

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Corey Johnson
Speaker of the Council

Hon. Keith Powers
Chair, Committee on Criminal Justice



Report of the Finance Division on the
Fiscal 2019 Preliminary Budget and the
Fiscal 2018 Preliminary Mayor's Management Report for the

Department of Probation

March 15, 2018

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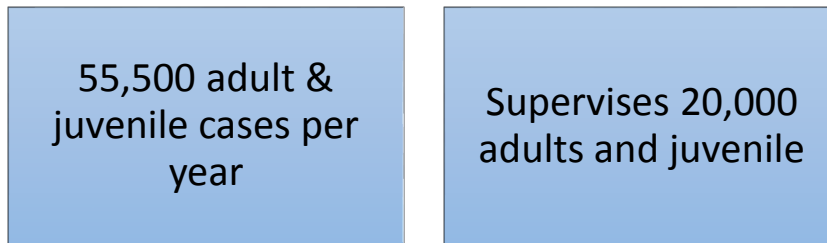
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Department of Probation Overview

The Department of Probation (DOP or the Department) helps build stronger and safer communities by supervising people on probation, fostering positive change in their decision-making and behavior through research-based practices. DOP offers probationers opportunities to move out of the criminal and juvenile justice systems through education, employment, health and behavioral health services, family engagement and civic participation. The Department supplies information and recommendations to the courts on both adult and juvenile cases to help inform sentencing and disposition decisions. In family courts, reports are also provided in family offense, custody, child support, visitation, adoption and guardianship cases. In total, DOP provides intake services, investigations and supervision for the following:

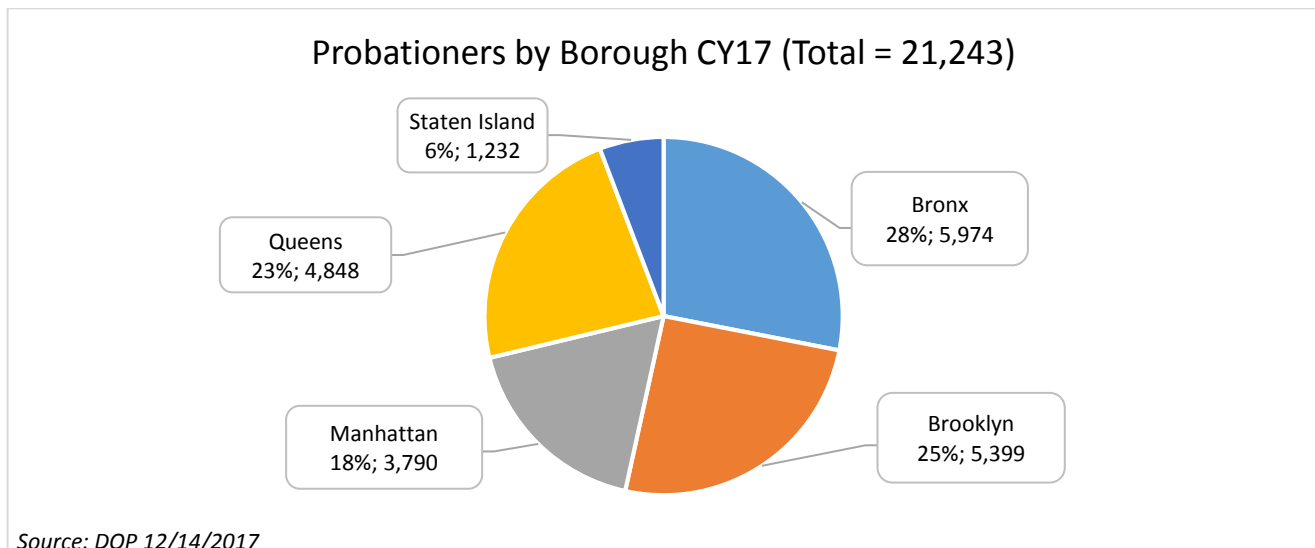


This report provides a review of DOP’s Preliminary Budget for Fiscal 2019. The first section presents Probation supervision information, the highlights of the \$101.4 million Fiscal 2019 Preliminary Budget, the Department’s Contract Budget, descriptions of the Department’s supervision categories, followed by a presentation of the Department’s two program budgets and relevant sections of the Preliminary Mayor’s Management Report for Fiscal 2018 (PMMR). Finally, Appendix A lists the budget actions in the November and Preliminary Financial Plans.

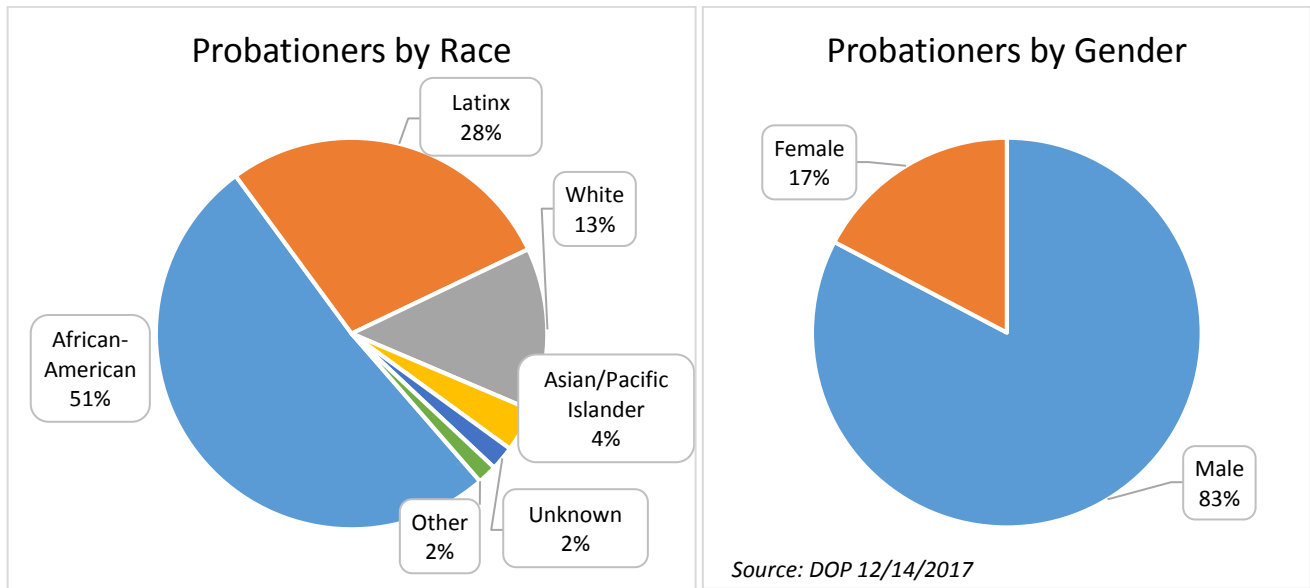
Probation Supervision

Probationer Population Demographics

The number of probationers varies from borough to borough. As of Calendar Year 2017, 29 percent of probationers are from the Bronx, 27 percent from Brooklyn, and 23 percent from Queens. The chart below provides a detailed look at the number of probationers by borough in Calendar Year 2017. Of note, the Department’s Supervising Probation Officer (SPO) to Probation Officer (PO) ratio is 1:4 as of January 2018.

