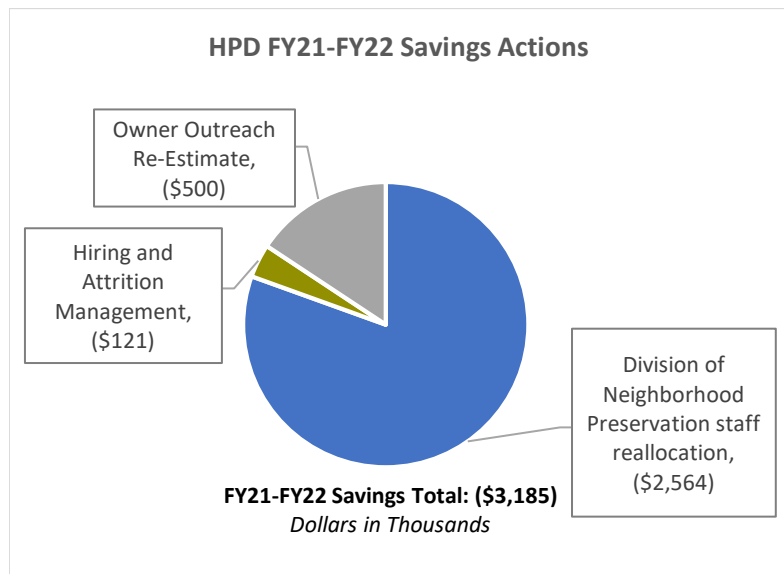
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- **Development Legal Need.** City funds of \$350,000 are added in Fiscal 2021 only to cover existing and projected legal expenses to support upcoming development transactions.
- **Development Temporary Staff Need.** City funds of \$300,000 are added in Fiscal 2021 only to cover short-term expenses related to upcoming development transactions.
- **Emergency Repair Program (ERP) and Alternative Enforcement Program (AEP) Floodplain.** City funds of \$700,000 are added in Fiscal 2021 only to cover existing and projected expenses related to emergency repair work on buildings located in the 100-year floodplain that are not otherwise eligible for federal Community Development Block grant (CDBG) funds.
- **Three-Quarter Housing New Need.** City funds of \$461,000 are added in Fiscal 2021 only to reimburse HPD for hotel expenses incurred for clients served by the City’s three-quarter housing initiative.

### Citywide Savings Program

HPD will generate budget savings of \$1.6 million in Fiscal 2021 and \$1.6 million in Fiscal 2022 by leveraging federal funding within the Department of the Neighborhood Preservation, and through hiring and attrition management, including a citywide three-for-one hiring policy. These combined savings actions are demonstrated in the chart to the right. Appendix B provides a complete list of all savings actions included in the November 2020 and Fiscal 2022 Preliminary Plans.



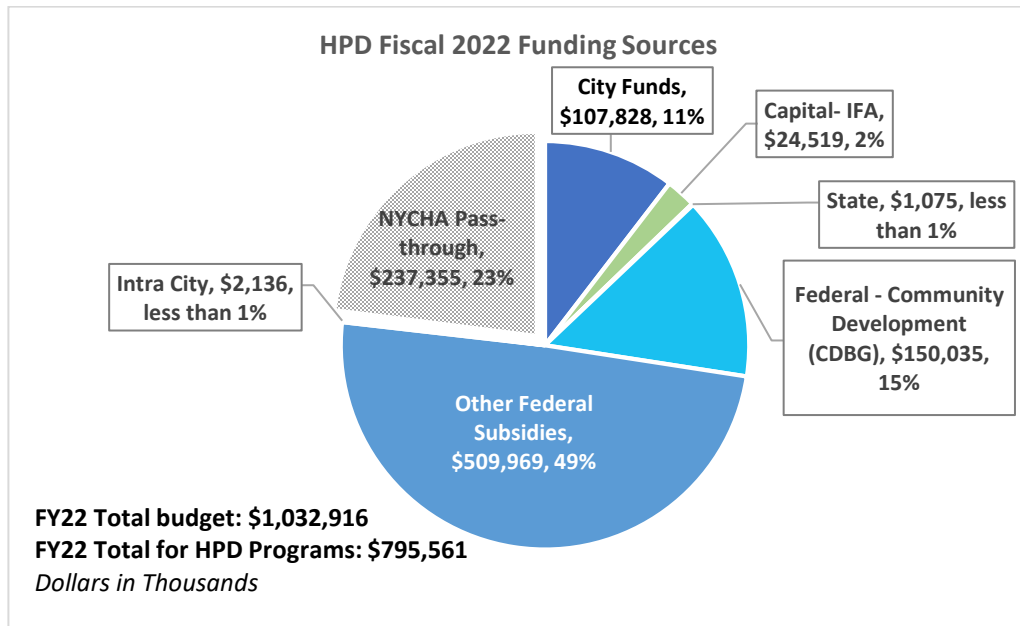
### HPD Financial Plan Summary

The Department’s Fiscal 2022 Preliminary Budget totals approximately \$1 billion (of which only \$289.7 million, or 28 percent, is comprised of City funds); this represents approximately one percent of the City’s Budget totaling \$92.3 billion. The Department’s Fiscal 2022 Preliminary Budget is \$22.6 million or two percent less than the Fiscal 2021 Adopted Budget of \$1.1 billion. Because the City’s fiscal year and the State and federal fiscal years do not coincide, HPD reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments as additional funds are received. Therefore, program areas that are primarily funded through non-City sources might align with amounts reflected in the Fiscal 2021 Adopted Budget once the Department can confirm these allocations.

<b>HPD Financial Summary</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
Personal Services	\$175,804	\$184,946	\$191,511	\$190,100	\$189,429	(\$2,082)
Other Than Personal Services	914,645	944,834	863,963	1,081,534	843,487	(20,476)
<b>TOTAL</b>	<b>\$1,090,449</b>	<b>\$1,129,781</b>	<b>\$1,055,474</b>	<b>\$1,271,634</b>	<b>\$1,032,916</b>	<b>(\$22,558)</b>
<b>Budget by Program Area</b>						
Administration	\$51,434	\$51,160	\$50,462	\$50,258	\$51,180	\$718
Administration Program	162,573	286,941	270,724	428,322	253,947	(16,777)
Development	175,161	63,535	30,325	75,360	29,340	(985)
Housing Operations - Section 8 Programs	517,000	536,750	505,625	500,959	511,314	5,689
Housing Operations- Emergency Housing	44,512	36,980	28,953	29,638	26,720	(2,233)
Housing Operations- Mgmt & Disposition	30,969	27,492	30,995	31,648	29,259	(1,736)
Preservation - Anti-Abandonment	7,807	9,896	11,698	15,476	6,598	(5,101)
Preservation - Code Enforcement	34,487	33,899	36,883	37,625	36,265	(618)
Preservation - Emergency Repair	22,136	24,549	33,005	41,290	39,939	6,934
Preservation - Lead Paint	14,938	17,661	21,269	20,899	21,436	167
Preservation - Other Agency Services	29,432	40,917	35,535	40,158	26,919	(8,617)
<b>TOTAL</b>	<b>\$1,090,449</b>	<b>\$1,129,781</b>	<b>\$1,055,474</b>	<b>\$1,271,634</b>	<b>\$1,032,916</b>	<b>(\$22,558)</b>
<b>Funding</b>						
City Funds	\$236,684	\$294,430	\$310,589	\$312,027	\$289,654	(\$20,935)
Other Categorical	15,881	1,757	1,653	8,604	5,000	3,347
Capital- IFA	20,716	21,273	24,514	24,491	24,519	5
State	5,770	4,322	1,467	2,963	1,075	(392)
Federal - Community Development	277,073	249,361	204,993	406,576	205,564	570
Federal - Other	531,318	555,784	510,125	514,421	504,968	(5,157)
Intra City	3,007	2,853	2,133	2,552	2,136	3
<b>TOTAL</b>	<b>\$1,090,449</b>	<b>\$1,129,781</b>	<b>\$1,055,474</b>	<b>\$1,271,634</b>	<b>\$1,032,916</b>	<b>(\$22,558)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	2,362	2,412	2,526	2,479	2,502	(24)
<b>TOTAL</b>	<b>2,362</b>	<b>2,412</b>	<b>2,526</b>	<b>2,479</b>	<b>2,502</b>	<b>(24)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

As shown in the chart below, federal funds totaling \$660 million comprise 64 percent of HPD's total expense budget in Fiscal 2022. This means that most of HPD's funding comes with federal spending restrictions that limit the agency's flexibility to make programmatic decisions and future funding levels are highly uncertain and dependent on the political climate in Washington. The Fiscal 2022 Preliminary Plan provides \$455 million to support the Section 8 program, including \$433 million to support Section 8 program expenses and \$22 million in Section 8 Administration fees; the Section 8 program is the largest federally funded program administered by HPD. The second largest funding source, CDBG funds, are also federally sourced, and at \$205.6 million represents 20 percent of the agency's budget for Fiscal 2022. The \$205.6 million programmed for CDBG related activities include \$202 million for the CDBG program and about \$4 million in CDBG-Disaster Recovery (CDBG-DR) funds.



Within HPD’s approximately \$1 billion Fiscal 2022 Preliminary Budget, about \$237.4 million, or 23 percent, is pass-through funding, which is managed by the New York City Housing Authority (NYCHA). The Fiscal 2022 allocation for NYCHA reflected in HPD’s budget includes: \$181.8 million in City tax-levy funds; \$51.8 million in federal CDBG funds; and \$3.8 million in federal CDBG-DR funds. The remaining \$795.6 million is for HPD programs and operations, as demonstrated in the above chart.

## Federal & State Legislative Actions

### Federal Stimulus Funding

The Coronavirus Aid, Relief, and Economic Security (CARES) Act, signed into law on March 27, 2020 provided approximately \$12 billion nationally to the U.S. Department of Housing and Urban Development (HUD) for community development and housing programs. For New York City, federal aid for community development and housing programs is estimated to be \$972 million. It is anticipated that HPD will receive funding through the below two provisions of the CARES Act.

- CDBG Grants.** In April 2020, HUD allocated an initial \$102.1 million in emergency CDBG funds to the City based on the annual CDBG formula grant. These funds are relatively flexible in scope, with eligible uses that include: the construction of public facilities; economic development programs to create or preserve jobs; grants or loans to support nonprofits that are affected by the COVID-19 pandemic and are engaged in neighborhood revitalization or community economic development; and other uses. Additional CDBG funds will be awarded based on a formula that takes into account the impact of COVID-19 on specific localities. It is anticipated that HPD will receive additional CDBG funds in Fiscal 2022, though timing and amounts have not yet been finalized.
- Tenant-Based Rental Assistance.** The CARES Act provides additional funding for existing federal rental-assistance programs, including the Housing Choice Voucher program (Section 8 program). Low-income tenants in this program generally pay 30 percent of their income in rent to private property owners and the federal subsidy pays the balance. As tenants’ incomes decline during the COVID-19 pandemic and economic downturn, additional subsidy is needed to make up the difference. As of February 2021, HPD has been awarded \$15.8 million in federal funding under



the CARES Act for the Section 8 Housing Choice Voucher program and the Section 811 Mainstream program. Any funding unspent in Fiscal 2021 will be reallocated into Fiscal 2022. HPD is still reviewing pending legislation introduced by the Congress, in order to determine the amount of any additional stimulus it may receive.

### **Federal Rent Relief Package**

On December 27, 2020, Congress signed the Consolidated Appropriations Act, 2021 (H.R. 133) into law. This bill provided an additional \$25 billion in rental assistance nationally, including about \$1.3 billion for New York State. Of the initial \$1.3 billion Statewide allocation, New York City received about \$247 million to provide relief for low income renters. According to federal rules, the funds will remain available through December 31, 2021, however beginning on September 30, 2021, the Treasury Secretary shall recapture unused funds and reallocate to eligible grantees who have obligated at least 65 percent of the amount originally allocated and who have demonstrated need. As of February 2, 2021, New York State Homes & Community Renewal (HCR) reports that they are awaiting additional guidance to distribute these funds. While the new emergency rental assistance program will be administered by the Office of Temporary Disability Assistance (OTDA), and not HCR or HPD, it is expected to have a discernable impact on the administration of housing programs citywide.

### **State Legislative Actions**

#### **Rent Relief Program**

New York State allocated \$100 million of the March 2020 CARES Act funding to create a targeted rental assistance program designed by the State Legislature. That program is administered by HCR and was recently extended, with the most recent application deadline ending on February 1, 2021. As of February 2, 2021, HCR states that in the first round of funding, 83,000 applicants applied for rental assistance. To date, HCR has awarded \$40 million to provide 15,000 eligible households with a one-time rental subsidy sent directly to the household's landlord. HCR prioritizes eligible households with "greatest economic and social need."

#### **Eviction Moratorium**

On December 28, 2020, Governor Cuomo signed the COVID-19 Emergency Eviction and Foreclosure Prevention Act of 2020. The Act places a moratorium on residential evictions until May 1, 2021 for tenants who have endured COVID-related hardship. Tenants must submit a hardship declaration, or a document explaining the source of the hardship, to prevent evictions. However, landlords can evict tenants that are creating safety or health hazards for other tenants, and those tenants who do not submit hardship declarations.

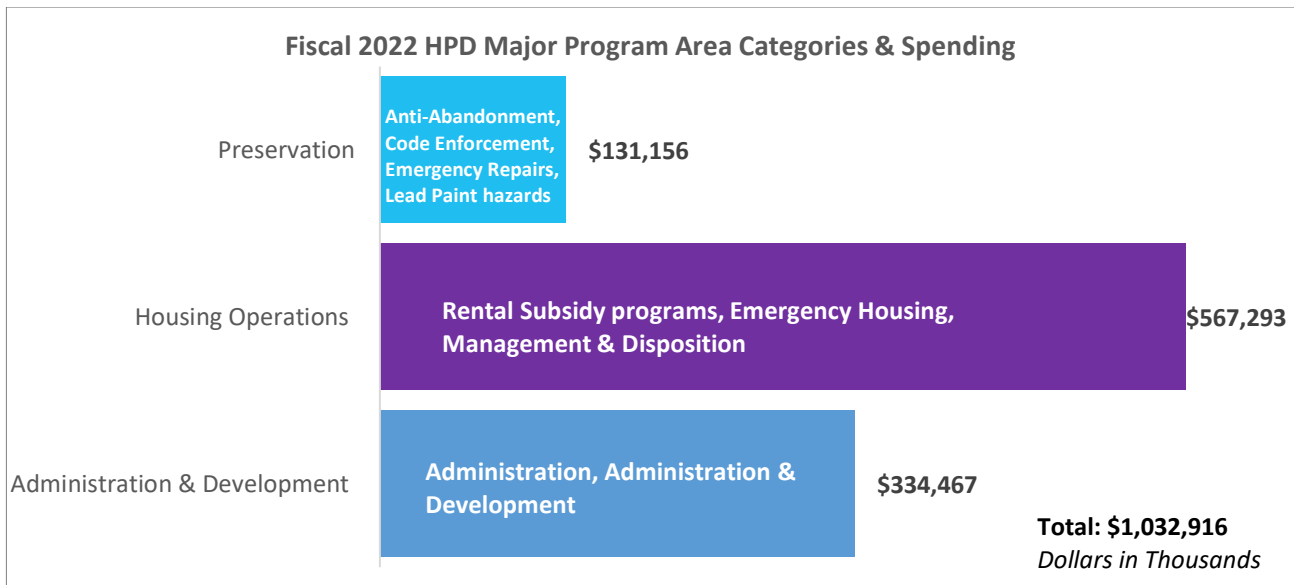
#### **Residential Foreclosure Moratorium**

The COVID-19 Emergency Eviction and Foreclosure Prevention Act also places a moratorium on residential foreclosure proceedings until May 1, 2021. Homeowners and small landlords who own 10 or fewer residential dwellings can file hardship declarations with their mortgage lender, other foreclosing party or a court that would prevent a foreclosure.

#### **HPD Program Areas and Spending**

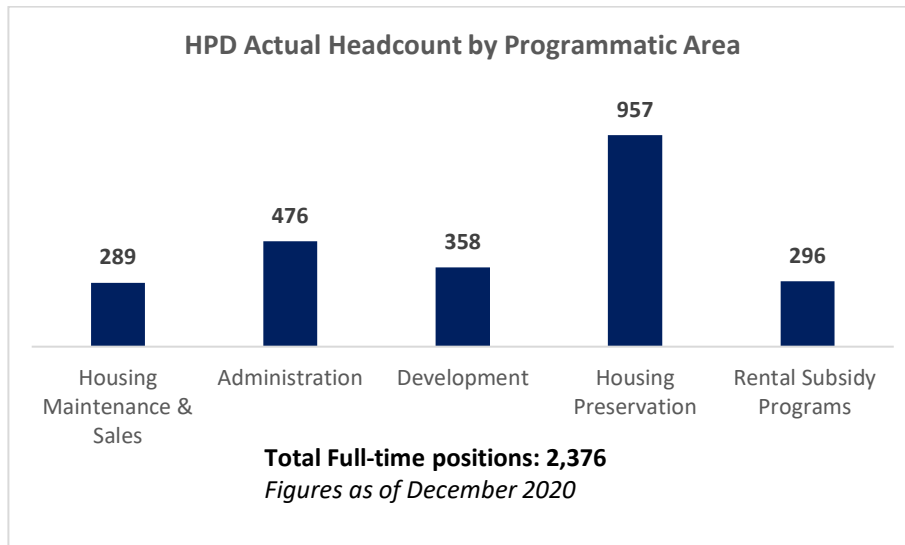
The program area budget is intended to provide a programmatic view of the types of services that HPD provides rather than the way it is presented in the City's Budget, which categorizes the Department's budget into 11 broad units of appropriation. HPD's program areas can be further condensed into three broad programmatic areas: Preservation; Administration & Development; and Housing Operations. Of these categories, Housing Operations, which includes federal funding for the Section 8 program, accounts for 44 percent of the Department's total Fiscal 2022 Budget. This

federally funded program assists low-income families in obtaining affordable housing in the private market by providing rental subsidies to landlords on behalf of eligible participants. As of October 2020, HPD administers approximately 40,792 Section 8 vouchers, which supports about 82,083 low-income individuals with an average rental subsidy totaling \$1,102 per month. The Administration program area is the second largest program area and accounts for 30 percent of HPD’s total Fiscal 2022 Preliminary Budget. The majority of this funding is dedicated primarily to administrative functions and is not service related. The Preservation program area includes anti-abandonment initiatives, housing maintenance code enforcement, emergency repairs, lead paint remediation and other quality of life provisions in residential housing. Appendix E provides complete program budget details for each of the 11 program areas for the Department from Fiscal 2018 actual spending through the Fiscal 2022 Preliminary Budget followed by funding source summaries.



