



BIANKA PEREZ
PRESIDENT

FREDERIC M. UMANE
SECRETARY

JOSE MIGUEL ARAUJO
JOHN FLATEAU, Ph.D.
LISA GREY

MARIA R. GUASTELLA
MICHAEL MICHEL
MICHAEL A. RENDINO
ALAN SCHULKIN
SIMON SHAMOUN

COMMISSIONERS

MICHAEL J. RYAN
EXECUTIVE DIRECTOR

DAWN SANDOW
DEPUTY EXECUTIVE DIRECTOR

PAMELA GREEN PERKINS
ADMINISTRATIVE MANAGER

GEORGEA KONTZAMANIS
OPERATIONS MANAGER

BOARD OF ELECTIONS

IN
THE CITY OF NEW YORK
EXECUTIVE OFFICE, 32 BROADWAY
NEW YORK, NY 10004-1609
(212) 487-5300
FAX (212) 487-5349
www.vote.nyc.ny.us

Testimony of Michael J. Ryan, Executive Director Board of Elections in the City of New York Before the

Committee on Finance and Committee on Governmental Operations Council of the City of New York

Fiscal Year 2017 Executive Budget

May 13, 2016

Chair Ferreras, Chair Kallos and members of the New York City Council's Committees on Finance and Governmental Operations, thank you for the opportunity to appear before you on behalf of the Board of Elections (Board). I am Michael Ryan, the Executive Director of the Board.

Present at today's hearing are the Board's:

- Deputy Executive Director, Dawn Sandow
- General Counsel, Steven H. Richman, Esq.
- Deputy General Counsel, Raphael Savino, Esq.
- Finance Officer, Gerald Sullivan
- Director of Communications and Public Affairs, Valerie Vazquez

Remarks Regarding Kings County Voter Rolls

While I recognize that the purpose of today's hearing is for the City Council to receive testimony and pose questions regarding the Board's financial needs for FY2017 to finalize the City's budget process, given all that has transpired in the days leading

up to the April 19, 2016 Presidential Primary and since, I must address the Kings County Voter Roll issues.

The core mission of the Board is to provide a full and fair opportunity for each duly registered voter in the City of New York to exercise the voter franchise. This mission is one of great responsibility and one that is taken very seriously. As such, the circumstances regarding the removal of voters from the rolls in Kings County and the related questions raised are clearly troubling.

The immediate and most important issue addressed by the Board post-election was to identify any voter improperly removed from the rolls who voted by affidavit. Once those voters were identified proper steps were taken to ensure the voted ballots were counted and included in the certified election results. While prior errors could not be undone, the Board was committed to counting every eligible vote during the post-election process.

The Board instituted an intensive review process of all affidavit ballots initially determined invalid. Each ballot in this category, in all five (5) boroughs, was scrutinized for potential eligibility, over and above the routine valid/invalid ballot process. This resulted in counting of votes in the certified vote totals that would have been otherwise invalidated.

The Board cannot and will not tolerate any deviation from governing statutes or Board policy, particularly when involving voter rights. The Board is in the process of reviewing, in detail, every aspect of the voter registration and voter archiving processes both internally and externally, to make certain that the integrity of these processes is maintained at every step.

The Board is fully cooperating with the Office of the New York State Attorney General, Bureau of Civil Rights in the investigation

of this matter. The Board has been in close contact with Attorney General Staff and has provided all of the information requested to date. In addition to telephone communication and written communication, the Board has met directly with Attorney General Staff to address all questions and concerns that have been raised thus far. It is anticipated that this process will continue for some time. I can assure these committees that the highest levels of cooperation will continue until the investigation is concluded.

The Board has been contacted by the Office of the Comptroller of the City of New York to schedule an entrance conference. While that meeting has not occurred, I can further assure that the Comptroller will receive the same level of cooperation as does the Attorney General.

The Board is working closely with New York State Board of Elections and the New York State Department of Motor Vehicles to examine every aspect of the electronic data sharing process. The Board is committed to working with our government partners to make certain that the automation of the voter registration process is performed accurately and effectively. The Board is further committed to utilizing available technology to enhance voter experience. This can only be accomplished if all of the responsible agencies are working jointly to achieve this goal and the Board will certainly do its part.

The Board recognizes that the actions taken in Kings County should not have occurred. The Board also recognizes that these actions contributed to reducing public confidence in the election process. The public trust is paramount; it must be cultivated and preserved. The Board will work strenuously to keep, maintain and improve all aspects of its responsibilities so that the citizens of the City of New York can actively participate in the election process with pride and confidence in the system.

Remarks Regarding FY16

I would like to thank the City Council and the Mayor for providing the necessary funding to the Board in FY 2016 to meet its Constitutional and statutory mandates as well as the needs of the Voters of the City of New York. This responsible funding partnership has allowed the Board to conduct the business of election administration, manage its finances and engage in more effective short and long-term budget planning. As in the past, the Board continues to look forward to fulfilling our mandate of ensuring that all eligible voters have the opportunity to freely and independently exercise the voting franchise.

