

CITY COUNCIL
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON MENTAL HEALTH,
DEVELOPMENTAL DISABILITY,
ALCOHOLISM, SUBSTANCE ABUSE AND
DISABILITY SERVICES JOINTLY WITH
THE COMMITTEE ON FIRE AND CRIMINAL
JUSTICE SERVICES AND THE COMMITTEE
ON HEALTH

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June 12, 2014
Start: 1:10 p.m.
Recess: 5:46 p.m.

HELD AT: 250 Broadway - Committee Room,
16th Floor

B E F O R E:
ELIZABETH S. CROWLEY
Chairperson

COUNCIL MEMBERS:

Andrew Cohen
Ruben Wills
Corey D. Johnson
Paul A. Vallone
Mathieu Eugene
Fernando Cabrera
Rory I. Lancman
Corey D. Johnson
Maria Del Carmen Arroyo
Rosie Mendez
Peter A. Koo
James G. Van Bramer

Inez D. Barron
Robert E. Cornegy
Rafael L. Espinal, Jr.

Joseph Ponte, Commissioner
New York City Department of Corrections

Erik Berliner, Deputy Commissioner
New York City Department of Corrections

William Clemons, Chief
New York City Department of Corrections

Dr. Mary Bassett, Commissioner
New York City Department of Health
and Mental Hygiene

Dr. Amanda Parsons, Deputy Commissioner
Healthcare Access and Improvement
New York City Department of Health
and Mental Hygiene

Dr. Homer Venters, Assistant Commissioner
Correctional Health Services
New York City Department of Health
and Mental Hygiene

Norman Seabrook, President
Correction Officers Benevolent
Association (COBA)

Elias Husamudeen, 1st Vice President
Correction Officers Benevolent
Association (COBA)

A P P E A R A N C E S (CONTINUED)

Joseph Bracco, 2nd Vice President
Correction Officers Benevolent
Association (COBA)

Elizabeth Castro, 3rd Vice President
Correction Officers Benevolent
Association (COBA)

Dr. Frank Proscia, President
Doctors Council SEIU

Maya Escalona,
Psychiatric Nurse Practitioner
Rikers Island
Member of NYNSA, New York State Nurses
Association

Elizabeth Myers
Jails Action Coalition (JAC)

Deborah Hertz
Jails Action Coalition (JAC)

Mary Beth Anderson
Urban Justice Center

Sarah Kerr
Legal Aid Society

Dr. Kirk Anthony James
Fortune Society

Skylar Albertson
Assistant to Executive Director
Bronx Defenders

Nick Malinkowski
Brooklyn Defenders

Meagan O'Toole, Executive Director
New York County Psychiatric Society

Michelle Biha [sp?]
Medical Intern

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2 CHAIRPERSON CROWLEY: Good afternoon. My
3 name is Elizabeth Crowley. I chair the Fire and
4 Criminal Justice Committee here at the City Council.
5 Today's hearing is a joint oversight hearing with the
6 Committee on Health, Chaired by my Colleague here,
7 Corey Johnson, and the Committee on Mental Health
8 chaired by Council Member Andrew Cohen. We will
9 examine the level of violence and the provision of
10 mental health and medical service within New York
11 City jails. The committee will also hear Intro 292
12 sponsored by Council Members Dromm, King, and
13 Lancman, which requires the Department of Corrections
14 to post a monthly report on its website regarding
15 punitive segregation statistics for the city jail. I
16 want to thank my co-chairs and their staff for their
17 work on this hearing. I'd like to also acknowledge
18 that in addition to the two co-chairs, that we've
19 been joined by Council Member Lancman and Public
20 Advocate Letitia James.

21 Over the past several years, there has
22 been an alarming increase in jail violence. After
23 hearing Mayor de Blasio's Executive Budget Plan for
24 Fiscal Year 2015 and learning that the Department of
25 Corrections has not [sic] asked for additional

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2 resources for this next fiscal year, which begins in
3 July. But rather, it believes that it will spend
4 less money than it did this past fiscal year. I am
5 not confident that the Administration understands the
6 reality on Rikers Island, and that action and
7 resources are needed now. Some have attributed the
8 rise in violence to the staffing cuts the department
9 has made over the past few years. And as a result,
10 correction officers work ungodly amounts of overtime.
11 In fact, the overtime spending is out of control.
12 The department will spend over \$140 million in
13 overtime this fiscal year. And there is no plan in
14 place to increase that amount of money, or increase
15 staffing.

16 At the Executive Budget hearing,
17 Commissioner Ponte also addressed the issue of jail
18 violence, and said candidly it was clear to him that
19 despite the efforts of the prior administration, the
20 department is in deep trouble. I appreciate the
21 Commissioner's candor, and his acknowledgement that
22 over the past four years uses of force has increased by
23 59%. Slashings and stabbings have increased by 100%,
24 and assaults on staff have increased by more than
25 30%. The numbers show a department crying out for

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2 help. The city jails must be safe, safe for inmates,
3 and safe for staff. Steps need to be taken to
4 address inmates with serious mental health diagnoses.
5 Some of them do not belong in a jail setting on
6 Rikers Island. The administration must also address
7 the housing of non-violent inmates with violent
8 inmates. It just does not make any sense.

9 Currently, the broken system of classification of 600
10 inmates who have been found guilty of committing
11 infractions facing no disciplined housing. But with
12 the general population where they are likely to re-
13 attract or coerce or cause violent incidents.

14 At the Budget Hearing last month,
15 Commissioner Platt had no plan of action to house
16 these inmates, and this issue is of alarming concern.
17 A comprehensive plan to reduce jail violence is
18 needed. The plan needs to include additional
19 training for correction officers and supervisors;
20 increase coordination with the Department of Health;
21 increase programming for inmates so that they're not
22 idle; and have more access to chapels. The recent
23 deaths of two mentally ill inmates, Bradley Ballard
24 and Jerome Murdough, show the dire need for reforms
25 at Rikers. And the release of Daniel St. Hubert from

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2 the State Department of Corrections highlights the
3 horrors that can occur when mentally ill inmates are
4 released onto the general public without mental
5 health treatment and oversight needed.

6 I now would like recognize Council Member
7 Corey Johnson for his opening Statement.

8 CO-CHAIRPERSON JOHNSON: Thank you, Chair
9 Crowley. Good afternoon everyone. My name is Corey
10 Johnson. I'm the Chair of the Council's Committee on
11 Health. I want to thank you for joining us at
12 today's hearing on violence and the provision of
13 mental health and medical services in New York City
14 Jails. (coughs) Excuse me. As well as a hearing on
15 Introduction No. 292 requiring the Department of
16 Corrections to report punitive segregation violence
17 statistics for city jails. I would like to thank my
18 co-chairs Council Member Andrew Cohen, and especially
19 Council Member Elizabeth Crowley, who has been a
20 leader on improving the City's jail system. These
21 are incredibly important matters before us, and
22 deserving of serious scrutiny.

23 I am deeply disturbed by recent reports
24 about rising rates of violence in prisons. The poor
25 and inadequate treatment of inmates with mental

1 health problems, and what I believe is the woefully
2 inadequate safety of healthcare workers at these
3 facilities. As a preliminary matter, although
4 today's hearing is specifically about violence in the
5 city's jails and a good deal of my focus would be on
6 the safety of healthcare workers, equally as
7 important is the issue of whether inmates are
8 receiving quality healthcare. I'd like to examine
9 the adequacy of health and mental health services in
10 the city's jails in a subsequent hearing. Whether
11 inmates are getting proper and adequate treatment and
12 how medical care in our jails figures into our overall
13 health system is an important and big topic.

14
15 So we will be revisiting that. But
16 violence and quality of access to care are related.
17 We need to look at what needs to be done to improve
18 the system to make it more humane for the inmates and
19 workers who serve them. The healthcare workers in our
20 city's jails, the doctors, the nurses, dentists, and
21 social workers perform a noble service. These are
22 people who have already dedicated themselves to a
23 helping profession, and have taken extra steps to
24 work in one of the most challenging environments you
25 could imagine. Their safety is paramount. Their

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2 safety is important not just because they deserve it,
3 that it is the right thing to do, but because we need
4 to attract new candidates to these difficult jobs.
5 But also, because it is vital to the health of the
6 inmates they are serving.

7 The recent rise in violence against
8 healthcare workers stunning. One inmate who was
9 incarcerated on a charge of assaulting a woman
10 allegedly beat a 24-year-old medical intern resulting
11 in the intern sustaining a broken jaw, and numerous
12 facial fractures. I've heard other reports from
13 healthcare workers who we're going to hear from today
14 that are very disturbing. For example, healthcare
15 workers are sometimes left alone to escort uncuffed
16 inmates down corridors, or are left out of sightlines
17 of correction officers when treating an inmate. And
18 the failure to give healthcare workers panic buttons
19 would have clear protocols on how healthcare workers
20 should alert correctional officers when they are, in
21 fact, in danger. Some healthcare providers have
22 stated that they believe recent reforms in punitive
23 consequences have contributed to recent spikes in
24 violence. I would like to hear from the
25 Administration on that point, and whether they think

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2 policy changes have caused the recent surge in
3 violence.

4 As anyone who has studied this issue
5 knows, the problem of violence, adequacy of health
6 and corrections staffing, and healthcare for inmates
7 are deeply interconnected. The availability of and
8 timely access to mental care and mental health
9 services can exacerbate the behavior of inmates, a
10 likely contributing factor to the rise in violence
11 against healthcare workers. Additionally, the
12 limitations in staff size of corrections officers
13 impacts their ability to properly coordinate the
14 healthcare of inmates, which in turn may lead to more
15 violence. We can't look at our correctional system
16 in isolation of the impacts it has on society.

17 Ensuring adequate health and mental health services
18 isn't just vital to improving the correctional system
19 itself, it's essentially about the safety of all New
20 Yorkers.

21 We have seen the horrible consequences in
22 the form of victims of a killing spree, that of
23 Daniel St. Hubert that he recently went on. Although
24 Mr. St. Hubert was incarcerated in state prison, this
25 tragic event should give us all pause to think about

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2 how crime, imprisonment, and recidivism are all
3 marked by violence at every step. A mentally ill
4 inmate who is not properly screened at intake, and is
5 not provided with consistent behavioral health
6 services and medication can have their symptoms
7 worsen potentially leading to violence against
8 themselves or others, and an extended time in a
9 correctional facility. Without services, once
10 released, this person is then subject to the same
11 flare-up of symptoms that potential aggression that
12 may lead them right back to the doors of Rikers. We
13 need to ensure the consistency and quality of
14 services at every step to try to stop this cycle of
15 violence.

16 The point to underscore here is that none
17 of these issues, the safety of corrections officers,
18 healthcare workers, and the health and wellbeing of
19 detainees is more important than the other. So as
20 you look at reform of this system, we need to think
21 about all potential consequences. As many of you
22 know, with the arrival of our new Commissioner, Mr.
23 Ponte, the new Commissioner of the Department of
24 Corrections, Mary de Blasio is breathing life into
25 these issues. And I hope we end up with a package of

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2 reforms that rethinks the system from top to bottom,
3 and from pre-detention to post-release.

4 It will allow the creation of this new
5 task force and the serious attention being paid to
6 how we address the treatment of inmates with mental
7 health issues, we have to take pains to make sure all
8 voices are heard. Too often, what we think is a
9 model where groundbreaking reforms are made, but too
10 little attention is paid to how those will play out
11 on the ground level by frontline workers. I urge the
12 Administration to solicit the viewpoints of
13 healthcare workers, corrections officers, and inmates
14 themselves. We're going to hear from a wide variety
15 of experts today, people who have helped shine a
16 light on these problems, and understand what policies
17 need to be put in place. This is the beginning of
18 what I hope is fruitful conversation about positive
19 steps that our city can take in this arena. The goal
20 is to have system that works across the board, and I
21 truly believe you only get there if you take all
22 viewpoints into account.

23 As we look toward the future, my
24 colleagues and I have a lot of questions. I would
25 like to focus on how training of corrections and

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2 health staff should be improved and better
3 coordinated, what policy changes, and changes to the
4 physical plan of DOC facilities need to be made in
5 order to improve the safety of healthcare workers.
6 Whether there should be greater oversight by DOHMH of
7 Corizon the independent contractor charged with
8 providing health services in our city jails. And how
9 can we get these agencies and inmates to work
10 together more so that we can reduce violence while
11 increasing positive health outcomes for inmates.
12 Finally, I believe that the bill before us today, of
13 which I am a co-sponsor would be critical in helping
14 the Council and public get a handle on the quality of
15 our jail system, and how the system is performing in
16 terms of the treatment of inmates. I also question
17 whether the public needs more data to better assess
18 DOHMH and Corizon's performance.

19 Lastly, I want to acknowledge my
20 colleagues on the Health Committee who are joining
21 us. We are joined by Council Member Espinal who is
22 on the committee. We've also been joined by Council
23 Member Vallone, and Council Member Koo, and a
24 colleague, Council Member Lancman and Public Advocate
25 James. I want to thank my Legislative Director Louis

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2 Cholden-Brown; Health Committee Counsel Dan Hafiz
3 [sp?]; Policy Analyst for the Health Committee
4 Crystal Pond; Finance Analyst for the Health
5 Committee Crilhien Francisco, as well as the staff of
6 the committees on Fire and Criminal Justice Services
7 and Mental Health for all their hard work in making
8 this hearing happen today. Thank you very much,
9 Chair.

10 CHAIRPERSON CROWLEY: All right. I'd
11 like to recognize Council Member Cohen for his
12 opening statement.

13 CO-CHAIRPERSON COHEN: Chair, good
14 afternoon. Thank you. I'm Council Member Andrew
15 Cohen Chair of the Council's Committee on Mental
16 Health, Developmental Disability, Alcoholism, Drug
17 Abuse and Disability Services. I am pleased to be
18 holding this joint hearing today with Council Member
19 Crowley, Chair of the Fire and Criminal Justice
20 Committee, and Council Member Johnson Chair of the
21 Health Committee, as well as our Public Advocate.
22 Today, I look forward to discuss -- Today, I look --
23 today we are here to discuss violence and the
24 creation of mental health and medical services in New
25 York City jails. I'm sure everyone here has read the

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2 recent news article about violence in city jails and
3 the increase in the percentage of mentally ill
4 inmates. The City's Independent Budget Office just
5 released a report stating that 37% of the roughly
6 12,000 inmates in New York City jails have a mental
7 health diagnosis.

8 Now, a mental health diagnosis alone does
9 not result in violence. In fact, the vast majority
10 of people with mental illness are not violent, and
11 far more likely to be the victim of a violent crime.
12 However, studies have shown that when people with
13 severe mental illness have a co-occurring substance
14 abuse disorder, their risk for violence increases.
15 Unfortunately, research has also shown that in
16 national jail populations the majority of the people
17 meeting the criteria for serious mental illness also
18 have a co-occurring substance abuse disorder.
19 Therefore, today I am hoping to learn more about how
20 inmates are assessed for mental health disorder and
21 substance abuse disorders, and how those suffering
22 from those disorders are treated, and what we can do
23 better.

24 I'd like to learn more about how such
25 inmates are prepared for release from the Department

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2 of Corrections' custody, and provided with follow-up
3 services. I look forward to an ongoing dialogue, and
4 I also want to thank the staff for helping me
5 prepared for today's committee.