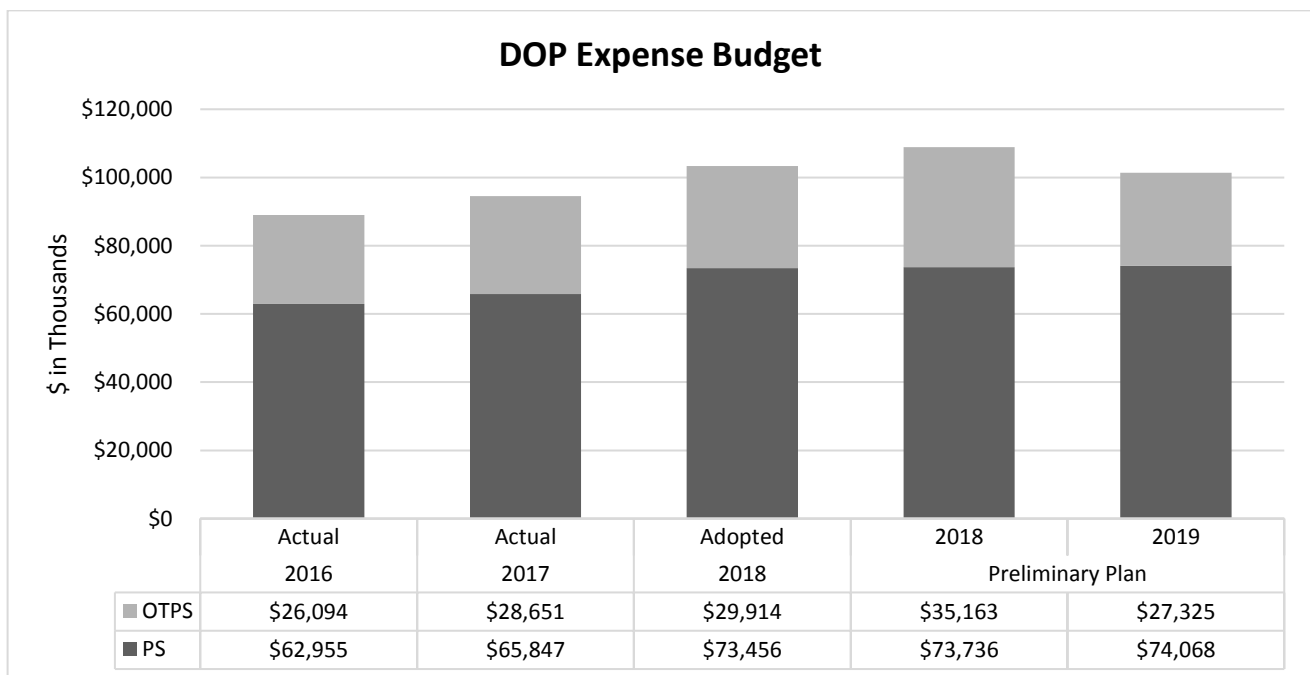


The charts below provide a breakdown of probationers by race and gender. Of the total number of juvenile and adult probationers, 51.3 percent are African-American and 28 percent are Latinx. Additionally, 82.7 percent of all probationers are male and 17.3 percent are female.



Fiscal 2019 Preliminary Budget

The Department of Probation’s Fiscal 2019 Preliminary Budget totals \$101.4 million, including \$74.1 million in the personal services (PS) budget and \$27.3 million in the other than personal services (OTPS) budget. The Fiscal 2019 Preliminary Budget reflects a decrease of \$1.9 million when compared to the Fiscal 2018 Adopted Budget of \$103.4 million. The PS budget increased by \$612,000 when compared to the Fiscal 2018 Adopted Budget, but this is offset by a decrease in the OTPS budget by \$2.6 million. The PS budget accounts for 73 percent of the Department’s total budget, and the OTPS budget accounts for 27 percent. Meanwhile, the Fiscal 2018 Budget increases by \$5.5 million when compared to the Fiscal 2018 Adopted Budget.



The Preliminary Plan for Fiscal 2018-2022 makes almost no changes to the Department's Fiscal 2019 Preliminary Budget. No new needs are introduced. A very modest savings initiative, discussed below, is included.

- **Citywide Re-estimates.** The Preliminary Plan lowers the DOP's budget by \$1.3 million in Fiscal 2018 and \$198,000 in Fiscal 2019 through re-estimates in the Citywide Savings Program.
 - **Personal Services Accruals.** The Preliminary Plan includes a one-time re-estimate of \$1.3 million in Fiscal 2018 due to hiring delays with Probation Officers (POs). A delay in DOP's establishment of a Probation Officer civil service list in order to make the title permanent has slowed the Department's hiring process.
 - **OTPS Savings.** The Preliminary Plan includes a one-time re-estimate of \$198,000 in Fiscal 2019 due to procurement delays for vehicle replacements. According to the Department, the vehicles are typically on a seven-year replacement cycle; some vehicles will be shifted to an eight-year replacement cycle.
- The Preliminary Mayor's Management Report for Fiscal 2018 includes a section for DOP. Some of the notable performance metrics reported are listed below.
 - During the first four months of Fiscal 2018, DOP's Intelligence Unit (Intel) completed 787 enforcement actions, a decrease of 18 percent when compared to the same reporting period in Fiscal 2017. The decline was due to reduced incidence of missed court appearances and probation office visits that required field enforcement.
 - Early completions represented 10 percent of all adult probation supervision case closings, compared to 12 percent in Fiscal 2017. The approval rate for early completion applications declined five percentage points to 67 percent. The PMMR does not provide information on why early completion declined.