Mayor's Executive Budget for Fiscal Year 2017

The Board would like to take this opportunity to extend its gratitude to Mayor de Blasio, his administration and the New York City Council for partnering with the Board. The funding provided in the Mayor's FY17 Executive Budget is \$123.7 million, of which \$52.3 million is allocated for Personal Services (PS) and \$71.4 million allocated for Other Than Personal Services (OTPS).

The Board acknowledges that its fiscal needs are fully met to conduct two Citywide election events including a Citywide Primary (September 2016) for State Senate and Assembly seats, as well as the General Election (November 2016) for various Supreme Court positions, all Congressional District seats, a U.S. Senate seat, and the Office of the President of the United States.

The Board reaffirms its responsibility to work closely with the Mayor's administration to ensure that all fiscal needs will be

provided for through the end of FY17. The Board and the administration have conducted regular meetings throughout this process. It is anticipated that the pattern of consistent communication will continue throughout the fiscal year. This will allow for periodic adjustments based upon the needs of individual election events and for any special elections that may occur due to vacancies.

Conclusion

The Board remains sensitive to the fiscal challenges faced by the Mayor's administration and the New York City Council and is mindful of its obligations to serve the voters of the City of New York. The Board remains committed to the partnership that has been forged with this Administration and this Council. The Board reaffirms its commitment to this Council that any allocated resources will be wisely utilized and the public trust will continue to be its guidepost.

As always, my colleagues and I are available to answer any questions that you may have, and we are always available if anyone should need further information. In addition, while the investigation into the matters in Kings County remains ongoing, I am prepared to answer any questions regarding what has been learned to date.

**Statement by First Assistant Corporation Counsel Georgia Pestana to the City Council
in Connection with the Executive Budget for Fiscal Year 2017**

May 13, 2016

Good afternoon Chair Ferreras-Copeland, Chair Kallos and distinguished Members of the Finance and Government Operations Committees. My name is Georgia Pestana, and I am the First Assistant Corporation Counsel. Zachary W. Carter, the Corporation Counsel, asked me to extend his regrets that a longstanding out-of-state obligation prevents his appearance before you today. My colleague, Managing Attorney Muriel Goode-Trufant and I are pleased to come before you on his behalf to discuss the Law Department's fiscal year 2017 Executive Budget.

The Law Department consists of sixteen legal and three support divisions. We handle an extraordinary array of cases and non-litigation matters: from tort to tax, from environmental and administrative issues to economic development and municipal financing. We also represent the City as plaintiff in a wide variety of affirmative matters.

The Executive Budget contains approximately \$12.5 Million Dollars in new PS funding for FY17 and nearly \$14.6 Million Dollars in new OTPS Funding.

Tort Division:

A significant portion of the new funding is for the Tort Division. The volume of litigation matters pending against the City presents a substantial challenge. The Tort Division alone defends more than 20,000 cases currently pending against the City, its agencies and employees. Approximately 7,500 new Tort cases are filed against the City each year and more than 6,000 cases are resolved annually by trial, motion practice and settlement. The proposed budget increase will fund what we refer to as the "verticalization" of the two Tort borough offices with the highest new case filings, Bronx and Brooklyn.

The majority of Tort cases have been handled in a horizontal manner, meaning that no one attorney takes ownership over the case and that as tasks become due, someone is assigned to complete that discrete task. The Law Department has long sought to "verticalize" our Tort practice. We use "verticalization" to mean that attorneys are directly assigned to develop case strategy and implement that strategy by handling all tasks and events throughout the life of the case. Verticalization not only strengthens defense, but also enables more proactive handling of litigation. With the City Council's help, in FY2013, the Law Department verticalized state court law enforcement cases commenced in the Bronx and later expanded to verticalize state law enforcement cases city-wide in FY2015. We believe that the 33% reduction in law enforcement related filings in the Bronx between FY13 and FY15 is largely attributable to the establishment of the Bronx state law enforcement unit. The establishment of the Bronx state law enforcement defense unit was patterned in large part after the successful Trial Initiative in the Special Federal Litigation Division, the division responsible for handling law enforcement related civil rights cases in federal court. Prior to the start of Special Federal Litigation's Trial Initiative in September 2011, there had been steady annual increases in new federal civil rights case filings. Special Federal Litigation received a staffing increase that enabled the division to be more

proactive in the preparation of cases and take more cases to trial. Rather than offering modest settlements to get rid of a case, Special Federal Litigation not only took more cases to trial – and won --, but also got more cases dismissed by motion and more cases withdrawn by plaintiffs. Dedicated case preparation by an assigned attorney from pleadings through trial has translated into a trial success rate this calendar year of 93% in federal civil rights trials (13 out of 14 verdicts in favor of the City, saving more than \$16,000,000.) Moreover, the steady increase in new civil rights filings has been eliminated.

In order to bring this successful model to the non-law enforcement cases handled in the Bronx and Brooklyn Tort offices, an increase in staffing is required. With additional staff, these offices will be restructured to accommodate the handling of cases by attorneys assigned to the cases from inception to conclusion.

Our work with City Council:

The Law Department has worked cooperatively with the City Council to support its energetic legislative agenda. In recognition of this increased legislative activity, two additional Legal Counsel attorney lines have been funded in the Executive Budget.