### Headcount by Programmatic Area

The Department’s Fiscal 2022 Preliminary Budget provides for 2,502 full-time positions across its 11 program areas at a total annual cost of \$189.4 million. This represents a decrease of 24 positions compared to the Fiscal 2021 Adopted Budget. As of December 2020, actual headcount at HPD totaled 2,376, and the Department has a five percent staff vacancy rate. The Office of Housing Preservation is the largest with 957 actual positions, followed by the Office of Administration with 476 positions; together these units comprise 60 percent of the Department’s entire staff. Budgeted headcount is impacted by multiple financial plan actions including, new needs, inter-fund agreements, functional transfers, Programs to Eliminate the Gap (PEGs), and technical adjustments that eliminate vacant budgeted positions while maintaining funding levels. The chart below shows HPD’s actual headcount by programmatic area.

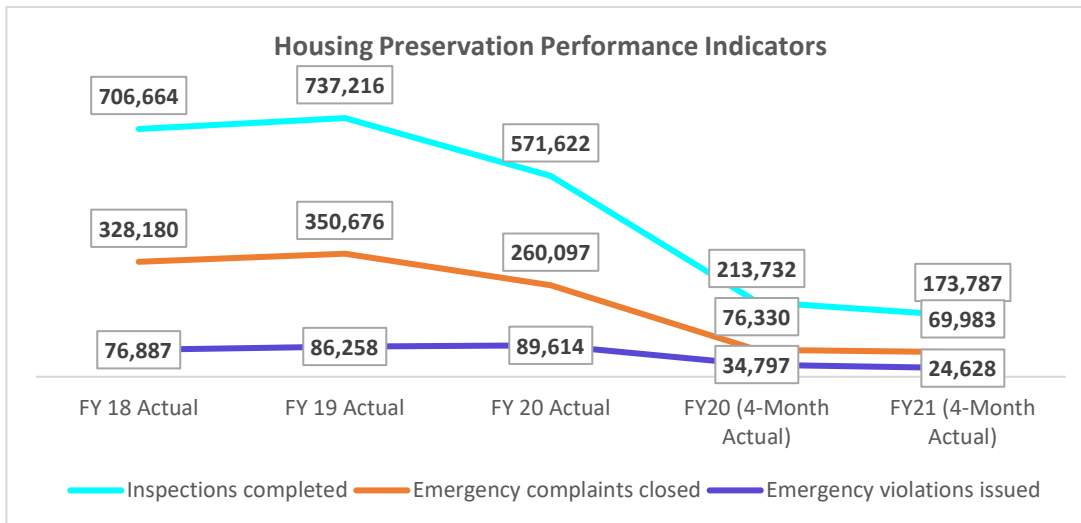


### Performance Indicators by Program Area

The section below outlines the effectiveness of key performance indicators from Fiscal 2018 to Fiscal 2020 and during the first four months of Fiscal 2021 across major programmatic areas at HPD. For additional information on the Department’s performance and effectiveness across its various programs, please refer to the Fiscal 2021 Preliminary Mayor’s Management Report (PMMR) for HPD at: <https://www1.nyc.gov/assets/operations/downloads/pdf/pmmr2021/hpd.pdf>

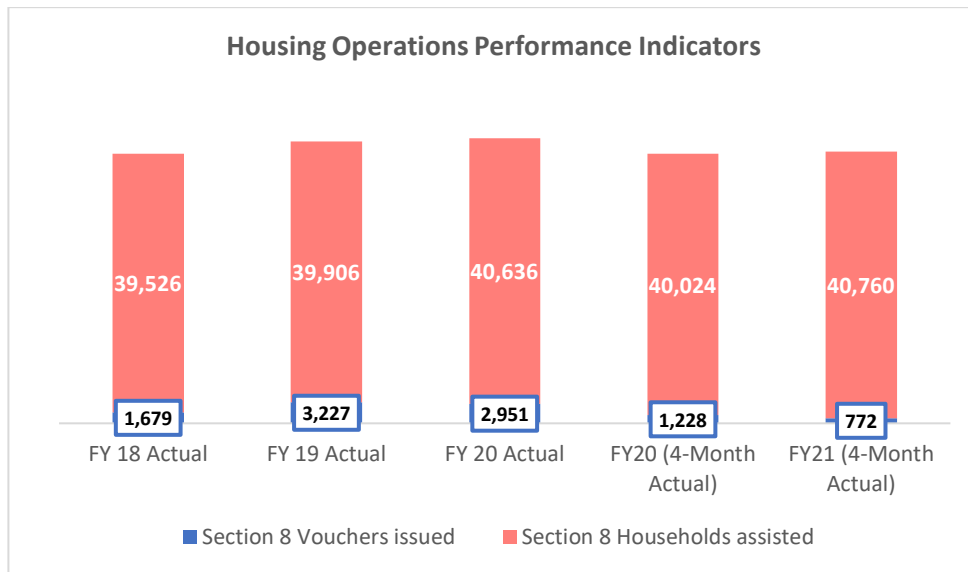
#### Housing Preservation

During the first four months of Fiscal 2021, the total number of complaints reported decreased to 133,664 compared to 141,339 during the same reporting period in Fiscal 2020. In addition, the number of emergency complaints reported also decreased to 73,525 during the Fiscal 2021 reporting period, compared to 77,452 in Fiscal 2020. Relatedly, the number of inspections completed by HPD decreased by about 19 percent during the reporting period, from 213,732 in Fiscal 2020 to 173,787 in Fiscal 2021, and the number of emergency violations issued by HPD also decreased by about 29 percent, from 34,797 in 2020 to 24,797 in Fiscal 2021. During the COVID-19 pandemic, HPD prioritized heat and hot water and immediate emergency complaints, while prioritizing the safety of both tenants and housing inspectors.



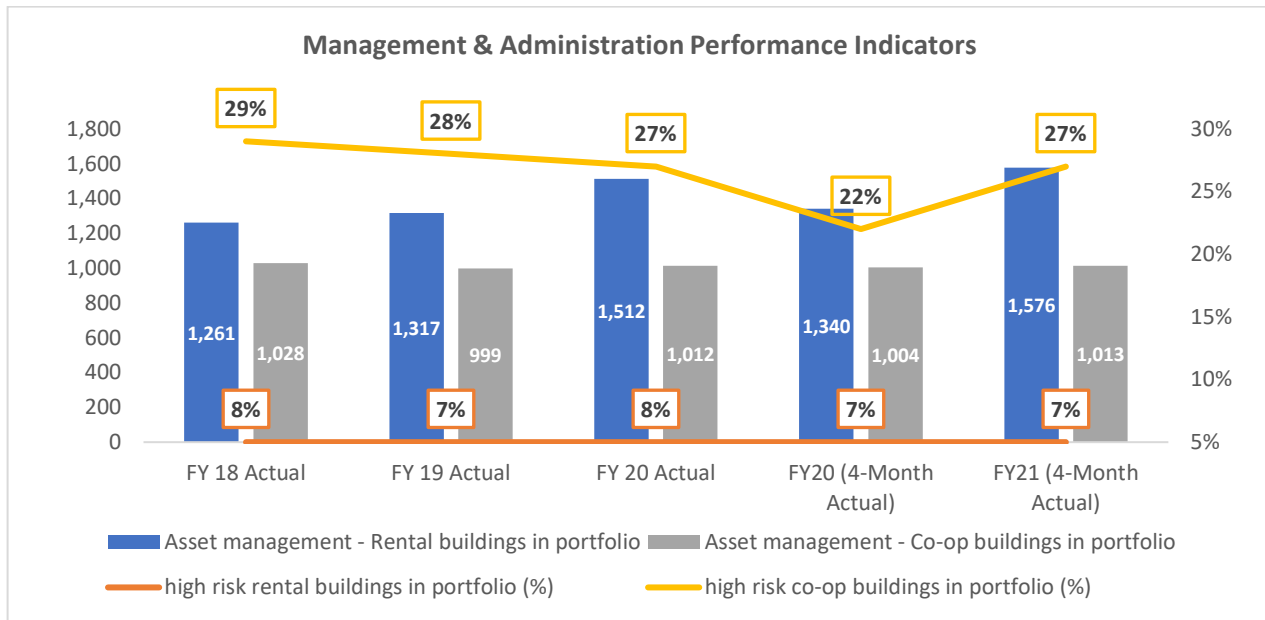
**Housing Operations- Rental Subsidy Programs**

The Section 8 voucher utilization rate has remained steady year over year, at about 97 percent. The number of new vouchers issued decreased by 456 during the first four months of Fiscal 2021, compared to the first four months of Fiscal 2020. HPD’s ability to issue vouchers has been impacted by the COVID-19 pandemic as the Department’s Division of Tenant Resources adjusted client-facing operations to a remote work environment. The Department also saw a decline in the number of voucher applications submitted, which impacted the number of vouchers issued. As of October 2020, HPD administers approximately 40,792 Section 8 vouchers, which supports about 82,083 low-income individuals with an average rental subsidy totaling \$1,102 per month.



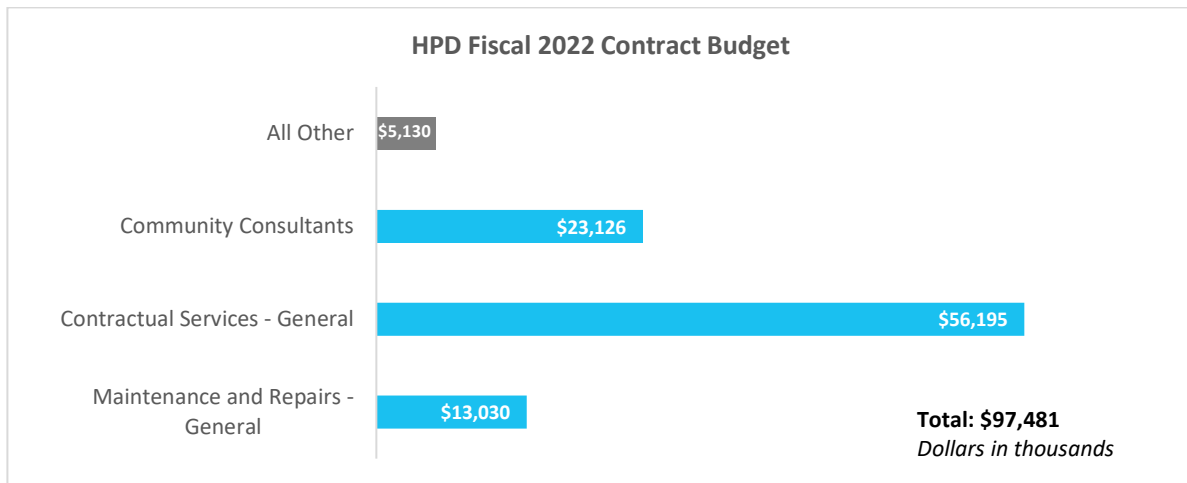
**Management & Administration**

As of October 2020, there were 1,512 rental projects and 1,012 co-op projects in HPD’s portfolio. In Fiscal 2020, seven percent of rental projects and 27 percent of co-op projects were categorized as exhibiting signs of high-risk physical distress, financial distress, or noncompliance with federal requirements. The Department uses these risk metrics to prioritize outreach and intervention efforts in the Asset Management portfolio. During the first four months of Fiscal 2021, the number of rental buildings in HPD’s asset management portfolio increased by 236 buildings compared to the previous year. During the Fiscal 2021 reporting period, the percentage of medium/high risk rentals remained relatively flat at 27 percent. During the first four months of Fiscal 2021, the number of co-op projects in HPD’s portfolio remained flat and the percentage of medium/high risk co-op projects also remained flat at about 7 percent.



### Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personnel service provided to the City by means of a contract. The Contract Budget is actually a subset of the OTPS portion of the City’s Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. The Fiscal 2022 Preliminary Contract Budget totals approximately \$17 billion for procurement expenditures across all City agencies, which remains unchanged when compared to the Fiscal 2021 Adopted Budget.

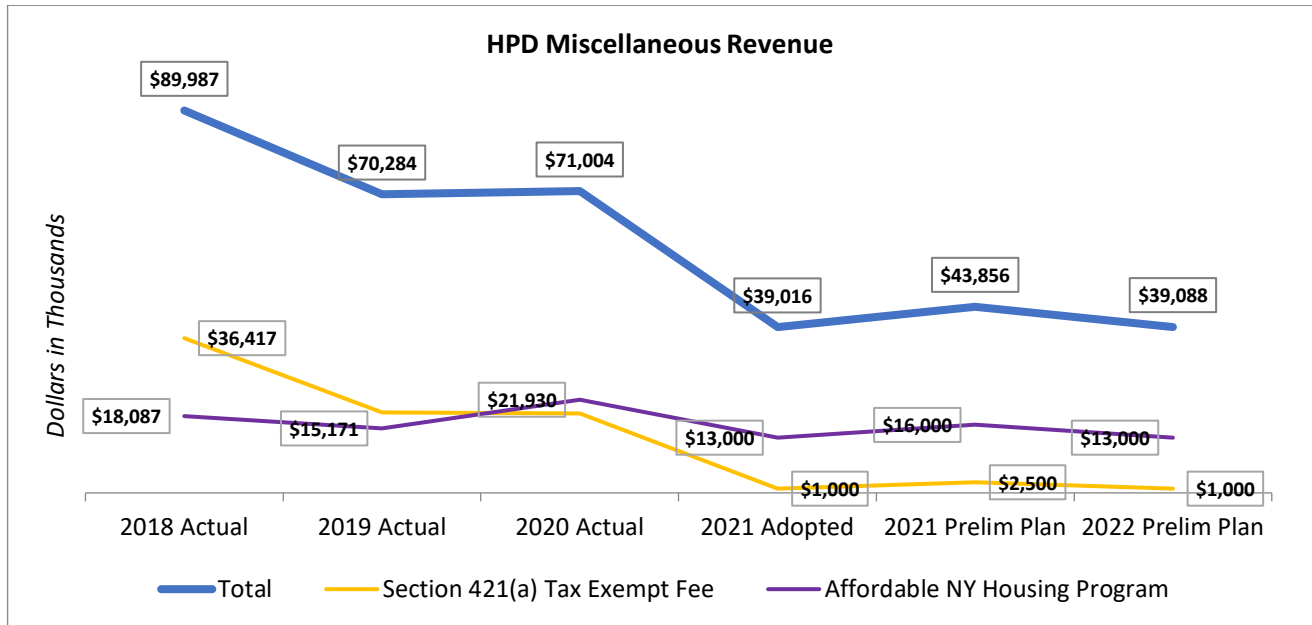


HPD’s Fiscal 2022 Contract Budget totals \$97.5 million comprised of 139 contracts and accounting for about 12 percent of the Department’s OTPS budget. Contracts for maintenance and repairs comprise a substantial portion (42 percent) of the Department’s total contract budget, which support citywide housing and development programs including: emergency housing repair and maintenance; code enforcement; and management of City-owned properties. Contracts related to Council and City-funded Community Consultant Contracts total approximately \$23.1 million across 17 contracts for the provision of tenant counseling, outreach and referral services. Appendix C provides complete

Contract budget details for the Department from Fiscal 2018 actual spending through the Fiscal 2022 Preliminary Budget.

### Miscellaneous Revenue

HPD collects revenue from the issuance of licenses and permits, charges for services such as tax exemption fees, inspection fees, tax credit fees; and from fines from housing court and heat and hot water violations. The chart below shows the Department’s major miscellaneous revenue sources.



