

# THE COUNCIL OF THE CITY OF NEW YORK

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Speaker of the Council

Hon. Margaret Chin  
Chair, Committee on Aging

Hon. Paul Vallone  
Chair, Subcommittee on Senior Centers



Report of the Finance Division on the  
Fiscal 2018 Preliminary Budget and the  
Fiscal 2017 Preliminary Mayor's Management Report for the  
**Department for the Aging**

March 13, 2017

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## Department for the Aging Overview

Seniors are the fastest growing demographic in New York City and it is projected that by 2040, over one out of every five New Yorkers will be age 60 and older. The New York City Department for the Aging (DFTA or the Department) administers a wide range of programs to enhance the quality of life for the City's elderly population. The Department oversees the operation of senior centers, provision of home delivered and congregate meals, the administration of social and legal services and home care services, employment counseling and placement, and case management services. It also serves as an advocate for the City's elderly population through legislative activity and public policy initiatives.

Not only does DFTA serve older adults in New York City, it supports the families of older adults through its coordination and provision of services and advocacy efforts. The Department acts as a vital source of education and knowledge through services offered by its' several Resource Centers.

This report provides a review of the Department for the Aging's Preliminary Budget for Fiscal 2018. In the first section, the highlights of the \$306.4 million Fiscal 2018 expense budget are presented, including initiatives funded by the Council, and the impact of State budget actions.

The report then presents the Department's budget by program area and provides analysis of significant program areas, discusses initiatives included in the November and Preliminary Financial Plans and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2017. This is followed by a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the \$39.6 million Capital Plan for Fiscal 2017-2021 and the Department's Ten-Year Capital Strategy for Fiscal 2018-2027. Finally, the appendices are included to highlight the Budget Actions in the November and Preliminary Plans.

## Fiscal 2018 Preliminary Budget Highlights

The City's Fiscal 2018 Preliminary Budget is \$84.7 billion, \$2.6 billion more than the Fiscal 2017 Adopted Budget of \$82.1 billion. The Fiscal 2018 Preliminary Budget includes \$61.6 billion in City tax-levy funding and \$23.1 billion in non-City funds.

The Department for the Aging's Fiscal 2018 Preliminary Budget totals \$306.4 million (including City and non-City funds); this represents approximately less than one percent of the City's total Budget. The Department for the Aging's Fiscal 2018 Preliminary Budget is \$24.4 million less than the Fiscal 2017 Adopted Budget of \$330.8 million. The overall decrease in DFTA's budget can be attributed to the absence of one-time Council funding for senior services in Fiscal 2017 totaling \$30.1 million, which includes discretionary funding and citywide senior services initiatives. For more information on Council-funded senior initiatives in Fiscal 2017, please refer to pages 3 to 6 of this report.

DFTA Expense Budget						
	2015	2016	2017	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	2017	2018	2017 - 2018
Personal Services	\$23,424	\$24,335	\$30,172	\$28,263	\$29,229	(\$943)
Other Than Personal Services	261,812	281,044	300,717	312,733	277,236	(23,481)
<b>TOTAL</b>	<b>\$285,236</b>	<b>\$305,379</b>	<b>\$330,889</b>	<b>\$340,996</b>	<b>\$306,465</b>	<b>(\$24,424)</b>

\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.

When compared to the Fiscal 2017 Adopted Budget, personal services (PS) in the Fiscal 2018 Preliminary Budget decreases by \$942,000, which is a result of savings from hiring delays. The \$23.4 million decrease in other than personal services (OTPS) when comparing the Fiscal 2017 Adopted Budget to the Fiscal 2018 Preliminary Budget is primarily associated with the absence of one-time Council funding in Fiscal 2017.

The Department for the Aging's Fiscal 2018 Preliminary Budget makes very few changes to DFTA's core programs, with the exception of one new need totaling \$4.25 million for homecare services for Fiscal 2018 only. For more information on the additional funding for homecare services, please refer to pages 11 and 12 of this report. The agency's November Plan included a savings of \$1 million for Fiscal 2017 as a result of hiring delays.

## Financial Plan Summary

DFTA Financial Summary						
<i>Dollars in Thousands</i>						
	2015	2016	2017	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2017	2018	2017 - 2018
<b>Budget by Program Area</b>						
Administration & Contract Agency Support	\$25,362	\$25,663	\$31,598	\$31,378	\$35,138	\$3,540
Case Management	25,643	28,045	33,008	33,859	35,972	2,964
Homecare	16,436	20,126	21,136	23,424	23,424	2,288
Senior Centers and Meals	166,451	174,770	195,868	187,479	171,880	(23,988)
Senior Employment and Benefits	8,263	8,038	7,740	8,702	7,134	(606)
Senior Services	43,081	48,738	41,539	56,153	32,918	(8,621)
<b>TOTAL</b>	<b>\$285,236</b>	<b>\$305,379</b>	<b>\$330,889</b>	<b>\$340,996</b>	<b>\$306,465</b>	<b>(\$24,424)</b>
<b>Personal Services</b>						
Additional Gross Pay	\$506	\$568	\$106	\$232	\$230	\$124
Additional Gross Pay - Labor Reserve	60	38	0	0	0	0
Amounts to be Scheduled	0	0	282	282	312	876
Full-Time Salaried	18,336	19,150	25,981	23,236	24,157	(1,824)
Other Salaried & Unsalaries	0	0	27	27	27	0
Overtime	34	17	0	0	0	0
Unsalaries	4,488	4,562	3,775	4,486	4,503	728
<b>SUBTOTAL</b>	<b>\$23,424</b>	<b>\$24,335</b>	<b>\$30,172</b>	<b>\$28,263</b>	<b>\$29,229</b>	<b>(\$96)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$245,776	\$265,016	\$277,752	\$285,346	\$254,355	(\$23,397)
Contractual Services- Professional Services	4,706	4,629	3,831	7,022	3,866	35
Fixed & Misc. Charges	(90)	7	22	80	22	0
Other Services & Charges	10,121	10,969	18,526	19,432	18,426	(100)
Property & Equipment	1,028	148	178	325	178	0
Supplies & Materials	270	275	408	528	389	19)
<b>SUBTOTAL</b>	<b>\$261,812</b>	<b>\$281,044</b>	<b>\$300,717</b>	<b>\$312,733</b>	<b>\$277,236</b>	<b>(\$23,481)</b>
<b>TOTAL</b>	<b>\$285,236</b>	<b>\$305,379</b>	<b>\$330,889</b>	<b>\$340,996</b>	<b>\$306,465</b>	<b>(\$24,424)</b>
<b>Funding</b>						
City Funds	\$178,473	\$186,582	\$216,476	\$216,288	\$191,015	(\$25,461)
State	39,657	\$43,047	40,168	43,007	42,907	2,739
Federal - Community Development	1,325	\$2,625	2,241	2,890	2,241	0
Federal - Other	63,574	\$70,989	71,634	76,658	69,933	(1,701)
Intra City	2,206	\$2,137	370	2,154	370	0
<b>TOTAL</b>	<b>\$285,236</b>	<b>\$305,379</b>	<b>\$330,889</b>	<b>\$340,996</b>	<b>\$306,465</b>	<b>(\$24,424)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	273	274	335	336	335	0
Full-Time Equivalent Positions	454	457	355	384	385	30
<b>TOTAL</b>	<b>727</b>	<b>731</b>	<b>690</b>	<b>720</b>	<b>720</b>	<b>30</b>

\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.