OTPS Funding:

In addition to new Personal Services funding, the Executive Budget contains OTPS funds in support of the Tort verticalization and funds for experts and other services connected with certain complex litigations. Finally, in order to increase collection revenue, more funds will be expended for services by collection agencies.

Conclusion

I thank you for your support of the Law Department and look forward to our continued cooperative relationship. I would be happy to answer any questions you may have.

**NEW YORK CITY CAMPAIGN FINANCE BOARD OPERATING BUDGET
FISCAL YEAR 2017**

	FISCAL 2016 CFB BUDGET	FISCAL 2017 CFB BUDGET	CHANGES FROM 2016 ADOPTED
PERSONAL SERVICES (PS)	\$ 8,140,985	\$9,461,761	\$1,320,776
OTHER THAN PERSONAL SERVICES (OTPS)			
OTPS	\$4,860,650	\$4,945,500	\$84,850
VOTER GUIDE	\$476,500	\$768,500	\$292,000
NYC CAMPAIGN FINANCE FUND	\$1,000,000	\$1,000,000	\$0
SUB TOTAL OTPS	\$6,337,150	\$6,714,000	\$376,850
TOTAL	\$ 14,478,135	\$16,175,761	\$1,697,626

HEADCOUNT	FY2016		FY2017		CHANGE FROM	
	Full Time	Seasonal	Full Time	Seasonal	Full Time	Seasonal
	96	7	96	13	0	6



New York City Campaign Finance Board
100 Church Street, 12th Floor, New York, NY 10007
212.409.1800 | www.nyccfb.info

**Testimony of Amy Loprest
Executive Director
New York City Campaign Finance Board**

**City Council Committees on Governmental Operations and Finance
May 13, 2016**

Good afternoon, Chair Kallos and Chair Ferreras-Copeland, and committee members. I am Amy Loprest, Executive Director of the New York City Campaign Finance Board (CFB). I am joined today by Eric Friedman, Assistant Executive Director for Public Affairs, and Kitty Chan, Chief of Staff.

I want to thank the Council for your support of the Campaign Finance Program and the opportunity to testify today. While most of the political world is focused on the presidential election, the CFB is starting to ramp up for the 2017 municipal election. Next year's full citywide election will be the eighth conducted under the city's public matching funds program. Our budget for fiscal year 2017 reflects our commitment to provide candidates a better experience when they run for city office, and provide New Yorkers with better tools to help them cast an informed vote.

CFB staff is engaged in an across-the-board effort to improve the technology we make available for use by candidates, voters, and the general public. Our Systems staff is working on upgrades to C-SMART, which as you know is the platform candidates use to

organize their financial data and provide disclosure to the CFB. The upgrades will provide an improved user experience, an upgraded back end to speed transaction time, and a more seamless interface for candidates to provide their information for the CFB's Voter Guide.

We are continuing development on our NYC Votes Contribute platform, for online credit card fundraising, which connects with C-SMART and is available to all city candidates. To date, 29 candidates have started accounts, and they've raised more than \$17,000 through the platform. We encourage candidates who want to fundraise online to sign up.

We are enhancing disclosure software for independent spenders, and later this year we will unveil improved disclosure resources for the CFB's website, including a new searchable database, and enhanced candidate profile pages in future phases.

This multiyear initiative to improve our technology and prepare for the 2017 election year is proceeding as we work on completing enforcement for the 2013 election cycle. We are meeting the deadlines set by the Campaign Finance Act to complete the post-election audits, and matching the pace we set following the 2009 elections. Our review of the audits shows that the majority of candidates are successfully navigating our system, and their campaigns have been in substantial compliance with the Act and Rules. To date, 60 percent of the audits that have gone before the Board contained no penalties, and more than 70 percent of all candidates finish with penalties of \$1,000 or less.

Our staff has expanded our work informing and engaging voters. For this year, we partnered with Maplight, a nonpartisan research organization, on a co-branded Voter's Edge NY voter guide featuring the candidates in the April 19th presidential primary and special elections. We will continue this partnership to provide information on candidates for state and federal offices that are not currently covered by the CFB's city Voter Guide.

As you know, we also had an incredibly successful Student Voter Registration Day in March. In partnership with the Council, the Department of Education, and New York Immigration Coalition, we registered 8,500 students in high schools across the city.

And finally, we just celebrated Voter Day in Albany on May 3rd. We collected 6,500 petition signatures in support of the Vote Better NY reform campaign, and we brought nearly 200 citizen volunteers from New York City and around the state to meet with 74 legislators at the state capital to advocate for election laws that better serve voters.

The Board's fiscal year 2017 budget is \$16.2 million and is attached to this testimony. Pursuant to the New York City Charter, Section 1052(c), the Board submitted its budget for City Fiscal Year 2017 to the Mayor on March 10, 2016, and it was included in the Executive Budget.

The increased personal services budget reflects increased staff salaries as a result of union contract settlements, as well as additional staff needed for the election year. Labor unions representing staff in our office settled contract negotiations for the period between

2011 and 2016. These salary increases were mentioned in our testimony last year, but our FY2016 personal services budget request did not reflect the cost of those negotiated salary increases, because the contracts had not yet been finalized.