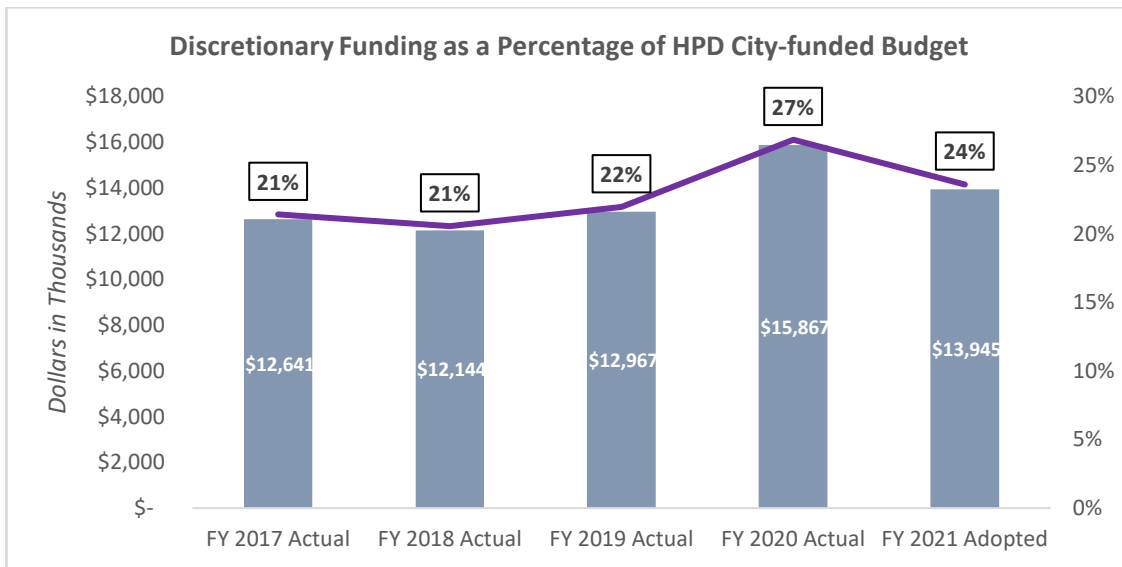
In Fiscal 2020, revenue generated from the Section 421(a) Tax Exempt Fee totaled \$18.7 million, or 26 percent of the Department’s total miscellaneous revenue. The second largest source of revenue is fees associated with the Affordable New York Housing Program, a new version of the 421(a) program, totaled \$21.9 million in 2020, or 31 percent of HPD’s total miscellaneous revenue. The Fiscal 2022 Preliminary Budget projects that the Department will generate Miscellaneous Revenue totaling \$39.1 million in Fiscal 2022, including \$14 million in revenue from fees related to Section 421(a) Tax Exempt Fees and the Affordable New York Housing Program; this is significantly lower than actual collection rates from 2018 to 2020. Because revenue generated from fees collected from the Section 421(a) tax exemption is non-reoccurring revenue, amounts can vary significantly from year to year. Miscellaneous revenue generated by the Department contributes to the City’s General Fund and therefore is not a dedicated funding source for the Department. Appendix D provides complete Miscellaneous Revenue budget details for the Department from Fiscal 2018 actual revenue collected through projected revenue as of the Fiscal 2022 Preliminary Budget.

### Council Priorities

#### Discretionary Funding

In Fiscal 2021, the City Council provided approximately \$13.9 million to HPD’s annual operating budget. Most of this funding, about \$13.8 million is allocated to local community-based organizations which are tasked with carrying out the goals of the Council’s housing initiatives, including tenant advocacy and education, code enforcement advocacy, housing court assistance, and other housing-

related public education. The remaining amount of \$165,750 was allocated directly to HPD to support a recently created financial empowerment program for affordable housing applicants, and about \$666,700 was allocated to NYCHA for various residential programs, which HPD administers on their behalf. Since 2017, on average, Council discretionary funds have comprised about 23 percent of HPD’s City tax-levy budget. Because HPD is primarily funded through federal grants, most of HPD’s funding comes with federal spending restrictions that limit the agency’s flexibility to make programmatic decisions. Council funds provide additional support for HPD operations and programs. The chart below provides a breakdown of Council discretionary funding as a portion of HPD’s City-funded budget from Fiscal 2017 to Fiscal 2021. Council funding includes citywide housing initiatives, as well as local discretionary funding.



**Council Funded Initiatives**

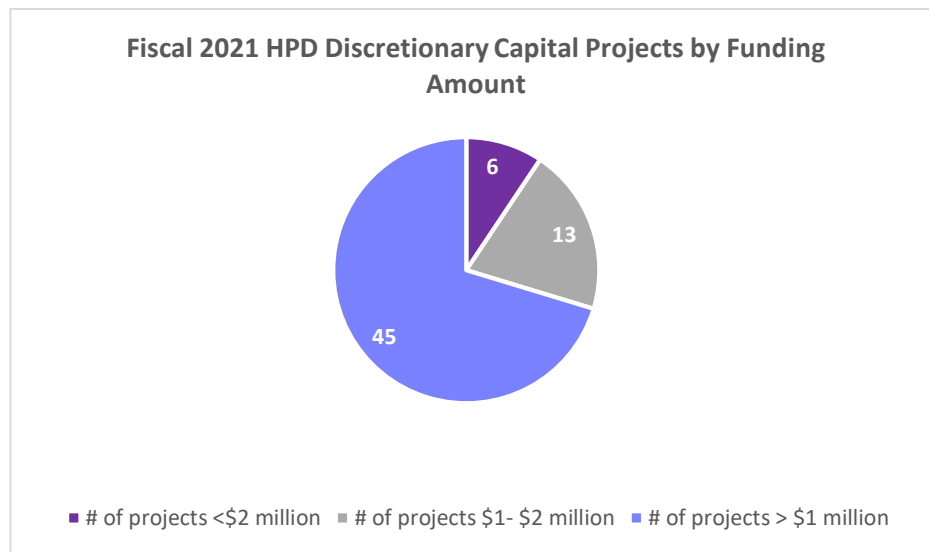
Due to the unprecedented economic crisis surrounding the ongoing COVID-19 pandemic, discretionary funding was significantly reduced in the Fiscal 2021 Adopted Budget. As a result, funding for most housing initiatives was reduced by at least 15 percent in Fiscal 2021. However, recognizing the need to keep foreclosure related services and resources financially whole in the coming fiscal year, funding for the Foreclosure Prevention Programs was maintained at \$3.25 million and funding for Housing Court Answers remains at \$650,000. The table below provides a breakdown of Council funded Housing initiatives in Fiscal 2021 compared to pre-pandemic levels in Fiscal 2020.

Council Funded Housing Initiatives				
#	Initiative	FY20 Amount	FY21 Amount	Difference FY21-FY20
1	Community Housing Preservation Strategies	\$3,651,000	\$3,103,350	(\$547,650)
2	Community Land Trust	\$750,000	\$637,500	(\$112,500)
3	Financial Empowerment for NYC Renters	\$450,000	\$382,500	(\$67,500)
4	Foreclosure Prevention Programs	\$3,250,000	\$3,250,000	\$0
5	Home Loan Program	\$2,000,000	\$1,700,000	(\$300,000)
6	Housing Court Answers	\$650,000	\$650,000	\$0
7	Housing Information Project	\$300,000	\$200,000	(\$100,000)
8	Stabilizing NYC	\$3,000,000	\$2,550,000	(\$450,000)
<b>TOTAL</b>		<b>\$14,051,000</b>	<b>\$12,473,350</b>	<b>(\$1,577,650)</b>

**Discretionary City Capital Additions**

City Council Discretionary Capital funding (Reso A) provides capital grants for housing development and preservation projects. This funding is allocated for projects with a significant affordable housing component and only nonprofit developers and providers are eligible to apply. The Fiscal 2021 Adopted Budget added about \$50.1 million in City capital for 64 discretionary projects citywide within HPD’s capital portfolio. In Fiscal 2021, City Council discretionary projects in HPD’s portfolio received an average of about \$783,000 in funding. The chart below summarizes the number of discretionary projects by funding amount. A complete list of all Fiscal Year 2021 Changes to the Executive Capital Budget Adopted by the City Council is available at:

<https://www1.nyc.gov/assets/omb/downloads/pdf/adopt20-capresowork.pdf>



**Restoration of Landlord Ambassador Program Funding**

In the Fiscal 2021 Adopted Budget, with the Council’s support and collaboration, the Administration added \$350,000 in the Fiscal 2021 Adopted Budget for HPD’s Landlord Ambassador Program to support landlords and stabilize the physical and financial health of small- and medium-sized multi-family buildings through technical assistance and securing HPD financing. This funding partially restored a funding cut to the program as part of the citywide savings plan.



### **New Unit of Appropriation**

Pursuant to an agreement made with the City Council as part of the Fiscal 2021 Adopted Budget, the Preliminary Budget adds one new Unit of Appropriation (U/A) to HPD's OTPS budget (U/A 014) for Emergency Shelter Operations. Beginning in Fiscal 2022, this new U/A will hold the budget for HPD's Emergency Shelter Operations contracts, which as of the Fiscal 2020 Preliminary Plan is comprised of \$19.1 million in federal funds, \$1.1 million in State funds and \$1.9 million in City tax-levy funds. Appendix A provides a reconciliation of HPD program areas to units of appropriation.

### **Fiscal 2021 Reporting Requirements**

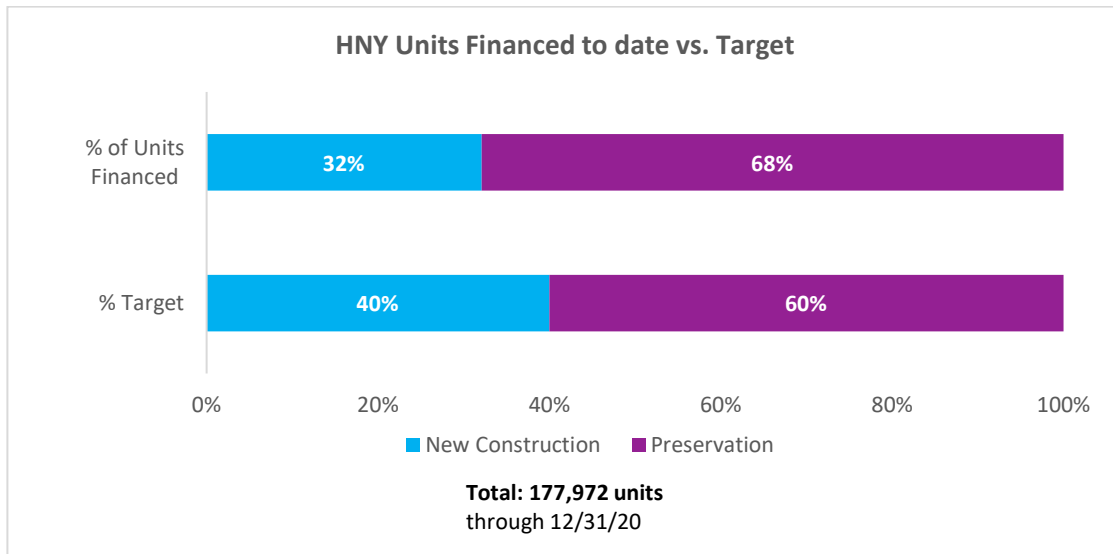
The Fiscal 2021 Adopted Budget requires HPD to report to the Council on expenditures and outcomes on two code enforcement programs. Upon receipt of these reports, they will be available here: <https://council.nyc.gov/budget/fy2021/>. These provisions are further outlined below.

- **Article 7A program.** HPD shall submit to the Council, no later than October 15, 2020, a report detailing the number of buildings in the Article 7A program and the expenditure amount at each building. Such report shall cover the period from July 1, 2019 through June 30, 2020.
- **Underlying Conditions Program.** HPD shall submit to the Council, no later than July 15, 2021, a report on the Underlying Conditions Program. Such report shall include the number of underlying conditions issued by the Department, the address of each building to which an underlying condition was issued, and the result of each order that was issued. The report will cover the period from July 1, 2020 through June 30, 2021.

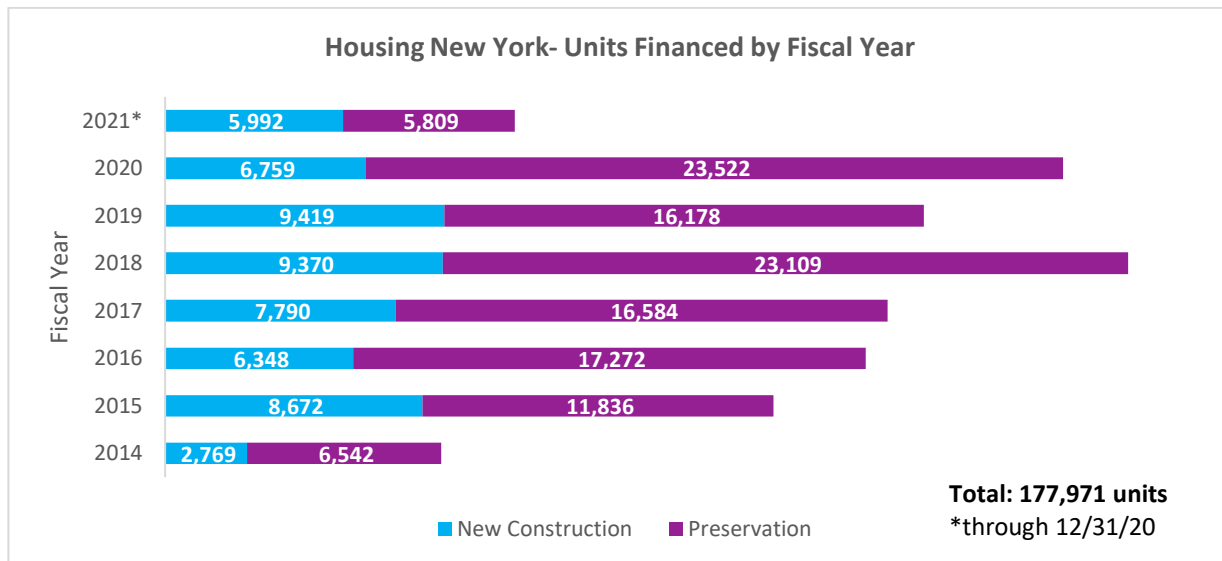
### **Housing New York Update**

In May 2014, Mayor de Blasio introduced Housing New York: A Five-Borough, 10-Year Plan, a comprehensive plan to build and preserve 200,000 units of affordable housing by 2024. In November 2017, the Housing New York plan was accelerated and expanded with a revised commitment of preserving and creating 300,000 units of affordable housing by 2026.

From January 1, 2014 to December 31, 2020, the City financed the creation and preservation of 177,971 affordable housing units across New York City, including 57,119 newly constructed units and the preservation of over 120,850 units. The total development costs for all units financed between January 2014 and December 2020 was \$39.6 billion, including \$7.4 billion in city subsidies, \$3 billion in other public subsidies and about \$29.2 billion in private funding sources that do not flow through the City's budget. As of December 2020, the number of preservation starts under the Housing New York (HNY) plan has exceeded the target of 60 percent of all housing units financed under the plan, while the number of newly constructed units has fallen short of the plan's 40 percent target, as outlined in the below chart.

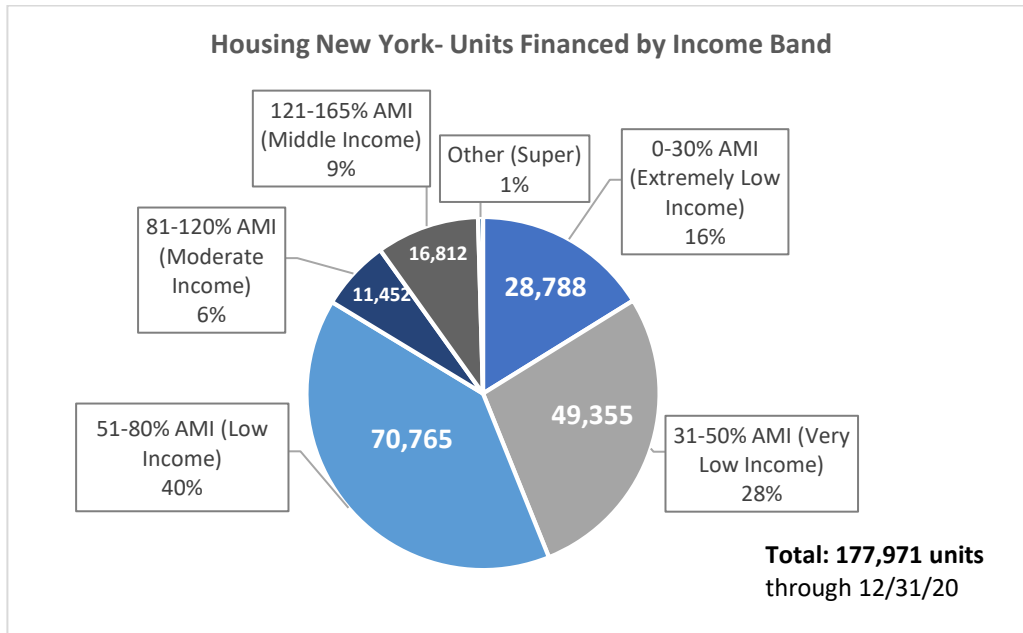


Over the life of the Housing New York plan, the City has financed the construction of 7,300 units on the average annually, and preserved an average of about 16,500 units annually. In Fiscal 2020, the City financed over 30,280 units despite the temporary pause order issued on new Capital projects in April 2020 at the onset of the COVID-19 pandemic. This marks the second highest production year for affordable housing units under the Housing New York plan, besides the highwater mark of housing production levels achieved in Fiscal 2018.