Financial Plan Summary

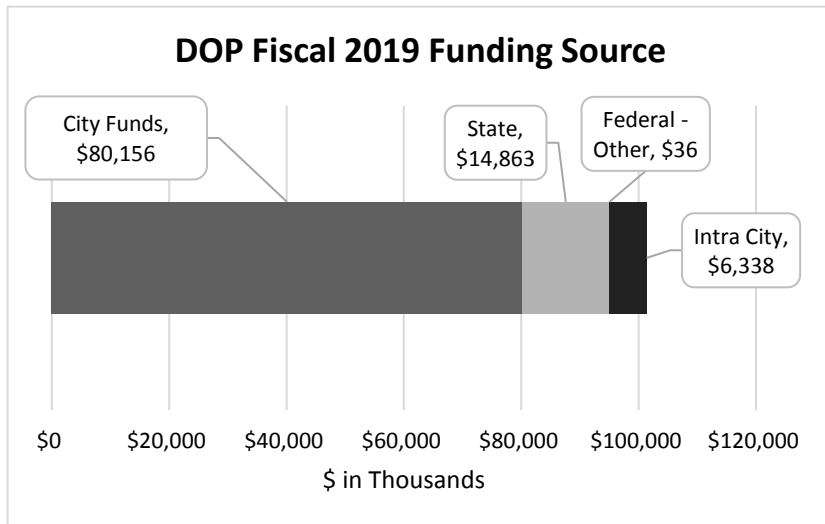
The DOP's budget is broken into two sections, Probation Services and Executive Management. These program areas are funded with a combination of City tax-levy funds and other resources, and are budgeted in two units of appropriation (UA) pairs. The following table provides an overview of DOP's actual expenditures for Fiscal 2016 and Fiscal 2017, the Adopted Budget for Fiscal 2018, and planned expenditures for Fiscal 2018 and Fiscal 2019 as proposed in the Fiscal 2019 Preliminary Plan.

DOP Financial Summary						
<i>Dollars in Thousands</i>						
	2016	2017	2018	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2018	2019	2018 - 2019
Spending						
Personal Services	\$62,955	\$65,847	\$73,456	\$73,736	\$74,068	\$612
Other Than Personal Services	26,094	28,651	29,914	35,163	27,325	(2,589)
TOTAL	\$89,049	\$94,499	\$103,370	\$108,899	\$101,393	(\$1,977)
Budget by Program Area						
Probation Services	\$81,702	\$86,079	\$93,795	\$99,324	\$91,816	(\$1,979)
Executive Management	7,346	8,419	9,575	9,575	9,577	2
TOTAL	\$89,049	\$94,499	\$103,370	\$108,899	\$101,393	(\$1,977)
Funding						
City Funds			\$81,933	\$78,375	\$80,156	(\$1,777)
State			15,026	15,058	14,863	(162)
Federal - Other			74	427	36	(38)
Intra City			6,338	15,038	6,338	0
TOTAL	\$89,049	\$94,499	\$103,370	\$108,899	\$101,393	(\$1,977)
Budgeted Headcount						
Full-Time Positions	921	967	1,059	1,120	1,058	(1)
TOTAL	921	967	1,059	1,120	1,058	(1)

**The difference of Fiscal 2018 Adopted Budget compared to Fiscal 2019 Preliminary Budget.*

DOP's Fiscal 2019 Preliminary Budget decreased by \$1.9 million when compared to the Fiscal 2018 Adopted Budget of \$103.4 million. This includes an increase of \$612,000 in Personal Services (PS) and a decrease of \$2.6 million in Other Than Personal Services (OTPS). Of note, 91 percent of DOP's budget supports the Probation Services program area. Of the total budget, \$80.2 million, or 79 percent of the budget, is comprised of City funds. In addition, the Preliminary Budget reduces DOP's budgeted headcount by one position. Of the total 1,058 budgeted headcount for DOP, 558 are Probation Officers (PO); 139 are Supervising Probation Officers (SPO); and 41 are Assistant Probation Officers (APO). Additionally, 14 staff funded through DOP's budget actually work for the Mayor's Office of Criminal Justice (MOCJ), and are assigned to community-justice initiatives, including the Office to Prevent Gun Violence. This headcount and the associated funding should be removed from DOP's budget and scheduled in the area of the mayoralty budget that supports MOCJ.

Changes include in the November 2017 Plan and the Preliminary Plan have increased the Fiscal 2018 by \$5.5 million. The increase is entirely in Probation Services program area. This is due to a decrease in City tax-levy (CTL) of \$3.6 million, and an offsetting increase of \$9 million in various non-City funding. These include a \$4.1 million transfer from the Administration for Children's Services (ACS)

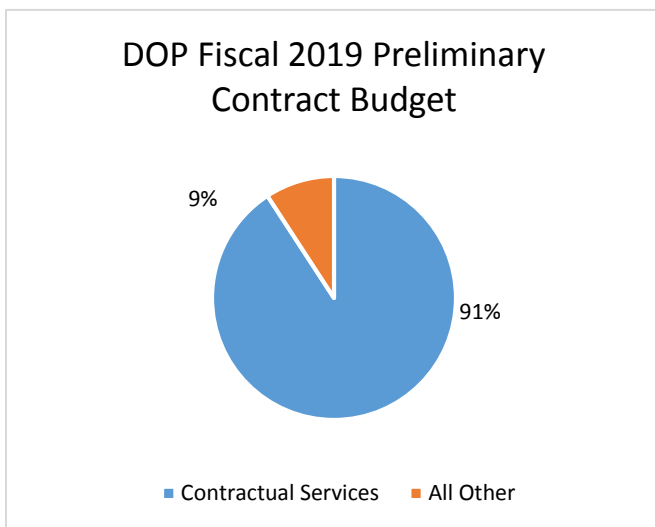


for the STSJP (Supervision and Treatment Services for Juveniles Program) and a \$1.1 million transfer from ACS for the Close to Home program. STSJP-funded programs are targeted interventions in settings that are family-focused, close to home and within the community. DOP’s STSJP programming includes PEAK (Pathways to Education and Knowledge) centers, tutoring, cultural programs and activities, customized assistance, ICM

(intensive community monitoring), parent coaching, and more. Close to Home is designed to help keep City youth closer to their home communities while they are under the care and supervision of the juvenile justice system. Although Close to Home created new Alternative to Placement (ATP) programs for the City, the State’s 2018-2019 Executive Budget proposes to eliminate State funding for Close to Home. This would potentially mean a roughly \$30 million hit for the City.¹ The City currently has 233 kids in the Close to Home program, and the Council is concerned that the elimination of funding would pose a challenge to the City’s ability to fully implement Raise the Age.

Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personnel service provided to the City by means of a contract. The Contract Budget is actually a subset of the OTPS portion of the City’s Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. The Fiscal 2019 Preliminary Contract Budget totals \$15.6 billion for procurement expenditures across all agencies.



The Department’s Fiscal 2019 Contract Budget totals \$21.4 million for 24 contracts, accounting for 78 percent of the Department’s total OTPS budget. Contracts for contractual services – general comprise 91 percent of the Department’s total contract budget, see Appendix B for a full breakdown of DOP’s contract categories and budget. Funding for DOP’s program such as Justice Community, AIM (Advocate, Intervene, Mentor), Next Steps, and ECHOES (Every Child Has an Opportunity to Excel) are in the Department’s contract budget. The Fiscal 2019 Contract Budget decreases by \$2.1 million, or roughly 10 percent, when

¹ <https://www.politico.com/states/new-york/albany/story/2018/02/11/cuomo-proposal-to-cut-funding-to-juvenile-justice-program-rankles-de-blasio-team-246375>

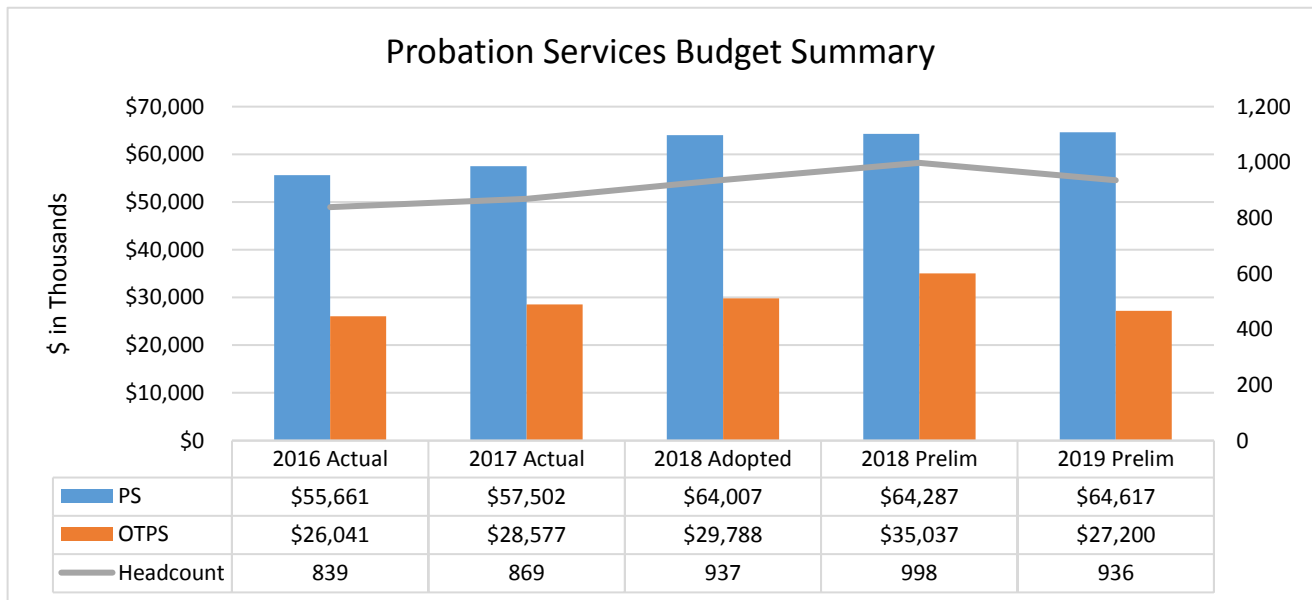
compared to the Fiscal 2018 Adopted Budget. This is attributed to a decrease associated with the Justice Scholars program, which was discontinued after Fiscal 2017. The Fiscal 2019 Budget for DOP’s major programs are as follows: \$2.9 million for ARCHES; \$2.1 million for AIM; \$1 million for ECHOES; \$1.5 million for Justice Community; \$846,000 for Justice Plus; \$2.6 million for Next STEPS; and \$750,000 for Pathways to Excellence, Achievement & Knowledge (PEAK) program.

Program Areas

The DOP’s budget is broken down into two U/A pairs referred to herein as program areas, which are Probation Services and Executive Management. The following sections discuss Probation Services and Executive Management’s program budgets and related PMMR performance measures.

Probation Services

Probation Services administers investigations of most adult and juvenile offenders before sentencing and supervises those sentenced to probation in adult and family courts. Probation Services also operates several related supervision programs for adult probation clients, and alternative to placement programs for juvenile probation clients.



The Department’s Fiscal 2019 Probation Services budget shows a decrease of \$1.9 million from the Fiscal 2018 Adopted Budget and a headcount reduction of one position. While the PS budget increased by \$610,000, the OTPS budget fell by \$2.6 million. The increase in the PS budget is due to a \$613,000 increase in civilian full-time salary. The decrease in the OTPS budget is due to a \$2.1 million decrease for the Department’s Justice Scholars program. The PS budget accounts for 70 percent of this program area’s budget. As the chart demonstrates, Probation Services’ budget has only increased by modest amounts from year to year. However, the Fiscal 2018 Budget increases headcount by 61 positions when compared to the Fiscal 2018 Adopted Budget. Of the 61 position increase, approximately 30 are attributed to the STSJP transfer from ACS that also adjusts DOP’s headcount with staff that are associated with the STSJP programming.

The following sections provide descriptions of adult and juvenile supervision, and discuss PMMR indicators, broken down by adult and juvenile supervision.

Adult Supervision

According to the Department, 441 POs and 90 SPOs are assigned to adult supervision. Adults sentenced to probation receive terms that can range from one to three years for most misdemeanors and five years for most felonies. Adults are defined by the Court as anyone older than age 16. Probationers convicted of sex offenses and certain drug offenses may receive longer probation sentences.

Adult probation clients primarily fall into five different supervision categories, however, the majority of adult probationers are on the community progression track. The five different supervision categories are listed below.

1. **Community Progression (CP).** These probation clients are not considered high-risk for committing crimes or a threat to public safety. Probationers in the CP track report monthly to a kiosk, but also have a probation officer.
2. **Intensive Engagement (IE).** Probationers in this category meet one of the following criteria: sex offender, including those registered under the Sex Offender Registration Act; child abusers; domestic violence offenders; confirmed as a major drug dealer or known to have a major gang affiliation or involvement; or known to both State and Federal witness protection programs.
3. **Client Development (CD).** These probation clients are at a high risk of recidivating according to the DOP's risk assessment instrument.
4. **Centralized Interstate Unit (CIU).** These probation clients are either leaving or coming to New York City from other states or other counties in New York. To facilitate this process, CIU staff communicates with clients, local probation officers, and probation officers in other jurisdictions throughout the country.
5. **Specialized Programs.** The adult court unit operates a variety of programs that are specially tailored for clients with unique needs.