Additionally, we are making new hires as we increase staff in preparation for the election year. We are hiring a new audit team, which will improve one of the agency's core functions. We are also hiring six additional seasonal employees for the election year to assist with technology improvements and help with the production of our voter guide.

Some funds will also go towards hiring computer consultants on a number of projects, including development on the next phase of the NYC Votes Contribute tool, and building capacity for our Candidate Services Unit to conduct video trainings. Furthermore, our budget request accounts for expected overtime for CFB employees during the election year.

Our budget request for the Voter Guide reflects that we are starting work this year on design enhancements to ensure the 2017 Voter Guide contains even more relevant information, presented in the clearest, most compelling format. The guide is an important resource for millions of New Yorkers, and we are committed to ensuring it best serves the needs of all voters so that they can cast an informed ballot.

Another point of consideration is legislation pending before the City Council, Int. No. 988, that would allow voters to opt out of receiving the print voter guide if they prefer to

receive their Guides electronically. While this is not reflected in this budget submission, if this bill should be enacted, we will need to make an initial investment of resources to create the system that allows voters to opt out of the mailing.

As we look forward to the 2017 elections, both the Board and CFB staff will continue working to ensure that we can help more candidates run for city office and give more New Yorkers the opportunity to make their voices heard. The City Council's long-standing commitment to the Campaign Finance Program has helped it grow into a national model for how public matching funds programs can counteract the influence of big money and empower more everyday people in the political process. Thank you for your time, and I am happy to answer any questions.



sanitation

Kathryn Garcia Commissioner

Kathryn Garcia, Commissioner

**Hearing on the FY 2017 Executive Budget
Before the New York City Council Committees on
Sanitation and Solid Waste Management and Finance**

**Friday, May 13, 2016
10:00 A.M.
City Hall – Council Chambers**

Good morning Chair Reynoso, Chair Ferreras-Copeland, and members of the City Council Committees on Sanitation and Solid Waste Management and Finance. I am Kathryn Garcia, Commissioner of the New York City Department of Sanitation. I am joined by Dennis Diggins, First Deputy Commissioner, and Michael Ebert, Assistant Commissioner for Planning and Budget. Thank you for this opportunity to testify on the Department's expense and capital portions of the Mayor's Fiscal Year 2017 Executive Budget.

Budget Highlights

The FY 17 Executive Budget allocates \$1.7 billion in expense funds to the Department. Of this, \$957.9 million is for Personal Services, and \$704.8 million is for Other Than Personal Services. Our budgeted headcount for the FY 17 Executive Budget is 9,766, with 7,490 uniformed agency employees including 6,260 Sanitation Workers.

The Department's capital portion of the FY 17 Executive Budget is \$397 million. The capital budget includes \$182 million for collection trucks and other essential equipment, including \$64 million in new funding to purchase rear loader and dual bin trucks to replace the agency's over-age collection vehicles.

Under the Department's four-year capital plan, the Department is funded to:

- Construct new sanitation garages to serve Brooklyn District 3; Staten Island District 1; Bronx Districts 9, 10, and 11; and Manhattan Districts 6 and 8; and
- Complete construction of the three permitted marine transfer stations pursuant to the Solid Waste Management Plan.

Additionally, new funding in the Department's FY 17 expense budget includes:

- \$818,000 to sweep approximately 100 miles of highway ramps and shoulders per week as part of Mayor de Blasio's CleanNYC initiative;

- \$1.7 million for additional Sunday and holiday basket collections in high-pedestrian traffic areas primarily in the outer boroughs;
- \$1.4 million for enhancements to the NOVAS hand-held enforcement devices; and
- \$3.8 million for the purchase of automotive equipment repair parts.

The Department's cost savings in the FY 17 executive budget include:

- \$2.9 million in personnel services surplus; and
- \$1.1 million in operational and administrative savings.

Sustainability and Recycling

To help meet Mayor de Blasio's ambitious goal of sending zero waste to landfills by 2030 announced last year as part of *One New York: The Plan for a Strong and Just City*, the Department will continue its aggressive agenda to encourage New Yorkers to reduce, reuse, and recycle waste.

First, I would like to thank the Sanitation and Solid Waste Committee for its continued leadership in helping to promote zero waste in New York City. Last week, the Committee advanced an important and critical piece of legislation, Intro 209-A, that will reduce the use of single use carry-out bags in the City. I also want to thank the Speaker and Council for passing this important bill. I look forward to working with the Council, the business community, and all New Yorkers to promote the use of reusable bags and educate New Yorkers about the bag fee.

Expanding the NYC Organics program is critical to achieving our zero waste goals. By the end of this year, we will expand our residential curbside collection program to reach more than 1 million New Yorkers and become the largest organics collection program in the country. The Department will continue to work to expand NYC Organics to serve all New Yorkers with curbside collection or convenient neighborhood drop-off locations by the end of 2018.