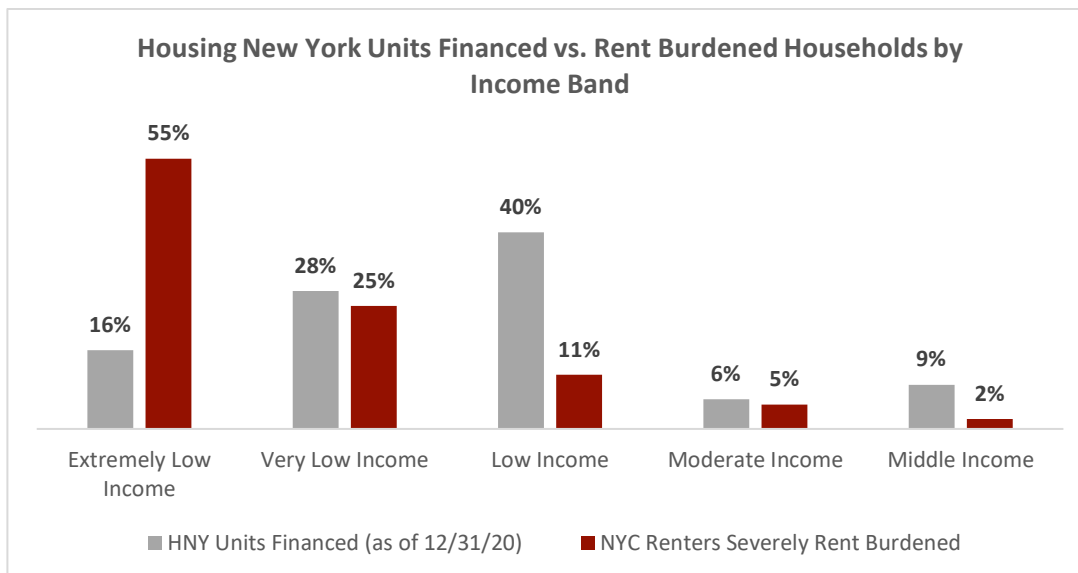


Of the 177,971 affordable housing units preserved or constructed to date, 28,788 units, or 16 percent, are provided for extremely low-income households earning up to 30 percent of the area median income (AMI) or about \$30,720 annually for a three-person household; this is more than the Administration’s target of 11 percent for this category of units over the life of the housing plan. About 49,355 units, or 28 percent, of the units financed to date are provided for very low-income households earning up to \$51,200 annually; this is more than the Administration’s target of 15 percent for this category of units over the life of the housing plan. About 70,765 units, or 40 percent, of the units financed to date are provided for low-income households earning up to \$81,920 annually; this is below the Administration’s target of 56 percent for this category of units over the life of the housing

plan. For a complete list of units financed by fiscal year, household income range and borough, see Appendix F.



Although the Administration is exceeding its current housing production targets for units affordable to extremely low-income and very low-income households, recent data from the American Community Survey demonstrates that these targets are not aligned with the percentage of New York City renters that are severely rent burdened, defined as households that pay at least 50 percent of income in rent, as demonstrated in the below chart.



In February 2020, the Administration announced the launch of Your Home NYC, a new initiative that will seek to dedicate half of all City financed newly constructed units for families earning less than \$50,000 annually, with at least half of those units affordable for families earning less than \$30,000 annually. It is estimated that this shift will require an additional \$220 million over the Housing New

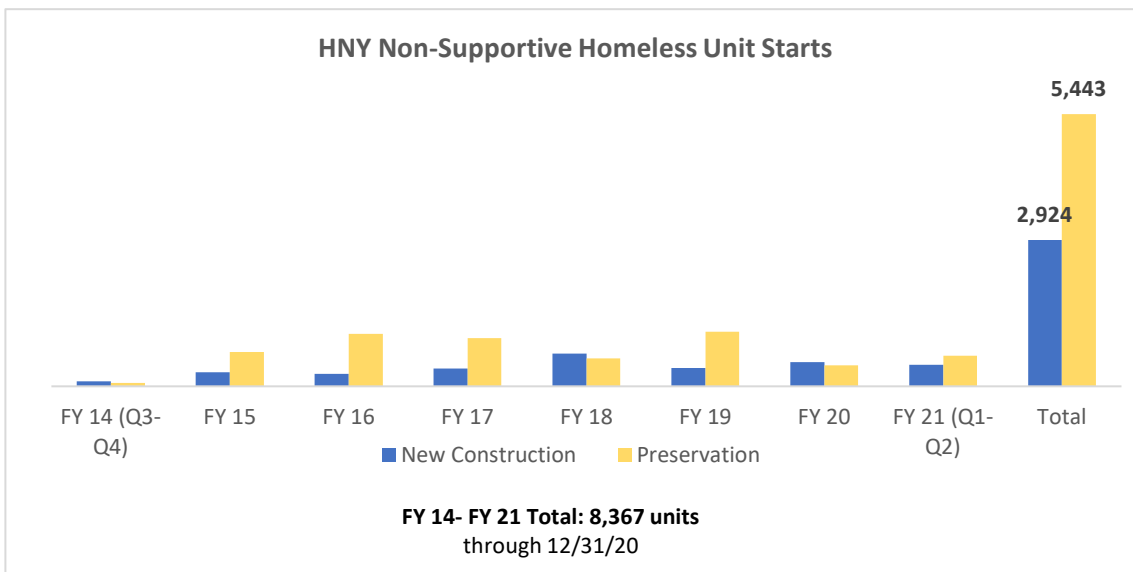
York plan’s total budget, and is expected to generate 2,000 additional units that are affordable to households earning up to \$51,200 annually.

### Housing New York- Special Populations

#### Homeless Unit Starts

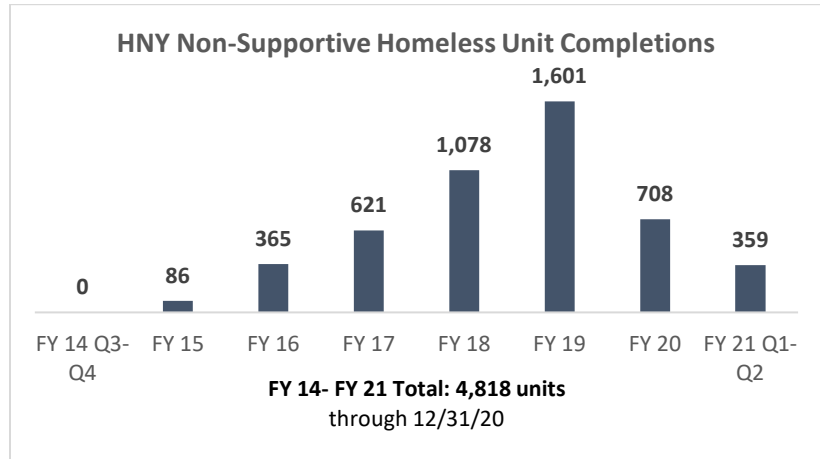
Of the 300,000 housing units the Administration is aiming to create or preserve under Housing New York by 2026, just five percent, or 15,000 units, of the overall goal was initially dedicated for homeless households. This initial target is not sufficient to house the current homeless shelter census which, as of February 2021, is comprised of over 52,100 men, women, and children. As the City’s spending on shelter continues its sharp rise, the Council has continuously pushed the Administration to prioritize permanent housing solutions over homeless shelter spending. As part of these efforts, in December 2019, the Council passed legislation (Intro 1211-A) that requires developers who receive City financial assistance for new construction of housing development projects with 41 or more units to set aside at least 15 percent of units for homeless households in each housing development project. It is estimated that the passage of this legislation would result in the production of about 250 additional units annually for homeless households.

From January 2015 to December 2020, the City financed the preservation and creation of 8,367 non-supportive homeless units, including the construction of 2,924 non-supportive homeless units and the preservation of 5,443 units. From Fiscal 2015 to Fiscal 2020, on the average, about 399 non-supportive housing units were constructed annually and about 794 non-supportive housing units were preserved annually. These production figures are detailed in the below chart.



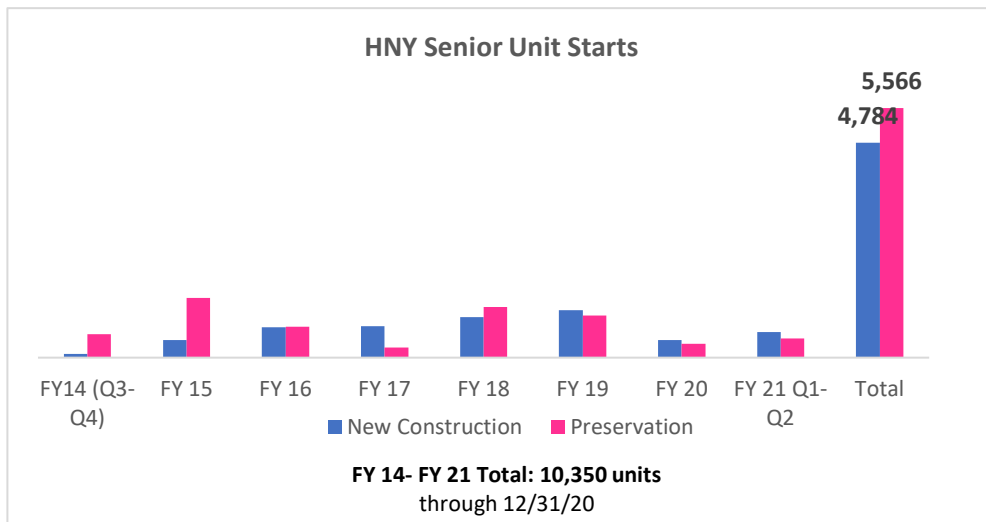
### Homeless Unit Completions

From January 2015 to December 2020, about 4,818 non-supportive homeless units were completed. From Fiscal 2015 to Fiscal 2020, on the average, about 743 non-supportive housing units were completed annually. These completion figures are detailed in the chart on the right.



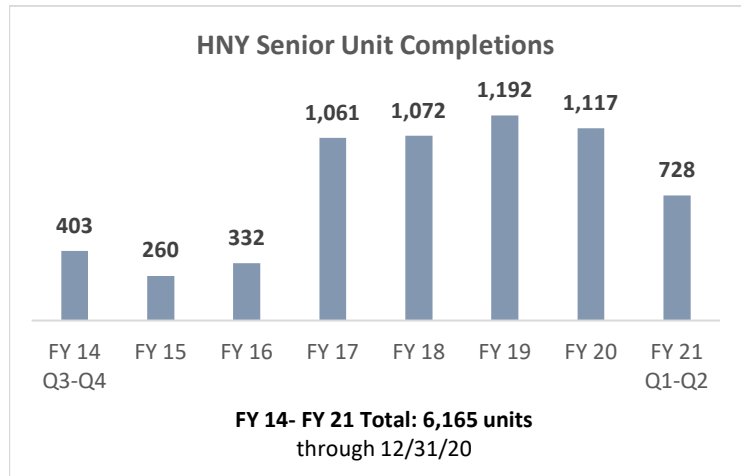
### Senior Housing Unit Starts

In October 2017, Mayor de Blasio announced the Seniors First initiative, which doubled the initial commitment to senior housing production to serve 30,000 senior households by 2026 over the extended 12-year Housing New York Plan. Over the Fiscal 2021 to Fiscal 2025 period, HPD’s Capital Commitment Plan allocates \$504.4 million to finance senior affordable housing units through the City-funded Senior Affordable Rental Apartments (SARA) program and the federally funded HUD Section 202 program. These funds will produce 1,000 units of senior affordable housing units financed annually over that time period. From January 2015 to December 2020, the City financed the preservation and creation of 10,350 senior housing units, including the construction of 4,784 senior units and the preservation of 5,566 units. From Fiscal 2015 to Fiscal 2020, on the average, about 688 senior housing units were constructed annually and about 771 senior housing units were preserved annually. These production figures are detailed in the below chart.



### Senior Housing Unit Completions

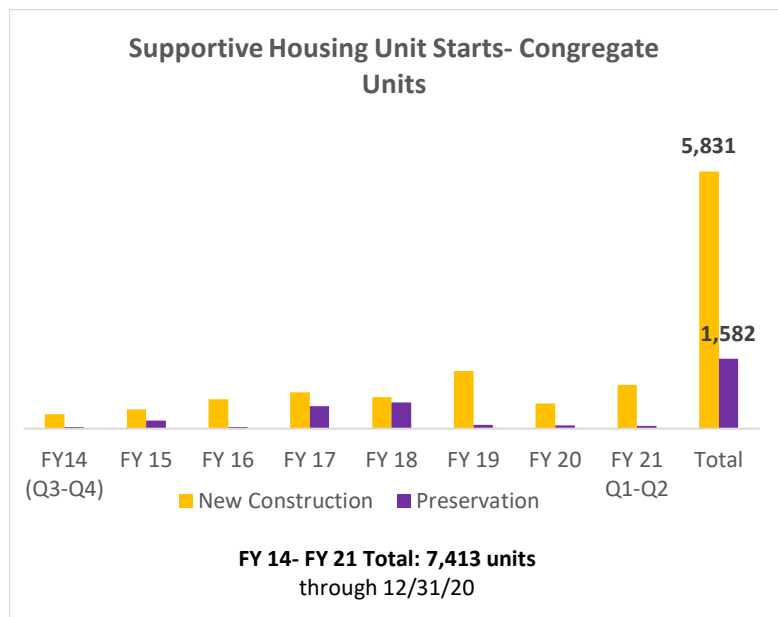
From January 2015 to December 2020, about 6,165 affordable senior units were completed. From Fiscal 2015 to Fiscal 2020, on the average, about 839 senior units were completed annually. These completion figures are detailed in the right chart. These indicators are publicly available and outlined in the PMMR.



### Supportive Housing Unit Starts

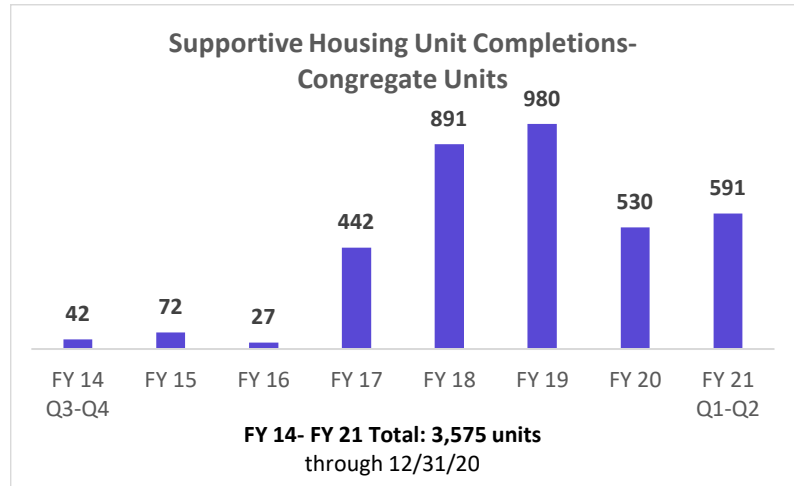
In November 2015, Mayor de Blasio launched the NYC 15/15 Initiative, which aims to create 15,000 units of supportive housing by 2030. The City’s plan includes 7,500 newly developed congregate units, financed by HPD, and 7,500 scattered site units by the New York City Human Resources Administration (HRA). The 7,500 congregate supportive housing units will require a total of \$2.6 billion in capital funds over 15 years to develop. To meet this goal, the City will provide \$1 billion in City capital funding, with the remaining funds coming from private sources. In addition to the production target, in February 2017, HPD launched the NYC 15/15 Rental Assistance Program to subsidize rent for individuals and families living in NYC 15/15 units. The program provides project-based rental assistance to eligible households living in units awarded social service funding through HRA’s Request for Proposals for congregate supportive housing. The rental assistance program pairs project-based rental assistance with an initial contract of 15 years, which is eligible for renewal near the end of the initial contract.

From January 2015 to December 2020, the City financed the preservation and creation of 7,413 congregate supportive housing units, including the construction of 5,831 supportive housing units and the preservation of 1,582 units. This total includes units funded under the NYC 15/15 initiative, NY/NY 3, and state and federal resources. From Fiscal 2015 to Fiscal 2020, on the average, about 752 supportive housing units were constructed annually and about 247 supportive housing units were preserved annually. These production figures are detailed in the right chart.



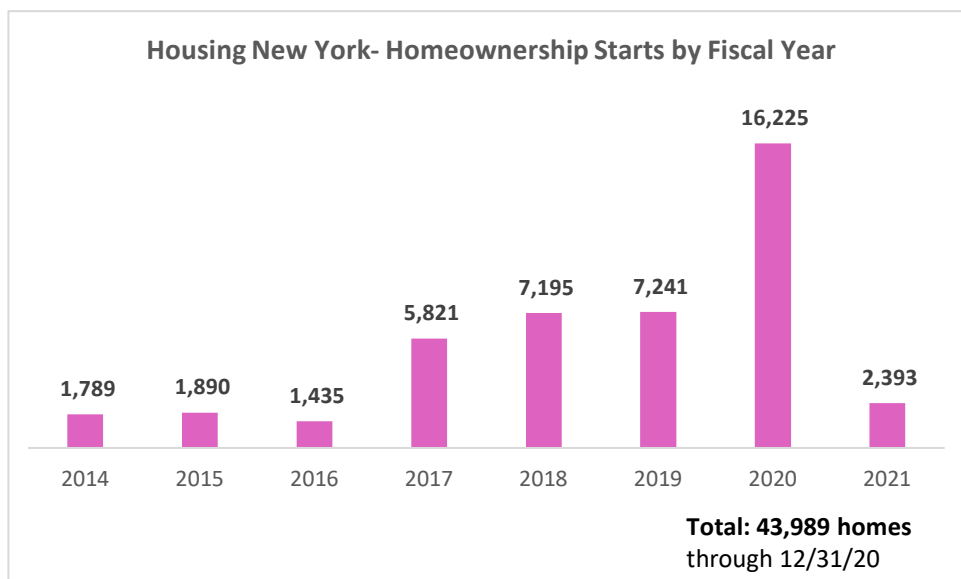
### Supportive Housing Unit Completions

From January 2015 to December 2020, about 3,575 congregate supportive housing units were completed. From Fiscal 2015 to Fiscal 2020, on the average, about 490 supportive housing units were completed annually. These completion figures are detailed in the right chart.