As indicated by DFTA's Financial Summary, the majority of the Department for the Aging's Fiscal 2018 Preliminary Budget comes from City and federal funding. Approximately \$191 million or 62 percent of DFTA's Fiscal 2018 Preliminary Budget is derived from City funds, while nearly \$69.9 million or 24 percent of the Department's budget is from federal funding sources. As previously noted, the overall decrease in DFTA's budget and decrease in City funding is largely due to the absence of one-time Council funding. The Council added \$30.1 million to DFTA's Fiscal 2017 Adopted Budget, which equates to almost 9 percent of DFTA's total budget for the current fiscal year.

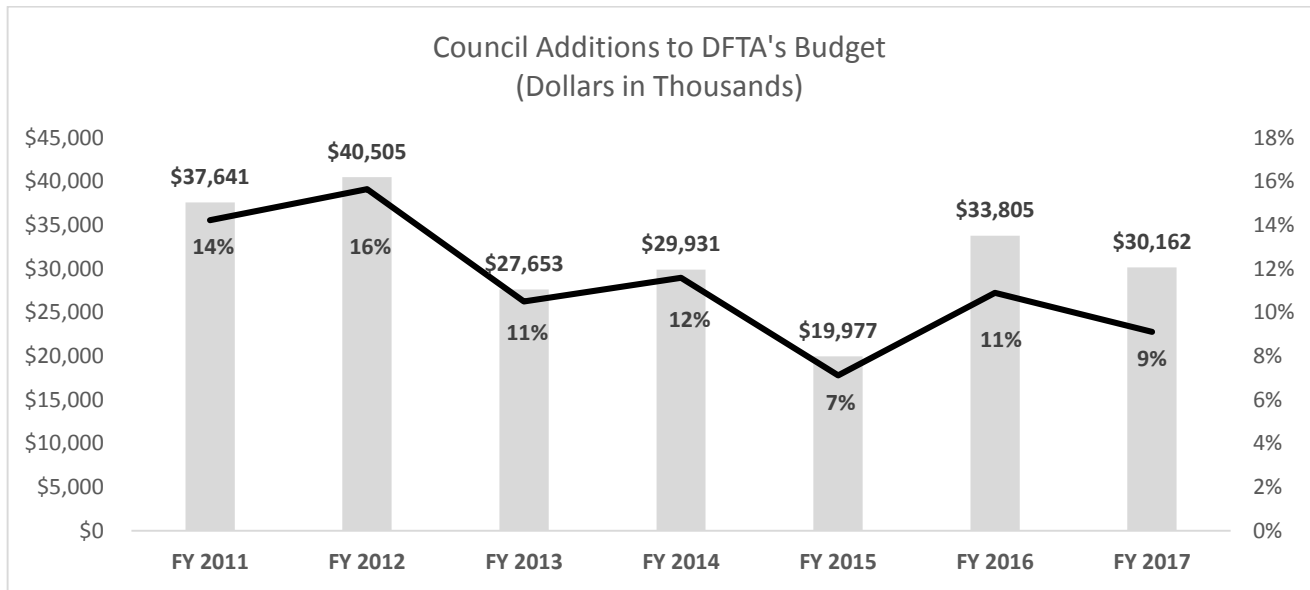
The largest area of spending for the agency supports senior centers and meals. There are 250 senior centers in the agency's network, of which 234 are Neighborhood Senior Centers and 16 are Innovative Senior Centers. Approximately 56 percent or \$171.8 million of DFTA's \$306.4 million budget for Fiscal 2018 supports senior centers and meals.

As previously mentioned, DFTA's only new need in the Fiscal 2018 Preliminary Budget is a homecare services allocation. In addition, the agency's Preliminary Budget reflects a \$2 million increase due to other adjustments. The two most significant other adjustments are an increase in baseline funding from the Community Services for the Aging State grant of \$3.15 million in Fiscal 2017 and in the outyears, and a decrease in City funds related to the senior affordable rental apartments program totaling \$1.53 million in Fiscal 2017 and \$2.34 million in Fiscal 2018. The Senior Affordable Rental Apartment Program is administered by the Department of Housing Preservation and Development (HPD), and finances construction or rehabilitation of affordable housing units for low-income seniors. In a prior budget plan, this funding was added to DFTA to support on-site services for seniors in these affordable housing units. The Fiscal 2018 Preliminary Budget transfers this funding and responsibility for the program to the Human Resources Administration (HRA). HRA has the capacity and existing resources to oversee and operate this program. DFTA and HRA will work together to further develop this program, and DFTA will be heavily involved in its oversight as well.

In comparing the Fiscal 2018 Preliminary Budget to the Fiscal 2017 Adopted Budget, the number of budgeted full-time positions remains the same, while full-time equivalent positions (FTE) increase by 30 positions. The increase in FTE positions are additional senior trainees through Title V funding. Senior trainees are able to gain work experience in a variety of community service activities at non-profit and public facilities, including schools, hospitals, day-care centers, and senior centers. These positions are funded through the Senior Employment National Council on Aging (NCOA) grant and funding was reflected in the agency's budget post-Adoption.

## Council Initiatives

The Council's senior services initiatives not only fund innovative services for niche senior populations, including Holocaust Survivors and LGBTQ seniors, but they also continue to provide critical support for DFTA core operations. Since Fiscal 2011, the Council on average has provided 11 percent of DFTA's Adopted Budget through initiative funding. The following table provides a breakdown of Council funding as a portion of DFTA's overall budget from Fiscal 2011 to Fiscal 2017. Council funding includes Citywide senior services initiatives as well as local discretionary funding.



In Fiscal 2017, the New York City Council allocated \$30.1 million to support senior services across the City and fill funding gaps in DFTA operations. Of the \$30.1 million, \$20.7 million fund citywide initiatives that provide additional support for core DFTA programs, including senior centers, elder abuse prevention, and information and referral services. Additionally, the Council provides the only City funding for Social Adult Day Cares (SADs) and Neighborhood Naturally Occurring Retirement Communities (NNORCs). Without Council’s support, many of DFTA’s programs would be either be underfunded leading to cuts in services or programs would be non-existent.