6 [Pause]

7 CHAIRPERSON CROWLEY: We were also
8 briefly joined by Council Member Cabrera, which will
9 be back soon. We'd like to hear from the
10 Administration. We'd also like first to remind the
11 Administration sometimes the Administration can go
12 wrong, and we're a committee here, three different
13 committees with a lot of questions today. And more
14 severe testimony probably could be answered with
15 questions. But the attention span of the people in
16 the room is usually better to cut the opening
17 statement to only a few minutes, and open up quickly
18 for questions. So it's 1:25 now. We would ask the
19 Administration to try to stay within 20 minutes of
20 testimony. And I'm not sure if the Department of
21 Corrections will speak first or the Department of
22 Health, but then of the 20 minutes if they could
23 divide it, ten and ten, something like that.

24 COMMISSIONER PONTE: Good afternoon,
25 Chairpersons Crowley, Johnson, Cohen, and members of

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2 the Committee on Fire and Criminal Justice Services
3 and Mental Health and New Mental Health. I am Joe
4 Ponte, Commissioner of the New York City Department
5 of Corrections. Beside me is Deputy Commissioner
6 Berliner, who is in charge of planning of programs
7 for the agency. And we also have the Chief of the
8 Department William Clemons sitting out here in front.
9 Thank you for the opportunity to testify today. I am
10 pleased to be here in the company of my colleague Dr.
11 Bassett of the Department of Health and Mental
12 Hygiene. As you know, New York City is one of the
13 only jurisdictions in the United States that lodges
14 responsibility for jail security and health services
15 in two separate agencies. This approach provides
16 independent oversight of the Correctional Healthcare
17 Program, but it also requires an extra level of
18 interagency coordination. Our joint appearance today
19 is evidence our agencies share commitment to work
20 together to address the serious issues on today's
21 agenda, which is violence and the provision of mental
22 health and mental services in New York City jails.

23 Just ten days ago before the Committee on
24 Fire and Criminal Justice Service, I presented my
25 initial assessment of the Department of Corrections

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2 after two months on the job. I described how
3 assaults on staff, assaults on other inmates, and
4 splashing and stabbing incidents as well as uses of
5 force by staff have also substantially increased over
6 the past several years. These long-term trends years
7 in the making are clearly unacceptable, and reversing
8 them is my top priority. But as a correction
9 professional with over 40 years experience, I must
10 assure you that the process will not be quick, nor
11 will it be easy. A key component will be recognizing
12 that the Department of Corrections job is changing.
13 Over the past decade, our average daily population
14 declined from 13,000 in fiscal year 2004 to as low as
15 10,008 in recent months. The character of our inmate
16 population has shifted.

17 One of the most significant developments
18 is the increasing rate of mental illness in our
19 jails. in fiscal year 2007, 24% of inmate population
20 had a diagnosed mental illness. Today, that is
21 nearly 40%. I do not mean to equate mental illness
22 with violent behavior, but I do want to stress that
23 any comprehensive strategic plan to reduce violence
24 in jails must include significant reforms in the way
25 we manage and treat inmates with mental illness. The

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2 task force on behavioral health and the criminal
3 justice system that Mayor de Blasio announced earlier
4 this month will be a great help in this regard. It
5 will be developing strategies to keep mentally ill
6 people from entering the criminal justice system when
7 they do not have to. And also improve in-custody and
8 post-release treatment for those who come into our
9 custody.

10 Dr. Bassett and I are both part of the
11 task force, and I'm sure she would agree that we are
12 looking forward to participating in its
13 deliberations, and acting on its recommendations. In
14 the meantime, however, we are already collaborating
15 to make facilities safer for staff, and inmates
16 alike, and to ensure that quality care is delivered
17 to those in need. The need for better staff training
18 and steady assignments is paramount, and the current
19 class of recruited officers in DOC Academy will be
20 receiving an additional eight hours of mental health
21 training that we've developed jointly in addition to
22 the established 38.5 hours. The new training
23 includes an overview of mental illness, and substance
24 use disorders; introduces the participants to risk
25 factors and warning signs of mental health problems;

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2 and builds understanding of how mental illness may
3 impact security staff efforts.

4 It has also become apparent to us to that
5 the security of Mental Health staff need to be able
6 to share more information about the behaviors likely
7 to be exhibited by the inmates in a particular unit.
8 DOC is now providing officers and clinical staff with
9 information about relevant behavioral information
10 with housing area officers. Clinical staff has also
11 been sharing information. DOC staff cannot know an
12 inmate's diagnosis or medication details, for
13 example. However, working together, DOC and DOHMH
14 are determining the level of detail that is both
15 necessary and appropriate to keep housing areas safe,
16 while still respecting inmate's medical privacy.

17 In recent months, much of the discussion
18 on inmate mental health needs has focused on punitive
19 segregation even though this accounts for less than
20 six percent of our total inmate population. As you
21 know, punitive segregation is a corrections practice
22 common throughout the United States in which inmates
23 who misbehave especially in ways that jeopardize the
24 safety of inmates and staff are temporarily removed
25 from the general population and confined to their

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2 cell most of the day. Over the past year and a half,
3 significant changes have been made in how the jail
4 based disciplinary process responds to inmates with
5 mental illnesses. DOC and DOHMH's longstanding
6 housing response to inmates with mental illness who
7 have been found guilty of an infraction goes to the
8 Mental Health Assessment Unit for Infracted Inmates.
9 Inmates commonly refer to this as MHAUII. While well
10 intended, its creation in the late 1990s, the unit
11 failed to provide adequate care, and routinely saw
12 some of the Department's highest rate of uses of
13 force.

14 In December 2013, the last MHAUII units
15 were closed permanently after punitive segregation
16 underwent some reform, and inmates were transferred
17 to alternative housing units generally developed by
18 DOC, DOHMH. The Clinical Alternative to Punitive
19 Segregation or CAPS, and restricted housing units,
20 which go by the acronym RHU, are the new units that
21 have been developed. The Clinical Alternative to
22 punitive segregation provides a hospital style
23 clinical-driven treatment focused environment for
24 seriously mentally ill inmates who have misbehaved,
25 that is completely non-punitive. Inmates in CAPS are

1 not confined to their cells. They're not expected to
2 participate in multiple treatment orientated
3 activities throughout the day. CAPS, which to our
4 knowledge is the only jail-based unit of its kind in
5 the nation is an unqualified success. Rates of use
6 of force and inmate of force and inmate violence are
7 extremely low compared to the former MHAUII or even
8 the current mental operation housing. The non-
9 treatment segregation housing the department uses for
10 inmates with mental illness wants mental illness to
11 warrant special attention. This suggests that more
12 treatment and more activity can reduce violence, and
13 this behavior amount all inmates with serious mental
14 illness. We are working with DOHMH to determine
15 whether the CATS model, which is very expensive, has
16 a broader application in mental application housing
17 areas for those inmates who have not infringed.
18 Restricted housing units previous -- Restricted
19 housing units have punitive segregation with a
20 progressive approach that includes a self-paced
21 behavioral treatment program. RHUs offer inmates an
22 opportunity to earn additional time out of their
23 cells each day for good behavior and clinical
24 engagement. The program is built around a step
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2 system. When inmates behave and participate, they
3 progress through the steps and earn incentives
4 including additional out-of-cell time. Rule
5 violations usually result in a step backward, and a
6 lost of earned incentives rather than a new
7 infraction with additional segregation time.

8 Since bringing the RHUs to scale in
9 December of 2013, DOC and DOHMH jointly relocated the
10 units from facilities in which they were open to an
11 alternative site that is more conducive to
12 programming, and better management of the inmates.
13 We have subsequently seen a steep decline in the
14 number of splashing incidents, and sizeable
15 reductions in the uses of force. We also know there
16 have been -- the inmates have participated in
17 programming much more than they had in the prior
18 units. The early or the early incarnations of the
19 RHUs. So the RHUs have been evolving over time, and
20 we think they're better today than they were when we
21 first established them.

22 We are working with DOHMH to refine our
23 RHU model and make it even more program focused,
24 building on successes that we have already had.
25 These programs, which once again apply to only a

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2 small fraction of our total inmate population, have
3 demonstrated the principles that can be applied to
4 reduce violence in the general population leading
5 ultimately to the reduction in the use of punitive
6 segregation as a disciplinary tool. The first is
7 that steady staff committed to the mission of the
8 unit makes a big difference. Consistency and
9 familiar staff provided the structure that the
10 inmates seem to need. It also allows staff to
11 anticipate and head off problems before force becomes
12 necessary.

13 The next principle is that training is
14 crucial. While officers and captains are not
15 permitted to know the diagnostics of an inmate or
16 treatment information about the inmates in custody,
17 they are certainly able to learn behaviors, triggers,
18 and warning signs. For decades, our mental health
19 training has focused on policy and not on skill
20 building, the necessary piece to manage the current
21 needs of our jail population. New Training in the
22 CAPS and RHU settings that include behavior
23 recognition, trigger identification and avoidance
24 techniques in addition to modern de-escalation
25 approaches has shown tangible benefits.

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2 The third principle is that punitive
3 segregation time is not the only appropriate method
4 to respond to misbehavior. It is one of many tools
5 that DOC should be able to use to manage violence in
6 our jails. The RHUs demonstrate the value of
7 alternative inmate management techniques, such as
8 incentives like extended lockout time for good
9 behavior. It is important to understand, however,
10 that these units were quickly implemented as the
11 MHAUII was discontinued, and management of these
12 units has been continuously readjusted in the face of
13 unacceptably high levels of violence. And there is
14 more to learn. Just last night, an officer who works
15 daily with the mentally ill population is specially
16 trained in the setting, and was following proper
17 procedure was assaulted as he uncuffed an inmate who
18 had been progressing well through this program, and
19 was about to participate in group therapy.

20 Staff safety must continue to be our
21 highest priority. Moreover, while incentives in
22 informal and immediate sanctions such as the loss of
23 outdoor rank and locking an inmate in through the
24 lockout hours, could be used to discourage
25 misbehavior, they are largely prohibited by the Board

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2 of Corrections Standards. We hope to work with the
3 Board, which is engaged in rulemaking to open up
4 these options. DOC is focusing on issues of punitive
5 segregation, and its effectiveness within jail
6 systems in order to develop a thoughtful and
7 effective approach going forward. One that can
8 provide the possibility of separation of violent
9 inmates from the population for the appropriate
10 period of time while continuing to observe basic
11 standards of care that comport with science.

12 The Council has asked about our general
13 approach to violence reduction. What I have
14 described is intended for staff working directly with
15 the mentally ill. However, it represents the
16 underlying strategic approach to general issues of
17 violence reduction. We need to better understand the
18 risks, and the needs of an increasing complex inmate
19 population. We must make our officers confident that
20 they have the skills and tools necessary to control
21 their housing areas, and the inmates within them to
22 prevent incidents and avoid uses of force. We must
23 also provide constructive activities for the inmates
24 from increased recreation to programming in housing
25 areas, and elsewhere in the jails. By reducing idle

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2 time and engaging inmates in productive activities,
3 we can make significant gains in reducing violence.
4 This can only be done through systematic cultural
5 changes. The longstanding trend toward increased
6 violence cannot be resolved with memos and staff
7 meetings or even a new program. System change,
8 particularly in an organization the size and scope of
9 ours, must be carefully planned and implemented, and
10 will include upgraded facilities, training staff, and
11 recreating a culture. These significant changes will
12 take time, but there are steps that we are taking now
13 to make the jail safe. The first is communication.
14 The new exchange of information between DOC and DOHMH
15 staff will make everyone working in these housing
16 areas more aware of the potential dangers and help
17 prevent violence.

18 The second is training. Department wide
19 training reform will take time to undertake, but the
20 process is beginning. And the next recruit class
21 will receive new mental health training. Training
22 reform includes a greater focus on field training.
23 Again, our staff, who go through the Training
24 Academy, are placed in these housing units. And the
25 old concept of having field-training officers to help

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2 and guide our officers on-site no longer exists in
3 any of our facilities.

4 Training reforms -- we will need field
5 training staff to develop officer skills so they have
6 the confidence to apply what they've learned in the
7 classroom to real everyday situations. The third is
8 the immediate responses to misbehavior. We hope to
9 work with the DOC to make these tools available. I
10 believe one way we can make everyone from the City
11 Council to the taxpayers of New York City to the
12 inmates in our custody confident that the work is
13 ongoing and taking effect is that to be transparent
14 about it. We will continue to update you on our
15 strategic plan and implementation, especially
16 regarding violence reduction initiatives, and
17 treatment for the mentally ill. And to work with the
18 labor unions, oversight bodies, and advocacy
19 organizations that have a vested interest with an
20 outcomes of this important work. Thank you for the
21 opportunity to testify today, and obviously we're
22 available for questions. Thank you.

23 Madam Chair, should I proceed?

24 CHAIRPERSON CROWLEY: Yes.

1
2 DR. MARY BASSETT: Good afternoon,
3 Chairpersons Crowley, Cohen, Johnson, Members of the
4 Committee and our Public Advocate Letitia James. I'm
5 Dr. Mary Bassett, Commissioner of the New York City
6 Department of Health and Mental Hygiene. I'm joined
7 also by Dr. Amanda Parsons of Healthcare Access and
8 Improvement. She's our Deputy Commissioner with the
9 Department, as well as Dr. Homer Venters, who is the
10 Department's Assistant Commissioner for Correctional
11 Health Services. I am grateful for the opportunity
12 to testify today on the topic of violence and the
13 prevention of mental health and medical services in
14 New York City jails. This is an important and
15 complicated issue for both our department and for our
16 city, and I thank you for focusing on it. I am
17 mindful of your comments at the outset of our
18 testimony, but I hope that my testimony will answer
19 many of your questions, and I...

20 CHAIRPERSON CROWLEY: [interposing] I'm
21 sorry, but I don't want to cut your testimony down.
22 The Commissioner's testimony, written testimony, was
23 a bit shorter than yours, the DOC Commissioner, and
24 he took about 20 minutes.

25 DR. MARY BASSETT: I'll do my best.

CHAIRPERSON CROWLEY: If you could --

DR. MARY BASSETT: I'll do my best. I realize that we also started a bit late. So this is a very important topic, and I am eager to share some for that information with you, and I will do my best to get to my testimony. So what I'm going to do is provide an overview of the role of the Health Department and the New York City jails. And I can point out at the outset that Commissioner Ponte and I have already met on several occasions. I've had the opportunity to visit the Rikers complex, and I'm going to now today discuss some of the activities in which Commissioner Ponte and I with our respective agencies are currently collaborating, as well as some of the new initiatives in reducing violence. It has no place in any healthcare setting.

The Department is charged under city charter with providing health and mental health services in the city's correctional facilities. As you know, the city has 12 jail facilities. Each of them have at least one clinic. We have about 11,000 people in these jails daily. Most stay for only a short period of time. Over 90% of inmates are male. Nearly all are African-American or Latino, and many

1
2 come from the poorest neighborhoods in the city. The
3 inmates enter the jail system already with a high
4 burden of disease.