Juvenile Supervision

According to the Department, 135 POs and 41 SPOs are assigned to juvenile supervision. While the Department's budget includes budget codes and budget names for adult supervision, there are none for juvenile supervision and investigation. This does not allow for accurate tracking of the Department's budget and headcount for juvenile supervision.

The court can place a young person on supervision for up to two years in lieu of placement within the State Office of Children and Family Services facilities. The DOP, in partnership with ACS and community-based organizations, operates a variety of programs for justice-involved youth. Probation officers help young people avoid further crimes by providing support, practical advice, and life coaching. The probation officers also connect clients to community-based services that can address their needs and capitalize on personal interests. While adult supervision has associated budget codes and budget names, juvenile supervision and investigation does not. This does not allow for accurate tracking of the Department's budget and headcount for juvenile supervision.

Juvenile probation clients fall into four different supervision categories. However, the majority of juvenile probationers are under general supervision.

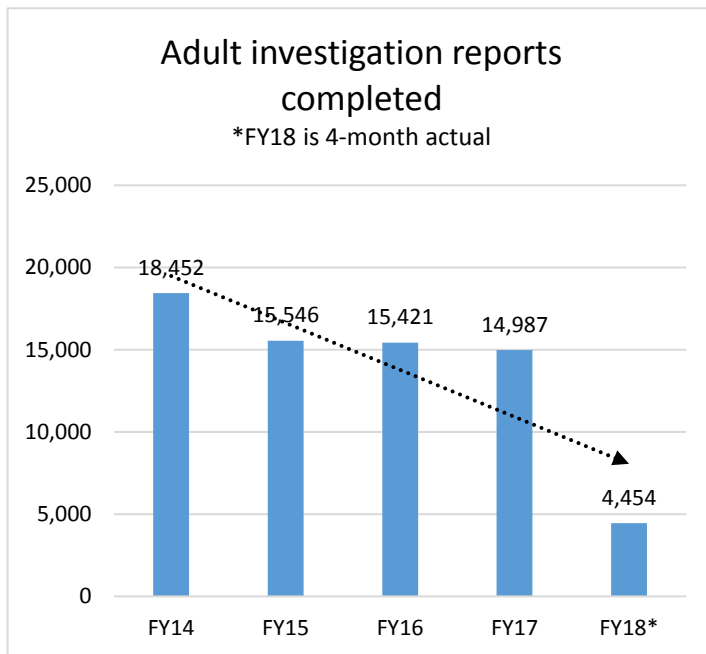
- **General Supervision (GS).** These probation clients are not considered a high risk for committing crimes or a threat to public safety. Probation officers will ensure that the juvenile is in compliance with court orders as well as visit the individual’s home and school.
- **Enhanced Supervision Program (ESP).** ESP targets the most serious juvenile offenders and is structured to promote the accountability and responsibility of justice-involved youth in addition to increasing their self-esteem.
- **Operation Impact (OI).** Operation Impact provides intensive family-centered supervision for four to six months for high risk juvenile offenders.
- **The Juvenile Justice Initiative (JJI).** The Juvenile Justice Initiative is an ATP program that provides intensive, evidence-based services for youth involved in the juvenile justice system. The goal is to reduce the number of delinquent youth in residential facilities and improve individual and family functioning.

PMMR Performance Measures

The Department collects data on the number of individuals served, and on an annual basis reports the data in the PMMR. The following charts from the PMMR provide performance statistics for adult and juvenile probationers followed by a description of year-over-year changes.

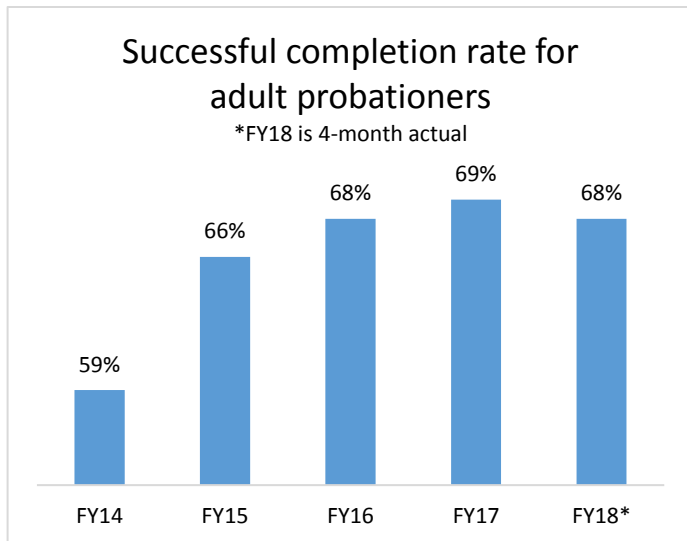
DOP lays out its services and goals in the PMMR, which are 1) contribute to optimal court processing and decision-making in delinquency and criminal justice matters; and 2) improve community safety through a combination of accountability and support activities with those under probation supervision. The following sections discuss DOP’s PMMR performance measures, broken down by adult supervision and juvenile supervision.

PMMR – Adults



One of DOP’s main functions is to contribute to optimal court processing and decision-making in delinquency and criminal justice matters. The DOP submits a Pre-Sentence Investigation (PSI) to the State for any defendant who has been convicted of a felony or certain misdemeanors that result in probation; incarceration for a term longer than 180 days; or aggregating consecutive sentences longer than 90 days. The PSI forms the basis of the probation supervision process. In Fiscal 2017, the Department completed 14,987 pre-sentence investigations for adults, a three and five percent decrease from Fiscal 2016. These trends are consistent with a year-to-year decline in NYPD arrests.²

² Fiscal 2017 Mayor’s Management Report: <http://www1.nyc.gov/assets/operations/downloads/pdf/mmr2017/dop.pdf>