We also continue to partner with NYCHA to expand NYCHA Recycles! to give all NYCHA residents access to convenient recycling opportunities. In less than a year, more than 850 NYCHA buildings now participate, which includes installation of recycling bins, staff training and resident outreach, and ramp up of collection service. All NYCHA buildings will participate in the NYCHA Recycles! program by the end of 2016.

This year we will hold ten SAFE disposal events, two in each borough, to provide residents with a one-stop drop-off to safely dispose of potentially harmful household products. We held the first three SAFE events in Brooklyn, Staten Island and Queens last month, which had record-breaking attendance and collection volumes compared to prior years. At the Staten Island SAFE event, we reconfigured traffic into the venue and had people in and out in just 15 minutes. The next SAFE events will be held in the Bronx on Saturday, May 14th in the Orchard Beach Parking

Lot, and in Manhattan on Sunday, June 26th at the Columbia University Teacher's College at 120th Street and Broadway. All events are held rain or shine from 10 am to 4 pm and are open to all City residents regardless of the borough they live in.

In addition, this fall the Department will launch a pilot curbside electronic waste collection program on Staten Island. The program will be modeled on the Department's existing CFC collection program, which allows New Yorkers to call 311 or go online to make a collection appointment. The pilot will allow us to measure the demand for the service and collection productivity to evaluate the feasibility of expanding it elsewhere.

Facility Construction

The Department's garages and other facilities are essential to ensure that our operating bureaus can perform their missions. The Department has resumed efforts to construct a new garage for Brooklyn District 3 and resume the design of the facility. The project is on target to begin construction in the summer of 2019.

In addition, the Department is currently in the scope development phase for the Staten Island District 1 garage at the former Fresh Kills Landfill. Construction is projected to begin in the summer of 2020.

The Department is currently procuring a designer for the Bronx districts 9, 10 and 11 garage replacement project. Once we select a consultant team and register the related design contract, design efforts will commence for this new Sanitation garage. Construction of the state-of-the-art, multi-district garage is currently scheduled to start in the summer of 2020.

Technology

Last year, DSNY implemented the first phase of the Sanitation Management Analysis and Resource Tracking system, known as SMART. This project is the result of a multi-year planning and development effort that will ultimately result in the replacement of the Department's half-century old paper-based assignment and resource tracking procedure with a web-based, real-time system. Over the next two years, the Department is funded to roll out additional phases of the project and sunset SCAN, the decades-old precursor system.

Snow Budget

The Department's snow budget for FY 17 is funded for \$88.1 million. Overall, we were fortunate to have a relatively mild winter season with few plow-able snowstorms, with the exception of the January 2016 Jonas blizzard. As you may know, the National Weather Service recently upgraded its snowfall totals for this severe storm to an official 27.5 inches in total, making it the heaviest snowfall on record.

Last month, the Department released its After Action Report for the January 2016 Blizzard, which includes a thorough review of the Department's response to the storm. The Department faced enormous challenges during that storm, including intense snowfall in sections of

Queens that buried some streets in more than 30 inches of snow. As a follow up to the Mayor's desire to improve response time and effectiveness in the future, the report identifies several recommendations for improvement.

The Department's Executive Budget includes \$21 million in the current fiscal year to purchase additional snow equipment, including:

- 80 smaller haulster plows to improve snow clearing on narrow street segments and dead end streets;
- 21 additional front-end loaders to increase the efficiency of salt-loading and enable more rapid clearing of streets that cannot safely be cleared with standard plows; and
- 40 additional skid steer plows to further mechanize the clearing of crosswalks, bus stops, and other pedestrian infrastructure.

This summer the Department will also provide full-day refresher training for all Sanitation Workers, Supervisors, and Superintendents to reinforce proper snow plowing techniques and build confidence necessary to fight these kinds of storms. We believe that the additional time is an important investment in each worker's training and response skills and our future operations going forward into future snow seasons.

This year the Department will expand snow sector routes to the rest of the Bronx and Brooklyn, bringing all five boroughs into this new, more efficient routing methodology. As mentioned in the After Action Report, investments in GPS tracking systems, including PlowNYC, over the last several years proved invaluable to the Department's ability to track its progress and quickly redeploy resources to the hardest hit neighborhoods just hours after the snow stopped falling. The Department will continue to refine these systems with the help of DOITT and our own information technology staff.

Concluding Remarks

I would like to thank you for the opportunity to testify today on the funding of the Department's programs and operations over the next fiscal year, and our proposed initiatives to advance the Department's zero waste goals. I'm confident that funding under the FY 17 budget will permit the Department to continue to meet its mission of keeping New York City healthy, safe, and clean.

My staff and I will now be happy to answer your questions.



FY 2017 EXECUTIVE BUDGET HEARING
NEW YORK CITY COUNCIL
COMMITTEE ON FINANCE
COMMITTEE ON GOVERNMENTAL OPERATIONS

TESTIMONY BY LISETTE CAMILO
COMMISSIONER, DEPARTMENT OF CITYWIDE ADMINISTRATIVE
SERVICES
MAY 13, 2016

Good afternoon Chair Ferreras-Copeland, Chair Kallos and members of the Finance and Governmental Operations Committees. I am Lisette Camilo, Commissioner of the Department of Citywide Administrative Services. Thank you for the opportunity to appear before you today. I am joined by members of my senior staff to discuss the planned expenditures and revenues for FY17 as well as highlights of the DCAS Capital plan, all of which are aimed to help further and support the operations of City government.