In Fiscal 2017, the Council also successfully negotiated with the Administration to increase the baseline budget for case management services by an additional \$1.8 million, the increase allowed DFTA’s case management agencies to reduce the case management waitlist. The Administration also agreed to fully fund all senior centers’ space costs, and for Fiscal 2017 only, the budget included \$1.2 million for a sixth day of congregate meals at senior centers and an additional \$4.25 million for homecare services. Each Council initiative is described below.

<b>Fiscal 2017 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>Council Initiatives</b>	
Access to Critical Services for Seniors	\$1,180
Borough Presidents’ Discretionary Funding Restoration	1,129
DFTA Core Services Enhancement	660
Elder Abuse Enhancement	335
Elie Wiesel Holocaust Survivors Initiative	2,500
Healthy Aging Initiative	1,810
Information and Referral Services	407
LGBTQ Senior Services in Every Borough	1,500
Naturally Occurring Retirement Communities (NORCs)	3,781
Senior Centers for Immigrant Populations	1,497
Senior Centers, Programs, and Services Enhancement	3,021
Social Adult Day Care Enhancement	1,055
Support Our Seniors	1,910
<b>Subtotal</b>	<b>\$20,785</b>
<b>Local Initiatives (Aging, Local, Boro, and Anti-Poverty)</b>	<b>\$9,377</b>
<b>TOTAL</b>	<b>\$30,162</b>

- **Access to Critical Services for Seniors.** Created in Fiscal 2017, Access to Critical Services for Seniors represents a consolidation of two senior services initiatives funded in Fiscal 2015 and Fiscal 2016, Access to Crisis Emergency Services (ACES) and Handyman Services. The Metropolitan New York Coordinating Council on Jewish Poverty was allocated \$1.18 million to provides a range of emergency services for low-income seniors, including emergency food and clothing, employment and legal assistance, benefit connections, and home safety and repair services.
- **Borough Presidents' Discretionary Funding Restoration.** In Fiscal 2017, the Council allocated \$1.12 million to support senior services identified by Borough Presidents including senior centers, meals, case management, homecare, and transportation.
- **DFTA Core Services Enhancement.** Created in Fiscal 2017, this initiative provides \$660,000 to DFTA to support core services, including senior center transportation costs.
- **Elder Abuse Prevention Enhancement.** In Fiscal 2017, the Council provided \$335,000 for elder abuse prevention services, specifically funding organizations that specialize in servicing immigrant populations.
- **Elie Wiesel Holocaust Survivors Initiative.** Introduced in Fiscal 2016, \$2.5 million was allocated by the Council in order to support Holocaust survivors living at or below the poverty line with social services so that they are able to live the remainder of their lives in dignity.
- **Healthy Aging Initiative.** To improve the health and fitness levels of older adults, the Council allocated \$1.81 million in Fiscal 2017 to DFTA. The funding supports programs that promote healthy behaviors, detect the onset of chronic disease, prevent falls and other injuries through education or exercise, and teach older adults practical skills in order to manage the pain of arthritis or deal with fatigue and stress. Each Council Member allocated \$35,490 for this initiative to either one or two organizations, split evenly between the two.
- **Information and Referral Services.** This allocation of \$407,811 supports community-based organizations that provide information and referral services related to senior services and other resources in the community.
- **LGBT Senior Services in Every Borough.** The Council provided \$1.2 million in Fiscal 2017 to Services and Advocacy for GLBT Elders (SAGE) and \$300,000 to Queens Community House in order to enhance the access of lesbian, gay, bi-sexual, and transgendered (LGBT) seniors to services that address the unique needs of this population.
- **Naturally Occurring Retirement Communities (NORCs).** Created in Fiscal 2017, this initiative consolidated two previously funded senior services initiatives, Naturally Occurring Retirement Communities Supportive Services and Neighborhood Naturally Occurring Retirement Communities Supportive Services. This initiative provides \$3.85 million to support vertical and horizontal NORCs across the City.
- **Senior Centers for Immigrant Population.** Introduced in Fiscal 2016, this initiative provided \$1.49 million to support DFTA-contracted culturally-competent and linguistically accessible senior centers that predominantly serve immigrant seniors, but do not have sufficient funding to meet their regular demand for meals. The funding also

backs non-DFTA-contracted senior centers that are immigrant-led and serve immigrant populations currently providing services without public support.

- **Senior Centers, Programs, and Enhancements.** In Fiscal 2017, the Council provided \$3 million in order to support and enhance DFTA senior center programs and services, as well as provide additional funding to under-funded or over-utilized senior centers. The allocation benefits 38 senior centers and programs.
- **Social Adult Day Care Enhancement.** The Council allocated \$1 million to enhance DFTA's social adult day care programs. These programs provide non-medical adult day care services to individuals with cognitive or physical limitations.
- **Support Our Seniors.** The Council allocated \$1.5 million to provide senior services and programming through programming identified by Council Members. Each Council Member allocated \$29,411, which could be scheduled for one organization or split evenly between two organizations.

## Contract Budget

The City's Contract Budget, as proposed, totals \$14.4 billion in Fiscal 2018, a decrease of \$604.9 million, or four percent when compared to the Fiscal 2017 Adopted Budget of \$14.99 billion.

The Department for the Aging contracts most of the services that it provides. DFTA's Contract Budget totals \$258.2 million, or approximately 83 percent of its overall Fiscal 2018 Budget of \$306.4 million.

The Fiscal 2018 Contract Budget for the agency is \$23.3 million less than its Fiscal 2017 Adopted Contract Budget. This decrease in contractual spending is due to a reduction of the number of "Payments to Delegate Agencies" contracts for senior centers and meals and senior services that were funded by the Council in Fiscal 2017.

<b>DFTA Fiscal 2018 Preliminary Contract Budget</b>				
<i>Dollars in Thousands</i>				
<b>Category</b>	<b>Fiscal 2017 Adopted</b>	<b>Number of Contracts</b>	<b>Fiscal 2018 Preliminary</b>	<b>Number of Contracts</b>
Contractual Services - General	\$85	8	\$85	8
Data Processing Equipment Maintenance	90	3	40	3
Maintenance and Operation of Infrastructure	300	1	300	1
Maintenance and Repairs - General	107	4	107	4
Maintenance and Repairs - Motor Vehicle Equip	4	1	4	1
Office Equipment Maintenance	19	3	13	2
Payments to Delegate Agencies	276,669	1,359	253,345	1,334
Printing Services	116	6	101	6
Prof. Services - Accounting Services	100	17	700	17
Prof. Services - Computer Services	50	3	50	3
Prof. Services - Legal Services	20	1	20	1
Prof. Services - Other	3,661	6	3,096	6
Telecommunications Maintenance	16	4	16	4
Temporary Services	341	3	341	3
Training Program for City Employees	4	1	4	1
<b>TOTAL</b>	<b>\$281,583</b>	<b>1,420</b>	<b>\$258,221</b>	<b>1,394</b>

The largest category of contracts for DFTA are "Payments to Delegate Agencies", which mainly consists of senior center, senior services, case management, and homecare contracts. Over 98



percent of DFTA's contracting budget goes towards "Payments to Delegate Agencies", totaling over \$253.3 million for Fiscal 2018. Within the category, 244 contracts totaling \$117.9 million supports senior centers and meals, and 1,024 contracts totaling \$28 million to support senior services.