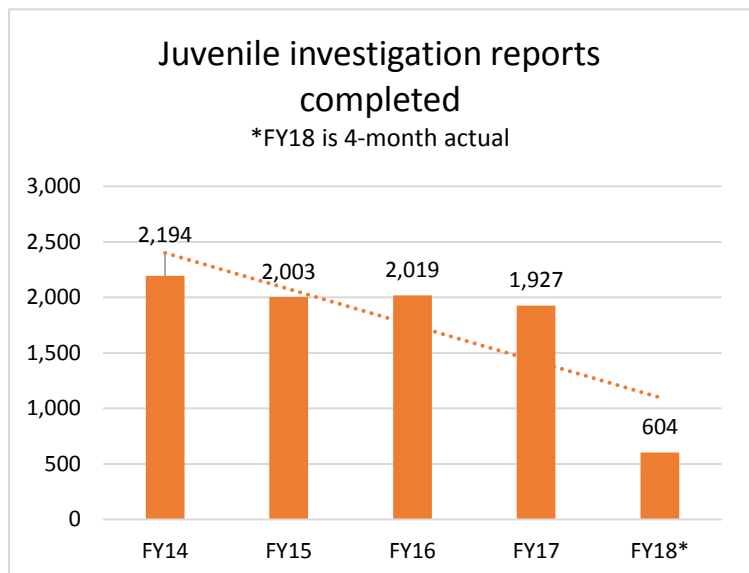


The percentage of IAPs (individual action plan) completed was unchanged at 100 percent for both adults and juveniles. IAPs serve as a roadmap for the period of probation supervision and provide a basis for benchmarking and measuring progress towards achieving short and longer-term goals, leading to better outcomes for individuals serving a community-based criminal or juvenile justice sentence. The rate of adults successfully completing their probation terms increased one percentage point to 69 percent. In Fiscal 2017, DOP began calculating the successful completion rate for juveniles based on a method that eliminates revocations that do not result in out-of-home placement as a negative outcome. During the first four months of Fiscal 2018, successful completion rate for adult probationers remained steady at 68 percent when compared to the same reporting period of Fiscal 2017. Meanwhile, successful completion rate for juvenile probationers increased from 64 percent in Fiscal 2017 to 72 percent in Fiscal 2018. See Appendix D for full details on PMMR indicators related to adult probationers.

During the first four months of Fiscal 2018, successful completion rate for adult probationers remained steady at 68 percent when compared to the same reporting period of Fiscal 2017. Meanwhile, successful completion rate for juvenile probationers increased from 64 percent in Fiscal 2017 to 72 percent in Fiscal 2018. See Appendix D for full details on PMMR indicators related to adult probationers.

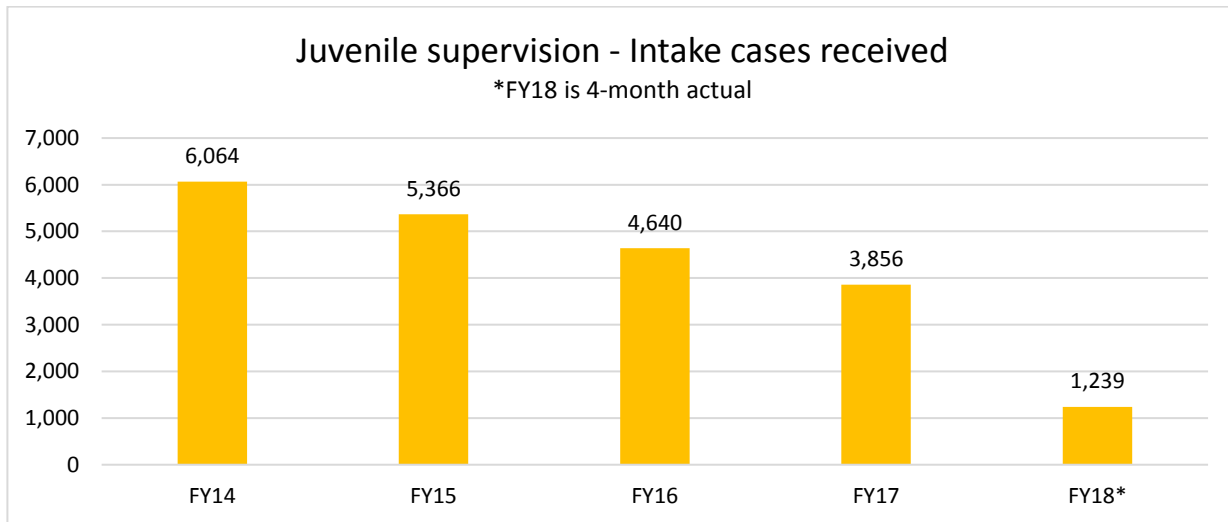
PMMR – Juveniles

All youth arrested between the ages of seven and fifteen who fall under Family Court jurisdiction are processed post-arrest by DOP. The Department processed 3,856 juvenile intakes in Fiscal 2017, a 17 percent decrease from the number of youths referred to probation post-arrest in Fiscal 2016, consistent with the year-to-year decline in NYPD arrests. In Fiscal 2017, the Department completed 1,927 juvenile Investigation and Reports (I&Rs), a 3.5 percent decrease from Fiscal 2016. These trends are consistent with a year-to-year decline in NYPD arrests.³ During the intake process, probation



officers make individual assessments for statutory eligibility and suitability for adjustment, including outreach and communication with complainants, NYPD, and youths’ families. The number of eligible and suitable youth decreased based on severity of arrest charges, assessed risk and prior history of arrest and court involvement. The chart below provides the number of juvenile intakes that DOP processed from Fiscal 2013 to 2017.

³ Fiscal 2017 Mayor’s Management Report: <http://www1.nyc.gov/assets/operations/downloads/pdf/mmr2017/dop.pdf>



Source: Fiscal 2018 Mayor’s Management Report (MMR)