Overview

As you know, DCAS ensures that City agencies have the critical resources and support needed to provide the best possible services to the public. In short, we provide a broad range of administrative services to help agencies in their day-to-day operations. In addition, each of our lines of service is dedicated to helping support this Administration's important goals of equity, growth, resiliency and sustainability, and play a direct role in the implementation of a broad range of Citywide Mayoral initiatives including: leveraging the City's purchasing power to generate savings and efficiencies; reducing greenhouse gas emissions by 80% by 2050 as directed by *One City: Built to Last*; providing increased access to job and contracting opportunities to a more diverse population and increasing traffic safety through Vision Zero.

During my first several months as Commissioner, I have been taking a critical look at our operations to better understand the services we provide, and have been working with the team to examine those policies and procedures that can be enhanced so that we can achieve a greater level of support for our customers.

This same philosophy is how the Administration and I are approaching the deed modification process. I know you and your colleagues have a great deal of interest in discussing Rivington House and the deed modification process at that site. As I'm sure you can understand, out of a paramount interest in

preserving the integrity of investigations surrounding this specific modification, we won't be able to discuss with you today what may have gone wrong and how exactly we believe we can fix it.

I am hopeful that you too will respect the integrity of these investigations and our attempts to improve our processes and internal safeguards so that we can provide the public and the City Council with the transparency both deserve. And I fully expect that when the appropriate time comes, and there is more information we can share, the City Council will hold a hearing where the Administration can more fully address your questions.

Before turning to our other work and the executive budget that has brought me here today, I will say that while this process is ongoing, all deed restriction applications are currently on hold, and the processes by which deed restrictions are valued, and restriction removals are authorized, are similarly under ***review and subject to overhaul***.

In addition, as communicated by the Mayor in Executive Order 17, DCAS is making the following changes:

- A public notice will be published in the City Record for at least seven consecutive business days commencing at least thirty days and no more than forty days prior to the public hearing;
- Notice of the public hearing will be mailed to the Community Board in which the subject property is located and to the Borough President and the Council Member who represent the area in which the subject property is located;
- The public hearing will be held within the Community District in which the subject property is located; and
- A public file containing copies of the calendar document and other public documents will be made available to the Community Board in which the subject property is located for public review at said Community Board's office no later than twenty days prior to the public hearing.

Now I would like to take a minute to provide the Council with an update on some of the other initiatives that we are currently implementing:

DCAS Fleet Services

DCAS' NYC Fleet Line of Service is working with the Mayor's Office to lead the implementation of the NYC Clean Fleet Initiative to add 2,000 Electric Vehicles (EVs) to the City's Fleet by 2025 and reduce transportation greenhouse gas emissions by 50%. In FY16 to date, DCAS has ordered 225 electric vehicles for City agencies. The fleet currently operates 388 full use electric vehicles and will operate over 500 vehicles by the end of CY16. These efforts have recently led to New York City's Fleet being named **Green Fleet of the Year** by both the National Fleet Managers' Association (NAFA), representing public and private fleet managers throughout North America, and by *Fleet Owner Magazine*, a national trucking industry magazine.

Human Capital

The Human Capital Line of Service at DCAS, which administers the City's civil service system for over 220,000 city workers, is committed to enhancing and strengthening the City's workforce system by improving access to quality job opportunities for job seekers and diverse and qualified candidates for agencies. To further to those goals, in the spring of FY17, DCAS will open a third civil service examination-testing center in Queens. We have also finalized a lease for a Staten Island exam-testing center and are working on finalizing a plan for a Bronx location. Having an exam-testing center in every borough demonstrates this Administration's continued commitment to equitable access to civil service for all residents across the five boroughs.

Citywide Diversity and EEO Office (CDEEO)

The Office of Citywide Diversity and EEO (CDEEO) is tasked with advancing the administration's equity agenda through diversity and inclusion initiatives that directly touch our municipal workforce.

- CDEEO's new Computerized Based Training (CBT) module for Unconscious Bias training is scheduled for rollout in the first quarter of FY17. This course will educate managers and staff on how their personal beliefs and perceptions can lead to stereotyping and biased employment practices in the workplace. During FY17, 16,000 employees are expected to participate in this training. In FY17, CDEEO also plans to develop other CBTs on topics such as transgender inclusion, disability etiquette and sexual harassment.

Office of Citywide Procurement (OCP)

DCAS' Office of Citywide Procurement (OCP) leverages the City's purchasing power to obtain the most competitive pricing for goods and services by aggregating demand and consolidating contracts. In order to further Mayor de Blasio's OneNYC goal, DCAS continues to maximize M/WBE vendor participation by conducting outreach and ensuring that M/WBE's are included as a normal part of the agency purchasing culture.