### Homeownership Assistance

As a component of HNY, HPD also promotes and preserves affordable homeownership through various programs, including down payment assistance for first-time homebuyers, low-interest home repair loans, and financing for the construction of co-ops and condos. Over the life of the plan, about 43,989 homes have been financed to support and sustain affordable homeownership citywide at a total cost of \$554.2 million in City subsidies. In Fiscal 2020, the City preserved 17,753 Mitchell-Lama homeownership apartments, most of which are a component of the Housing New York plan. In 2017, Mayor Bill de Blasio pledged \$250 million to protect 15,000 Mitchell-Lama apartments from converting to market-rate housing. In Summer 2019, the City announced plans to include available Mitchell-Lama apartments under the program on its Housing Connect site, which lists units available through the affordable housing lottery system. On average, about 5,500 homeownership starts are financed annually under the housing plan. These figures are detailed in the below chart.



## Capital Plan Overview

On January 14, 2021, Mayor Bill de Blasio released the Preliminary Ten-Year Capital Strategy for Fiscal 2022-2031 (the Ten-Year Strategy), the Preliminary Capital Commitment Plan for Fiscal 2021-2025 (the Commitment Plan) and the Fiscal 2022-2025 Preliminary Capital Budget (the Capital Budget). This section will provide an overview of the Preliminary Ten-Year Strategy, Commitment Plan and Capital Budget for HPD. Each one of these documents should support and be well integrated with one another to properly execute the City's capital projects as well as meet its infrastructure goals. Below we will examine to what extent this is occurring, where improvements need to be made, and the overall feasibility of HPD's capital program.

### Preliminary Ten-Year Capital Strategy for Fiscal 2022-2031

The Ten-Year Strategy is the City's long-term capital planning document which provides a framework for capital spending by agency. The Strategy is released every two years as mandated by the City Charter.

### Strategy Guiding Principles

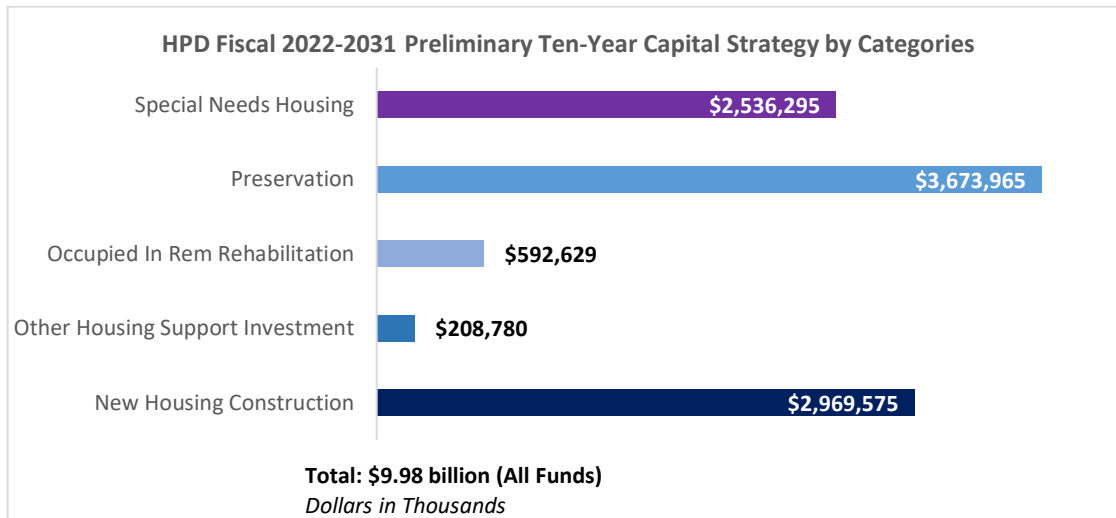
By its own description the Ten-Year Strategy document: "provides a venue for the City to demonstrate the comprehensive infrastructure planning that the City undertakes as part of its responsibility to all New Yorkers, across all neighborhoods, and explain the connections between capital investment and strategic priorities." It strives to do this through four guiding principles:

1. Maintain New York City's financial responsibility
2. Promote forward-looking, holistic capital planning that anticipates neighborhood needs of tomorrow
3. Advance a more equitable New York City through capital investment
4. Consider community perspectives in capital planning and decision-making

Using these principles we will assess HPD's Strategy, and how well it is integrated with the Department's Capital Commitment Plan and Capital Budget. The City's Ten-Year Strategy totals \$118.8 billion (all funds), which is \$1.9 billion larger than the \$116.9 billion Fiscal 2020-2029 Ten-Year Strategy. HPD's Ten-Year Capital Strategy totals \$9.98 billion, or about eight percent of the City's total Strategy.

In general, each agency's Ten-Year Strategy is broken down into Ten-Year Plan Categories which describe the general type of capital work being done. The chart below shows how HPD's Ten-Year Strategy is distributed among five categories or programs that support the Department's target of building or preserving 300,000 units of affordable housing by 2026.

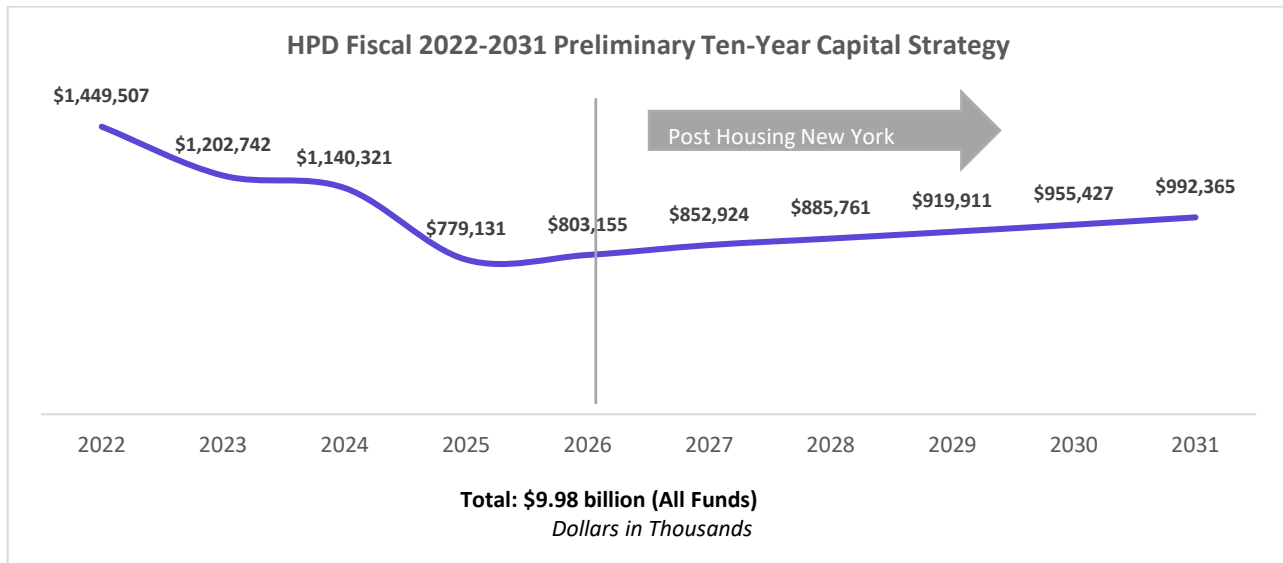




The Preliminary Ten-Year Capital Strategy provides \$10 billion for HPD in support of its capital program goals from 2022-2031. Of this amount, \$9.7 billion represents Mayoral City Capital and Elected-official funding, while approximately \$320 million will be federal funds. Additional funding will be leveraged from the New York City Housing Development Corporation (HDC) and private sources in support of the housing plan goals.

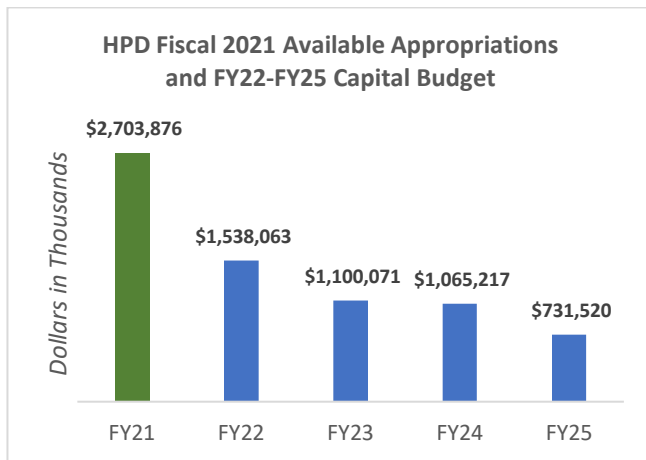
- **Preservation.** The Preliminary Ten-Year Capital Strategy provides \$3.7 billion for preservation projects. Funding will support activities to preserve existing affordable housing stock, while securing long-term affordability.
- **New Construction.** The Preliminary Ten-Year Capital Strategy provides about \$3 billion to finance new construction projects. Funding will support the construction of new units serving low, moderate, and middle income residents.
- **Special Needs Housing.** The Preliminary Ten-Year Capital Strategy provides \$2.5 billion for the construction and preservation of housing for vulnerable populations, including seniors, persons with disabilities, and formally homeless households.
- **Occupied In Rem Rehabilitation.** The Preliminary Ten-Year Capital Strategy provides \$592.6 million for the rehabilitation and disposition of City-owned housing units, which will be rehabilitated and then sold or rented to low, moderate and middle income households.
- **Other Housing Support Investment.** The Preliminary Ten-Year Capital Strategy provides \$208.8 million for Other Housing Support to fund a variety of HPD initiatives, which include the demolition of unsafe buildings, costs associated with development in urban renewal areas, computer-based productivity initiatives, and other infrastructure support.

During the first five years of HPD’s Ten-Year Strategy, from Fiscal 2022-2026, the average funding amount reflected for these programs totals \$1.1 billion per year, while average funding for the last five years of the Strategy, from Fiscal 2027-2031, decreases slightly to \$921.3 million. Notably, although the Housing New York Plan aims to build or preserve 300,000 units of affordable housing by 2026, HPD’s Preliminary Ten-Year Capital Strategy reflects the continuation of funding for these Ten-Year Strategy categories past the end date of the plan.



### Preliminary Capital Budget for Fiscal 2022-2025

The Capital Budget provides the estimated need for new appropriations for Fiscal 2022 along with projections for the subsequent three-year capital program. Appropriations represent the legal authority to spend capital dollars and are what the Council votes on at budget adoption.

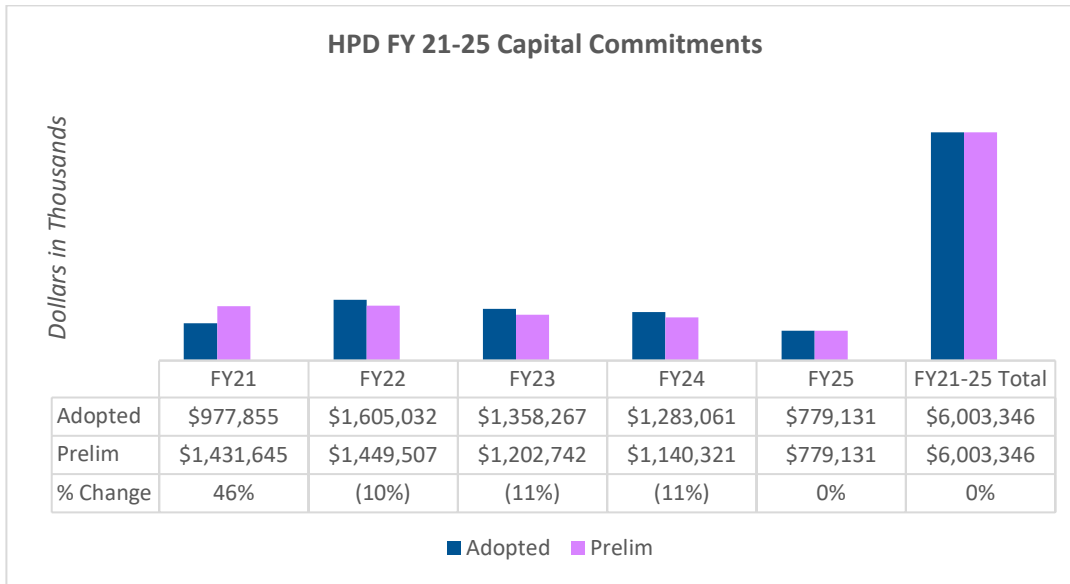


As shown in the chart at left, HPD’s Fiscal 2022 Preliminary Capital Budget includes \$4.4 billion in Fiscal 2022-2025, comprised of \$4.36 billion in City funds and \$77 million in federal funds. This represents approximately eight percent of the City’s total \$53.6 billion Capital Budget for 2022-2025. Appropriations for Fiscal 2021 total approximately \$2.7 billion. This includes about \$2 billion in reauthorized prior appropriations and \$666 million in authorized Fiscal 2021 appropriations.

### Preliminary Capital Commitment Plan for Fiscal 2021-2025

The City’s Capital Commitment Plan details the Administration’s plan to spend the appropriations voted on by the City Council at Adoption. The Commitment Plan provides project-level funding detail as well as an estimate of expenditure dates. It is through this document that we gain insight into a project’s estimated cost, start date and time to completion.

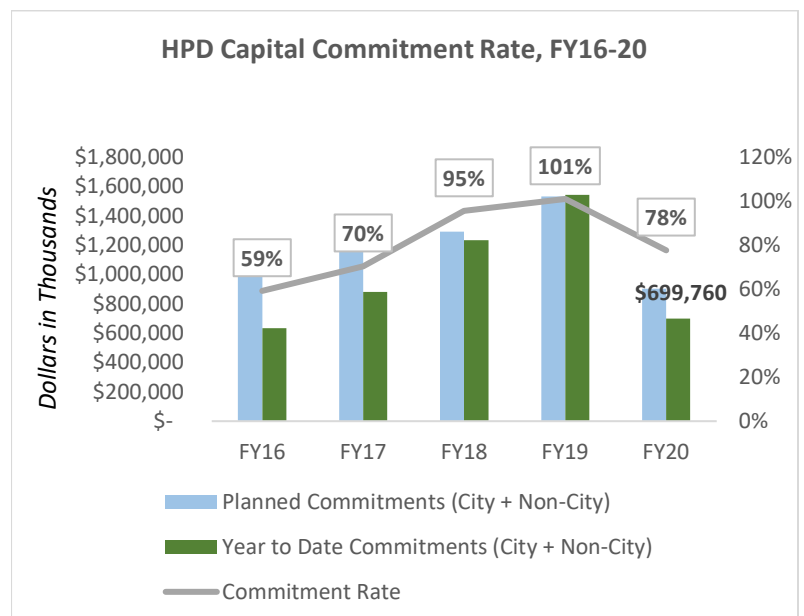
HPD’s Preliminary Commitment Plan includes \$6 billion in Fiscal 2021-2025, including \$5.8 billion in City funds and \$160 million in federal HOME funds for special needs housing. This represents approximately seven percent of the City’s total \$84.1 billion Preliminary Commitment Plan. The Capital Commitment is significantly higher than the Capital Budget because it includes the current appropriations for Fiscal 2021. The amount of uncommitted appropriations form the amount that will be re-appropriated or rolled into Fiscal 2022 in the Executive and Adopted Budgets.



The Preliminary Capital Commitment Plan for the Department for Fiscal 2021-2025 remains unchanged from the \$6 billion scheduled in the Department’s Adopted Commitment Plan. However, the Preliminary Capital Commitment Plan reallocates about \$453.8 million from Fiscal 2022-2024 back into Fiscal 2021 in order to make resources immediately available for affordable housing production. This action restores \$453.8 million to HPD’s capital commitment plan that had previously been stretched across Fiscal 2022- 2024 as part of the Fiscal 2021 Executive Financial Plan released at the onset of the COVID-19 pandemic in April 2020. Due to uncertainties at the start of the COVID-19 pandemic, in April 2020, HPD temporarily halted approval of new capital projects that had been scheduled for the end of Fiscal 2020. The Governor’s Executive Order 202 (New York State on PAUSE) specifically exempted affordable housing from the mandate to stop non-essential construction. HPD resumed its capital program in September 2020, and despite the temporary pause on new projects, the City is on track to meet or exceed the annual production target of 25,000 affordable housing units this year.