5 The mission of the Department's Bureau of
6 Correctional Health Services is to provide the best
7 possible medical assessment and treatment during an
8 inmate's detention, and appropriate health related
9 discharge planning services. These services are
10 critical both for the patient's safety and health
11 while they are in jail, and they're also important
12 for safeguarding the health of the community to which
13 they will return. Each month, the Department
14 provides over 63,000 healthcare visits in the jails,
15 most of which occur at Rikers. These include 5,300
16 comprehensive intake exams; 40,000 medical and dental
17 visits, 2,300 specialty clinic visits, and 20,000
18 mental health visits. All inmates receive a full
19 medial intake examination within the first 24 hours
20 of entering custody. This intake exam allows us to
21 screen patients, and guess our subsequent referral to
22 arrange services. Our screenings include a
23 comprehensive health assess, screening for sexually
24 transmitted diseases, and an initial mental health
25 assessment. Approximately 46% of inmates report that

1
2 they have active substance abuse use when they are
3 first seen, but we believe that the actual prevalence
4 of substance abuse use may be much higher. We seek
5 to actively identify and assist individuals with a
6 history of substance use in order to provide them
7 with care when they are detailed so that they can
8 return to their communities linked with appropriate
9 assistance.

10 We are the only large correctional system
11 to provided Methadone treatment. We've provided
12 these services since 1987. They include both
13 detoxification and Methadone maintenance, and we
14 treat about 17,000 patients annually, and discharge
15 patients to community searches. Further expansion of
16 addiction services would be useful. In addition to
17 these services, the Department offers special
18 programs. A Road Not Taken is a substance use
19 treatment program about which I would be glad to tell
20 you more in question and answer. We are also a
21 national leader in the adoption and use of a
22 prevention oriented electronic health record, which
23 allows our health workers to better coordinate the
24 care of their patients. These patient health records

1
2 can be shared with community providers as well
3 through a regional health information organization.

4 Although the oversight of Health Services
5 and Discharge Planning in the city jails is the
6 Department's responsibility, direct medical, mental
7 health, dental care services are performed by a
8 vendor -- vendor personnel from health services
9 providers. These include Corizon Health, Inc., we
10 call it Corizon, and the Damian Family Care Centers.
11 We call that Damian. Hospital level services are
12 provided by the New York City Hospitals Corporation.
13 Corizon, the largest private for-profit correctional
14 health services provided in the United States manages
15 most of the day-to-day medical and mental health
16 services, all of them actually on Rikers Island as
17 well as two other jail facilities. And the Damian
18 Family Care Center, which is a non-profit provider
19 provides services as the Vernon C. Bain Correctional
20 Services. We closely monitor both of these vendors
21 through multiple lines of supervision. These include
22 the credentialing of their physicians, their
23 physician assistants, formulating all policies
24 related to medical nursing, mental health, and
25 substance use services, as well as ensuring

1
2 compliance with those policies through a rigorous
3 quality assurance process that is based on reporting
4 of 40 performance measures.

5 Identifying inmates with mental illness
6 and helping them receive appropriate services is the
7 core focus of our work. All arriving inmates of part
8 of the intake assessment receive a behavioral health
9 screen. And those that are determined to meet a more
10 in-depth mental health evaluation receive one with 72
11 hours. Our data show that about 25% of the inmates
12 are assessed to have some form of mental health
13 diagnosis while in jail. A much smaller number, 4.5%
14 of the total inmate population is designated as
15 seriously mentally ill, and this includes psychotic
16 illnesses such as schizophrenia. The remaining
17 mental health diagnoses include such conditions as
18 depression, anxiety, adjustment disorders. It's
19 worth noting that the rates of diagnosis that both
20 mental illness and serious mental illness in the
21 jails are consistent with the rates of the United
22 States population overall. However, at any given
23 time in the correctional system, the overall burden
24 of mental illness is about 38%. This larger
25 proportion arises from the fact that mental health

1
2 diagnoses are associated with on average longer
3 lengths of incarceration. So, because people with
4 mental health diagnoses are less likely to exit the
5 system, they are over-represented in the inmate
6 population. We don't know exactly the reasons for
7 this, and we look forward to exploring it more as
8 well as many other issues with Commissioner Ponte and
9 other members of the Mayor's Behavioral Health and
10 Criminal Justice Task Force in the coming months.

11 The majority of patients assessed to have
12 a serious mental illness are housed on mental
13 observation units, which Commissioner Ponte touched
14 upon earlier, which are designed to meet these
15 patients' needs. The Department operates 19 mental
16 observation units, which currently house about 645
17 patients. These patients are provided with a range
18 of services ranging from outpatient level of care
19 with talk therapy to inpatient level of care,
20 coordination with social workers, psychologists,
21 psychiatrists, and pharmacists. And we are currently
22 engaged in the process of examining these mental
23 observations in the housing areas with the goal of
24 reforming their operations, and I will come back to
25 this a bit later.

1
2 There are complexities that arise from
3 the joint aims of maintaining security and promoting
4 health and access to care. Our data show that mental
5 health and violence in the jails are intertwined.
6 Research conducted by the Department reveals that
7 serious mental illness and placement in solitary
8 confinement as punishment are predictive of acts of
9 self-harm including lethal self-harm. Independent of
10 other factors, placement in solitary confinement as
11 punishment increases the risk of self-harm. And this
12 risk is especially high among adolescent, whom we
13 found to be seven times as likely to engage in self-
14 harming behavior. Mental Observation Units are among
15 the most violent settings on Rikers as was the recent
16 closed MAHUII Unit, which housed mentally ill inmates
17 who were placed in solitary confinement as
18 punishment.

19 Research published by the Department
20 shows that half of adolescents arrive in jail with a
21 history of having been struck on the head and
22 suffering altered consciousness. These factors are
23 associated with traumatic brain injury. Others will
24 sustain head injuries while in jail with injuries
25 from inmate fights, and as a result of reported use

1
2 of force by correctional officers. And about 30% of
3 violent interactions between correctional officers
4 and inmates, there's evidence of a blow to the head.
5 We're in ongoing discussions with the Department of
6 Corrections to determine how we can create a more
7 therapeutic setting as data show that standard
8 practices in the correctional system including
9 particularly solitary confinement as punishment and
10 reliance on force can be linked to outcomes that we
11 all seek to prevent, including the violence against
12 self and others.

13 As you have already heard from
14 Commissioner Ponte, as a result of this discussion
15 the Department along with DOC, work to eliminate
16 solitary confinement as punishment among seriously
17 mentally ill -- among the seriously mentally ill, and
18 open the clinical alternatives to punitive
19 segregation or CAPS Units. There are three CAPS
20 Unit, two for males, one for female inmates, and
21 these offer better opportunities for inmates to
22 engage with clinicians, receive mental health
23 services. And our initial experience shows that
24 these approaches improve health outcomes, and reduce
25 inmate self-injury and consistently experience lower

1
2 rates of violence and self-harm. These are now less
3 than half the rates on units where these patients
4 have been housed previously. The CAPS Units report
5 about 40 acts of self-harm per 100 patients compared
6 to 260 acts of self-harm per 100 patients in the
7 restricted housing units, which combine solitary
8 confinement as punishment with some mental health
9 services.

10 During a recent visit to Rikers, I met a
11 patient in one of the CAPS Units who had spent nearly
12 two years in solitary confinement as punishment for
13 various infractions where he was involved in multiple
14 violent encounters every month. When I saw him in
15 the CAPS Unit, he had spent about six months without
16 any violent encounters or any other problems. We
17 have a total of 32 clinical staff in these units, and
18 as you've heard from Commissioner Ponte, these units
19 are more intensive, and more costly to operate. They
20 are for the seriously -- but they do provide
21 programming as well as enriched mental health
22 services for the seriously mentally ill men and women
23 who are housed there. In addition, we have worked to
24 design new units for inmates with mental illness.
25 These include six restricted housing units across the

1
2 jails, four for adult inmates, and one for adolescent
3 male inmates, and one for female inmates. As
4 Commissioner Ponte has mentioned, these units are a
5 work in progress. They are evolving as we strive to
6 balance punishment and appropriate treatment.

7 Finally, the Department provides
8 discharge planning to eligible inmates with mental
9 illness. These services, which are provided to about
10 20,000 individuals annually, include arranging for
11 post-release medical and mental health care, applying
12 for or re-activating Medicaid; applying for public
13 assistance; providing a supply and prescription for
14 medications; arranging for transportation; organizing
15 post-release follow up. The success of healthcare
16 delivery in our jails depends on the safety of
17 correctional healthcare workers. It's difficult to
18 overstate how distressing the recent increases in
19 assaults are to the Administration, to the
20 Department, and to me personally. Incidents of
21 assaults against healthcare workers at Rikers spiked
22 in December 2013, and have continued on average to
23 occur at a higher rate in 2014 than in years past.
24 We are working to better understand the factors that
25 have contributed to this rise in violence. And our

1
2 most urgent priority is to work as we have begun to
3 work with both DOC and Corizon to protect our
4 healthcare workers. We are improving communication
5 between healthcare workers and correctional staff.
6 This ensures that healthcare and DOC staff
7 communicate about high risk patients after every tour
8 of duty. Allowing staff to identify behavioral
9 shifts, and target resources and treatment to these
10 patient. Second, healthcare staff and jail wardens
11 are meeting to address jail specific safety concerns
12 resulting in improvements to staff workflows, and
13 additional security measures in the clinic. We have
14 also revised our protocols so that high-risk patients
15 receive services in clinic areas instead of in their
16 housing units to ensure a safer setting for staff to
17 administer care.

18 Further, the Department, the Department
19 of Corrections and Corizon are addressing
20 environmental issues in jail facilities, which
21 involve moving units in areas with unsafe features
22 such as narrow corridors to areas that have a more
23 secure layout. We also have put an aggressive alert
24 patient -- an aggressive patient alert function in
25 our electronic health record. So that safety

1
2 precautions can be addressed prior to treating these
3 high-risk patients, and focusing our attention on
4 locations where assaults are most frequent, such as
5 the mental health areas and high security settings.
6 Although we don't believe staffing needs to be
7 increased across the board, there are areas where we
8 think additional staffing may improve safety, such as
9 the mental observation units to better identify
10 patients in crisis and provide them with the services
11 in order to prevent a violent encounter.

12 The Department is also working closely
13 with the DOC to reassess the treatment of mentally
14 ill and seriously mentally ill inmates. Especially
15 since a majority of recent assaults on staff and
16 patient deaths have occurred in the mental
17 observation units. As I had mentioned earlier, we
18 are working together to redesign workflows in these
19 units, improve staff safety, and patient health. And
20 the goals of this redesign include giving staff more
21 say in these units about how they are run, enhancing
22 support to our social workers, instilling routine
23 patient-centered communication between health and
24 security staff that covers the basic elements of each
25 patient's status. The Mental Observation Unit

1
2 redesign process is expected to take several months,
3 and we look forward to sharing more information with
4 you about these changes in the future.

5 Finally, I want to reiterate what
6 Commissioner Ponte said earlier that he and I
7 communicate regularly, not just about jail safety and
8 inmate health issues, but also on the issues of
9 broader reforms in the criminal justice system as we
10 work together on the Mayor's Behavioral Health and
11 Criminal Justice System Task Force. The Task Force
12 chaired by Deputy Mayor Lilliam Barrios-Paoli and the
13 City's Director of the Office of Criminal Justice
14 Elizabeth Glazer is charged with developing and
15 implementing strategies to ensure the appropriate
16 diversion of mentally ill people away from the
17 criminal justice system. I look forward
18 collaboration with Commissioner Ponte, and his agency
19 as we move forward together to improve health and
20 safety of staff and inmates in our city's jails. I
21 thank you for the opportunity to testify, and my
22 colleagues and I are happy to answer any questions.

23 CHAIRPERSON CROWLEY: Thank you for your
24 testimony. We've been joined by Council Member
25 Barron, Council Member Eugene. We were joined

1
2 earlier by Council Member Wills. Before I recognize
3 the Public Advocate for her questions and comments,
4 I'd like for the staff to know, for the committee
5 here also to know that last year the Independent
6 Office -- Budget Office put out a report saying how
7 many mentally ill inmates are in the city jails. And
8 how does that compare with the capacity of the City's
9 psychiatric facilities, and it's nearly equal. There
10 is no availability in our city for more psychiatric
11 patients in our hospitals. Yet, we have almost the
12 same amount of inmates with mental health diagnoses
13 in our jails, and that's extremely troubling. Public
14 Advocate James.

15 PUBLIC ADVOCATE JAMES: Thank you, Chair,
16 and I want to thank all the Chairs. Just to give you
17 some -- the Commissioner some perspective. Back in
18 2008 -- 9, excuse me, 8 when I was a City Council
19 Member I was involved in the hearing involving the
20 18-year-old Christopher Robinson who was an
21 adolescent and who died. And, in fact, I was
22 involved in authoring the law, Local Law 29, which
23 requires the Department of Corrections to report on
24 certain jail security indicators regarding
25 adolescents on a quarterly basis. So obviously, this

1
2 is an issue going back that I have -- going back for
3 some time that I have been interested in. And
4 obviously, the most -- the recent number of avoidable
5 deaths, as I said behind the wall, is disturbing.
6 And that is why I'm here today to see how we can
7 address the issue. Let me just go on to say that we
8 cannot continue to sustain overtime costs both behind
9 the wall, by the Department of Corrections and
10 outside the wall by NYPD.

11 The issue is really about safety, and I
12 also believe that officers of the Department of
13 Corrections are not adequately trained or, in fact,
14 really not in a position to address inmates with
15 histories of mental health. And so, really I want to
16 get to the issue of those inmates who suffer from
17 mental illness. And I particularly want to talk
18 about a report, which was authored by, or
19 commissioned by then Mayor Bloomberg. And what is
20 really disturbing is that the report found that
21 people with mental illnesses had almost doubled the
22 length of stay in city jails. But what's really
23 disturbing is that the majority of admissions to city
24 jails are detainees who are basically unable to make
25 bail at arraignments. And that the question and the

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2 report recommended that the City needed to develop
3 alternatives to detention to people with mental
4 illness who can safely be released as opposed to
5 being held in restrictive detention centers.

6 And so, my question really is: As a
7 result of this report, have any of these
8 recommendations been adopted? Have you thought about
9 a diversion program working with OCA, Office of Court
10 Administration? What can we do to working with
11 defense counsel? As a former Legal Aid attorney, and
12 someone who involved herself with identifying
13 individuals with mental health illness in the
14 criminal justice system when I was an attorney then.
15 What can we do to identify those individuals, divert
16 them from the criminal justice system, and get them
17 the assistance that they need? And lastly, those
18 individuals with serious mental health illness, what
19 are we doing when they are discharged working with
20 the community based mental health organizations to
21 have a discharge plan for those individuals suffering
22 from mental health?

23 And then, my other question is: The
24 individuals who unfortunately died that was reported
25 most recently, Mr. Ballard and Mr. Murdough. Why

1
2 were they not in the program that was mentioned
3 earlier, and that is the CAPS Program? If those two
4 issues can be addressed, that would be greatly
5 appreciated. And if you can talk a little bit about
6 the delivery of healthcare behind the wall, the
7 contract with Corizon and what mental health services
8 do they offer? How often do you monitor that
9 contract, and their performance? My understanding is
10 that some staff has come forward with regards to
11 inadequate staffing levels. And the fact that there
12 is minimal amount of time dealing with individuals
13 who seek out healthcare services at these agencies,
14 or at these companies, which are, for the most part,
15 for-profit companies who are in contract with the
16 City of New York for hundreds of millions of dollars.