## Revenue

DFTA's main sources of revenue are various federal and State grants. Approximately 24 percent of DFTA's Fiscal 2018 Preliminary Budget is supported by federal revenue and about 14 percent comes from the State. The remainder of DFTA's budget, \$191 million, is funded by the City.

### Federal Revenue

DFTA's Fiscal 2018 Preliminary Budget reflects a minimal decrease of federal funding of \$1.7 million when compared to the agency's Fiscal 2017 Adopted Budget. The decrease in federal funding can be attributed to the federal funding cycle, which begins October 1. Once the federal budget is passed, additional federal revenues are reflected in DFTA's budget.

<b>DFTA Revenue Budget Overview</b>				
<i>Dollars in Thousands</i>				
<b>Federal Revenue Sources</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
Community Development Block Grant	\$2,241	\$2,889	\$2,241	\$0
Foster Grandparent Grant	1,617	1,617	1,617	0
Health Insurance Assistance	584	584	584	0
Medical Assistance Program	2,123	5,662	0	(2,123)
Medicare Enrollment	169	326	12	(157)
Nutrition Services Incentive Program	8,414	10,273	10,273	1,859
Title 3D Health Promotion	667	1,416	667	0
Title III, Supportive Services	10,271	10,271	10,271	0
Title III, Nutrition Services	18,849	18,849	18,849	0
Title V, NCOA Employment Program	275	275	275	0
Title V, Senior Community Services Employment Program	3,768	3,319	3,319	(449)
Title VII, Elder Abuse Prevention	223	0	0	(223)
Title XX, Social Services Block Grant	20,551	20,551	20,551	0
Title E, Caregiver Support	4,122	3,514	3,514	(608)
<b>TOTAL</b>	<b>\$73,874</b>	<b>\$79,546</b>	<b>\$72,173</b>	<b>(\$1,701)</b>

*\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.*

### State Revenue

State revenues comprises 14 percent of DFTA's Fiscal 2018 Preliminary Budget. The largest State funding streams are:

- Community Services for the Aging, which supports DFTA's administration and contracting, case management services, homecare services, and senior centers and meals;
- Expanded In-Homes Services (EISEP), which provides non-medical in-home services such as housekeeping, personal care, respite, case management for seniors who do not qualify for these services through Medicaid, and;
- Supplemental Nutrition Assistance Program (SNAP), which provides nutrition assistance for low-income individuals.

State revenue increased by \$2.7 million when comparing DFTA's Fiscal 2018 Preliminary Budget to its Fiscal 2017 Adopted Budget. The additional State revenues is the net impact of a \$3.1 million increase in baseline funding from the Community Services for the Aging State grant, and minimal decreases in Congregate Services Initiative and Long-Term Care Ombudsman State grants. Of the \$3.1 million from the Community Services for the Aging State grant, \$1 million was allocated to support case management services and \$2 million to support senior centers and meals.

<b>DFTA Revenue Budget Overview</b>				
<i>Dollars in Thousands</i>				
State Revenue Sources	2017	Preliminary Plan		*Difference
	Adopted	2017	2018	2017 - 2018
Community Services for the Aging	\$6,920	\$10,073	\$10,073	\$3,153
Congregate Services Initiative	285	152	152	(133)
Crime Victims Program	347	370	347	0
Direct Care Workers Program	2,844	2,844	2,844	0
Expanded In-Homes Services (EISEP)	18,547	18,547	18,547	0
Foster Grandparents Program	18	18	18	0
Fully-Integrated Dual Advantage Program	162	162	84	(78)
Long-Term Care Ombudsman	205	0	0	(205)
Supplemental Nutrition Assistance Program	10,510	10,510	10,510	0
Transportation Aid	331	331	331	0
<b>TOTAL</b>	<b>\$40,168</b>	<b>\$43,007</b>	<b>\$42,907</b>	<b>\$2,739</b>

*\*The difference of Fiscal 2017 Adopted compared to Fiscal 2018 Preliminary Budget.*

## 2017-2018 State Executive Budget Highlights

The Fiscal 2017-2018 State Executive Budget includes one major proposal that could adversely impact DFTA's Fiscal 2018 Budget, which is described below. The impact of this proposal is yet to be reflected in DFTA's budget as the State budget has not passed. The State funding reflected in DFTA's Fiscal 2018 Preliminary Budget are based on projects that were calculated a year ago.

**Title XX Funding.** The Fiscal 2017 -2018 State Executive Budget proposes to redirect the State's \$27 million discretionary portion of Title XX funding under the Social Services block grant from community-based senior services to child care services. New York City receives \$17 million in Title XX funding, which is typically used to support DFTA senior centers. DFTA estimates that if this proposal were enacted, it would impact about 25 percent of DFTA's Neighborhood Senior Centers, requiring 65 senior centers to close. This would impact approximately 6,000 seniors a day who rely on senior centers for meals and other programmatic supports. Since the State's budget process is still ongoing, the impact of this proposal is yet to be included in DFTA's Fiscal 2018 Budget.

## Program Areas

### Administration and Contract Agency Support

The Administration and Contract Agency Support program area includes funding for personnel, supplies, and support contracts to provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers.

<b>Administration &amp; Contract Agency Support</b>						
<i>Dollars in Thousands</i>						
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$337	\$395	\$38	\$154	\$154	\$116
Additional Gross Pay - Labor Reserve	38	36	0	0	0	0
Amounts to be Scheduled	0	0	282	282	312	30
Full-Time Salaried	11,501	11,688	16,538	14,392	15,505	(1,033)
Other Salaried	0	0	27	27	27	0
Overtime	34	17	0	0	0	0
Unsalaries	585	589	273	612	615	342
<b>Subtotal</b>	<b>\$12,495</b>	<b>\$12,725</b>	<b>\$17,158</b>	<b>\$15,468</b>	<b>\$16,613</b>	<b>(\$545)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$842	\$692	\$1,019	\$1,609	\$4,520	\$3,501
Contractual Services- Professional Services	1,304	1,347	2,070	2,598	2,673	603
Fixed & Misc. Charges	(91)	6	21	78	20	(1)
Other Services & Charges	9,564	10,508	10,807	11,107	10,808	1
Property & Equipment	1,019	144	175	187	175	0
Supplies & Materials	228	241	348	332	329	(19)
<b>Subtotal</b>	<b>\$12,867</b>	<b>\$12,937</b>	<b>\$14,440</b>	<b>\$15,911</b>	<b>\$18,525</b>	<b>\$4,085</b>
<b>TOTAL</b>	<b>\$25,362</b>	<b>\$25,663</b>	<b>\$31,598</b>	<b>\$31,379</b>	<b>\$35,138</b>	<b>\$3,540</b>
<b>Funding</b>						
City Funds			\$25,145	\$25,041	\$28,776	\$3,631
State			880	939	917	37
Federal - Community Development			144	144	144	0
Federal - Other			5,429	5,255	5,301	(128)
<b>TOTAL</b>	<b>\$25,362</b>	<b>\$25,663</b>	<b>\$31,598</b>	<b>\$31,379</b>	<b>\$35,138</b>	<b>\$3,540</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	165	163	211	210	210	(1)
<b>TOTAL</b>	<b>165</b>	<b>163</b>	<b>211</b>	<b>210</b>	<b>210</b>	<b>(1)</b>