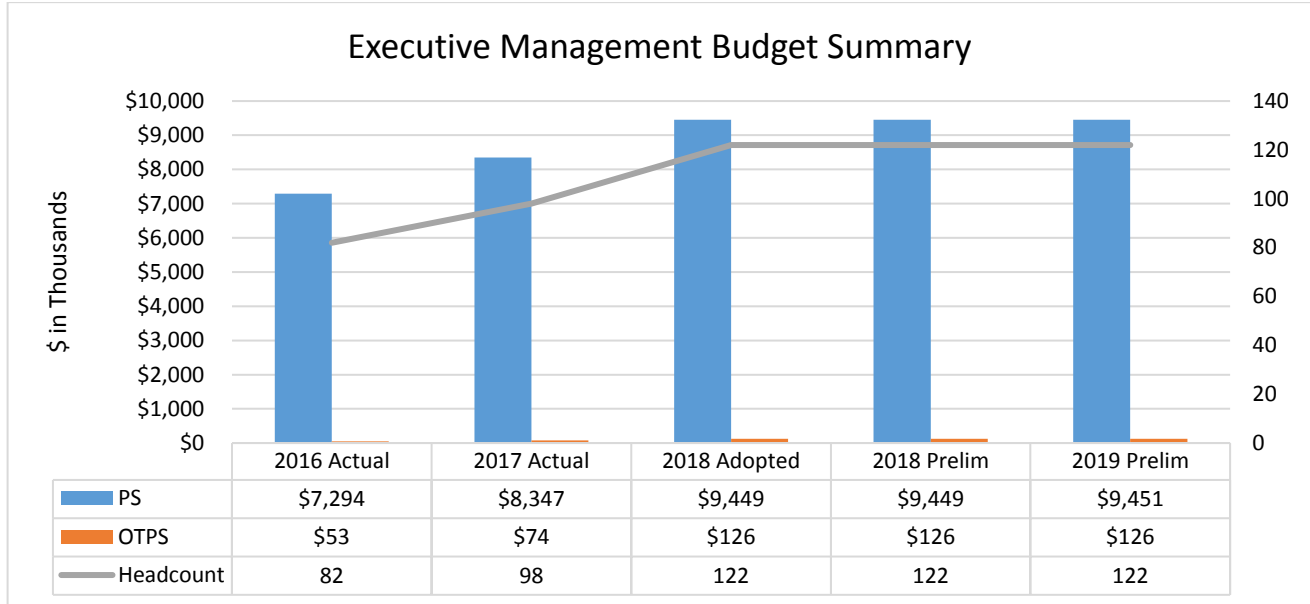
During the first four months of Fiscal 2018, the Department processed 1,239 juvenile intakes, a one percent increase from the number of youths referred to probation post-arrest in Fiscal 2017. During the intake process, probation officers make individual assessments for statutory eligibility and suitability for adjustment, including outreach and communication with complainants, NYPD, and youths’ families. The juvenile intake adjustment eligibility rate increased six percentage points to 29 percent, driven primarily by review, analysis, and follow-up at DOP’s performance management system meetings.⁴

The percentage of IAPs (individual action plan) completed was unchanged at 100 percent for both adults and juveniles. Additionally, there were 34 new enrollments of juveniles in alternative-to-placement (ATP) programs during the first four months of Fiscal 2018, a 29 percent decrease from Fiscal 2017. There were 539 new enrollments in DOP-managed programs overall, a 14 percent decline when compared to the first four months of Fiscal 2017. The PMMR attributes this decline to overall supervision population trends, individual needs as determined by risk assessments, and IAPs. In Fiscal 2017, DOP began calculating the successful completion rate for juveniles based on a method that eliminates revocations that do not result in out-of-home placement as a negative outcome. During the first four months of Fiscal 2018, successful completion rate for juvenile probationers increased from 64 percent in Fiscal 2017 to 72 percent in Fiscal 2018. See Appendix D for full details on PMMR indicators related to juvenile probationers.

⁴ Fiscal 2018 Preliminary Mayor’s Management Report
<http://www1.nyc.gov/assets/operations/downloads/pdf/pmmr2018/dop.pdf>

Executive Management

DOP’s Executive Management is responsible for setting policies and developing short and long term plans and strategies; providing legislative review and legal analysis; and coordinating with governmental oversight agencies. Under the supervision of the Deputy Commissioner of Budget and Administration, staff provides management of general support services.



The Department’s Fiscal 2019 Preliminary Budget for Executive Management shows an increase of \$2,000 from the Fiscal 2018 Adopted Budget. This increase is due to an increase in civilian full-time salary. Of the \$9.6 million budget for Executive Management, 99 percent of the funding supports the PS budget. Budgeted headcount is unchanged between Fiscal 2018 and Fiscal 2019, see Appendix C for detailed information on Executive Management program area budget.

Appendices

A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2018			FY 2019		
	City	Non-City	Total	City	Non-City	Total
DOP Budget as of the Fiscal 2018 Adopted Budget	\$81,933	\$21,437	\$103,370	\$80,899	\$21,172	\$102,071
Other Adjustments						
Fund ECHOES FY18	\$0	\$815	\$815	\$0	\$0	\$0
Fund Evening Intake FY18	0	217	217	0	0	0
PS Accruals	(1,840)	0	(1,840)	(297)	0	(297)
Roll Over Funds Project PACS	0	354	354	0	0	0
SAF DOP Electronic Monitoring	0	33	33	0	66	66
Telecommunication Savings	(100)	0	(100)	(250)	0	(250)
DOP Animation Project Transfer	800	0	800	0	0	0
Fund Close to Home ACS FY18	0	1,061	1,061	0	0	0
STSJP Funding Transfer	(2,490)	6,552	4,062	0	0	0
Lease Adjustment	71	0	71	0	0	0
OTPS Savings	0	0	0	(198)	0	(198)
Probation Assistant Wage Increases	1	0	1	0	0	0
Subtotal, Other Adjustments	(\$3,558)	\$9,032	\$5,474	(\$745)	\$66	(\$679)
TOTAL, All Changes	(\$3,558)	\$9,032	\$5,474	(\$745)	\$66	(\$679)
DOP Budget as of the Fiscal 2019 Prelim Budget	\$78,375	\$30,524	\$108,899	\$80,156	\$21,237	\$101,393

B: DOP Contract Budget

DOP Fiscal 2019 Preliminary Contract Budget				
<i>Dollars in Thousands</i>				
Category	Fiscal 2018 Adopted	Number of Contracts	Fiscal 2019 Preliminary	Number of Contracts
Office Equipment Maintenance	\$62	2	\$62	2
Cleaning Services	43	1	43	1
Contractual Services - General	21,928	5	19,439	5
Data Processing Equipment Maintenance	198	2	600	2
Hospitals Contracts	221	3	221	3
Maintenance and Repairs - General	22	1	22	1
Printing Services	20	1	20	1
Prof. Services - Other	145	4	145	4
Security Services	785	1	817	1
Telecommunications Maintenance	3	1	3	1
Temporary Services	13	1	13	1
Training Program for City Employees	32	2	25	2
TOTAL	\$23,471	24	\$21,407	24