17 [Pause]

18 DR. MARY BASSETT: Thank you for a whole
19 series of very good questions. I hope I got all of
20 them. If not, you'll remind me. The first question
21 was about the observation that people with mental
22 illness diagnoses are more likely to spend longer in
23 the jail system than people who don't have these
24 diagnoses. In fact, it is a twofold difference, and
25 that is accurate, and it continues to be accurate. I

1
2 can't tell you all the reasons for that, but it
3 certainly is true that people with mental health
4 diagnosis are more likely to have longer stays on
5 Rikers. You asked about the early --

6 PUBLIC ADVOCATE JAMES: [interposing]
7 Stop. But could it be because of their -- because of
8 their psychosis, they're not in a position to--

9 DR. MARY BASSETT: [interposing] I can
10 speculate, if you'd like me to speculate, but I can't
11 tell you that I've -- we've looked at the data to
12 tell you.

13 PUBLIC ADVOCATE JAMES: [interposing] No
14 I understand that.

15 DR. MARY BASSETT: [interposing] So it's
16 maybe that they're more likely to get into trouble
17 because they--

18 PUBLIC ADVOCATE JAMES: [interposing] How
19 about this, how about this. What if they're not in a
20 position perhaps to consult with counsel, and come up
21 with a defense. And because of that situation, that
22 is the cause for the delay. That's my opinion, and
23 what do you think of that? And if there's anyone
24 else who can jump in and confirm that hypothesis,
25 that would be helpful.

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2 DR. MARY BASSETT: So, okay, I will pass
3 it to my colleague. I am unable to answer that.

4 PUBLIC ADVOCATE JAMES: Getting in
5 trouble? I don't know what getting in trouble means.
6 Getting in trouble would be -- it's a symptom of a
7 mental health illness.

8 DR. HOMER VENTERS: So, what we think we
9 know about this problem is that at almost every point
10 in the criminal justice system people with mental
11 illness have slowdowns. And one of them is almost
12 assuredly an inability work with counsel as clearly
13 or as concisely as somebody without mental illness.
14 But it's really at every point that the work of the -
15 - the work that went into the report that you talked
16 about sort of gets at the point that from arraignment
17 all the way through discharge, everything goes a
18 little bit slower for people with mental illnesses.
19 That probably spans the range of probable reasons
20 from an inability to work as clearly with counsel to
21 a lack relative to their amount of mentally ill
22 counterparts, a lack of community support to expedite
23 discharge processes. To sometimes the need for
24 programming solutions to be in place in addition to
25

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2 criminal justice adjudication. And so those are
3 probably the primary reasons that things slow down.

4 PUBLIC ADVOCATE JAMES: Thank you.

5 DR. MARY BASSETT: It's worth noting I
6 think that in the same report that you mentioned,
7 that you're referencing that was produced during the
8 previous administration shows the people with serious
9 mental illness. They are people, who I mentioned
10 have psychotic diagnoses like Schizophrenia had so
11 much shorter stays than the classification of people
12 with mental health diagnoses. So serious mental
13 illness at 91 days; mental health diagnosis I believe
14 was over 120 days. You also asked a question so you
15 are happy with the conversation about the length of
16 stay. You also asked the question--

17 CHAIRPERSON CROWLEY: [interposing] Sorry
18 about that.

19 DR. MARY BASSETT: That's okay.

20 CHAIRPERSON CROWLEY: I think there's a
21 point that has not been brought up in that an average
22 inmate at Rikers Island is a recidivist, and that on
23 average I've seen numbers such as eight times or nine
24 times that they've been to Rikers Island. Now, we
25 have to look at that as it relates to the mentally

1
2 ill population. Because what happens once they are
3 discharged? And what type of oversight the
4 Department of Mental Health has to make sure that
5 these once inmates, but yes people with diagnosis are
6 still under the care of a physician.

7 DR. MARY BASSETT: Right.

8 CHAIRPERSON CROWLEY: And if they're not
9 under the care of physician, they're more likely to
10 infract on the streets and get in trouble, and come
11 back into the jail system. Obviously, otherwise, the
12 numbers wouldn't be so great. So I mean there has to
13 be an answer to that that we need to get to the heart
14 of as well.

15 DR. MARY BASSETT: So should I-- You had
16 a series of other questions. Should I continue with
17 your questions, or should I move on to--

18 CHAIRPERSON CROWLEY: Length of stay is a
19 really good question.

20 DR. MARY BASSETT: [interposing] Okay.

21 CHAIRPERSON CROWLEY: You say 91 days for
22 the average inmate with a mental health diagnosis--

23 DR. MARY BASSETT: [interposing] No, that
24 was the serious mental illness.

1
2 CHAIRPERSON CROWLEY: Serious but 120
3 days versus another inmate that doesn't have a
4 diagnoses, and their stay is only about 50 or 55
5 days. So, I mean look, it's staying and it's coming
6 back, and it's important to us.

7 DR. MARY BASSETT: [interposing] Yes.

8 CHAIRPERSON CROWLEY: It's a more
9 important question today because it's DOH that is
10 having to keep track--

11 DR. MARY BASSETT: [interposing] I'm
12 happy to answer your question, Chair. I just was
13 asking whether I had a series of questions from the
14 Public Advocate.

15 CHAIRPERSON CROWLEY: [interposing]
16 Well, we would like every question answered.

17 DR. MARY BASSETT: So I'm happy to answer
18 every questions that I have. Although I -- I don't
19 know how long the people in the room are willing to
20 stay.

21 CHAIRPERSON CROWLEY: [interposing] Well,
22 the questions, we'll stay here all afternoon to
23 evening.

24 DR. MARY BASSETT: [interposing] But I
25 just wondered what order I should go with. So on

1 discharge planning, as you know, we have a class of
2 patients who are stipulated under court -- Under the
3 Brad H. Stipulation, that we should, for whom we do
4 our discharge planning. And we do discharge
5 planning, active discharge planning for about 20,000
6 individuals each year. We are not in the position of
7 mandating treatment. We can connect people to care.
8 We can ensure that they have the resources to get
9 care. We can work to get them re-enrolled in
10 Medicaid, ensure that they have public assistance;
11 work to connect them to community-based service
12 providers. But we do not mandate that people get
13 that care. There is court-mandated supervision of
14 people. That's under the Kendra's Law, but the
15 court's mandate treatment, not the Health Department.

17 PUBLIC ADVOCATE JAMES: Can I ask you a
18 question? If they are a threat to themselves and/or
19 to others, are you then mandated to get them
20 assistance?

21 DR. MARY BASSETT: The court makes that
22 determination.

23 PUBLIC ADVOCATE JAMES: So at any point
24 in time you have the ability to go to court, and get
25

1
2 a court order to mandate that a certain inmate who is
3 presenting certain problems get medicated?

4 DR. MARY BASSETT: No, let me turn to --
5 let me turn to the people who are actually doing this
6 work to ask how the process of getting it court
7 mandated works.

8 PUBLIC ADVOCATE JAMES: A court order.

9 DR. MARY BASSETT: Are we talking about--
10 are we talking about--? We're talking about a Rikers
11 inmate--

12 PUBLIC ADVOCATE JAMES: [interposing]

13 Yes.

14 DR. MARY BASSETT: --who you imagine that
15 they might have been seen by a mental health
16 provider, and we consider that they-- they're about
17 to be discharged?

18 PUBLIC ADVOCATE JAMES: No.

19 DR. MARY BASSETT: And we consider that
20 they are a risk to themselves and to others?

21 PUBLIC ADVOCATE JAMES: No, no. No, I
22 know obviously with, you know, with privacy concerns
23 you obviously cannot get the medical records of
24 inmates. I got that. Or can you? Can you get the
25

1
2 medical records of inmates? Probably not because of
3 privacy.

4 DR. AMANDA PARSONS: If patients give us
5 consent, we can access medical records.

6 PUBLIC ADVOCATE JAMES: Okay.

7 CHAIRPERSON CROWLEY: A point of
8 clarification also that is the Department of Health,
9 not the Department of Corrections?

10 DR. MARY BASSETT: That is correct.

11 CHAIRPERSON CROWLEY: The Department of
12 Corrections does not have access to health records?

13 PUBLIC ADVOCATE JAMES: [interposing]
14 Right.

15 DR. MARY BASSETT: That is correct.

16 CHAIRPERSON CROWLEY: So the Department
17 of Health--

18 DR. MARY BASSETT: [interposing] And we
19 get access to health records of the patient's
20 agreement and consent.

21 PUBLIC ADVOCATE JAMES: So if -- so if an
22 inmate enters the Department of Corrections, can the
23 Department of Corrections and the inmate presents
24 symptoms, which suggest that they have a mental
25 health history, right, and the individual is

1
2 unwilling -- is unwilling to take medication. At
3 that point, in time, can the Department of
4 Corrections go to court, get a court order so that
5 this individual can get medicated? Yes or no.

6 DR. MARY BASSETT: So this is about
7 people who are in the jail?

8 PUBLIC ADVOCATE JAMES: Correct.

9 DR. MARY BASSETT: You're asking about
10 ensuring the people in jail--

11 PUBLIC ADVOCATE JAMES: [interposing]
12 Yeah, yeah, yes, yes.

13 DR. MARY BASSETT: I don't know. Do you
14 know anything about getting a court order.

15 COMMISSIONER PONTE: It can still be
16 done.

17 DEPUTY COMMISSIONER BERLINER: Yes, we at
18 the Department of Corrections can't do that. We
19 wouldn't really necessarily know that they weren't
20 taking their medication. That would be a transaction
21 that they have with their healthcare provider. There
22 are options, of course, for a hospitalization at
23 point. Right, and the hospital at the point would get
24 the forced order for medication.

1
2 PUBLIC ADVOCATE JAMES: Okay. So what
3 I'm seeing is that the only option that is utilized
4 for the more part by the Department of Corrections is
5 some sort of segregation. And in the absence of
6 consent, or in the absence of I guess hospitalization
7 inmates are basically just penalized. Mental health
8 inmates are penalized, and there's no-- As far as I
9 can tell, and correct me if I'm wrong, what is the
10 Department of Corrections doing to either divert
11 inmates, or inmates with mental health histories from
12 the Department of Corrections facility and/or get
13 them assistance; get them adequately medicate?

14 COMMISSIONER PONTE: Let me try just a
15 little bit on that one. You know, we don't take --
16 we don't ask for inmates to come to us. They are
17 already adjudicated by a court. So they're here
18 because the court says we need to incarcerate them.
19 Once an inmate comes into the system, he comes into
20 our intake area, he gets met by an officer. He goes
21 through a search procedure. He is then looked at by
22 Mental Health and medical staff. So at that point,
23 it becomes their process. So we're not punishing
24 them. It becomes a medical process as to where he
25 lives if he's mentally ill. If he's seriously

1
2 mentally ill, there's Bellevue? Bellevue Hospital.

3 So there's all kind of options available at that
4 point right coming in the door.

5 PUBLIC ADVOCATE JAMES: So the two
6 instances where it resulted in death, the Murdough
7 case, and the other case that I just cited. What is
8 it? I'm sorry. Mr. Ballard. What failed? What
9 happened? Why did not those two individuals get
10 assistance, medical assistance, particularly mental
11 health services?

12 DR. MARY BASSETT: Both of these patients
13 were in the Mental Observation Unit. So they had
14 diagnoses of mental illness, and--

15 PUBLIC ADVOCATE JAMES: [interposing] But
16 clearly, Commissioner, something failed.

17 DR. MARY BASSETT: I agree with you.

18 PUBLIC ADVOCATE JAMES: So what failed?

19 DR. MARY BASSETT: Something failed and,
20 as you know, both of these cases are being litigated
21 in the courts. I consider these tragic and
22 unnecessary deaths, and ones in which multiple
23 systems failed. We are looking at this carefully,
24 both with our contractor, with the Department of
25

1
2 Corrections, and we are intent on identifying the
3 sources of failure, and correcting them.

4 PUBLIC ADVOCATE JAMES: And lastly, I
5 really want to thank the Chairs. DR. MARY BASSETT:
6 [interposing] Can I-- ? Just one other thing I wanted
7 to--

8 PUBLIC ADVOCATE JAMES: [interposing] Is
9 anyone talking to the Department -- OCA, Office of
10 Court Administration with regards to this? There
11 seems to be a third leg in this, which is missing,
12 and that is the -- the Office of Court Administration
13 continue to refer -- to refer or remand individuals
14 with mental health histories to the Department of
15 Corrections.

16 DR. MARY BASSETT: One of the things that
17 I wanted to tell you about under your question about
18 what are we doing as a result of this previous
19 steering committee that examined these issues. And a
20 program that was established that has now been
21 launched and is underway and should soon, by July I
22 believe be expanded to all four boroughs. It's
23 called the Court-Based Intervention Resource Team.
24 And the intention of this program is to identify
25 people with mental illness in the jails who can be

1
2 sort of fast tracked to early release. And to divert
3 -- get people out of the jails, we need to have their
4 mental health issues addressed that don't need to be
5 in jail. So that's really part of a broader picture
6 of diversion, which we all -- which we intend to look
7 at as part of the newly established Task Force on
8 Behavioral Health in the Criminal Justice System. I
9 think that the case of Mr. Murdough, and I'm looking
10 towards our General Counsel, I can say that a
11 question can be asked: Where else could he have been
12 other than in jail? And that's a question that we
13 all have to be able to answer. The first question is
14 how do people who are mentally end up in jail in the
15 first place? And what are the opportunities for
16 diversion before someone ends up in jail? And there
17 are a number of points along the way. At
18 arraignment; when people get to jail we have the
19 search. Then there's the whole challenge of
20 discharge planning, and availability of services in
21 the community to which we want people to be
22 connected. All of this will be addressed as we go
23 forward with the task force.

24

25

1
2 PUBLIC ADVOCATE JAMES: [interposing] And
3 that includes inmates who cannot make bail who are
4 incarcerated because they cannot make it?

5 DR. MARY BASSETT: [interposing] That is
6 correct. That is correct.

7 PUBLIC ADVOCATE JAMES: Thank you,
8 Chairs.

9 CHAIRPERSON CROWLEY: Thank you, Public
10 Advocate. So earlier, I referenced the Independent
11 Budget -- Office of Budget -- Independent Office --
12 Independent Budget Office, and how they said there
13 are X amount of people living on Rikers Island with
14 mental health needs versus a number of patients in
15 psychiatric facilities. It seems as if the people
16 who are poor and indigent, and don't have the means
17 for healthcare are going to Rikers Island. So if
18 they can't put up the money for bail, and that you
19 have a population there that should really be in a
20 psychiatric facility. But our City does not have the
21 expanded psychiatric facilities to house a population
22 that you have on Rikers.

23 DR. MARY BASSETT: As you're -- I think
24 that what you're pointing out is a graph that shows a
25 number of people on Rikers Island with mental health

1
2 diagnoses versus available psychiatric beds. Many of
3 the people, as I mentioned, on Rikers Island have
4 mental health diagnoses for which I-- And I'm not a
5 psychiatrist, but for which I as a physician wouldn't
6 think that they needed to be institutionalized. In
7 general, we like to try and take care of as many
8 people in a community setting as we can. If we can
9 get the appropriate supports, appropriate care. And
10 the supports include more than access to medical
11 care, both for their general health and their mental
12 health needs. It includes issues of supportive
13 housing, issues of job training, job placement. But
14 it's better for many of the people with mental health
15 diagnoses to be in communities and getting
16 appropriate services. So I think in a way that's
17 provocative graph, but it's an apples and oranges
18 graph. I don't think that all the people with a
19 mental health diagnosis on Rikers need to be in
20 psychiatric hospitals. I also think that it's
21 important for you to challenge us, to say to us,
22 Well, do they belong on Rikers? And that is
23 something that will be--

24 CHAIRPERSON CROWLEY: [interposing] Or
25 whether they need to be not in a facility so as an

1
2 institution but certainly if they have mental health
3 needs, they should be under the care of a physician.