*\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.*

The proposed budget for administration and contract agency support for Fiscal 2018 totals \$35.1 million, an increase of \$3.5 million when compared to the Fiscal 2017 Adopted Budget. This increase is due to a wage adjustment for service providers. The wage adjustment increases will be transferred to the appropriate program areas once contracts are amended to reflect the wage increase.

### Case Management

Case management agencies provide assessment and link homebound seniors with services, including home delivered meals, homecare, information and referral, and other supportive programs. They monitor and coordinate programs, provide supportive counseling, as well as

assist with light housekeeping, shopping and laundry. DFTA's case management services are vital for New York City's seniors as they represent the primary opportunity to connect clients with other services and resources that can enhance their quality of life and independence.

<b>Case Management</b>						
<i>Dollars in Thousands</i>						
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$23	\$20	\$0	\$6	\$6	\$6
Additional Gross Pay - Labor Reserve	3	0	0	0	0	0
Full-Time Salaried	1,238	1,199	1,164	983	1,195	31
<b>Subtotal</b>	<b>\$1,264</b>	<b>\$1,219</b>	<b>\$1,164</b>	<b>\$989</b>	<b>\$1,201</b>	<b>\$37</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$24,363	\$26,826	\$31,844	\$32,870	\$34,771	\$2,927
Contractual Services - Professional Services	16	0	0	0	0	0
<b>Subtotal</b>	<b>\$24,379</b>	<b>\$26,826</b>	<b>\$31,844</b>	<b>\$32,870</b>	<b>\$34,771</b>	<b>\$2,927</b>
<b>TOTAL</b>	<b>\$25,643</b>	<b>\$28,045</b>	<b>\$33,008</b>	<b>\$33,859</b>	<b>\$35,972</b>	<b>\$2,964</b>
<b>Funding</b>						
City Funds			\$21,209	\$21,193	\$23,118	\$1,909
State			11,459	12,516	12,513	1,054
Federal - Other			290	100	291	1
Intra City			50	50	50	0
<b>TOTAL</b>	<b>\$25,643</b>	<b>\$28,045</b>	<b>\$33,008</b>	<b>\$33,859</b>	<b>\$35,972</b>	<b>\$2,964</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	20	14	17	14	14	(3)
<b>TOTAL</b>	<b>20</b>	<b>14</b>	<b>17</b>	<b>14</b>	<b>14</b>	<b>(3)</b>

\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.

Funding for case management in the Fiscal 2018 Preliminary Budget totals \$35.9 million, an increase of \$2.9 million when compared to the Fiscal 2017 Adopted Budget. The majority of the \$2.9 million increase corresponds to case management contractual services. In Fiscal 2017, additional money was added to DFTA's budget to increase case manager salaries. The funding for case manager salaries in Fiscal 2018 increased by \$2.5 million when compared to Fiscal 2017. The remaining \$400,000 difference in case management contractual services is due to the addition of Friendly Visiting services to case management contracts. Friendly Visiting is an organized visiting service for seniors in their homes with the goal of reducing social isolation and providing activities and companionship. This service is also used to monitor the wellbeing and safety of seniors.

Case management headcount decreases by three positions are a result of DFTA's Case Management Unit being divided into two separate units. The three positions are now part of the agency's Healthcare Connections Unit.

## Performance Measures

The PMMR reports several input and output statistics related to DFTA's case management programs, as shown below.

Fiscal 2017 PMMR Indicators for DFTA Case Management							
Performance Indicators	Actual			Target		4-Month Actual	
	FY14	FY15	FY16	FY17	FY18	FY16	FY17
Hours of case management services provided	458,432	456,838	534,769	462,112	462,112	169,254	168,553
Total recipients of case management services	28,233	28,898	32,773	*	*	23,678	24,697
Percent meeting time to first action - Housing Options (14 days)	99%	94%	90%	*	*	87%	90%
Percent meeting time to first action - Home Delivered Meals for Seniors - Missed Delivery (14 days)	100%	100%	100%	*	*	100%	100%
Percent meeting time to first action - Elder Abuse (5 days)	72%	76%	67%	*	*	68%	83%
Percent meeting time to first action - Alzheimers Care Information (14 days)	87%	95%	85%	*	*	78%	86%

Source: Fiscal 2017 Preliminary Mayor's Management Report

As indicated by the table above, the number of case management services provided decreased by 700 hours, while the number of recipients of case management services increased by over 1,000 when comparing the first four months of Fiscal 2017 to the first four months of Fiscal 2016. This indicates that while the number of recipients of case management has increased, on average, the total number of hours each recipient receives has declined. The PMMR does not report outcomes for case management services, so the impact of the service hours decline is not shown.

Time to first action for service requests (SRs) submitted to 311 for elder abuse, Alzheimer's care information, elder abuse, and housing options are higher or on par during the first four months of Fiscal 2017 when compared to the same time period in Fiscal 2016.

## Homecare

DFTA's Homecare Program provides assistance with daily chores and personal care to low-income frail seniors in order to allow them to safely remain in their homes. Assistance is offered through housekeeping, personal care, companionship, medication supervision, doctor's visits, legal referral and financial guidance, and other necessary services.

The agency provides home care services to approximately 3,800 residents (as of Fiscal 2016) throughout the City. These services are for low-income, frail older adults who are above the Medicaid eligibility threshold, allowing them to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other homecare arrangements, including Medicaid or Medicare-funded services, have been put into place.