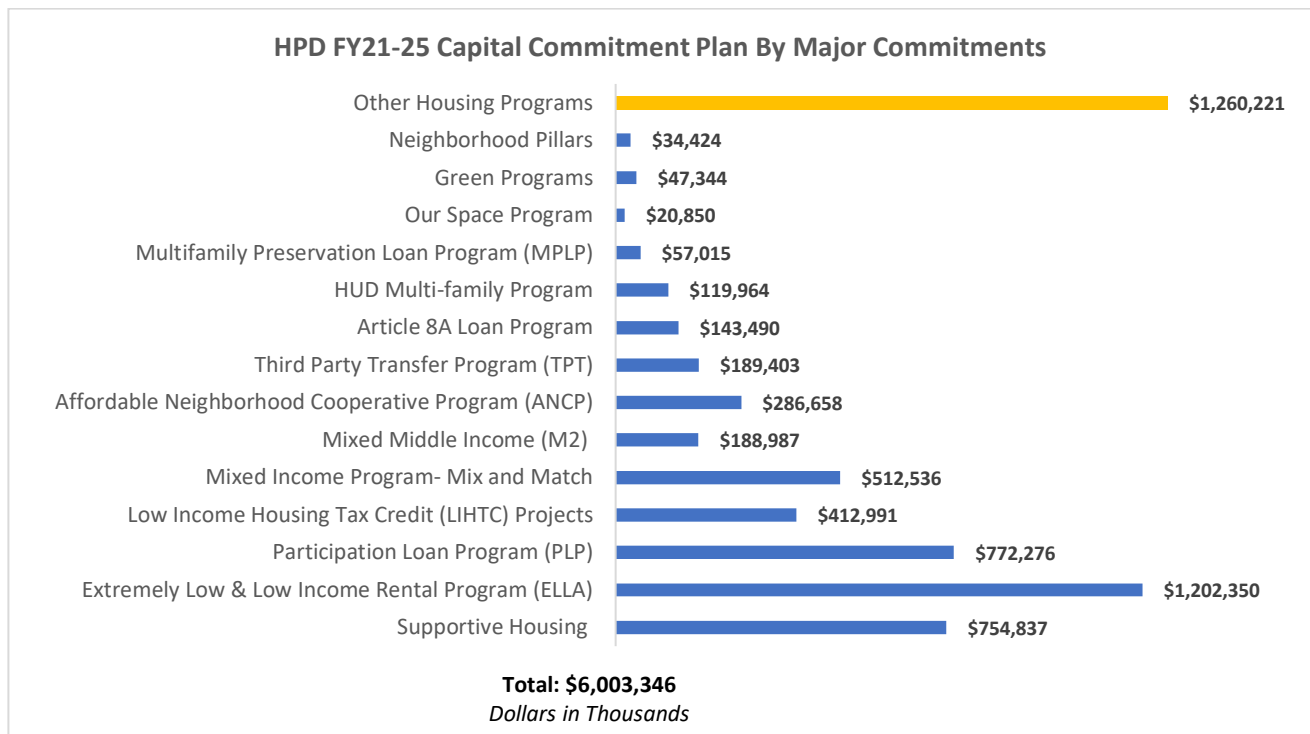
### Capital Commitment Rate

HPD has actual commitments of about \$700 million in Fiscal 2020, a commitment rate of 78 percent. The right chart displays the Department’s capital commitment plan as of the Fiscal 2016-2020 Executive Budget and the actual commitments in the corresponding fiscal year. The chart also shows the capital commitment rate: the percentage of the capital plan committed per fiscal year. Given this trend, it is likely that HPD will end Fiscal 2021 with a relatively high commitment rate, higher than the Fiscal 2020 citywide average of approximately 70 percent.



### Capital Budget Structure

The Fiscal 2022 Preliminary Capital Commitment Plan for HPD is comprised of 594 projects across 120 budget lines totaling \$6 billion in Fiscal 2021-2025, including \$5.8 billion in City funds and \$160 million in federal HOME funds. In addition to these funds, HPD leverages City capital resources to generate substantial private equity that does not flow through the City’s capital budget as part of the Department’s commitment to create and preserve 300,000 units of affordable housing under Housing New York. Under the 2021-2025 Preliminary Capital Commitment Plan, the City will invest in more than 125,000 affordable housing units, including the construction of 50,000 units and the preservation of 75,000 units as part of Housing New York. To date, the City has financed 177,971 affordable housing units through various financing programs that facilitate preservation, new construction, supportive housing, and the disposition of in rem housing stock. Major City Capital commitments and financing programs are displayed in the chart below.



#### Major Preservation Programs

- \$772.3 million for the Participation Loan Program (PLP)
- \$413 million for the Low Income Housing Tax Credit (LIHTC) Projects- LIHTC Year 15 Preservation Program
- \$286.7 million for the Affordable Neighborhood Cooperative Program (ANCP)
- \$120 million for the HUD Multi-Family program
- \$189.4 million for the Third Party Transfer Program (TPT)

#### Major New Construction Programs

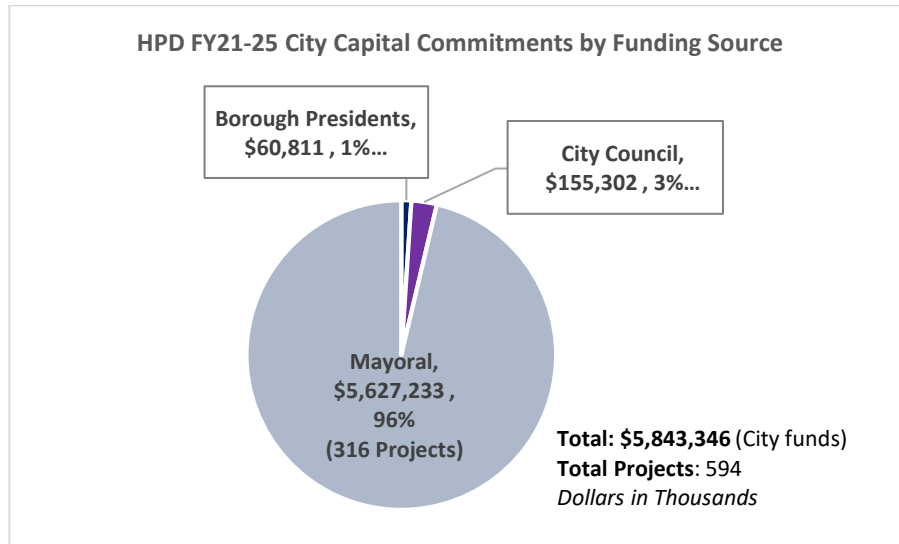
- \$1.2 billion for the Extremely Low & Low Income Affordability (ELLA) Program
- \$512.5 million for the Mixed Income Program- Mix and Match

#### Major Special Needs/Supportive Housing Programs

- \$754.8million for Supportive Housing Loan Programs
- \$20.9 million for the Our Space program

### City Capital Commitments

HPD’s City Capital Commitment of \$5.84 billion includes: 316 mayoral-funded projects totaling \$5.6 billion, 165 City Council-funded projects totaling \$155.3 million, and 113 Borough President-funded projects totaling \$60.8 million. Over the Fiscal 2021-2025 period, mayoral capital projects in HPD’s portfolio will receive an average of about \$17.8 million in funding, compared to discretionary capital projects, which will receive about \$777,000 on average. City Capital commitments grouped by funding source are displayed in the chart below.



Despite delays during the height of the COVID-19 pandemic, the City prioritized projects that serve the most vulnerable New Yorkers. Some of the major City Capital projects that will be prioritized in the Preliminary Capital Plan for Fiscal 2021-2025 include the following projects:

- 90 Sands.** A former Jehovah’s Witnesses hotel that will be transformed into 491 affordable homes in the DUMBO neighborhood of Brooklyn. Over 300 of these apartments are set aside for formerly homeless New Yorkers, who will also receive on-site supportive services.
- Bronx Point A.** The first of two phases of development that will bring 542 affordable homes, of which 271 will serve families earning less than \$52,000. The project will also provide approximately 2.8 acres of public open space to the South Bronx. Located along the Harlem River waterfront, this first phase is a mixed-used development that will provide a permanent home for the Universal Hip-Hop Museum, an early childhood space run by BronxWorks, and an outdoor science programming run by the Billion Oyster Project.
- Rockaway Village 3.** The third phase of a multi-phase mixed-use development located in Downtown Far Rockaway, Queens that is replacing a derelict shopping mall with affordable housing along with commercial space, public plazas, a new library and other infrastructure improvements. This third phase includes an additional 354 affordable homes, and nearly half of which will serve families of three earning less than \$52,000; the remaining units will serve those earning less than \$82,000.
- Soundview Homes.** This project will provide 72 affordable co-ops across 10-four-story townhouses on NYCHA’s Soundview campus in the Bronx, including 27 one-bedroom apartments, 33 two-bedroom apartments, and 12 three-bedroom apartments.

Some of the major Fiscal 2021-2025 Mayoral-funded Capital projects that are a priority to the Council include the following:

- **Cluster Sites Program.** The Preliminary Capital Commitment Plan includes \$16.6 million to support the rehabilitation costs of buildings acquired under Phase I of the program. In the first completed conversion in April 2019, the City financed not-for-profit developers' acquisition of 21 buildings at 17 sites for a total cost of \$173 million. This transaction converted 468 cluster units to permanent housing and preserved 261 other units in these properties as affordable housing immediately upon acquisition. In February 2020, the second phase of the cluster site program converted 200 cluster units at 14 buildings to permanent affordable housing. It is estimated that there are now approximately 1,175 cluster units remaining, which represents a 67 percent reduction from a record high-point of approximately 3,600 units in 2016. Active negotiations for the acquisition of additional sites under Phases III and IV of the program are currently in progress.
- **Basement Apartment Conversion Pilot Program.** The Preliminary Capital Commitment Plan includes \$863,000 for the basement apartment conversion pilot program in East New York. The pilot program provides eligible low- and middle-income homeowners living in one- to three-family homes in East New York and Cypress Hills, Brooklyn with low or no-interest loans, with a maximum loan amount of \$120,000, in order to bring existing basement apartment units up to code. Although the Basement Apartment Conversion Pilot Program remains on pause for new conversions, the recently passed legislation extending the pilot program for six months due to COVID-19 delays has allowed HPD to continue to work to advance projects for about 10 existing homeowners in the program.
- **Senior Affordable Housing at six publicly-owned sites.** The Preliminary Capital Commitment Plan includes \$49.4 million for the financing costs associated with the development of 1,000 units of senior housing at six city-owned sites, per the Fiscal 2019 Adopted Budget agreement between the City Council and the Administration. Under the agreement, HPD will develop 100 percent affordable senior housing at four City-owned sites in Prospect Heights, Brooklyn; Bed-Stuy, Brooklyn; Astoria, Queens and Highbridge, in the Bronx, and 100 percent affordable senior housing at two NYCHA-owned sites, Morris I/II Houses in the Bronx and Kingsborough Houses in Brooklyn. To date, the Administration has issued two RFPs for development at the sites located in Astoria, Queens and Highbridge in the Bronx. In total, the Preliminary Capital Commitment Plan allocates the below amounts for the following six sites:
  - \$13 million for the Kingsborough site in Crown Heights/Brownsville, Brooklyn;
  - \$13 million for the Morris I/II site in Claremont Village in the Bronx;
  - \$6.5 million for the 516 Bergen Street & 542 Dean Street site in Prospect Heights, Brooklyn;
  - \$6.5 million for the Fulton Sites A&B in Bed-Stuy, Brooklyn;
  - \$5.85 million for the 31st & Broadway site in Astoria, Queens; and
  - \$4.55 million for the 97 West 169th Street site in Highbridge in the Bronx.
- **East Harlem/El Barrio Community Land Trust.** The Preliminary Capital Commitment Plan includes \$500,000 to support the efforts of the East Harlem/ El Barrio Community Land Trust (CLT). A CLT is a nonprofit entity that stewards the housing or other buildings on its property by retaining ownership of the land. The CLT will operate four formerly city-owned buildings in East Harlem and Central Harlem as a 36 home affordable mutual housing association rental project.

## Appendices

### A: HPD Reconciliation of Program Areas to Units of Appropriation

Dollars in Thousands	Personal Services					Other Than Personal Services							Grand Total
	001	002	003	004	006	008	009	010	011	012	013	014	
Administration	\$40,425	\$479	\$0	\$2,471	\$0	\$6,895	\$327	\$371	\$212	\$0	\$0	\$0	\$51,180
Administration Program	310	12,336	0	0	660	2,653	709	0	4,366	232,912	0	0	\$253,947
Development	1,636	20,112	0	0	0	0	7,592	0	0	0	0	0	\$29,340
Housing Operations - Section 8 Programs	80	600	16,721	0	1,013	0	0	0	0	0	492,899	0	\$511,314
Housing Operations - Emergency Housing	0	0	0	3,047	1,569	0	0	0	0	0	0	22,104	\$26,720
Housing Operations - Mgmt & Disposition	0	0	0	0	16,901	106	0	12,253	0	0	0	0	\$29,259
Preservation - Anti-Abandonment	0	0	0	1,363	0	0	761	0	0	0	4,174	0	\$6,598
Preservation - Code Enforcement	0	0	0	27,862	0	0	0	0	8,403	0	0	0	\$36,265
Preservation - Emergency Repair	2,260	0	0	8,535	0	0	0	0	29,144	0	0	0	\$39,939
Preservation - Lead Paint	2,073	574	0	16,810	0	0	579	1,279	120	0	0	0	\$21,436
Preservation - Other Agency Services	0	0	0	8,135	3,458	510	87	62	14,667	0	0	0	\$26,919
<b>Grand Total</b>	<b>\$46,784</b>	<b>\$34,101</b>	<b>\$16,721</b>	<b>\$68,222</b>	<b>\$23,601</b>	<b>\$10,165</b>	<b>\$10,055</b>	<b>\$13,965</b>	<b>\$56,913</b>	<b>\$232,912</b>	<b>\$497,373</b>	<b>\$22,104</b>	<b>\$1,032,916</b>

### B: Budget Actions in the November and the Preliminary Plans

Dollars in Thousands	FY 21			FY 22		
	City	Non-City	Total	City	Non-City	Total
<b>HPD Budget as of the Adopted FY21 Budget</b>	<b>\$310,589</b>	<b>\$744,885</b>	<b>\$1,055,474</b>	<b>\$295,951</b>	<b>\$737,209</b>	<b>\$1,033,160</b>
<b>PEGs/Savings Program- Nov. 2020 Plan</b>						
Hiring Freeze	(\$121)	\$0	(\$121)	(\$162)	\$0	(\$162)
NYC 15 BPCA	0	0	0	(2,766)	2,766	0
<b>Subtotal, Savings</b>	<b>(\$121)</b>	<b>\$0</b>	<b>(\$121)</b>	<b>(\$2,928)</b>	<b>\$2,766</b>	<b>(\$162)</b>
<b>Other Adjustments- Nov. 2020 Plan</b>						
Acquisition BPCA Roll	\$0	\$4,624	\$4,624	\$0	\$0	\$0
Acquisition Advertising	0	2	2	0	0	0
BPCA for Acquisition and Buyout	0	735	735	0	0	0
Bring Up CD Funds	0	3,562	3,562	0	0	0
Bring up funds for NYC15	0	7,217	7,217	0	0	0
CDBG-DR SF Rebuild Roll	0	1,901	1,901	0	0	0
Citywide Discretionary Training Freeze	(26)	0	(26)	0	0	0
Citywide Wireless Services	0	0	0	(40)	0	(40)
CNYCN BPCA Funding Roll	0	734	734	0	0	0
COVID-19 Laptops for staff	0	74	74	0	0	0
FFY17 UASI Fed Closeout	0	(11)	(11)	0	0	0
FY21 HPD Encumbrances	0	6,264	6,264	0	0	0
FY21 NYCHA Roll	0	3,921	3,921	0	0	0
Google Voice expenses	0	120	120	0	0	0
HP PCs & Monitors for DTR	0	192	192	0	0	0
HPD COVID-19 Mailing	0	150	150	0	0	0
Laptops Request to DOITT	0	68	68	0	0	0
Lead Demo 2017 Rollover	0	548	548	0	0	0
Managerial and OJ Furlough Savings	(276)	(87)	(363)	0	0	0
NYC15 Adjustment	1,708	0	1,708	(1,708)	1,708	0
NYCHA DANY FY21	0	1,496	1,496	0	0	0
Project Open House	0	91	91	0	0	0
Put up DR for MF Program & Admin	0	8,167	8,167	0	0	0
Putting up FY21 CD & CDBG-DR funds	0	166,172	166,172	0	0	0
Reallocation FSS PS to OTPS		31	31	0	0	0
Roll BPCA for Acquisition Prog	0	2,066	2,066	0	0	0
Roll from FY20 to FY21 - various budget codes	0	2,484	2,484	0	0	0