4 DR. MARY BASSETT: [interposing] Well,
5 they -- people -- let me reiterate what I said in my
6 testimony that on day one, or within the first 24
7 hours all incoming inmates have an intake assessment,
8 which includes a behavioral health screening. Anyone
9 for whom we have concerns--

10 CHAIRPERSON CROWLEY: [interposing] Oh,
11 okay, we heard that. We read it.

12 DR. MARY BASSETT: --within 17 hours has a
13 full mental health diagnosis.

14 CHAIRPERSON CROWLEY: [interposing] It
15 was in the testimony. We know that. Just get into
16 the harder questions.

17 DR. MARY BASSETT: And then we do
18 treatment.

19 CHAIRPERSON CROWLEY: [interposing]
20 Right. I understand that.

21 DR. MARY BASSETT: So we don't just
22 identify the problems.

23 CHAIRPERSON CROWLEY: [interposing] What
24 I'm trying to get at--

25 DR. MARY BASSETT: People get treatment.

1
2 CHAIRPERSON CROWLEY: --is the violence
3 that is out of control. There is a population that
4 doesn't necessarily-- Is there a population -- in
5 order to have a greater staff to inmate ratio so that
6 there could be better care and control on Rikers
7 Island, should there be a percentage of the inmates
8 taken out of a jail setting, and put in a hospital
9 type setting. You said of the roughly four to five
10 thousands inmates that have mental health diagnosis,
11 approximately one-third of them have a significant
12 serious mental health diagnosis.

13 DR. MARY BASSETT: We do have connections
14 with the hospital system, as I noted with the Health
15 and Hospitals Corporation and Bellevue Hospital.
16 Patients who have mental health conditions, which we
17 cannot manage safely for them or for the population
18 will be referred to the hospital system for care.

19 CHAIRPERSON CROWLEY: This next question
20 is for the Commissioner of the Department of
21 Corrections. What is the ratio on any given day and
22 DC, which is the jail that houses adolescents, or
23 GNDC [sp?] , which is the jail for adults, what is
24 the staff to inmate ratio in those jails? And what
25 is it in those particular jails compared to the

1
2 overall staff to inmate ratio on any given day? And
3 this is a question that's getting at the heart of the
4 control because as I mentioned in my statement
5 earlier, the violence is spiraling out of control. I
6 don't expect you to be able to bring that number down
7 significantly in one day. But it has spiraled out of
8 control in a short amount of time. So it is my hope
9 that we can put a timetable together, and bring that
10 number into a reasonable area where we reduce
11 significantly the incidents of violence?

12 COMMISSIONER PONTE: I don't have the
13 numbers for the staff ratios for all the individual
14 facilities, but we can get those. The staffing plan
15 for any facility could and will be looked at as a
16 factor of responding to the issues that are occurring
17 at that facility.

18 CHAIRPERSON CROWLEY: For four and a half
19 years, for as long as I've been chairing this
20 committee, year after year I've been asking for a
21 table of organization for staffing levels. I mean,
22 the Department has no idea how many officers are
23 needed for each individual jail. If you did know--

24 COMMISSIONER PONTE: [interposing] Yes,
25 we do.

1
2 CHAIRPERSON CROWLEY: --you would have a
3 better handle on ratio.

4 COMMISSIONER PONTE: Yes we do. I don't
5 have the ratios off the top of my head, but I can
6 produce a staffing plan at least for our facilities.

7 CHAIRPERSON CROWLEY: Well, when we spoke
8 at the Budget hearing last month, or about ten days
9 ago, I asked about the overall Executive Budget, and
10 it's important today because we're looking at a
11 budget that starts next month--

12 COMMISSIONER PONTE: [interposing]
13 Correct.

14 CHAIRPERSON CROWLEY: --in only a few
15 weeks. Your Executive Budget is significantly less
16 than what we're expected to spend this fiscal year,
17 although there is no staff changing. There's no
18 staffing -- increased staffing that would possibly
19 bring down the overtime budget. But your overtime
20 budget for this year, I understand half was in the
21 previous administration. But I've already seen
22 numbers from April and May as it relates to the
23 overtime that is happening within the jails. It
24 angers me because I believe that the Department is
25 being run to the ground. Our officers are tired.

1
2 They're working an ungodly amount of overtime.
3 Correctional officers are working without a day off,
4 and there is a standard that the Board of Corrections
5 reports saying that anything more than 32 hours or 33
6 hours per month is too much. But you have officers
7 in the city that are working over 72 hours a month in
8 overtime. They're tired. They're run down. When
9 violence occurs, I mean how could they be as ready to
10 stop it as an officer that just works a regular 40-
11 hour shift?

12 So in that question is: What are we
13 doing to cut down the overtime? Why is it that
14 we're anticipating spending a significantly amount
15 less in overtime if we're already in mind to spend a
16 hell of a lot on overtime? And why are we not
17 staffing up if there is so much violence happening in
18 these jails. And this is something if you
19 implemented it in the short-term, if you were able to
20 hire more officers, you would be able to bring down
21 the level of crime. If you just look at how many
22 more officers we had five, six years ago, you would
23 see that there was less violence happening. I
24 understand your population is changing, but the
25 officers don't know who is mentally ill or not, and

1
2 they're not allowed to. But they're the ones on the
3 front line. They're keeping the order and control in
4 the jails, and how can they do it with less? And
5 then be expected to spend so much time every week
6 working beyond their regular shift?

7 COMMISSIONER PONTE: I'm not sure what
8 the actual question is. I agree with your
9 assessment. I agree that those are all critical --

10 CHAIRPERSON CROWLEY: [interposing] I
11 thing an answer would be I have to go back to the
12 Mayor and say, I need more money to hire more
13 correction officers. Or how are the staffing ratios
14 going to change. Because I believe when looking at
15 statistics and number don't lie, violence has gone up
16 significantly year after year, and you look at
17 staffing ratios, and you could see that there is a
18 greater number of inmates to each officer year after
19 year. And with that, you have an increase in
20 violence with no plan right now to address it.

21 COMMISSIONER PONTE: Again, I arrived
22 here on April 7th. The budget was substantially
23 completed by then. It would be irresponsible for me
24 as an executive of the City Government to offer you a
25 conceptual response to this issue. As you painted

1
2 out very clearly, it would be irresponsible to say I
3 need 100 people, 200 people or ask the Mayor for
4 anything. I mean there's been four years of records
5 by others before me to do all of that you said,
6 reduce the violence, improve on the overtime. That
7 hasn't worked--

8 CHAIRPERSON CROWLEY: [interposing] But
9 year after year I asked Commissioner Shiro to hire
10 more correctional officers, and year after year,
11 there were fewer in the budget. Year after year I
12 asked her to go to Mayor Bloomberg, and I was hoping
13 for change in this Administration. But the budget
14 we're looking at in July has no greater amount of
15 officers or increase in staffing that would have
16 anything to do with reducing the ratio. And I was
17 hoping that we would have change. We're six months
18 into the Administration. I want to give you a shot,
19 Commissioner. I understand you've only been the
20 Commissioner for two months, but I feel it's my
21 responsibility and it's the Committee's responsible
22 to have the proper oversight. I understand you have
23 long-term plans of building better facilities, and I
24 support that wholeheartedly. Unfortunately, the
25

1 capital process in the City of New York takes years.

2 We need quick fixes. We need action now.

3
4 COMMISSIONER PONTE: I agree.

5 CHAIRPERSON CROWLEY: And then lastly,
6 I'm going to have one question, and then I'm going to
7 turn it over to my colleagues who have plenty of
8 questions. You have nearly 600 or more inmates that
9 have been found guilty of infractions and they have
10 mental health diagnoses. But they're in general
11 population because there's nowhere to put them. And
12 there is no segregated area away from general
13 population. And it is often those inmates in that
14 population that infract again, and continue to cause
15 violence. And earlier you spoke about CAPS and RHU,
16 which I support and the committee we worked
17 diligently with the previous commissioners who that
18 have done that. But there is no real plan for
19 expanding that. Right now, you have only about a
20 hundred beds in a facility with a waiting list of 600
21 plus. And if there were some way of treating the 600
22 that have infracted and are more likely to continue
23 to infract instead of keeping them with the general
24 population, you would be able to get at the heart of
25 the violence and where it exists. So what's the plan

1
2 of action to bring down that waiting list, and to
3 help bring order?

4 COMMISSIONER PONTE: I think as mentioned
5 before, a lot of the inmates are not in programs. So
6 the programs, or the intense programs that are
7 available in RHUs and MOU units are working and
8 they're pretty effective. The availability of that
9 same level of programming, both clinically and at any
10 program is just not available to the general
11 population. So as we look at RHUs--

12 CHAIRPERSON CROWLEY: I'm sorry, I don't
13 want to interrupt, but Commissioner, you're so
14 untimely. Everybody knows that those programs are
15 not available to the general population.

16 COMMISSIONER PONTE: [interposing]
17 Correct.

18 CHAIRPERSON CROWLEY: Those programs are
19 not available to the 600. Those programs are very
20 expensive. Those programs are good. You have
21 statistics showing that they work. Why can't you
22 give those programs to all 12,000 inmates? Why can't
23 they use their time at Rikers Island to conduct it,
24 you know, whether you're violent or non-violent, or
25 if you have a mental health diagnosis or you don't

1
2 have a mental health diagnosis, you're spending on
3 average 50+ days on Rikers Island. And those 50 days
4 instead of staring at the walls, one could be
5 participating in programs. Those programs cost
6 money, but those programs give you results. But
7 right now you have 600 people who have fractured who
8 are staring at the walls, who are starting fights
9 with other people as well as people in the general
10 population. And there's no way of preventing them
11 from continuing to get into fights because those
12 programs are limited.

13 COMMISSIONER PONTE: That's correct.

14 CHAIRPERSON CROWLEY: So can we agree
15 that you have -- it would be a good idea to expand
16 those programs?

17 COMMISSIONER PONTE: It would be a great
18 idea not just those programs, but other evidence-
19 based programming as shown to be very good at
20 reducing violence in jails and prisons across the
21 country. And they all cost money, and we have staff
22 training and lots of lead time for implementation.

23 CHAIRPERSON CROWLEY: Thank you.

24 CO-CHAIRPERSON JOHNSON: Thank you,
25 Commissioners. I'm going to try to be as quick as

1 possible because I know we have a lot of questions
2 from colleagues. I just want to say that I think the
3 frustration that you're hearing has to do with there
4 seems to be -- and I know we're just six months into
5 a new administration -- but there seems to be real
6 systemic change that is necessary. I know this task
7 force is going to hopefully look into that. I know
8 that it's difficult for government to think big
9 sometimes, and to think in transformational terms.
10 But this mill of sorts of the mentally ill being
11 housed in prisons not getting effective treatment to
12 take care of themselves. Being let out, reoffending,
13 and going back into prison. I know that these things
14 cost money, but my hope is that we can start to have
15 a conversation and come up with a real concrete plan
16 on what we're going to do, and that it happen sooner
17 than later. There are temporary measures, which we
18 know can stem some of the immediate violence that are
19 occurring -- that's occurring. But I think that we
20 have to make sure that this task force is getting all
21 perspectives. As I said in my opening statement, are
22 inmates going to be part of this task force? I don't
23 think so. They are, okay. Because I want to make
24 sure all viewpoints are part of it so that this
25

1
2 actually is done in a real way and not -- We fast
3 track some of these things. So that six months or a
4 year from now we're not sitting in the same committee
5 room asking the same questions, looking at similar
6 statistics with potentially small decreases or even
7 some increases.

8 So a few quick questions. Commissioner
9 Ponte, you stated that violent inmates are flagged
10 for healthcare staff. What is the protocol when a
11 healthcare worker sees a violent patient?

12 COMMISSIONER PONTE: I believe your
13 question is about what the protocol is within the
14 facility?

15 CO-CHAIRPERSON JOHNSON: Yes, so someone
16 sees a violent patient, a healthcare worker, what
17 then happens?

18 COMMISSIONER PONTE: Somebody anticipates
19 the violence?

20 CO-CHAIRPERSON JOHNSON: Yes.

21 DR. MARY BASSETT: [off mic] So the idea
22 there is that you have a forewarning of a person who
23 is a potentially aggressive patient, and that a plan
24 will be made to ensure that correction officers are
25 available at the time the patient is seen. And that

1
2 the location is a safe and secure one. And as I
3 mentioned, it's better for these patients to be seen
4 in a medical room, than not on the housing units.

5 CO-CHAIRPERSON JOHNSON: So they --?

6 DR. MARY BASSETT: So I should further
7 point out that the -- we have correction officers
8 and, in fact, we depend on them, and present in our
9 health clinics. And the challenge there is to ensure
10 -- because they are rather large. You know, they're
11 not huge, but they're multi-room facilities. So
12 that's -- we need to ensure that there's enough
13 communication so that if a potential aggressive
14 patient is identified, and that the corrections
15 officer is available at that time, and not distracted
16 doing other things at the time that the person is
17 seen. We also really hope that our healthcare
18 workers, and with support from the corrections
19 officers, can work to de-escalate the encounter so
20 that it doesn't result in a use of force. Which in
21 our opinion really serves to escalate a climate of
22 violence and not contain it.

23 CO-CHAIRPERSON JOHNSON: I understand the
24 Department of Corrections' reporting on the number of
25

1
2 assaults on staff does not include assaults on
3 healthcare staff. Is that correct?

4 [Pause]

5 DEPUTY COMMISSIONER BERLINER: The
6 (coughs) excuse me. The number that we report as
7 assaults on staff are assaults on the uniformed
8 corrections staff. The assaults on healthcare
9 workers are captured in a different metric, which is
10 the metric we call the criminal act on DOC property,
11 which would include assaults on any civilian employee
12 of the Department or of another comparable--

13 CO-CHAIRPERSON JOHNSON: [interposing]
14 But they're not in a standalone group because they're
15 all metric. Are they?

16 DEPUTY COMMISSIONER BERLINER: No, there
17 is no capturing --

18 CO-CHAIRPERSON JOHNSON: Why not?

19 DEPUTY COMMISSIONER BERLINER: Because up
20 until the last two years, they represented a single
21 digit number so it was being captured in a statistics
22 that captured assaults on say a maintenance worker at
23 DOC or a clinical staff person, or even an assault on
24 an officer by a non-inmate.

1
2 CO-CHAIRPERSON JOHNSON: So it's my
3 opinion that this should be a separate metric, a
4 separate reported number that is captured to
5 understand what is going on so that we have an
6 accurate and true picture about the number of violent
7 incidents that occur against doctors, nurses, mental
8 health professionals. Do you have that number?

9 DR. MARY BASSETT: Yeah, I do. Yes.

10 CO-CHAIRPERSON JOHNSON: You track it?

11 DR. MARY BASSETT: Yes.

12 CO-CHAIRPERSON JOHNSON: Is it -- is that
13 data made public anywhere? Is it reported -- ?

14 DR. MARY BASSETT: Now, that I don't
15 know. I'm going to tell you the number.

16 DR. AMANDA PARSONS: We brought it to the
17 Board.

18 DR. MARY BASSETT: We brought it to the
19 Board of Corrections. And if you want me to tell you
20 some numbers now--

21 CO-CHAIRPERSON JOHNSON: Yes.

22 DR. MARY BASSETT: --I think that will
23 make the data public. In 2013, there were 32
24 assaults or attempted assaults. And so far this
25

1
2 year, there have been 25. So that does increase
3 that.