- For FY16 to date, DCAS has awarded approximately \$17.5 million to M/WBE vendors.
- To broaden DCAS' vendor pool with as many M/WBEs as possible, our most recent outreach event was a Procurement Open House, which was held on March 10th. More than 178 vendors attended the event. In order to increase opportunities for M/WBE vendors to do business with DCAS, representatives from each Line of Service explained the goods and services that they buy for their operations.

Energy Management

In addition to being the primary unit that manages the municipal energy budget, DCAS continues to play a central role in the City's efforts to reduce energy consumption and greenhouse gas emissions from municipal buildings by 35% by 2025. An example of one of the initiatives that DCAS is leading from *One City: Built to Last*, is the installation of solar panels that will increase the use of renewable energy and reduce the use of traditional energy sources.

- DCAS Energy Management recently completed the installation of 5.4 megawatts of solar panels at 24 schools across the City by this past Earth Day putting the City on a path to achieving nearly 25 megawatts of installed solar capacity by 2018.
- Additionally, under Local Law 24 of 2016, DCAS will now begin evaluating each agency's portfolio of public buildings and report on the solar potential of each rooftop along with the associated energy savings and greenhouse gas emissions reductions.

I would now like to discuss highlights of DCAS' expense budget, expense savings program, the revenue budget and lastly the Capital Plan.

Expense Budget

DCAS' expense budget reflects funding of \$1.2 billion and a budgeted headcount of 2,276 in FY17. The majority of DCAS' planned FY17 expenditure – \$707 million – is allocated for citywide heat, light and power expenses. The FY17 energy budget is a collaborative effort between DCAS and OMB in forecasting agency energy usage as well as commodity rates in the upcoming fiscal year. DCAS continues to work closely with agencies, citywide, to enhance the energy performance of their facilities through a range of programs, which includes retrofitting equipment, improving operations and maintenance, as well as training and outreach to reduce the City's energy costs.

Expense Funding Additions

Now, I will highlight additional Expense Funds received in the FY17 budget:

- Purchase of Electric Vehicles: As I mentioned earlier in my testimony, NYC Fleet has an instrumental role in the Clean Fleet Initiative. The FY17 Executive Budget includes an allocation of \$3 million for both FY17 and FY18 to purchase 100 EVs and accessories in each fiscal year. The EVs will be used to replace vehicles that are being retired from the City Fleet.
- Staff for the new Queens CTAC: DCAS received \$700,000 for twenty (20) positions to staff the new Queens CTAC. The approved positions include staff to help administer exams, staff to manage IT equipment and systems used at the facility, as well as security staff.
- IT Staff to Develop Replacements of Civil Service Mainframe Systems: DCAS IT received two (2) positions, totaling \$220,000, to create requirements for "Civil Service Technology Modernization." This is a multi-year effort to automate the business processes for Human Capital and to replace many of DCAS mainframe systems including the *Online Application System*, the *Online Education and Experience System* and the *Certification Evaluation, Reporting and Tracking System*, among others.
- CDEEO Training: Consistent with the City's EEO Policy and commitment to Diversity and Inclusion, CDEEO continues to invest in the City's greatest asset, our workforce. CDEEO has

received baseline funding, totaling \$250,000, for FY17 that will be used to further support inclusive learning opportunities for managers and staff.

- Administrative Staff to Implement Security at Private Schools Program: As you are aware, Local Law 2 of 2016 was enacted to allow eligible non-public schools to receive reimbursement from the City for contractual security guard services. DCAS received \$500,000 and seven (7) positions to assist in the roll out and administration of this program.

Agency Efficiency Initiatives

As requested by OMB, DCAS has identified savings in areas that will not adversely affect the agency's ability to provide services to both the public and our sister City agencies. These efficiency initiatives include:

- Lease Audit Savings: Asset Management's Lease Audit Unit conducts audits of lease payments by City agencies in privately owned space to ensure the rent being charged is consistent with the lease provisions. These audits can result in savings by identifying excessive lease payments. DCAS is projecting to recover \$1.0 million in the upcoming fiscal year because of overpayments made by City agencies.
- Bio Diesel Tax Reimbursement for Calendar Year 2015: Thanks to our fleet sustainability program, New York City will receive a calendar year 2015 biodiesel tax credit reimbursement totaling \$1.8 million for biodiesel fuel that was purchased by City agencies. This reimbursement is the direct result of the passage of the Federal Tax Extenders Bill in late December of 2015. This bill includes a provision reinstating the biodiesel tax credit for calendar year 2015.
- PS Budget Reduction: DCAS' FY17 Personal Services Budget is reduced by \$2.5 million. DCAS is examining various methods on how to best achieve these savings including overtime reduction through improvements in scheduling and accrual savings from delays in both hiring new positions and backfilling attrition.

Revenues

The FY17 total DCAS revenue budget is \$62.4 million.

- DCAS' largest source of recurring revenue is from commercial rentals of City-owned property, projected at \$42.1 million.
- Another significant revenue source is the sale of surplus vehicles and other City owned equipment totaling \$6.9 million.
- DCAS also receives revenue from applicant filing fees for civil service examinations. DCAS anticipates collecting \$3.5 million from these fees.