<i>Dollars in Thousands</i>	FY 21			FY 22		
	City	Non-City	Total	City	Non-City	Total
Roll over UASI 17 FY20 to FY21	0	11	11	0	0	0
Rollback FY21 to FY20 - 7005	0	(3)	(3)	0	0	0
Roll from FY20 to FY21 - 4021	0	262	262	0	0	0
S8 HPP Contract Funding	0	64	64	0	0	0
Schedule funds for FSS	0	267	267	0	0	0
Schedule funds for UASI FFY18	0	11	11	0	0	0
Sec 8 CARES-Postage	0	150	150	0	0	0
Section 8 Mobility Program	0	45	45	0	0	0
Surface Pro Purchase Request	0	5	5	0	0	0
Take down partial funds - FSS	0	(116)	(116)	0	0	0
Takedown CNYCN OC	0	(1,484)	(1,484)	0	0	0
To reallocate FSS funding	0	21	21	0	0	0
To schedule FSS funds for DCA	0	98	98	0	0	0
To schedule funds from UASI 18	0	56	56	0	11	11
Vehicle Purchase Freeze	(73)	0	(73)	(3)	0	(3)
<b>Subtotal, Other Adjustments</b>	<b>\$1,333</b>	<b>\$209,911</b>	<b>\$211,243</b>	<b>(\$1,750)</b>	<b>\$1,719</b>	<b>(\$32)</b>
<b>TOTAL, All Changes Nov. 2020 Plan</b>	<b>\$1,211</b>	<b>\$209,911</b>	<b>\$211,122</b>	<b>(\$4,678)</b>	<b>\$4,485</b>	<b>(\$193)</b>
<b>HPD Budget as of the Nov 2020 Plan</b>	<b>\$311,800</b>	<b>\$954,796</b>	<b>\$1,266,596</b>	<b>\$291,274</b>	<b>\$741,693</b>	<b>\$1,032,967</b>
<b>PEGs/Savings Program- Prelim. 2022</b>						
DNP Reallocation Savings	(\$945)	\$0	(\$945)	(\$1,619)	\$0	(\$1,619)
Hiring and Attrition Management	(121)	0	(121)	0	0	0
Owner Outreach Re-estimate	(500)	0	(500)	0	0	0
<b>Subtotal, Savings</b>	<b>(\$1,566)</b>	<b>\$0</b>	<b>(\$1,566)</b>	<b>(\$1,619)</b>	<b>\$0</b>	<b>(\$1,619)</b>
<b>New Needs- Prelim. 2022</b>						
Development Legal Need	\$350	\$0	\$350	\$0	\$0	\$0
Development Temp Staff Need	300	0	300	0	0	0
ERP and AEP Floodplain	700	0	700	0	0	0
Three-Quarter Housing New Need	461	0	461	0	0	0
<b>Subtotal, New Needs</b>	<b>\$1,811</b>	<b>\$0</b>	<b>\$1,811</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Adjustments- Prelim. 2022</b>						
BiB Acquisition BPCA takedown	\$0	(\$7,425)	(\$7,425)	\$0	\$0	\$0
CARES FUNDING-HP PCs & Monitor	0	80	80	0	0	0
CC Member Item Reallocations	94	0	94	0	0	0
CD Rollover	0	8,374	8,374	0	0	0
City Council Member Item Reallocation	(2)	0	(2)	0	0	0
Citywide Wireless Services	(11)	0	(11)	0	0	0
FFY18 UASI Scheduling Equip	0	0	0	0	0	0
FloodHelpNY Grant	0	80	80	0	0	0
FSS DCA Rollover	0	98	98	0	0	0
FY21 HPD SRS	0	419	0	0	0	0
NYCHA: HMGP	0	2,816	2,816	0	1,568	1,568
Office Supplies Spending	(100)	0	(100)	0	0	0
Reduce BC 7624 to BC CV06	0	7	7	0	0	0
To allocate funds for POH	0	365	0	0	0	0
To take down UASI 17 in FY21	0	(3)	(3)	0	0	0
<b>Subtotal, Other Adjustments</b>	<b>(\$19)</b>	<b>\$4,810</b>	<b>\$4,008</b>	<b>\$0</b>	<b>\$1,568</b>	<b>\$1,568</b>
<b>TOTAL, All Changes Prelim. 2022</b>	<b>\$227</b>	<b>\$4,810</b>	<b>\$4,254</b>	<b>(\$1,619)</b>	<b>\$1,568</b>	<b>(\$51)</b>
<b>HPD Budget as of the Fiscal 2022 Preliminary Budget</b>	<b>\$312,027</b>	<b>\$959,606</b>	<b>\$1,271,633</b>	<b>\$289,654</b>	<b>\$743,262</b>	<b>\$1,032,916</b>



**C: HPD Contract Budget**

<b>HPD Fiscal 2022 Preliminary Contract Budget</b>				
<i>Dollars in Thousands</i>				
<b>Category</b>	<b>Fiscal 2021 Adopted</b>	<b>Number of Contracts</b>	<b>Fiscal 2022 Preliminary</b>	<b>Number of Contracts</b>
Cleaning Services	\$0	1	\$19	2
Community Consultants	\$28,543	9	\$23,126	11
Contractual Services - General	\$47,870	18	\$56,195	17
Data Processing Equipment Maintenance	\$629	2	\$412	3
Financing Costs	\$0	0	\$1	1
In-Rem Maintenance Costs	\$591	4	\$1,510	18
Maintenance and Repairs - General	\$16,223	58	\$13,030	58
Maintenance and Repairs - Motor Vehicle Equip	\$0	0	\$54	3
Office Equipment Maintenance	\$581	3	\$274	2
Prof. Services - Legal Services	\$78	3	\$121	3
Prof. Services - Other	\$1,369	4	\$46	2
Security Services	\$1,449	6	\$669	4
Telecommunications Maintenance	\$0	0	\$22	1
Temporary Services	\$1,595	8	\$1,276	8
Training Program for City Employees	\$386	7	\$726	6
<b>TOTAL</b>	<b>\$99,315</b>	<b>123</b>	<b>\$97,481</b>	<b>139</b>

**D: HPD Miscellaneous Revenue**

<b>HPD Miscellaneous Revenue Budget Overview</b>						
<i>Dollars in Thousands</i>						
Revenue Sources	FY19	FY20	FY21	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY21	FY22	FY21 - FY22
<b>Licenses, Permits &amp; Franchises</b>						
Vending Machine Commission	\$80	\$27	\$84	\$84	\$84	\$0
<b>Charges for Services</b>						
420-c Fees for Tax-Exempt Program	\$514	\$414	\$200	\$200	\$200	\$0
Tax Credit Fees	4,000	5,000	2,059	2,059	2,059	0
Inclusionary Housing Fee	1,932	1,295	1,732	925	1,732	0
J-51 Tax Exempt/Abatement Fees	855	505	900	900	900	0
Agreement Fees	52	32	43	43	43	0
Commitment Fees	1,237	477	1,167	1,167	1,167	0
CONH Fee	137	41	150	150	316	166
Section 421(a) Tax Exempt Fee	18,933	18,698	1,000	2,500	1,000	0
Mortgage Refinance Fee	254	231	476	476	476	0
Affordable NY Housing Program	15,171	21,930	13,000	16,000	13,000	0
Multiple Dwelling & Copy Fees	849	733	316	550	316	0
Heat/Hot Water Inspection Fee	52	83	10	35	10	0
Dismissal Request	891	818	240	550	240	0
<b>Subtotal, Charges for Services</b>	<b>\$44,877</b>	<b>\$50,255</b>	<b>\$21,292</b>	<b>\$25,554</b>	<b>\$21,458</b>	<b>\$166</b>
<b>Rental Income</b>						
Residential Rents	\$805	\$521	\$135	\$425	\$160	\$25
Residential Rent Arrears-TLAU	285	148	215	25	112	(103)
Commercial Rent Resid Bldgs	93	14	4	4	4	0
Urban Renewal Commer Rent	6	5	21	5	5	(16)
Parking Lot Revenue	326	166	106	300	106	0
Willets Point	288	264	288	288	288	0
Waterside & Surcharges	14,789	13,508	12,100	12,400	12,100	0
<b>Subtotal, Rental Income</b>	<b>\$16,592</b>	<b>\$14,624</b>	<b>\$12,869</b>	<b>\$13,447</b>	<b>\$12,775</b>	<b>(\$94)</b>
<b>Fines and Forfeitures</b>						
Housing Court Fines	\$1,875	\$1,151	\$1,066	\$1,066	\$1,066	\$0
Heat/Hot water Violations	68	66	40	40	40	0
<b>Subtotal, Fines and Forfeitures</b>	<b>\$1,943</b>	<b>\$1,217</b>	<b>\$1,106</b>	<b>\$1,106</b>	<b>\$1,106</b>	<b>\$0</b>
<b>Other Misc.</b>						
In-Rem Negotiated Sales	\$4,464	\$4,088	\$3,100	\$3,100	\$3,100	\$0
RFP/BID Books/Employee Fines	882	792	565	565	565	0
<b>Subtotal, Miscellaneous</b>	<b>\$5,345</b>	<b>\$4,880</b>	<b>\$3,665</b>	<b>\$3,665</b>	<b>\$3,665</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$68,838</b>	<b>\$71,004</b>	<b>\$39,016</b>	<b>\$43,856</b>	<b>\$39,088</b>	<b>\$72</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.

**E: Program Areas**

**Administration**

<b>Administration</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$1,490	\$1,055	\$681	\$681	\$681	\$0
Additional Gross Pay - Labor Reserve	18	18	0	0	0	0
Fringe Benefits - SWB	10	0	24	24	24	0
Full-Time Salaried - Civilian	37,897	40,534	41,797	41,610	41,801	5
Other Salaried	0	3	36	36	36	0
Overtime - Civilian	630	570	406	406	406	0
P.S. Other	4	10	0	0	0	0
Unsalaries	399	332	426	426	426	0
<b>Subtotal</b>	<b>\$40,448</b>	<b>\$42,523</b>	<b>\$43,370</b>	<b>\$43,183</b>	<b>\$43,375</b>	<b>\$5</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$3,362	\$2,671	\$1,424	\$1,703	\$950	(\$474)
Contractual Services - Financing	0	0	0	0	1	1
Contractual Services - Professional Services	2,316	197	152	316	31	(121)
Fixed & Misc. Charges	73	73	5	5	58	53
Other Services & Charges	3,832	3,877	3,392	3,425	3,433	41
Property & Equipment	435	879	334	177	1,092	758
Supplies & Materials	968	940	1,786	1,448	2,241	455
<b>Subtotal</b>	<b>\$10,986</b>	<b>\$8,637</b>	<b>\$7,092</b>	<b>\$7,075</b>	<b>\$7,805</b>	<b>\$713</b>
<b>TOTAL</b>	<b>\$51,434</b>	<b>\$51,160</b>	<b>\$50,462</b>	<b>\$50,258</b>	<b>\$51,180</b>	<b>\$718</b>
<b>Funding</b>						
Capital- IFA			\$2,119	\$2,119	\$2,120	\$1
City Funds			40,823	40,068	41,307	484
Federal - Community Development			5,309	5,404	5,358	49
Federal - Other			2,206	2,440	2,390	184
Intra City			6	6	6	0
Other Categorical			0	222	0	0
<b>TOTAL</b>	<b>\$51,434</b>	<b>\$51,160</b>	<b>\$50,462</b>	<b>\$50,258</b>	<b>\$51,180</b>	<b>\$718</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	416	442	467	453	467	0
<b>TOTAL</b>	<b>416</b>	<b>442</b>	<b>467</b>	<b>453</b>	<b>467</b>	<b>0</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Administration Program**

<b>Administration Program</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$436	\$545	\$239	\$239	\$239	\$0
Full-Time Salaried - Civilian	12,627	13,160	12,994	12,905	12,918	(77)
Overtime - Civilian	189	135	151	151	151	0
Unsalaries	42	0	0	0	0	0
<b>Subtotal</b>	<b>\$13,293</b>	<b>\$13,840</b>	<b>\$13,383</b>	<b>\$13,294</b>	<b>\$13,307</b>	<b>(\$77)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$3,643	\$5,367	\$1,222	\$2,976	\$991	(\$231)
Contractual Services - Professional Services	10	34	14	14	14	0
Fixed & Misc. Charges	141,832	266,814	231,179	384,059	213,410	(17,769)
Other Services & Charges	3,794	872	24,601	27,674	25,633	1,032
Property & Equipment	0	12	82	108	0	(82)
Supplies & Materials	1	2	243	197	593	350
<b>Subtotal</b>	<b>\$149,279</b>	<b>\$273,101</b>	<b>\$257,341</b>	<b>\$415,028</b>	<b>\$240,641</b>	<b>(\$16,700)</b>
<b>TOTAL</b>	<b>\$162,573</b>	<b>\$286,941</b>	<b>\$270,724</b>	<b>\$428,322</b>	<b>\$253,948</b>	<b>(\$16,777)</b>
<b>Funding</b>						
City Funds			\$211,214	\$211,127	\$193,600	(\$17,614)
Federal - Community Development			56,978	213,168	58,207	1,229
Federal - Other			1,904	1,904	1,905	1
Intra City			235	235	235	0
State			392	1,888	0	(392)
<b>TOTAL</b>	<b>\$162,573</b>	<b>\$286,941</b>	<b>\$270,724</b>	<b>\$428,322</b>	<b>\$253,948</b>	<b>(\$16,777)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	175	171	179	177	177	(2)
<b>TOTAL</b>	<b>175</b>	<b>171</b>	<b>179</b>	<b>177</b>	<b>177</b>	<b>(2)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Development**

<b>Development</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$557	\$324	\$92	\$92	\$92	\$0
Full-Time Salaried - Civilian	17,640	18,244	21,904	21,629	21,651	(253)
Other Salaried	0	1	0	0	0	0
Overtime - Civilian	63	51	0	0	0	0
Unsalaries	0	0	4,766	4,766	4,766	0
<b>Subtotal</b>	<b>\$18,260</b>	<b>\$18,620</b>	<b>\$22,001</b>	<b>\$21,726</b>	<b>\$21,747</b>	<b>(\$253)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$28,503	\$20,361	\$3,400	\$14,504	\$3,800	\$400
Fixed & Misc. Charges	127,998	24,164	4,500	38,729	3,768	(732)
Other Services & Charges	2	4	0	0	0	0
Supplies & Materials	397	386	424	400	24	(400)
<b>Subtotal</b>	<b>\$156,901</b>	<b>\$44,916</b>	<b>\$8,324</b>	<b>\$53,633</b>	<b>\$7,592</b>	<b>(\$732)</b>
<b>TOTAL</b>	<b>\$175,161</b>	<b>\$63,535</b>	<b>\$30,325</b>	<b>\$75,359</b>	<b>\$29,339</b>	<b>(\$985)</b>
<b>Funding</b>						
Capital- IFA			\$11,043	\$11,020	\$11,046	\$3
City Funds			6,854	14,410	6,977	123
Federal - Community Development			5,623	41,638	4,801	(821)
Federal - Other			6,395	7,619	6,105	(290)
Other Categorical			410	672	410	0
<b>TOTAL</b>	<b>\$175,161</b>	<b>\$63,535</b>	<b>\$30,325</b>	<b>\$75,359</b>	<b>\$29,339</b>	<b>(\$985)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	227	208	293	271	286	(7)
<b>TOTAL</b>	<b>227</b>	<b>208</b>	<b>293</b>	<b>271</b>	<b>286</b>	<b>(7)</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.