4 CO-CHAIRPERSON JOHNSON: [interposing] So
5 there's a significant rise?

6 DR. MARY BASSETT: An increase. About
7 two-thirds of these assaults are what are known as
8 splashing, and I don't know if the committee is
9 familiar with that term. But it means splashing the
10 worker either a corrections officer or a healthcare
11 worker with a liquid, sometimes blood or sometimes
12 urine. And so that's an assault, and accounted for
13 as an assault, and about a third of them are physical
14 assaults.

15 CO-CHAIRPERSON JOHNSON: But what do you
16 attribute the increase to come from? We're talking
17 about this increase. What do you attribute to the
18 increase in violence? So you think it happens -- does
19 it have -- does punitive segregation play a role in
20 this increase?

21 DR. MARY BASSETT: I can tell you that
22 the figures that I observe that we've talked about an
23 alternative to solitary confinement for seriously
24 mentally ill individuals. I think the Public
25 Advocate asked me a question about an area that I'm

1
2 not sure that I got to because Chair Crowley had a
3 lot of other questions. But the -- this program
4 called the Clinical Alternative to Punitive
5 Segregation has seen a great de-escalation in
6 violence. And both violent encounters with the
7 staff, and also with self-harm. So we do know a
8 strategy that works. Our challenge is to identify
9 the elements of those strategies that could feasibly
10 be extended to other settings. One of them seems an
11 obvious one, and is part of our response to these
12 attacks on health workers, which is better
13 communication between our staffs. In the CAPS Unit
14 both correction staff, and health staff are all
15 present there. They have a lot of interaction with
16 the inmates and they can observe when an inmate seems
17 to be sort of due compensating. The behavior of
18 somebody prior to a violent episode is sometimes a
19 better predictor than even their past record. So now
20 we have by mutual agreement a sign-out effectively at
21 the end of every shift where both the correction
22 staff, and the house staff exchange information on
23 patients identified as high risk and report to each
24 other any changes that they've noted in behavior.

1
2 CO-CHAIRPERSON JOHNSON: [interposing] Do
3 you think there are other contributing factors?

4 DR. MARY BASSETT: Well, I think that
5 the-- the, um. I'm going to let Dr. Parsons, who is
6 trying to tell me something. But I think just to
7 clarify the question, I think that you are referring
8 to what contributes to violence in the jails. Are
9 you asking what contributes to the violence in jail?

10 CO-CHAIRPERSON JOHNSON: Specifically
11 around healthcare, healthcare workers' violence.

12 DR. MARY BASSETT: Okay.

13 DR. AMANDA PARSONS: So in an analysis of
14 the splashing, it looked like about 89% of the people
15 who were doing the splashing had a mental health
16 diagnosis, and 92% of those were occurring in
17 punitive site center. So based on that snapshot, it
18 does appear that punitive site--

19 CO-CHAIRPERSON JOHNSON: [interposing]
20 Plays into that.

21 DR. AMANDA PARSONS: --plays into at
22 least the splashings. Through some interviews with
23 the people who actually committed the splashings when
24 asked to explain why they did, a number of reasons
25 were elicited. A general theme was people were angry

1
2 over a lack of -- of the person's lack of services.
3 They felt like they weren't getting the access that
4 they wanted in the timeframe that they wanted. They
5 were things like the phones, and showers, and like,
6 et cetera.

7 CO-CHAIRPERSON JOHNSON: [interposing]
8 Okay.

9 DR. AMANDA PARSONS: They were angry
10 sometimes with issues with the medical and mental
11 health treatment, and felt like this was a way to
12 make that known to us.

13 CO-CHAIRPERSON JOHNSON: [interposing]
14 Okay.

15 DR. AMANDA PARSONS: And lastly, some of
16 the just wanted to get out of their confinement
17 setting, and that was what our interviews turned up.

18 CO-CHAIRPERSON JOHNSON: Thank you.
19 Commissioner, final question and then I'm going to go
20 back to the Chair, and then I will take -- Hear a bit
21 from Chair Cohen, and then I will wait for the second
22 round to come back to some of these, but I want to
23 give time to everyone else. This is for Commissioner
24 Ponte. You stated in your testimony that you will
25 work with the Board of Corrections to make tools

1
2 available for immediate response to misbehavior. You
3 said that towards the end of your testimony. Can you
4 elaborate a bit on that, what you mean in that
5 regard? What types of tools are you looking for?

6 COMMISSIONER PONTE: For the officers to
7 take immediate response to inmate behavior like
8 walking an inmate in, taking away free time, taking
9 away property, or anything that could be used in a
10 way for a violent outburst while we take a look at
11 what's causing that. And possibly, while this is a
12 temporary, to look at a longer-range plan for this
13 particular inmate. Right now, the answer is you
14 write the inmate up. He gets a hearing, and maybe
15 they'll put him in seg [sic] and may not. But this is
16 kind of allowing the officer to have the ability to
17 take immediate response to prevent violence prior to
18 the actual incident occurring.

19 CO-CHAIRPERSON JOHNSON: So when you said
20 you were looking to go to the Board of Corrections to
21 make tools available, are you looking at larger
22 policy change?

23 COMMISSIONER PONTE: Yes, sir.

24 CO-CHAIRPERSON JOHNSON: And I think it
25 would be helpful for us, if when you're going to do

1
2 that, for the Council to be informed of what you're
3 thinking in that regard so that we can weigh in on
4 that as well. Just to make sure we have a
5 collaborative process here. The point of today's
6 hearing is that we care tremendously about what
7 happens, and we have oversight over into what is
8 going to go on.

9 COMMISSIONER PONTE: Sure. Absolutely.

10 CO-CHAIRPERSON JOHNSON: Thank you. I'm
11 going to turn it over to my Chair.

12 CO-CHAIRPERSON COHEN: Thank you
13 Commissioners. I appreciate your testimony. I have
14 some questions regarding-- You mentioned that a
15 significant portion of your population are people who
16 are not able to make bail. Could you quantify that?
17 What percentage of people are being held in Rikers
18 for not being able to make bail during their court
19 proceedings?

20 DEPUTY COMMISSIONER BERLINER: A little
21 over 80% of our population are detainees. Some
22 number that I cannot quantify for you right now, but
23 I certainly can in a follow up, are remanded and so
24 bail is not the issue. But the large majority, the
25 overwhelming majority are not remanded. They are

1
2 some measure of bail. That could be anything from
3 one dollar to many millions of dollars.

4 CO-CHAIRPERSON COHEN: I mean just the
5 premise of that circumstance and these people -- A
6 judge feels that these people don't necessarily have
7 to be incarcerated at all. And they're only being
8 incarcerated by virtually not being able to make
9 bail. I mean that seems to me to be sort of
10 fundamental to why we have this population, and we're
11 dealing-- What I'm concerned about at Rikers is the
12 mental health facility of last resort, and that
13 people are simply getting there because of financial
14 circumstances not being able to make bail. I don't
15 know if that's reflected in your own management of
16 people that are incarcerated there. But do you make
17 some sort of-- Is the assessment when people come in
18 with sort of a propensity for violence or like what
19 happens? Like how do we sort the population as you
20 get them?

21 COMMISSIONER PONTE: We do have a risk
22 assessment that we use internally on how we hold
23 inmates both look at them on the arrest prior
24 history, and also medical and mental health needs.

1
2 But that doesn't do anything for setting the bail or
3 risk to the community.

4 CO-CHAIRPERSON COHEN: In the assessment,
5 the initial assessment, the health assessment, you
6 determine-- I think Commissioner Bassett, you said
7 that you identified like 46% who had a substance
8 abuse problem, and you said you suspected it might be
9 more. If I have a substance abuse problem, does that
10 trigger a mental health assessment within three days?

11 DR. MARY BASSETT: Everybody on the
12 initial assessment has a mental health assessment
13 whether a substance use problem is identified or not.
14 So part of the overall intake examination includes a
15 general physical exam, a comprehensive health
16 assessment, as well as a behavioral health screen.
17 So anyone about whom there is further concern, has it
18 within 72 hours an additional more comprehensive
19 mental health assessment. So people are screened
20 both for mental health issues, and substance use
21 issues on intake.

22 CO-CHAIRPERSON COHEN: Would drug
23 addition trigger a subsequent mental health
24 assessment within 72 hours?

25 [Pause]

1
2 DR. MARY BASSETT: --to this question,
3 but yes. The answer to that question is yes.

4 CO-CHAIRPERSON COHEN: So hypothetically
5 if I-- if I-- I might ultimately be eligible for
6 Methadone if I was a heroin addict. Might I not get
7 treatment for that until 72 hours?

8 DR. MARY BASSETT: No, I believe is
9 somebody comes in, is on Methadone and it's on their
10 treatment record, that will be continued. That's one
11 of the ways we identify people's substance use
12 history. And they will be continued on either
13 Methadone maintenance or Methadone detoxification.

14 CO-CHAIRPERSON COHEN: I guess I'm just
15 wondering if you think the issues of detox are
16 contributing to violence at Rikers?

17 [Pause]

18 DR. MARY BASSETT: There is no data to
19 suggest that. So it's an interesting question
20 whether people are coming who I think your
21 hypothesis, if I may characterize it in that way, is
22 that people come in who are not identified as opioid
23 dependent, who are not treated for their substance --
24 for their addiction and, therefore, create behavioral
25 problems including violence in the jail. I think

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2 that's as they go into withdrawal or something of
3 that sort. I don't believe that we have data on
4 that, but I can discuss it with my team.

5 CO-CHAIRPERSON COHEN: I appreciate that,
6 and I guess just finally in the 4 point -- I think
7 you said 4.5% you identify as--

8 DR. MARY BASSETT: [interposing]
9 Seriously mentally ill.

10 CO-CHAIRPERSON COHEN: Seriously mentally
11 ill.

12 DR. MARY BASSETT: They're psychotic
13 almost.

14 CO-CHAIRPERSON COHEN: How long doe sit
15 take to get people --

16 DR. MARY BASSETT: [interposing] Many of
17 whom we don't think should be in psychiatric
18 hospitals either.

19 CO-CHAIRPERSON COHEN: You do not think
20 that they should be in psychiatric-- Well, they
21 could be -- they could function in the system --

22 DR. MARY BASSETT: [interposing] Yes,
23 appropriately managed.

24 CO-CHAIRPERSON COHEN: --if properly
25 managed?

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DR. MARY BASSETT: Correct.

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CO-CHAIRPERSON COHEN: What are the
issues of getting those people on medication and that
process? Is there, you know, in a transition, do we
-- is that like sort of an inflection point of
violence if you try to get those people appropriately
managed?

8

9

DR. MARY BASSETT: I'm not sure whether
you're talking about within the jail or outside of
the jail. Is your question--

10

11

12

CO-CHAIRPERSON COHEN: [interposing] In
the jail.

13

14

DR. MARY BASSETT: --in the jail?

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16

CO-CHAIRPERSON COHEN: When they come
into your gap.

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DR. MARY BASSETT: So let me pass this to
Dr. Parsons, but as I understand the question you
want to know whether within that gap of 72 hours, or
within the treatment plan is there sort of an
opportunity for people who are psychotic or
inadequately managed until they come under adequate
treatment?

24

25

DR. AMANDA PARSONS: The first thing that
I would note as part of our comprehensive intake, one

1 of the things that we check are the community
2 medications for each patient. So assuming that the
3 patient is on medication in the community, we are
4 able to ascertain that. And that lets us know what
5 kinds of medications we need to continue immediately.
6 In addition, there is a screen that's done with both
7 of us, but also in addition it's done pre-arraignment
8 to determine whether or not this patient is at risk
9 of withdrawal in general. Nobody is going to die
10 from an opiate withdrawal, but we're particularly
11 concerned about deaths related to alcohol withdrawal.
12 But we screen for both on arraignment and then on
13 intake. During medical intake we also ask patients
14 to tell us what are their medical histories, and to
15 tell us what medications they're on. And that's
16 another way that we ascertain what kind of treatment
17 we need to pull together. So in no way, shape, or
18 form do I want to lead you to believe that we don't
19 deal with any mental health issue until the 72-hour
20 mark. We begin all of those. It's just to say that
21 for people who need a more intensive treatment, and
22 who can wait for 72 hours, we do continue their -- to
23 do a much more thorough look at their mental health
24 issues and a deep dive into those. For those who
25

1
2 need immediate mental health treatment, they get it
3 daily.

4 CO-CHAIRPERSON COHEN: Thank you very
5 much.

6 CHAIRPERSON CROWLEY: Earlier when the
7 Public Advocate asked about in the questions is one
8 your inmates are released back to the public, how
9 far-- How much Brad H, how much did that lawsuit say
10 that the Department of Health has to have a discharge
11 plan for this particular individual? What is that
12 discharge plan like? Are they giving housing
13 insurance, do they have Medicare or Medicaid? How
14 can we rest assured that these inmates while under
15 the supervision are getting the care for their mental
16 health diagnosis will be getting access to care
17 outside?

18 DR. MARY BASSETT: Oh, thank you for that
19 question. The discharge planning for persons with
20 mental illness includes an effort to refer people to
21 community services so that they have continuity of
22 care to ensure that they have health insurance
23 coverage, which in many cases involves either
24 enrolling or re-enrolling the inmate in Medicaid
25 prior to discharge. Working to ensure that any other

1
2 public benefits to which the inmate is entitled, they
3 have applied for. So, as well as additional services
4 for general medical care, substance abuse disorders
5 that they have been provided a pathway to all of the
6 services that they will need on discharge. Now we do
7 not --

8 CHAIRPERSON CROWLEY: [interposing] What
9 if they don't have a place to go? What if they don't
10 have a home?

11 DR. MARY BASSETT: Well, I, yeah, my
12 understanding is that they are referred to the
13 shelter system.

14 CHAIRPERSON CROWLEY: But they come into
15 Rikers often as we saw in the case of Mr. Murdough
16 that he was homeless and he--

17 DR. MARY BASSETT: [interposing] He was
18 homeless.

19 CHAIRPERSON CROWLEY: --he didn't want to
20 go to the shelter system.

21 DR. MARY BASSETT: That's right.

22 CHAIRPERSON CROWLEY: Are there like the
23 Fortune Society or other types of housing that you
24 could work with to put inmates who are homeless?
25

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2 DR. MARY BASSETT: Well, there are
3 supportive housing for people with serious mental
4 illness. I think that the general challenge that
5 you're asking is one that I alluded to earlier where
6 in the case of someone like Mr. Murdough where should
7 he have gone? I want to refer again to the task
8 fore, which I think is challenged to identify more
9 streamlined approaches to ensure that we have places
10 that people can go that they will be safe, in which
11 their mental health needs will be addressed. And
12 there are other broader social support needs will be
13 addressed. Because it seems to me that one of the
14 key challenges for providing a healthy population at
15 Rikers is to ensuring that the people who don't need
16 to be there are not there. So there are many steps
17 along the way, and it begins with the encounter
18 between a police officer, and a person who has a
19 mental illness, and what options are available to
20 that officer at that time.

21 CHAIRPERSON CROWLEY: What does it cost a
22 year to house -- As the Commissioner of Department
23 of Health, what does it cost to house somebody in
24 place like a hospital. Not a jail. Like Bellevue.

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2 DR. MARY BASSETT: Yeah, I mean hospitals
3 are very expensive. So I would -- I haven't
4 discussed this with Commissioner Ponte, but I would
5 bet that a hospital bed costs a lot more than a bunk
6 at Rikers. \$1,200 a day.