DCAS Capital

I will now turn to the DCAS capital plan, which totals \$613 million in FY17. The majority of the capital budget is dedicated to facility upgrades and energy conservation initiatives. Highlights of the capital plan include:

DCAS Managed Facilities: DCAS' capital construction program for city-owned office and court buildings in FY17 totals \$258 million. Major projects funded in FY17 include:

- \$114 million for interior renovations including the following projects:
 - The relocation of the Manhattan Summons Court from 346 Broadway to 1 Centre Street
 - The relocation of housing and civil court parts from leased space at 141 Livingston Street to 210 Joralemon Street.
 - The renovation of 345 Adams Street in Brooklyn to relocate NYPD, HPD and DOB from 210 Joralemon Street.
- \$40.4 million is allocated for electrical upgrades including Queens Criminal Court, the Brooklyn Municipal Building and Brooklyn Appellate Court, and
- \$30.6 million is allocated for exterior facade improvements including Brooklyn Criminal Court, Bronx Family/Criminal Court, and 280 Broadway in Manhattan.

Leased Space Construction Projects: DCAS' capital program for the construction of space as well as the purchase of furniture and related equipment in leased facilities for FY17 totals \$65 million.

Projects include:

- \$29.1 million to relocate the Department of Finance to 375 Pearl Street in Manhattan from 210 Joralemon St and 345 Adams St.
- \$15.2 million to relocate DSNY and NYPD from their current site at 137 Centre Street. and
- \$1.3 million for the new Computer-based Testing and Application Center in Staten Island.

Energy Conservation and Clean Energy Projects: In FY17, \$277.4 million in capital funding is allocated for citywide energy conservation and clean energy projects to support the Mayor's *One City: Built to Last* program. Projects include lighting upgrades, solar panel installations, building controls, and HVAC improvements. These projects are located at facilities citywide. Examples include:

- \$24.6 million for efficiency retrofits at four City Courthouses;
- \$17.9 million for the replacement of lighting fixtures at DOE schools;
- \$17.5 million for power co-generation at the North River Waste Water Treatment Plant;
- \$10.0 million for a comprehensive energy upgrade at Harlem Hospital.

DCAS Fleet Services: DCAS has funded \$2.3 million for 38 solar carports in the Executive Budget for FY17. This will provide additional infrastructure in support of the expansion of the NYC Clean Fleet initiative that I mentioned earlier.

Conclusion

I hope this testimony has provided you with a snapshot of the work that our DCAS employees handle to help this City run its operations and further the de Blasio Administration's policy initiatives. Thank

you for this opportunity to testify about the Department of Citywide Administrative Services' planned expenditures and revenues for FY17 as well as our capital plan. I continue to look forward to having a productive and collaborative working relationship with the Council and would be pleased to take any questions at this time.

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Amy Loprest Executive Director

Address: 100 Church St, 12th Fl

I represent: Campaign Finance Board

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Dawn Sandow

Address: 42 B'way

I represent: NYC BOE

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Kathryn Garcia, Commissioner,

Address: 125 Worth St, NY, NY 10013

I represent: NYC Dept. of Sanitation

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Bennis Diagonis, First Deputy Commissioner

Address: 125 Worth St, NY, NY 10013

I represent: NYC Dept. of Sanitation

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Michael Ryan

Address: 42 Bdwy

I represent: NYC Board of Elections

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: ERIC FRIEDMAN

Address: _____

I represent: Campaign Finance Board

Address: _____

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Michael Ebert, Assistant Commissioner,

Address: 125 Worth St, NY, NY 10013 Planning & Budget

I represent: NYC Dept. of Sanitation

Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: 5/13/16

(PLEASE PRINT)

Name: Georgia Pestano

Address: _____

I represent: NYC Law Dept

Address: 100 Church Street

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: 5/13/16

(PLEASE PRINT)

Name: Muriel Goode-Trufant

Address: 100 Church Street, NY NY 10007

I represent: Law Department

Address: 100 Church Street, NY NY 10007

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Dawn M. Pinnock
Address: 1102 Lydig Ave., Bronx NY 10461
I represent: DCAS
Address: 1 Centre St. NYC NY

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: RICHARD BAIILLO
Address: 1 CENTRE ST 17FL
I represent: DCAS
Address: _____

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: 5/13/16

(PLEASE PRINT)

Name: Kenn Kerman
Address: DCAS
I represent: Centre Street, NYC NY
Address: Fleet, 2nd floor

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: 5/13/16

(PLEASE PRINT)

Name: NITHIN PATEL

Address: 1 Centre St.

I represent: DCAS

Address: _____

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: 5/13/16

(PLEASE PRINT)

Name: Susan Cohen

Address: 1 Centre St.

I represent: DCAS

Address: 1 Centre St, 17th floor

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Lisette Camilo, DCAS Commissioner

Address: _____

I represent: _____

Address: _____

◆ Please complete this card and return to the Sergeant-at-Arms ◆

**THE COUNCIL
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. _____ Res. No. _____

in favor in opposition

Date: _____

(PLEASE PRINT)

Name: Suzanne Lynn

Address: _____

I represent: DCAS

Address: _____

◆ Please complete this card and return to the Sergeant-at-Arms ◆