C: Program Areas

Probation Services

Probation Services						
<i>Dollars in Thousands</i>						
	2016	2017	2018	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2018	2019	2018 - 2019
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$51,087	\$52,201	\$61,435	\$61,690	\$62,048	\$613
Other Salaried and Unsalariated	142	173	8	8	8	0
Additional Gross Pay	4,001	4,016	3,515	3,515	3,515	0
Overtime - Civilian	431	1,112	516	516	516	0
Amounts to be Scheduled	0	0	(1,470)	(1,470)	(1,470)	0
Fringe Benefits	0	0	4	29	0	(4)
Subtotal	\$55,661	\$57,502	\$64,007	\$64,287	\$64,617	\$610
Other Than Personal Services						
Supplies and Materials	\$826	\$895	\$1,341	\$1,767	\$1,291	(\$50)
Fixed and Misc Charges	296	904	11	361	11	0
Property and Equipment	763	1,012	885	1,108	661	(224)
Other Services and Charges	8,298	8,682	4,861	6,573	4,610	(251)
Contractual Services	15,858	17,085	22,691	25,229	20,627	(2,064)
Subtotal	\$26,041	\$28,577	\$29,788	\$35,037	\$27,200	(\$2,589)
TOTAL	\$81,702	\$86,079	\$93,795	\$99,324	\$91,816	(\$1,979)
Funding						
City Funds			\$72,418	\$68,860	\$70,639	(\$1,779)
Federal - Other			74	427	36	(38)
Intra City			6,338	15,038	6,338	0
State			14,966	14,999	14,803	(162)
TOTAL	\$81,702	\$86,079	\$93,795	\$99,324	\$91,816	(\$1,979)
Budgeted Headcount						
Full-Time Positions	839	869	937	998	936	(1)
TOTAL	839	869	937	998	936	(1)

*The difference of Fiscal 2018 Adopted Budget compared to Fiscal 2019 Preliminary Budget.

Executive Management

Executive Management						
<i>Dollars in Thousands</i>						
	2016	2017	2018	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2018	2019	2018 - 2019
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$0	(\$2)	\$0	\$0	\$0	\$0
Full-Time Salaried - Civilian	6,860	7,966	9,229	9,229	9,231	2
Other Salaried and Unsalaries	30	39	4	4	4	0
Additional Gross Pay	271	215	198	198	198	0
Overtime - Civilian	132	127	18	18	18	0
Subtotal	\$7,294	\$8,347	\$9,449	\$9,449	\$9,451	\$2
Other Than Personal Services						
Supplies and Materials	\$18	\$15	\$42	\$42	\$42	\$0
Fixed and Misc Charges	0	0	2	2	2	0
Property and Equipment	7	3	21	21	21	0
Other Services and Charges	28	56	32	32	32	0
Contractual Services	0	0	28	28	28	0
Subtotal	\$53	\$74	\$126	\$126	\$126	\$0
TOTAL	\$7,346	\$8,421	\$9,575	\$9,575	\$9,577	\$2
Funding						
City Funds			\$9,515	\$9,515	\$9,517	\$2
State			60	60	60	0
TOTAL	\$7,346	\$8,421	\$9,575	\$9,575	\$9,577	\$2
Budgeted Headcount						
Full-Time Positions	82	98	122	122	122	0
TOTAL	82	98	122	122	122	0

*The difference of Fiscal 2018 Adopted Budget compared to Fiscal 2019 Preliminary Budget.

D: Fiscal 2018 Preliminary Mayor's Management Report

DOP Performance Indicators	Actual			Target		4-Month Actual	
	FY15	FY16	FY17	FY18	FY19	FY17	FY18
Adult investigation reports completed - total	15,546	15,421	14,987	*	*	4,838	4,454
Adult investigation reports - on time completion (%)	NA	NA	88%	*	*	75%	97%
Juvenile investigation reports completed	2,003	2,019	1,927	*	*	580	604
Juvenile investigation reports - on time completion (%)	86%	81%	87%	*	*	87%	85%

Source: Fiscal 2018 Preliminary Mayor's Management Report

DOP Performance Indicators	Actual			Target		4-Month Actual	
	FY15	FY16	FY17	FY18	FY19	FY17	FY18
Juvenile supervision - Intake cases received	5,366	4,640	3,856	*	*	1,227	1,239
Juvenile delinquency cases eligible for adjustment (%)	31%	28%	25%	30%	30%	23%	29%
- low-risk (%)	38%	40%	36%	*	*	32%	37%
- medium-risk (%)	13%	14%	14%	*	*	9%	16%
- high-risk (%)	6%	7%	11%	*	*	4%	5%
Adult supervision cases - end of period	21,831	21,153	20,404	*	*	20,787	20,244
Juvenile supervision cases - end of period	1,430	1,347	1,023	*	*	1,159	991
Adult initial risk assessments completed	NA	7,648	21,313	*	*	6,732	7,032
Juvenile initial risk assessments completed	NA	1,117	1,413	*	*	855	814
Adult probationer rearrest rate (monthly average) (%)	3.1%	3.4%	3.1%	3%	3%	3.4%	3.0%
Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	3.1%	3.3%	3.3%	2.6%	2.6%	3.6%	3.3%
Juvenile probationer rearrest rate (monthly average) (%)	3.9%	4.8%	4.4%	3.5%	3.5%	4.5%	4.4%
Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	0.3%	0.3%	0.3%	*	*	0.3%	0.3%
Average monthly violation rate for adult probationers (%)	0.8%	0.9%	1.0%	*	*	1.0%	1.0%
Average monthly violation rate for juvenile probationers (%)	2.7%	3.0%	3.5%	3%	3.00%	3.2%	3.4%
Probation violation proceedings ending in revocation for adult probationers (%)	47%	54%	51%	*	*	53%	50%
Probation violation proceedings ending in revocation for juvenile probationers (%)	NA	NA	41%	*	*	40%	31%
Revocation of juveniles not resulting in placement (%)	NA	36%	29%	*	*	32%	52%
Revocation of juveniles resulting in placement (%)	NA	64%	71%	*	*	68%	48%

Source: Fiscal 2018 Preliminary Mayor's Management Report

DOP Performance Indicators	Actual			Target		4-Month Actual	
	FY15	FY16	FY17	FY18	FY19	FY17	FY18
New enrollments in alternative-to-placement (ATP) programs	160	134	100	*	*	48	34
New enrollments in DOP-managed programs	NA	2,270	1,476	*	*	627	530
Adult probationer early completion rate (%)	12%	10%	12%	*	*	12%	10%
Adult probationer early completion approval rate (%)	81%	75%	75%	*	*	72%	67%
Successful completion rate for adult probationers (%)	66%	68%	69%	UP	UP	68%	68%
Successful completion rate for juvenile probationers (%)	NA	NA	64%	UP	UP	64%	72%

Source: Fiscal 2018 Preliminary Mayor's Management Report