7 CHAIRPERSON CROWLEY: [interposing] I
8 would bet ten times as much.

9 DR. MARY BASSETT: Yes, but--

10 CHAIRPERSON CROWLEY: But \$1,200 a day
11 that's significantly much more than-- What does it
12 cost a night at Rikers?

13 DR. MARY BASSETT: But that might not be
14 the right comparator. The right comparator might be
15 another community bases service.

16 CHAIRPERSON CROWLEY: [interposing] I
17 just don't-- I mean I hear you with this financial
18 hurdle. I mean Mayor Bloomberg had a task force put
19 together, and he spent a few years trying to find
20 creative solutions to this problem. So the problem
21 the previous administration was well aware of, and
22 yeah, I'm hopeful that this administration with this
23 new plan of putting this task force together will
24 essentially to a degree be able to implement programs
25 that will stop people who don't belong at Rikers

1
2 Island, but from going there, from going there.
3 However, you know, at the same time any real
4 successful programs aren't going to the extensive
5 care. Commissioner Ponte didn't share with us
6 exactly how much he needs to implement programs for
7 600 people who are on the waiting list for mental
8 health needs who are not getting the programs, but
9 the 100 are. So within RHU where the CAPS unit is.
10 So, I'm going to now recognize Council Member Dromm
11 who has a bill that I mentioned earlier in my
12 opening, and we're hearing that bill today. So I'm
13 going to ask Council Member Dromm to ask a few
14 questions and to give any type of introduction.
15 Thank you.

16 COUNCIL MEMBER DROMM: Thank you, Chair
17 Crowley. I appreciate you allowing us to hear this
18 bill today as well. I did not in the testimony --
19 I'm sorry I was late. I had an Education Committee
20 hearing, which I chaired the committee so I couldn't
21 leave -- come over, but I did not see in the
22 Commissioner's testimony either one any mention of
23 the bill, and I'm wondering what your standing is on
24 it.
25

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2 COMMISSIONER PONTE: I think as the --
3 from what we know, we're obviously more interested in
4 cooperating with the Council and developing matrixes
5 that we all agree these are good measures moving
6 forward. I think it would help us all build a better
7 plan to be more effective and efficient with our
8 City's resources. The Department of Mental Health
9 and I are already working on matrixes with our two
10 agencies collectively. We'll look at how our, what
11 type of our program is and measurements to give us
12 good indications that what works and what doesn't
13 work. So we're very interested and willing to work
14 with you on moving forward.

15 COUNCIL MEMBER DROMM: Very good because
16 we've had a lot of difficulty in the past trying to
17 get people's numbers, and that's why we actually had
18 to write legislation to make sure that that happened.
19 And we're very, very interested in see what those
20 numbers are. So I just wanted to-- Let me go to
21 these questions in regard to punitive segregation.
22 The United Nations Special Rapporteur said that
23 solitary confinement when used for the purpose of
24 punishment cannot be justified for any reason.
25 Precisely because it imposes severe mental pain, and

1
2 suffering beyond any reasonable retribution for
3 criminal behavior. So I'm wondering why we're even
4 in the business of that, particularly why we're in
5 the business of that when we have mentally patients.
6 I've questioned the Department both of them on
7 previous occasions at other hearings. Why are we
8 continuing to do that especially at lengths of stays
9 that have been reported to us by people who have
10 experienced solitary at Rikers? The State has passed
11 legislation in regard to juveniles. What moves are
12 you taking to move in the same direction?

13 COMMISSIONER PONTE: As it pertains to
14 mentally ill offenders, I think we've already moved
15 away from putting those inmates in punitive
16 segregation. And we're very actively -- we've got a
17 good program in place. It's productive, but those
18 people that are clinically mentally ill those are
19 somebody with a level of mental illness that's the
20 RHU placements. I think we've already progressive
21 moved down that road to look at alternatives, and we
22 continue to look at the RHU mode as a good foundation
23 for where do we need to go from here? I think we're
24 already heading down the road. But as it pertains to
25 juveniles, I agree. In most of the country, if you

1
2 look across the country, it's already walked away
3 from the idea, the concept of punitive say for a
4 juvenile. We don't call them juveniles. We call
5 them adolescents. In every other state in the
6 country they wouldn't be the developed system. It
7 presents us with a unique problem that we are
8 addressing. So we've got a pretty active committee
9 looking specifically at that problem, not only how we
10 house juveniles, how we use punitive seg, and how do
11 we have appropriate programming to avoid the need for
12 punitive seg. But all of those are big costly pieces
13 that will add to our systems, but we're moving
14 clearly in that direction.

15 COUNCIL MEMBER DROMM: So I think I've
16 presented Rikers now four times since I became deeply
17 interested in this issue. And on each of those
18 visits to Rikers, I was shocked at the conditions
19 that exist there physically. The hallways smell of
20 urine or the condition of the cells in which inmates
21 are held; the rusted beds; the lack of open air; the
22 windows. And I thought the last visit when you were
23 there Commissioner as well with us, there was one
24 cell where papers had been taped up above the vent,
25 which was directly above the bed. I would think

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2 that's probably how that inmate died from it being
3 too hot in the cell. That hot air and cold air
4 coming down on top of you. Is there any plan for a
5 capital program to reconstruct these buildings? I
6 mean the conditions are absolutely horrendous.

7 COMMISSIONER PONTE: I agree. Most of
8 our facilities are very old. They probably could
9 stand to be replaced, most of them. It's very
10 expensive, but it's one of the things we're looking
11 at. We have one facility on design now that we're
12 looking at a prototype, and what do we need in the
13 way of bed space, but what type of bed space do we
14 need. And we are heading in that direction, very
15 long-term and very expensive.

16 COUNCIL MEMBER DROMM: So just to go back
17 to the different programs that you're piloting the
18 CAPS the Clinical Alternative to Punitive
19 Segregation. From when we went out on a visit, I
20 believe you said you had 20 people in that program?

21 DR. MARY BASSETT: 65 now.

22 COUNCIL MEMBER DROMM: 65 now, and how
23 many would be eligible or are eligible for that
24 program that you cannot accommodate?
25

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2 DR. MARY BASSETT: This is punitive
3 segregation or solitary. These are patients that
4 would have previously been sent to solitary
5 confinement with serious mental illness. So we feel
6 that we have an adequate number of beds for that
7 population, which is presently the population that's
8 eligible for the CAPS facility. There are other
9 solitary confinement facilities. These are called
10 the restricted housing units, which are not -- have
11 not transitioned to a non-solitary confinement
12 approach to handling people who break jail rules.

13 COUNCIL MEMBER DROMM: So one other. How
14 many rules are there that they can break?

15 DR. MARY BASSETT: I don't know the
16 answer to that. I should ask my colleague but I
17 think there are a lot.

18 COUNCIL MEMBER DROMM: [interposing] We
19 were told there were over 100, over 100 rules they
20 could break--

21 DR. MARY BASSETT: Yes, there are a lot.

22 COUNCIL MEMBER DROMM: -- that could get
23 them--

24 DR. MARY BASSETT: Not all of the
25 involving violence. I should point out.

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2 COMMISSIONER PONTE: And not always will
3 get them to solitary confinement or to punitive
4 segregation.

5 COUNCIL MEMBER DROMM: What's the average
6 length of stay for a person that's held in punitive
7 segregation at Rikers?

8 DEPUTY COMMISSIONER BERLINER: The
9 average sentence length posted in our sentencing
10 reform for them last year is about 14 days. I have
11 to calculate the length of stay and send it to you.

12 COUNCIL MEMBER DROMM Okay, I'd like to
13 have that information. And what's the maximum length
14 of stay?

15 DEPUTY COMMISSIONER BERLINER: There is
16 none.

17 COUNCIL MEMBER DROMM: There's no
18 maximum. So they could be there constantly for years
19 and years and years if they keep coming back. What
20 about time owed? Somebody that I know who was
21 sentenced to 100 and something days completed the
22 majority of them. Went Upstate. Came out and was in
23 the Fortune Society. Unfortunately, got rearrested
24 again. Went back in, and immediately had to do 30
25 days time owed. Is that practice still in place?

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2 DEPUTY COMMISSIONER BERLINER: Time owed
3 from a prior incarceration is still kept on one's
4 record for one year post-release for most
5 infractions, and for two years post-release for
6 assaults on staff, assaults on other inmates causing
7 injury or assaults with a weapon.

8 COUNCIL MEMBER DROMM: They go right back
9 in.

10 DEPUTY COMMISSIONER BERLINER: They may
11 not go right back in, but they --

12 COUNCIL MEMBER DROMM: [interposing]
13 Because there's a waiting list?

14 DEPUTY COMMISSIONER BERLINER: Right, but
15 there would be assist --

16 COUNCIL MEMBER DROMM: [interposing] How
17 long is the waiting list for solitary?

18 DEPUTY COMMISSIONER BERLINER: Their
19 waiting list for the general population in the
20 punitive segregation area averages about 50 to 70
21 people, which is about a one to two days turnover.
22 The 600 that Chair Crowley references is the waiting
23 list for the RHUs, which is about -- averaging about
24 650 people.

25

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2 COUNCIL MEMBER DROMM: One of the
3 concerns that I've heard from people who have been in
4 solitary is that they're in there basically 24 hours
5 a day. I know that they are supposed to be allowed
6 to come out one hour a day. When we visited Rikers a
7 couple of the corrections officers told me that
8 generally if they want to take advantage of that one
9 hour a day, they are awakened at 5:00 a.m. and they
10 will be there from 5:00 a.m. to 6:00 a.m. She said
11 times it's even earlier from 4:00 to 5:00 a.m. Is
12 that generally what the time is for getting out of
13 their cell between 4:00 and 6:00 a.m. in the morning?

14 COMMISSIONER PONTE: It's scheduled.
15 Everybody wouldn't be scheduled at 4:00 or 5:00. It
16 would be unusual to have people scheduled at 4:00
17 a.m. but the day starts so the feeding and the rec.
18 You have to start because there's only so much space.
19 So it's a scheduled activity that some people would
20 start early, but it would be happening throughout the
21 day.

22 COUNCIL MEMBER DROMM: But generally how
23 many people have to get up between 4:00 and 6:00 a.m.
24 to take advantage of that?
25

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2 COMMISSIONER PONTE: What's feeding is it
3 5:00?

4 DEPUTY COMMISSIONER BERLINER: 5:00.

5 COMMISSIONER PONTE: So the breakfast
6 feeding starts at 5:00. I'm not sure of how many
7 spaces you have in there.

8 COUNCIL MEMBER DROMM: So most inmates
9 tend to skip that.

10 COMMISSIONER PONTE: They can.

11 COUNCIL MEMBER DROMM: If they do, then
12 they don't get out of their cell at all for the day
13 basically unless they have a visit or some type of
14 clinical appointment or something like that, which
15 doesn't happen all that often. So what's the average
16 size of the cell in segregation at Rikers?

17 DEPUTY COMMISSIONER BERLINER: I think
18 the dimensions of most-- The cells in the punitive
19 seg are the same as the cells that are not in
20 punitive seg depending on the age of the jail. But I
21 believe they're 8x10.

22 COUNCIL MEMBER DROMM: 8x10. That's very
23 small and they probably have like a very small
24 window. What's the size of the window in there?

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2 DEPUTY COMMISSIONER BERLINER: I'll have
3 to get back to you with that.

4 COUNCIL MEMBER DROMM: Okay. So there
5 used to be a lot of data on the DOE ... DOC. DOE, I
6 guess I'm coming from the Education here. DOC's
7 website, which is no longer available to the public
8 in terms of the numbers, et cetera. Were you aware
9 that that data is no longer available on the website
10 as of yesterday?

11 COMMISSIONER PONTE: I know we're working
12 on new data sets.

13 [Pause]

14 DEPUTY COMMISSIONER BERLINER: The
15 website was really launched. There are links
16 available, and we'll send you the links.

17 COUNCIL MEMBER DROMM: Okay, I hope the
18 public would be made more aware of that as well so
19 that we can look at those numbers. How many
20 individuals in terms of segregation are 16 and 17
21 years old, and how many are 18 and 20 years old?

22 DEPUTY COMMISSIONER BERLINER: We'll have
23 to get back to you with an age-by-age breakdown.

24 COUNCIL MEMBER DROMM: That's why my bill
25 is so important because we definitely need to know

1
2 these statistics. It was mentioned I think that
3 20,000 patients a year by the Health Commissioner
4 receive discharge planning. What percentage of those
5 leaving is that?

6 DR. MARY BASSETT: I think I was
7 referring to the discharge planning on the Brad H.
8 Stipulation.

9 DR. AMANDA PARSONS: I'm smiling because
10 I actually just requested this graph yesterday of
11 looking at it annually how many people come into the
12 system, and at what point do they exit? We know that
13 for those who stay long enough to get the discharge
14 plan to be eligible for the stipulation, it's about
15 93% of them who get it. But I cannot tell you what
16 proportion of them leave without a complete discharge
17 plan. But it's worth noting that the discharge
18 planning is a process. It's not just a final
19 document, and for people-- Most people leave, and we
20 have no idea when they're going to leave. And so,
21 what we end up giving them is a referral because they
22 could go out tomorrow.

23 They could go out in three weeks, and so
24 you cannot be making it and scheduling appointments.
25 So what we're doing is we're giving people referrals.

1
2 Unfortunately, I'd looked at some of the data
3 yesterday, and suggests that a lot of people don't
4 follow up with their referrals. And so, we're trying
5 to understand as part of the work we'll be doing with
6 the committee what is the best way of reconnecting
7 people to care when we don't have a way of planning
8 for the release the way that we would like to. That
9 way that you could for instance in the prison system.
10 And for those who have a known release date, and
11 that's a very small percentage of the population for
12 those folks they do get appointments. And we follow
13 up with them within three days of the appointment to
14 make sure that they have seen their provider.

15 COUNCIL MEMBER DROMM: So, this holds
16 true also for those who are in solitary, they can
17 just be in solitary one day, go to court and then be
18 released to the street without any planning?

19 DR. AMANDA PARSONS: So they could be
20 released without any planning. In general, we try to
21 get people referrals. We know that they are likely
22 to be released without us knowing. So we try to give
23 them a referral very early on in their say to say, If
24 you are to be released, your referral to this place.
25 If they're released from the jail, they're brought

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2 down to clinic, and actually given a piece of paper
3 and some more information. If they're released from
4 the court, they have to go back to the notion of the
5 referral that was cited to them. It could be several
6 days to prepare it.

7 COUNCIL MEMBER DROMM: Just very quickly.
8 One of the things that I did hear when I was on
9 Rikers from the Department of Corrections -- from a
10 correction officer when I went in association [sic]
11 is the lack of communication in terms of the
12 diagnosis for many of these people who have mental
13 illnesses. Is there a way that the Department of
14 Corrections, that corrections officers can be
15 informed without violating any privacy laws. So that
16 they can be supportive in the job that they have to
17 do?

18 DR. AMANDA PARSONS: We're currently in
19 discussions with DOC about what is the most useful
20 piece of information. And the staff, the facility
21 staff actually are saying they don't need a
22 diagnosis. The DOC facilities staff are saying.
23 Because again, what are you going to do if we tell
24 you the person is schizoaffective? What does that
25 mean for a corrections officer today? How would that

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2 change how they approach the patient? And so, the
3 correction officers are asking for things like, Is
4 there anything -- do I need to know anything about
5 this patient that's going to change my tour? Can you
6 tell me if a patient is not taking meds? I don't
7 need to know what the meds are.