<b>Homecare</b>						
<i>Dollars in Thousands</i>						
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
<b>Spending</b>						
<b>Other Than Personal Services</b>						
Contractual Services	\$16,436	\$20,126	\$21,136	\$23,424	\$23,424	\$2,288
<b>TOTAL</b>	<b>\$16,436</b>	<b>\$20,126</b>	<b>\$21,136</b>	<b>\$23,424</b>	<b>\$23,424</b>	<b>\$2,288</b>
<b>Funding</b>						
City Funds			\$8,606	\$10,856	\$10,856	\$2,250
State			12,230	12,268	12,268	38
Intra City			300	300	300	0
<b>TOTAL</b>	<b>\$16,436</b>	<b>\$20,126</b>	<b>\$21,136</b>	<b>\$23,424</b>	<b>\$23,424</b>	<b>\$2,288</b>

*\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.*

The proposed budget for homecare services for Fiscal 2017 totals \$23.4 million, a \$2.25 million increase when compared to the Fiscal 2017 Adopted Budget. In Fiscal 2016, DFTA’s budget included an additional \$4.25 million to address the homecare waitlist, but this funding was not baselined for Fiscal 2017 and in the outyears. In the agency’s Fiscal 2017 Adopted Budget, the Administration added \$2 million for homecare services for only Fiscal 2017, and in the November Plan included an additional \$2.25 million for homecare services for the remainder of Fiscal 2017, bringing total homecare funding for Fiscal 2017 to \$4.25 million.

DFTA’s only new need in its Fiscal 2018 Preliminary Budget is \$4.25 million for homecare services. The additional \$2.2 million for homecare services when comparing the Fiscal 2017 Adopted Budget and the Fiscal 2018 Budget is the \$4.25 million added in Fiscal 2018 and the \$2 million added in the Fiscal 2017 Adopted Budget. There is no change in homecare funding when comparing the current Fiscal 2017 homecare budget to the Fiscal 2018 budget.

While the funding for homecare remains the same between Fiscal 2017 and Fiscal 2018, the additional \$4.25 million is not adequate to eliminate the current homecare waitlist and ensure that all eligible senior have access to care. Additional resources are need for homecare to ensure that no seniors who are referred for homecare services through a case manager are left on a waitlist, or receive inadequate number of hours of homecare services because of budgetary constraints.

**Performance Measures**

The PMMR reports two input and output statistics related to DFTA’s homecare services program, as shown below.

<b>Fiscal 2017 PMMR Indicators for DFTA Homecare Services</b>							
<b>Performance Indicators</b>	<b>Actual</b>			<b>Target</b>		<b>4-Month Actual</b>	
	<b>FY14</b>	<b>FY15</b>	<b>FY16</b>	<b>FY17</b>	<b>FY18</b>	<b>FY16</b>	<b>FY17</b>
Hours of home care services provided	996,105	906,442	1,097,543	961,500	961,500	324,757	437,197
Total recipients of home care services	3,250	2,928	3,826	2,900	2,900	2,814	3,060

*Source: Fiscal 2017 Preliminary Mayor’s Management Report*

The total number of homecare recipients for Fiscal 2016 was 3,826 individuals, which is 926 individuals more than the Fiscal 2017 and Fiscal 2018 target of 2,900 recipients. During the first four months of Fiscal 2017, a total of 3,060 individuals received homecare services, an increase of 246 or 8.7 percent when compared to the same time period for Fiscal 2016. In addition, the

number of clients in the first four months of Fiscal 2017 already exceeds the agency's Fiscal 2017 goal by 160 clients.

As a result of more individuals receiving homecare services in the first four months of Fiscal 2017, the number of hours has increased by 112,440 hours or 34.6 percent when compared to the first four months of Fiscal 2016. In addition, in the first four months of Fiscal 2017, DFTA has met 54 percent of its target of providing 961,500 hours of homecare services for the fiscal year. Given this pace, it is likely that DFTA will far exceed its target for Fiscal 2017 and may actually provide more hours of homecare services than Fiscal 2016, which totaled 1,097,543.

## Senior Centers and Meals

The core of DFTA's service portfolio is the Department's citywide network of 234 Neighborhood Senior Centers. Senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities that are designed to improve their health and quality of life. Senior centers provide education programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors, and in Fiscal 2016, DFTA provided approximately 12.1 million meals (congregate and home-delivered). In addition, DFTA's senior center portfolio includes 16 Innovative Senior Centers (ISCs). ISCs provide enhanced programming, including robust wellness programs, additional access to health care services, arts and cultural programs, as well as new technological and volunteer opportunities.

<b>Senior Centers and Meals</b>						
<i>Dollars in Thousands</i>						
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$50	\$49	\$0	\$6	\$0	\$0
Additional Gross Pay - Labor Reserve	9	0	0	0	0	0
Full-Time Salaried	2,615	2,757	3,435	3,305	3,427	(8)
<b>Subtotal</b>	<b>\$2,674</b>	<b>\$2,806</b>	<b>\$3,435</b>	<b>\$3,311</b>	<b>\$3,427</b>	<b>(\$8)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$163,677	\$171,863	\$187,262	\$177,897	\$163,382	(\$23,880)
Contractual Services - Professional Services	100	100	100	100	0	(100)
Other Services & Charges	0	0	5,071	6,171	5,071	0
<b>Subtotal</b>	<b>\$163,777</b>	<b>\$171,963</b>	<b>\$192,433</b>	<b>\$184,168</b>	<b>\$168,453</b>	<b>(\$23,980)</b>
<b>TOTAL</b>	<b>\$166,451</b>	<b>\$174,769</b>	<b>\$195,868</b>	<b>\$187,479</b>	<b>\$171,880</b>	<b>(\$23,988)</b>
<b>Funding</b>						
City Funds			\$130,946	\$117,642	\$103,283	(\$27,663)
State			14,310	16,200	16,200	1,890
Federal - Community Development			1,735	2,384	1,735	0
Federal - Other			48,877	51,252	50,661	1,784
<b>TOTAL</b>	<b>\$166,451</b>	<b>\$174,769</b>	<b>\$195,868</b>	<b>\$187,479</b>	<b>\$171,880</b>	<b>(\$23,988)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	40	41	47	50	50	3
<b>TOTAL</b>	<b>40</b>	<b>41</b>	<b>47</b>	<b>50</b>	<b>50</b>	<b>3</b>

The Fiscal 2018 Preliminary Budget for senior centers and meals totals just over \$171.8 million, \$23.9 million less than the Fiscal 2017 Adopted Budget. As shown in the table above, DFTA delivers its senior center program almost exclusively through contracts with private entities. The \$23.9 million decrease is the net impact of absence of the one-time Council initiative funding,

including monies for senior centers and meals, and an increase in State and federal funding for contractual services.

State funding for senior centers and meals increases by \$1.8 million in Fiscal 2018 when compared to Fiscal 2017. As mentioned in the Revenue section of this report on pages 7 and 8, Community Services for the Aging baseline funding increased in Fiscal 207 and in the outyears.

Headcount increases by three positions when comparing Fiscal 2018 to Fiscal 2017. This additional headcount is to support DFTA's management of New York City Housing Authority's (NYCHA) Manhattanville Senior Center.

## Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY14	FY15	FY16	FY17	FY18	FY16	FY17
Totals Meals Served	11,597	11,671	12,102	*	*	4,110	4,101
Senior center utilization rate (%)	86%	85%	86%	95%	95%	89%	89%
Average daily attendance at senior centers	23,983	27,812	29,682	26,342	26,342	28,416	29,299
Percent meeting time to first action - Senior Center Complaint (14 days)	96%	97%	99%	*	*	98%	99%

Source: Fiscal 2017 Preliminary Mayor's Management Report

As indicated by the table above, the congregate meals, which includes breakfast, lunch, and dinner, served at senior centers remained stable when comparing the first four months of Fiscal 2017 to the same time period in Fiscal 2016.

The senior center utilization rate remains stagnant at 89 percent. DFTA's target for Fiscal 2017 is 95 percent, which is nine percent more than the Fiscal 2016 actual utilization.

## Senior Employment and Benefits

The Senior Employment and Benefits program area primarily funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally, the Department provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assists seniors to obtain health insurance that is best suited to their individual needs.



<b>Senior Employment and Benefits</b>						
<i>Dollars in Thousands</i>						
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>2017</b>	<b>2018</b>	<b>2017 - 2018</b>
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$68	\$68	\$68	\$64	\$71	\$3
Additional Gross Pay - Labor Reserve	5	0	0	0	0	0
Full-Time Salaried	1,466	1,348	2,205	1,569	1,628	(577)
Unsalariated	3,868	3,937	3,498	3,870	3,883	385
<b>Subtotal</b>	<b>\$5,407</b>	<b>\$5,353</b>	<b>\$5,771</b>	<b>\$5,503</b>	<b>\$5,582</b>	<b>(\$189)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$65	\$37	\$252	\$78	\$50	(\$202)
Contractual Services- Professional Services	2,251	2,252	1,234	2,542	1,023	(211)
Fixed & Misc. Charges	1	1	1	1	1	0
Other Services & Charges	501	359	428	376	412	(16)
Property & Equipment	9	2	4	32	4	0
Supplies & Materials	28	34	50	170	61	11
<b>Subtotal</b>	<b>\$2,856</b>	<b>\$2,685</b>	<b>\$1,969</b>	<b>\$3,199</b>	<b>\$1,551</b>	<b>(\$418)</b>
<b>TOTAL</b>	<b>\$8,263</b>	<b>\$8,038</b>	<b>\$7,740</b>	<b>\$8,702</b>	<b>\$7,134</b>	<b>(\$606)</b>
<b>Funding</b>						
City Funds			\$921	\$835	\$950	\$29
State			180	180	103	(77)
Federal - Other			6,619	6,703	6,061	(558)
Intra City			20	983	20	0
<b>TOTAL</b>	<b>\$8,263</b>	<b>\$8,038</b>	<b>\$7,740</b>	<b>\$8,702</b>	<b>\$7,134</b>	<b>(\$606)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	23	24	28	28	28	0
<b>TOTAL</b>	<b>23</b>	<b>24</b>	<b>28</b>	<b>28</b>	<b>28</b>	<b>0</b>

*\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.*

The proposed budget for senior employment and benefits for Fiscal 2018 totals \$7.1 million, a decrease of \$607,000 when compared to the Fiscal 2017 Adopted Budget. The decrease is mainly due to a reduction in federal funding for Medicare enrollment by \$157,000 and the Title V Senior Community Service Employment Program by approximately \$400,000. The Title V Senior Community Service Employment Program enables low-income seniors to earn income by working at local programs serving the community.

## Senior Services

Senior Services program area includes a variety of services for seniors, such as caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, SADs, and funding for NORCs.

<b>Senior Services</b>						
<i>Dollars in Thousands</i>						
	2015	2016	2017	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2017	2018	2017 - 2018
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$28	\$36	\$0	\$2	\$0	\$0
Additional Gross Pay - Labor Reserve	5	2	0	0	0	0
Full-Time Salaried	1,515	2,157	2,639	2,987	2,400	(239)
Unsalaries	35	36	4	4	4	0
<b>Subtotal</b>	<b>\$1,584</b>	<b>\$2,231</b>	<b>\$2,643</b>	<b>\$2,993</b>	<b>\$2,405</b>	<b>(\$239)</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$40,392	\$45,472	\$36,239	\$49,468	\$28,208	(\$8,031)
Contractual Services- Professional Services	1,036	930	427	1,782	170	(257)
Fixed & Misc. Charges	0	0	0	0	0	0
Other Services & Charges	56	102	2,221	1,778	2,135	(86)
Property & Equipment	0	2	0	106	0	0
Supplies & Materials	14	0	10	26	0	(10)
<b>Subtotal</b>	<b>\$41,497</b>	<b>\$46,506</b>	<b>\$38,897</b>	<b>\$53,161</b>	<b>\$30,513</b>	<b>(\$8,384)</b>
<b>TOTAL</b>	<b>\$43,081</b>	<b>\$48,738</b>	<b>\$41,539</b>	<b>\$56,153</b>	<b>\$32,918</b>	<b>(\$8,621)</b>
<b>Funding</b>						
City Funds			\$29,649	\$40,721	\$24,032	(\$5,617)
State			1,108	903	906	(202)
Federal - Community Development			362	362	362	0
Federal - Other			10,420	13,347	7,618	(2,802)
Intra City			0	820	0	0
<b>TOTAL</b>	<b>\$43,081</b>	<b>\$48,738</b>	<b>\$41,539</b>	<b>\$56,153</b>	<b>\$32,918</b>	<b>(\$8,621)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	25	32	32	34	33	1
<b>TOTAL</b>	<b>25</b>	<b>32</b>	<b>32</b>	<b>34</b>	<b>33</b>	<b>1</b>

*\*The difference of Fiscal 2017 Adopted Budget compared to Fiscal 2018 Preliminary Budget.*

The Fiscal 2018 Budget for senior services totals \$32.9 million, a decrease of \$8.6 million when compared to the Fiscal 2017 Adopted Budget. The decrease is primarily due to the absence of one-time Council funding for core senior services enhancements, elder abuse enhancements, NORCs, and SADs totaling \$5.8 million, and a \$2.8 million decrease in federal funding for the Medical Assistance Program. As mentioned in the Revenue section of this report on page 7, additional federal funding for Medical Assistance is typically reflected after the federal fiscal year begins in October.

## Capital Program

### Capital Budget Summary

The Fiscal 2018 Preliminary Capital Commitment Plan includes \$50.2 million in Fiscal 2017-2020 for the Department for the Aging (including City and Non-City funds). This represents less than one percent of the City’s total \$64 billion Preliminary Commitment Plan for Fiscal 2017-2020. The agency’s Preliminary Commitment Plan for Fiscal 2017-2020 is unchanged when compared to the September Commitment Plan. In addition, the Preliminary Ten-Year Capital Strategy for the Department totals \$39.6 million over ten years (2018-2027) – an increase of \$20 million when compared to the Fiscal 2016 Preliminary Ten-Year Capital Strategy.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2016, the Department for the Aging committed only \$5.1 million or 17 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency’s Fiscal 2017 Capital Plan will be rolled into Fiscal 2018, thus increasing the size of the Fiscal 2018-2021 Capital Plan. Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2017 has increased from \$19.2 billion in the September Capital Commitment Plan to \$20 billion in the Preliminary Capital Commitment Plan, an increase of \$785 million or 4.1 percent, none of this increase is for DFTA.