**Housing Operations- Section 8 Programs**

<b>Housing Operations- Section 8 Programs</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$477	\$326	\$164	\$164	\$164	\$0
Full-Time Salaried - Civilian	17,069	18,899	18,259	17,926	17,978	(281)
Overtime - Civilian	1,155	996	142	142	142	0
Unsalaries	100	93	130	130	130	0
<b>Subtotal</b>	<b>\$18,800</b>	<b>\$20,314</b>	<b>\$18,696</b>	<b>\$18,363</b>	<b>\$18,414</b>	<b>(\$281)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$3,466	\$4,714	\$9,653	\$3,616	\$20,031	\$10,378
Contractual Services - Professional Services	1,575	1,246	1,093	2,208	0	(1,093)
Fixed & Misc. Charges - Section 8	491,876	509,381	471,819	471,841	471,819	0
Other Services & Charges	473	398	3,872	3,572	1,050	(2,823)
Property & Equipment	290	96	93	326	0	(93)
Supplies & Materials	520	601	399	1,034	0	(399)
<b>Subtotal</b>	<b>\$498,199</b>	<b>\$516,436</b>	<b>\$486,929</b>	<b>\$482,596</b>	<b>\$492,899</b>	<b>\$5,970</b>
<b>TOTAL</b>	<b>\$517,000</b>	<b>\$536,750</b>	<b>\$505,625</b>	<b>\$500,959</b>	<b>\$511,314</b>	<b>\$5,689</b>
<b>Funding</b>						
City Funds			\$8,425	\$1,608	\$19,509	\$11,084
Federal - Other			496,872	499,024	491,769	(5,103)
Other Categorical			327	327	35	(292)
<b>TOTAL</b>	<b>\$517,000</b>	<b>\$536,750</b>	<b>\$505,625</b>	<b>\$500,959</b>	<b>\$511,314</b>	<b>\$5,689</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	302	328	233	230	230	(3)
<b>TOTAL</b>	<b>302</b>	<b>328</b>	<b>233</b>	<b>230</b>	<b>230</b>	<b>(3)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Housing Operations- Emergency Housing**

<b>Housing Operations- Emergency Housing</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$189	\$185	\$97	\$97	\$97	\$0
Additional Gross Pay - Labor Reserve	13	14	0	0	0	0
Fringe Benefits	2	4	0	0	0	0
Full-Time Salaried - Civilian	3,619	4,432	4,622	4,422	4,429	(193)
Overtime - Civilian	76	47	48	48	48	0
Unsalaries	47	40	42	42	42	0
<b>Subtotal</b>	<b>\$3,946</b>	<b>\$4,723</b>	<b>\$4,809</b>	<b>\$4,609</b>	<b>\$4,616</b>	<b>(\$193)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$40,556	\$32,242	\$24,106	\$24,976	\$22,068	(\$2,038)
Other Services & Charges	9	10	36	36	36	0
Property & Equipment	0	4	0	17	0	0
Supplies & Materials	0	1	1	1	0	(1)
<b>Subtotal</b>	<b>\$40,565</b>	<b>\$32,258</b>	<b>\$24,144</b>	<b>\$25,029</b>	<b>\$22,104</b>	<b>(\$2,039)</b>
<b>TOTAL</b>	<b>\$44,512</b>	<b>\$36,980</b>	<b>\$28,953</b>	<b>\$29,638</b>	<b>\$26,720</b>	<b>(\$2,233)</b>
<b>Funding</b>						
Capital- IFA			\$82	\$82	\$82	\$0
City Funds			2,544	3,006	2,545	1
Federal - Community Development			23,189	23,396	20,953	(2,236)
Federal - Other			496	514	496	0
Intra City			1,567	1,567	1,569	2
State			1,075	1,075	1,075	0
<b>TOTAL</b>	<b>\$44,512</b>	<b>\$36,980</b>	<b>\$28,953</b>	<b>\$29,638</b>	<b>\$26,720</b>	<b>(\$2,233)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	63	64	50	48	48	(2)
<b>TOTAL</b>	<b>63</b>	<b>64</b>	<b>50</b>	<b>48</b>	<b>48</b>	<b>(2)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Housing Operations- Management & Disposition**

<b>Housing Operations- Management &amp; Disposition</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$1,150	\$732	\$982	\$982	\$982	\$0
Amounts to be Scheduled	0	0	10	10	0	(10)
Full-Time Salaried - Civilian	15,200	15,249	15,599	15,374	15,395	(204)
Other Salaried	0	0	29	29	29	0
Overtime - Civilian	328	284	431	431	431	0
Unsalaries	22	25	64	64	64	0
<b>Subtotal</b>	<b>\$16,700</b>	<b>\$16,291</b>	<b>\$17,115</b>	<b>\$16,891</b>	<b>\$16,901</b>	<b>(\$214)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$6,306	\$6,248	\$7,982	\$8,842	\$3,999	(3,983)
Contractual Services - Professional Services	44	50	189	278	121	(68)
Fixed & Misc. Charges	2,320	231	0	0	0	0
Other Services & Charges	1,999	1,901	2,312	2,280	2,095	(216)
Property & Equipment	19	24	28	28	11	(17)
Supplies & Materials	3,580	2,748	3,370	3,330	6,133	2,763
<b>Subtotal</b>	<b>\$14,269</b>	<b>\$11,201</b>	<b>\$13,880</b>	<b>\$14,757</b>	<b>\$12,358</b>	<b>(\$1,522)</b>
<b>TOTAL</b>	<b>\$30,969</b>	<b>\$27,492</b>	<b>\$30,995</b>	<b>\$31,648</b>	<b>\$29,259</b>	<b>(\$1,736)</b>
<b>Funding</b>						
Capital- IFA			\$11,185	\$11,185	\$11,186	\$1
City Funds			5,807	5,597	5,297	(510)
Federal - Community Development			13,932	14,676	12,586	(1,346)
Federal - Other			0	120	120	120
Other Categorical			70	70	70	0
<b>TOTAL</b>	<b>\$30,969</b>	<b>\$27,492</b>	<b>\$30,995</b>	<b>\$31,648</b>	<b>\$29,259</b>	<b>(\$1,736)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	198	196	217	215	215	(2)
<b>TOTAL</b>	<b>198</b>	<b>196</b>	<b>217</b>	<b>215</b>	<b>215</b>	<b>(2)</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.



**Preservation- Anti- Abandonment**

<b>Preservation- Anti- Abandonment</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$276	\$90	\$143	\$95	\$53	(\$89)
Fringe Benefits	2	2	0	0	0	0
Full-Time Salaried - Civilian	2,687	2,810	2,862	1,919	1,297	(1,565)
Overtime - Civilian	55	52	32	21	12	(20)
<b>Subtotal</b>	<b>\$3,020</b>	<b>\$2,953</b>	<b>\$3,036</b>	<b>\$2,035</b>	<b>\$1,363</b>	<b>(\$1,674)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$4,786	\$6,943	\$8,662	\$13,442	\$5,235	(\$3,427)
<b>Subtotal</b>	<b>\$4,786</b>	<b>\$6,943</b>	<b>\$8,662</b>	<b>\$13,442</b>	<b>\$5,235</b>	<b>(\$3,427)</b>
<b>TOTAL</b>	<b>\$7,807</b>	<b>\$9,896</b>	<b>\$11,698</b>	<b>\$15,476</b>	<b>\$6,598</b>	<b>(\$5,101)</b>
<b>Funding</b>						
City Funds			\$10,852	\$8,163	\$2,113	(\$8,740)
Other Categorical			846	7,313	4,485	3,639
<b>TOTAL</b>	<b>\$7,807</b>	<b>\$9,896</b>	<b>\$11,698</b>	<b>\$15,476</b>	<b>\$6,598</b>	<b>(\$5,101)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	40	41	43	28	28	(15)
<b>TOTAL</b>	<b>40</b>	<b>41</b>	<b>43</b>	<b>28</b>	<b>28</b>	<b>(15)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Preservation- Code Enforcement**

<b>Preservation- Code Enforcement</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$1,591	\$1,532	\$1,428	\$1,428	\$1,428	\$0
Additional Gross Pay - Labor Reserve	19	27	0	0	0	0
Fringe Benefits	27	46	0	0	0	0
Full-Time Salaried - Civilian	24,537	24,817	24,437	25,784	25,757	1,319
Other Salaried	0	0	22	22	22	0
Overtime - Civilian	729	580	346	346	346	0
Unsalaries	135	197	310	310	310	0
<b>Subtotal</b>	<b>\$27,037</b>	<b>\$27,199</b>	<b>\$26,543</b>	<b>\$27,890</b>	<b>\$27,862</b>	<b>\$1,319</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$4,274	\$3,733	\$6,501	\$5,824	\$6,159	(\$343)
Contractual Services - Professional Services	0	0	0	0	1	1
Other Services & Charges	1,721	1,510	1,854	2,190	1,369	(485)
Property & Equipment	368	173	54	58	20	(34)
Supplies & Materials	1,087	1,284	1,931	1,663	854	(1,077)
<b>Subtotal</b>	<b>\$7,449</b>	<b>\$6,700</b>	<b>\$10,341</b>	<b>\$9,735</b>	<b>\$8,403</b>	<b>(\$1,938)</b>
<b>TOTAL</b>	<b>\$34,487</b>	<b>\$33,899</b>	<b>\$36,883</b>	<b>\$37,625</b>	<b>\$36,265</b>	<b>(\$618)</b>
<b>Funding</b>						
City Funds			\$7,686	\$7,728	\$8,386	\$700
Federal - Community Development			27,631	27,931	26,311	(1,320)
Federal - Other			1,490	1,490	1,492	1
Intra City			76	475	76	0
<b>TOTAL</b>	<b>\$34,487</b>	<b>\$33,899</b>	<b>\$36,883</b>	<b>\$37,625</b>	<b>\$36,265</b>	<b>(\$618)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	408	408	429	431	431	2
<b>TOTAL</b>	<b>408</b>	<b>408</b>	<b>429</b>	<b>431</b>	<b>431</b>	<b>2</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.

**Preservation- Emergency Repair**

<b>Preservation- Emergency Repair</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$499	\$425	\$435	\$435	\$435	\$0
Fringe Benefits	5	5	0	0	0	0
Full-Time Salaried - Civilian	7,653	8,197	8,890	9,849	9,911	1,021
Overtime - Civilian	338	378	70	70	70	0
Unsalariated	233	127	378	378	378	0
<b>Subtotal</b>	<b>\$8,728</b>	<b>\$9,132</b>	<b>\$9,773</b>	<b>\$10,732</b>	<b>\$10,794</b>	<b>\$1,021</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$7,649	\$8,258	\$12,858	\$16,951	\$18,563	\$5,704
Contractual Services - Professional Services	4	1,098	0	3562	0	0
Fixed & Misc. Charges	2	0	0	0	0	0
Other Services & Charges	4,847	4,410	5,306	5,516	6,380	1,073
Property & Equipment	119	991	496	496	80	(416)
Supplies & Materials	786	660	4,571	4,032	4,122	(449)
<b>Subtotal</b>	<b>\$13,408</b>	<b>\$15,417</b>	<b>\$23,232</b>	<b>\$30,558</b>	<b>\$29,144</b>	<b>\$5,913</b>
<b>TOTAL</b>	<b>\$22,136</b>	<b>\$24,549</b>	<b>\$33,005</b>	<b>\$41,290</b>	<b>\$39,939</b>	<b>\$6,934</b>
<b>Funding</b>						
City Funds			\$1,038	\$1,272	\$1,022	(\$16)
Federal - Community Development			31,966	39,998	38,916	6,950
Intra City			0	20	0	0
<b>TOTAL</b>	<b>\$22,136</b>	<b>\$24,549</b>	<b>\$33,005</b>	<b>\$41,290</b>	<b>\$39,939</b>	<b>\$6,934</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	132	158	153	167	168	15
<b>TOTAL</b>	<b>132</b>	<b>158</b>	<b>153</b>	<b>167</b>	<b>168</b>	<b>15</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.

**Preservation- Lead Paint**

<b>Preservation- Lead Paint</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$960	\$968	\$761	\$711	\$711	(\$50)
Fringe Benefits	12	23	0	0	0	0
Full-Time Salaried - Civilian	12,634	15,993	19,051	18,242	18,332	(719)
Overtime - Civilian	292	364	333	293	293	(40)
Unsalaries	44	0	171	121	121	(49)
<b>Subtotal</b>	<b>\$13,942</b>	<b>\$17,349</b>	<b>\$20,316</b>	<b>\$19,367</b>	<b>\$19,457</b>	<b>(\$859)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$724	\$254	\$581	\$1,067	\$1,291	\$710
Other Services & Charges	262	49	347	437	474	127
Property & Equipment	0	0	0	0	17	17
Supplies & Materials	11	8	25	28	197	171
<b>Subtotal</b>	<b>\$997</b>	<b>\$311</b>	<b>\$953</b>	<b>\$1,532</b>	<b>\$1,979</b>	<b>\$1,025</b>
<b>TOTAL</b>	<b>\$14,938</b>	<b>\$17,661</b>	<b>\$21,269</b>	<b>\$20,899</b>	<b>\$21,436</b>	<b>\$167</b>
<b>Funding</b>						
City Funds			\$177	\$410	\$409	\$232
Federal - Community Development			20,082	18,931	20,086	4
Federal - Other			761	1,309	691	(70)
Intra City			249	249	250	1
<b>TOTAL</b>	<b>\$14,938</b>	<b>\$17,661</b>	<b>\$21,269</b>	<b>\$20,899</b>	<b>\$21,436</b>	<b>\$167</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	250	249	313	306	307	(6)
<b>TOTAL</b>	<b>250</b>	<b>249</b>	<b>313</b>	<b>306</b>	<b>307</b>	<b>(6)</b>

*\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.*

**Preservation- Other Agency Services**

<b>Preservation- Other Agency Services</b>						
<i>Dollars in Thousands</i>						
	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY21</b>	<b>FY22</b>	<b>FY21 - FY22</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$758	\$675	\$592	\$592	\$592	\$0
Fringe Benefits	1	1	0	0	0	0
Full-Time Salaried - Civilian	10,668	11,215	11,735	11,276	10,859	(876)
Overtime - Civilian	170	75	71	71	71	0
Unsalariad	32	37	70	70	70	0
<b>Subtotal</b>	<b>\$11,629</b>	<b>\$12,003</b>	<b>\$12,469</b>	<b>\$12,010</b>	<b>\$11,592</b>	<b>(\$876)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$16,602	\$27,831	\$21,478	\$26,319	\$14,228	(\$7,250)
Contractual Services - Professional Services	606	610	0	1,653	0	0
Fixed & Misc. Charges	2	1	0	0	0	0
Other Services & Charges	460	333	1,403	1,656	989	(414)
Property & Equipment	86	88	126	123	90	(36)
Supplies & Materials	47	51	60	49	20	(40)
<b>Subtotal</b>	<b>\$17,803</b>	<b>\$28,915</b>	<b>\$23,067</b>	<b>\$28,148</b>	<b>\$15,326</b>	<b>(\$7,740)</b>
<b>TOTAL</b>	<b>\$29,432</b>	<b>\$40,917</b>	<b>\$35,535</b>	<b>\$40,158</b>	<b>\$26,919</b>	<b>(\$8,617)</b>
<b>Funding</b>						
Capital- IFA			\$85	\$85	\$85	\$0
City Funds			15,168	18,639	8,490	(6,678)
Federal - Community Development			20,283	21,434	18,344	(1938)
<b>TOTAL</b>	<b>\$29,432</b>	<b>\$40,917</b>	<b>\$35,535</b>	<b>\$40,158</b>	<b>\$26,919</b>	<b>(\$8,617)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	151	147	149	153	145	(4)
<b>TOTAL</b>	<b>151</b>	<b>147</b>	<b>149</b>	<b>153</b>	<b>145</b>	<b>(4)</b>

\*The difference of Fiscal 2021 Adopted Budget compared to Fiscal 2022 Preliminary Budget.

**F: Housing New York- Units Financed by Fiscal Year, Income band and Borough**

Borough	Fiscal Year	Extremely Low (0-30% AMI)	Very Low (31%-50% AMI)	Low Income (51-80% AMI)	Moderate Income (81%-120% AMI)	Middle Income (121% - 165% AMI)	Other (Super)	Total
Manhattan	2014	394	270	1,319	41	391	13	2,428
	2015	705	701	3,288	1,002	365	32	6,093
	2016	616	794	2,273	98	4,858	10	8,649
	2017	860	1,452	2,674	591	1,228	29	6,834
	2018	1,273	3,713	1,109	297	224	26	6,642
	2019	2,519	4,790	1,467	519	491	43	9,829
	2020	791	471	1,397	1,463	745	21	4,888
	2021	675	510	425	383	100	19	2,112
<b>Manhattan Subtotal</b>		<b>7,833</b>	<b>12,701</b>	<b>13,952</b>	<b>4,394</b>	<b>8,402</b>	<b>193</b>	<b>47,475</b>
The Bronx	2014	229	572	2,472	157	34	30	3,494
	2015	1,145	1,081	2,568	146	47	40	5,027
	2016	1,095	1,251	3,159	646	88	37	6,276
	2017	2,177	2,668	3,586	309	352	54	9,146
	2018	2,531	2,926	4,938	316	49	85	10,845
	2019	1,447	1,238	2,774	314	104	51	5,928
	2020	679	11,105	5,524	79	185	19	17,591
	2021	1,452	1,176	2,361	295	189	30	5,503
<b>Bronx Subtotal</b>		<b>10,755</b>	<b>22,017</b>	<b>27,382</b>	<b>2,262</b>	<b>1,048</b>	<b>346</b>	<b>63,810</b>
Brooklyn	2014	289	396	1,844	85	444	17	3,075
	2015	411	357	5,411	192	563	34	6,968
	2016	1,267	867	3,354	999	189	34	6,710
	2017	1,015	1,663	1,420	435	265	29	4,827
	2018	1,821	4,264	4,175	296	441	35	11,032
	2019	871	1,942	3,319	183	993	35	7,343
	2020	450	669	1,927	178	1,221	11	4,456
	2021	740	590	618	176	639	9	2,772
<b>Brooklyn Subtotal</b>		<b>6,864</b>	<b>10,748</b>	<b>22,068</b>	<b>2,544</b>	<b>4,755</b>	<b>204</b>	<b>47,183</b>
Queens	2014	2	153	46	68	8	1	278
	2015	178	240	354	53	358	8	1,191
	2016	359	94	503	158	134	2	1,250
	2017	107	523	2,227	195	453	7	3,512
	2018	505	1,346	1,309	627	63	9	3,859
	2019	521	570	527	430	391	6	2,445
	2020	409	593	602	672	879	9	3,164
	2021	302	93	613	-	284	5	1,297
<b>Queens Subtotal</b>		<b>2,383</b>	<b>3,612</b>	<b>6,181</b>	<b>2,203</b>	<b>2,570</b>	<b>47</b>	<b>16,996</b>
Staten Island	2014	-	3	20	-	13	-	36
	2015	430	209	570	1	15	4	1,229
	2016	456	50	217	10	-	2	735
	2017	-	1	51	3	-	-	55
	2018	-	1	91	9	-	-	101
	2019	36	2	11	3	-	-	52
	2020	31	3	120	20	6	2	182
	2021	-	8	102	3	3	1	117
<b>Staten Island Subtotal</b>		<b>953</b>	<b>277</b>	<b>1,182</b>	<b>49</b>	<b>37</b>	<b>9</b>	<b>2,507</b>
<b>TOTAL, citywide</b>		<b>28,788</b>	<b>49,355</b>	<b>70,765</b>	<b>11,452</b>	<b>16,812</b>	<b>799</b>	<b>177,971</b>