<b>DFTA 2017-2020 Capital Commitment Plan: Adopted and Preliminary Budget</b>					
<i>Dollars in Thousands</i>					
	<b>FY17</b>	<b>FY18</b>	<b>FY19</b>	<b>FY20</b>	<b>Total</b>
Total Adopted Capital Plan	\$19,498	\$12,598	\$12,088	\$6,037	<b>\$50,221</b>
Total Preliminary Capital Plan	\$19,498	\$12,598	\$12,088	\$6,037	<b>\$50,221</b>

### Preliminary Budget Highlights

The Preliminary Capital Plan for the Department of the Aging remains unchanged when compared to the agency’s September Commitment Plan. DFTA’s capital budget primarily supports repairs and construction at senior centers and facilities. The Department is also utilizing capital resources to make necessary technology improvements, including the replacement of computers, as well as purchasing equipment and replacing vehicles. Improving and maintaining property enables DFTA and its providers to deliver high quality services and achieve its goal of enhancing the quality of life for New York City’s older adults. Some major capital projects include:

- \$2.1 million for facility improvements at the Hebrew Home for the Aged at Riverdale;
- \$3.83 million for construction and related improvements at Lenox Hill Neighborhood House;
- \$3.5 million for IT upgrades and initial outfitting at Metropolitan Council on Jewish Poverty senior housing facilities; and
- \$2.58 million for renovations at Sunnyside Community Services senior center.

**Preliminary Ten-Year Capital Strategy**

The City’s Ten-Year Capital Strategy for Fiscal 2018-2027 totals \$89.6 billion in all funds. The goals of DFTA’s capital program is to:

- ✓ Rehabilitate existing facilities that provide services for senior citizens;
- ✓ Identify targeted improvements to senior centers across the City; and
- ✓ Provide information technology improvements where needed.

**DFTA Ten-Year Capital Strategy by Category**

DFTA FY2018-2027 Preliminary Ten-Year Capital Strategy											
<i>Dollars in Thousands</i>											
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY2023	FY2024	FY2025	FY2026	FY2027	Total
Data Processing and Information Technology	\$8,469	\$5,955	\$2,129	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$16,553
Department for the Aging Building Reconstruction	4,129	6,133	3,908	1,228	1,253	1,278	2,277	930	959	989	23,084
<b>TOTAL</b>	<b>\$12,598</b>	<b>\$12,088</b>	<b>\$6,037</b>	<b>\$1,228</b>	<b>\$1,253</b>	<b>\$1,278</b>	<b>\$2,277</b>	<b>\$930</b>	<b>\$959</b>	<b>\$989</b>	<b>\$39,637</b>

For the Department for the Aging, the Preliminary Ten-Year Capital Strategy totals \$39.6 million, of which \$23.1 million is for construction and renovations and \$16.5 million is for information technology/computers.

- **Construction and Renovations.** The Preliminary Ten-Year Capital Strategy allocates \$23.1 million to complete renovations and upgrades to various senior centers across the City. Funding also ensures compliance with fire and safety infrastructure standards and that necessary improvements for Heating, Ventilation and Air Conditioning (HVAC), masonry, roofing, electrical, and plumbing are completed.
- **Information Technology and Computers.** The Preliminary Ten-Year Capital Strategy provides \$16.5 million for the technology needs for DFTA, as well as technology improvement for the agency’s contractors and service providers. As noted in the Contract Budget section of this report, DFTA is primarily a contracting agency.

**Appendix A: Budget Actions in the November and the Preliminary Plans**

<i>Dollars in Thousands</i>	FY 2017			FY 2018		
	City	Non-City	Total	City	Non-City	Total
<b>DFTA Budget as of the Adopted 2017 Budget</b>	<b>\$216,476</b>	<b>\$114,412</b>	<b>\$330,888</b>	<b>\$185,604</b>	<b>\$112,212</b>	<b>\$297,816</b>
<b>New Needs</b>						
Homecare	\$2,250	\$0	\$2,250	\$4,250	\$0	\$4,250
<b>Subtotal, New Needs</b>	<b>\$2,250</b>	<b>\$0</b>	<b>\$2,250</b>	<b>\$4,250</b>	<b>\$0</b>	<b>\$4,250</b>
<b>Other Adjustments</b>						
DFTA Funds	\$0	\$80	\$80	\$0	\$0	\$0
DFTA-Admin	0	200	200	0	0	0
DFTA-OCSE	0	20	20	0	0	0
Hiring Delay Savings	(1,000)		(1,000)	0	0	0
NSIP Realign per NGA to Outyears		1,858	1,858	0	1,858	1,858
NY Connects Rollover		2,345	2,345	0	0	0
Wage Adjustment	622		622	3,501	0	3,501
CSE Realign thru Outyears	0	3,153	3,153	0	3,153	3,153
Senior Affordable Rental Apartments Program Transfer	(1,530)	0	(1,530)	(2,341)	0	(2,341)
All Other Miscellaneous Adjustments	(530)	2,637	2,107	0	(1,117)	(1,117)
<b>Subtotal, Other Adjustments</b>	<b>(\$2,438)</b>	<b>\$10,294</b>	<b>\$7,856</b>	<b>\$1,160</b>	<b>\$3,895</b>	<b>\$5,055</b>
<b>Total, All Changes</b>	<b>(\$188)</b>	<b>\$10,294</b>	<b>\$10,106</b>	<b>\$5,410</b>	<b>\$3,895</b>	<b>\$9,305</b>
<b>DFTA Budget as of the Preliminary 2018 Budget</b>	<b>\$216,288</b>	<b>\$124,706</b>	<b>\$340,996</b>	<b>\$191,014</b>	<b>\$116,107</b>	<b>\$306,465</b>

**Appendix B: DFTA Reconciliation of Program Areas to Units of Appropriation**

	Personal Services		Other Than Personal Services		Grand Total
	1	2	3	4	
<i>Dollars in Thousands</i>					
Administration & Contract Agency Support	\$13,744	\$2,870	\$17,685	\$839	\$35,138
Case Management		1,201	34,771		35,972
Homecare			23,423		23,423
Senior Centers and Meals		3,427	168,453		171,880
Senior Employment & Benefits		5,582	744	807	7,133
Senior Services	550	1,854	30,513		32,917
<b>Grand Total</b>	<b>\$14,294</b>	<b>\$14,934</b>	<b>\$275,589</b>	<b>\$1,646</b>	<b>\$306,465</b>