8 I just need to know is there a chance --
9 do you know that the patient hasn't been taking their
10 meds and, therefore, it's going to put them at risk
11 of hearing more voices or they're potentially going
12 to be less up or more down? Tell me that. Tell me
13 the things that I'm going to need to be safe on my
14 tour, and to take better care of the inmates. And
15 that is not diagnosis and names of medications.
16 That's pertinent up-to-date information about
17 symptomatology and about behaviors that are changing
18 day-to-day with a patient. And that's the level of
19 information that we want to facilitate between the
20 staff.

21 COUNCIL MEMBER DROMM: Thank you very
22 much. I hope that that is communicated, and I'm now
23 going to just turn it over to the Chair.

24 CHAIRPERSON CROWLEY: Thank you, Council
25 Member Dromm. Before I recognize Council Member

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2 Vallone for questions, a question following up on
3 what was spoken about earlier in regards to Kendra's
4 Law. Kendra's Law has to do with people with mental
5 health diagnosis. So anyone who works with DOC that
6 doesn't have access to DOH information, the care of
7 those with mental health diagnosis wouldn't
8 necessarily be in with those types of inmates when
9 they are getting discharged. It is under your
10 purview at all?

11 DR. MARY BASSETT: The decision this is a
12 court-mandated referral. So the setting in which--

13 CHAIRPERSON CROWLEY: [interposing] But
14 is that a partnership?

15 DR. MARY BASSETT: --we oversee at the
16 Department, the program it's called the Assisted
17 Outpatient Treatment and follow up these patients who
18 have been given court-mandated services. So we don't
19 make the -- we don't mandate these services.

20 CHAIRPERSON CROWLEY: [interposing]
21 Right.

22 DR. MARY BASSETT: We do have--

23 CHAIRPERSON CROWLEY: [interposing] And
24 inmates--

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2 DR. MARY BASSETT: --a responsibility for
3 managing the program following up the people--

4 CHAIRPERSON CROWLEY: [interposing] But
5 the vast -- the vast majority of inmates.

6 DR. MARY BASSETT: --in mandated
7 services.

8 CHAIRPERSON CROWLEY: --of inmates that
9 are convicted of a serious felony are sentenced--

10 DR. MARY BASSETT: [interposing] I don't
11 think -- I think that, yes.

12 CHAIRPERSON CROWLEY: Let me ask this.
13 But some have been on Rikers Island for a number of
14 years of years waiting for this, okay. There's a
15 small percentage of inmates that finish their time
16 served at Rikers, and then are going into-- back into
17 the community. Now, there's a certain amount of them
18 that fall within your Mental Health purview whereby
19 they ask officials. It is DOC officials and DOH
20 officials that will have to let the courts know that
21 this particular inmate cooperated and participated in
22 a mental health program. So they're ready to go out
23 back into the community. Is that coordination that I
24 want to get a better handle on? Do we at DOC and DOH
25 have a good handle on the population that leaves and

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2 goes back into the community because there is a way,
3 and working properly together that we could ask the
4 court to get involved, and the court may not be
5 involved? And everybody who is here that-- I'm sorry
6 just two commissioners needs to identify themselves
7 for the record, too.

8 ASSISTANT COMMISSIONER VENTERS: So my
9 name is Home Venters, and I'm a physician and I
10 oversee healthcare in the justice system. I'm the
11 Assistant Commissioner of Correctional Health. So we
12 have two mechanisms to address to the point you just
13 made. First of all, there are patients that have
14 come through the AOT Program. And that's where
15 people start off with some particular court mandate
16 and our mental health staff routinely interact with
17 the AOT staff from the courts. The other is and this
18 is I think a little bit more precise scenario that
19 both you and the Public Advocate have raised is that
20 somebody on their way out the door exhibits symptoms
21 that are worrisome.

22 So we routinely do what we call a civil
23 discharge, which is our Mental Health staff because
24 they are Mental Health staff and we follow the letter
25 and the spirit of Kendra's Law and all the mental

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2 health laws in the State. We will actually work with
3 the Department of Corrections for somebody who is
4 leaving the jails to take them directly to Elmhurst
5 Hospital, or it could be another local CPEP or mental
6 health emergency room. They're taken there under
7 authority by us as the health providers to be
8 assessed by-- Even though they're leaving the
9 authority of DOC, to be assessed by those mental
10 health providers in that emergency room because we're
11 worried that there may be a threat of them harming
12 themselves or others. So we do that routinely.

13 CHAIRPERSON CROWLEY: How often?

14 ASSISTANT COMMISSIONER VENTERS: I would
15 have to check, but it certainly happens multiple
16 times every month.

17 CHAIRPERSON CROWLEY: And do you stay on
18 track to keep track of that particular person when
19 they go into the community after they leave your
20 care?

21 ASSISTANT COMMISSIONER VENTERS: No, we
22 follow up with the hospital to assess, to find out
23 what happened with them, but--

24

25

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2 CHAIRPERSON CROWLEY: [interposing] But
3 there's never been a time where you have worked with
4 the court to mandate treatment, continued treatment?

5 ASSISTANT COMMISSIONER VENTERS: Well,
6 actually the responsibility for the patient's care
7 when they've left the jail system. So the City
8 Charter says we're in charge of healthcare in the
9 jails. We make sure that there's a secure transfer
10 of that patient we're worried about to Elmhurst
11 Hospital or another hospital. Then at that point,
12 those physicians take over the care of that person.
13 Then those are the physicians that would seek a court
14 order or any other administrative process. But they
15 would be beyond the scope of the authority of the
16 health providers who don't care for the person.

17 CHAIRPERSON CROWLEY: [interposing] But
18 there is some report that you give to that physician
19 and the hospital--

20 ASSISTANT COMMISSIONER VENTERS:
21 [interposing] Absolutely.

22 CHAIRPERSON CROWLEY: --and saying that
23 over the past couple of years, because sometimes we
24 have inmates that stay with you. I know it's rare,
25 but there are cases, and they have not participated

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2 in these programs. And you mandate that they go to
3 Elmhurst and see a physician. But then it's sort of
4 like you wash your hands of them, and now they're in
5 the healthcare system. And it's the hospital that
6 has to make sure that they're getting their care?

7 ASSISTANT COMMISSIONER VENTERS:

8 [interposing] Well, I think this is--

9 CHAIRPERSON CROWLEY: [interposing] Their
10 coordination with the hospital provider and somebody
11 in probation that makes sure that this particular
12 person is taking and participating in mental
13 healthcare while they're in the community?

14 ASSISTANT COMMISSIONER VENTERS: That's
15 actually quite routine. When a hospital assesses
16 that someone is a threat to themselves or other.
17 This isn't just for patients coming from my care.
18 [soc] It could be patients who walk in off the
19 street. Somebody who was admitted through the CPEP
20 or into Bellevue or Elmhurst or any other hospital.
21 There will be Social Services that assess not only
22 does the patient need to stay in the hospital, but
23 when they leave the hospital are there other
24 services, Social Services that are going to be out
25 there. So, they haven't returned there. [sic]

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2 CHAIRPERSON CROWLEY: Is somebody on
3 probation working with the hospital, the healthcare
4 provider. When that person is in the community, and
5 they stop going to the healthcare provider, who is
6 alerted?

7 ASSISTANT COMMISSIONER VENTERS: We can
8 certainly ask our colleagues at HHC what social
9 services they enact with to answer the question.

10 CHAIRPERSON CROWLEY: I'm trying to get
11 at the heart of what happened with St. Hubert, and he
12 was not--

13 DR. MARY BASSETT: [interposing] So, St.
14 Hubert--

15 CHAIRPERSON CROWLEY: --ordered, court
16 ordered to continue care. So there was a loophole
17 that he escaped with. And I want to make sure that
18 anyone leaving Rikers under the City's responsibility
19 is tracked. Anybody who is not participating in a
20 program, who has a history of violent outbreaks of
21 violence we're making sure they're ready to go into
22 the community.

23 DR. MARY BASSETT: Yeah, I-- the only
24 part of that question that I can answer for you is if
25 they have a court order that mandates that they go

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2 into AOT, then there's a whole set of follow-up
3 questions.

4 CHAIRPERSON CROWLEY: [interposing] I
5 realize that, but the question is--

6 DR. MARY BASSETT: [interposing] So
7 you're asking about that gap or that --.

8 CHAIRPERSON CROWLEY: --who asked for the
9 court order?

10 DR. MARY BASSETT: Well, that's--

11 CHAIRPERSON CROWLEY: [interposing] Are
12 we relying on a mental health professional at the
13 hospital --

14 DR. MARY BASSETT: [interposing] That's
15 correct.

16 CHAIRPERSON CROWLEY: --who is not
17 affiliated with DOC directly or indirectly who many
18 not even know about Kendra's Law?

19 DR. MARY BASSETT: The request for AOT
20 starts with the court. The request to assess someone
21 for court-mandated services starts with us with a
22 referral to the hospital--

23 CHAIRPERSON CROWLEY: [interposing] It
24 starts with you?

25

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2 DR. MARY BASSETT: -- that makes -- We
3 refer the patient to the hospital to ask them to make
4 the assessment and referral to the courts. That's my
5 understanding.

6 CHAIRPERSON CROWLEY: Are you sure?

7 DR. MARY BASSETT: I think we ought to
8 get back and clarify this, too, because I will do
9 that.

10 CHAIRPERSON CROWLEY: Council Member
11 Vallone.

12 COUNCIL MEMBER VALLONE: Thank you, Madam
13 Chair. As much as I'm dying to ask questions for the
14 past two and a half hours, I know my fellow council
15 member Rory Lancman has to leave. So I'm going to
16 slide this over to Rory.

17 [Pause]

18 COUNCIL MEMBER LANCMAN: Thank you.
19 Paul, thanks very much. Queens are looking out for
20 each other. It's good to see you, Commissioners, and
21 I do appreciate the opportunity you afforded us with
22 the coordination of our Chair to take a tour of the
23 Rikers facility, Commissioner Ponte. A couple of
24 questions for each of you, and I will go through
25 these quickly. First, just when do you expect the

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2 task force on Behavioral Health and the Criminal
3 Justice System to make its recommendations? Because
4 you can give them to the City and to the Board of
5 Corrections as well as to the City Council for any
6 legislation that might be necessary.

7 DR. MARY BASSETT: The first meeting for
8 the task force on Behavioral Health and the Criminal
9 Justice System is next week, a week from today. And
10 there's a 100-day clock ticking.

11 COUNCIL MEMBER LANCMAN: A 100-day clock?

12 DR. MARY BASSETT: A 100-day.

13 COUNCIL MEMBER LANCMAN: Well, that's
14 good. That's positive.

15 DR. MARY BASSETT: That's good.

16 COUNCIL MEMBER LANCMAN: That is good.
17 Commissioner Ponte, let me just ask you about the
18 additional eight hours of mental health training.
19 What topics, if any will it-- Well have you
20 determined which topics you're going to cover? Why
21 eight hours? Why not 50 hours, why not four hours?
22 What is it that you're going to give in those eight
23 hours that you feel the correction officers don't
24 currently have?

25

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2 COMMISSIONER PONTE: This is something
3 that we work with the officers and the Department of
4 Mental Health to look at what training, what's
5 missing? I think it's probably at the end of the day
6 will be more than eight hours as we look at what's
7 really needed. But this is, again, as the training
8 is running we've got officers on the units these
9 units doing the best they can. This is something
10 we're offering in the meantime as we look at the
11 overall complexity of the training that needs to
12 happen. So aren't being entirely specific of what's
13 being offered.

14 DEPUTY COMMISSIONER BERLINER: It's a
15 mental health first aid course primarily. So we're
16 talking about basic symptom recognition, basic
17 behavioral change, and quick methods to adapt to
18 that.

19 COUNCIL MEMBER LANCMAN: And this
20 additional training was it developed in collaboration
21 with the workforce?

22 DEPUTY COMMISSIONER BERLINER: This is
23 training that was developed by the Department of
24 Health. And we've worked with uniformed staff in the
25 facilities to make sure that this is training that

1
2 they would find valuable yes. But not one that we
3 developed specifically.

4 COUNCIL MEMBER LANCMAN: Let's go back to
5 the budget. I know there were a lot of questions
6 about whether or not the budget was adequate to
7 reduce the tremendous amount of overtime, which I
8 think the Commissioner agrees can be a contributing
9 factor to the violence in the jails. Have you had an
10 opportunity to examine the budget? And can you tell
11 us whether or not the resources are there in your
12 view as the Manager of this Agency to significantly
13 reduce the overtime or to reduce the overtime in a
14 meaningful way.

15 COMMISSIONER PONTE: I'd be guessing at
16 this point to give you an answer for that question.
17 I think we're a big organization. There's a lot of
18 factors that contribute to violence. Lots of
19 overtime and staffing are a couple of pieces to that.
20 But we're working very hard to get those answers to
21 offer you our opinions of the staffing levels, the
22 overtime, and responses to all of those. And most of
23 the budget work was done well before I arrived. So
24 it's really a One billion dollar budget that we're
25 trying to look at now in a pretty short span of time

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2 to see where the pieces shake out, and is it enough
3 to get the job done in a short amount of time.

4 COUNCIL MEMBER LANCMAN: I understand
5 that, and I think everybody is willing to cut you
6 some slack, or is cognizant of the fact that you
7 didn't make this mess. And you've only been on the
8 job two months, but the reality is the budget is
9 going to get done in the next three weeks. And so,
10 it is incumbent upon you to examine the budget,
11 determine whether or not you have the resources that
12 you need based on the problems that you have been
13 able to identify, the overstaffing -- the overuse of
14 overtime being one of them. And to speak candidly to
15 the Mayor, if necessary, and say we need X more
16 dollars to deal with these problems that we know
17 exist. Because it will become incredibly difficult
18 to address that problem after June 30th, until we get
19 around to next year's budget cycle.

20 And that's more of an admonition than a
21 question. Let me ask just a quick question from the
22 Commissioner of Health and maybe it's appropriate for
23 both of you. I represent a lot of folks who work in
24 the correctional system, blue-collar men and women,
25 and I am very, very concerned about the extent to

1
2 which the jails have become sort of the mental health
3 provider of last resort or first resort in the city.

4 But my primary concern is the safety of the men and
5 women working those jobs. Uniformed officers and
6 your folks in health. I have heard the concern
7 repeatedly that individuals will use, or detainees
8 rather will use, the mental health excuse to avoid
9 the consequences of the infractions that they might
10 commit in the jail.

11 And let me ask you whether or not when
12 you have 25% of the detainees being evaluated at the
13 outset as having a mental health issue, but overall
14 38% of those inside the system have a mental health
15 issue. I understand you attribute that difference to
16 some degree to people who have a mental health
17 diagnosis stay longer. So I guess over time they
18 accumulate, but is there some percentage that you
19 feel have been gaining the system? And do you have
20 plans in place, or are you thinking of having plans
21 in place to make sure that we are diagnosing people
22 correctly? And that those who have legitimate mental
23 health issues are getting the treatment that they
24 need in the setting that they need. But that violent
25

1 inmates are not -- are misusing that diagnosis to
2 avoid responsibility, and then posing danger.

3
4 DR. MARY BASSETT: Thank you for that
5 question. Let me begin and then I'll ask Dr. Parsons
6 to comment who has been overseeing the system for
7 longer than I've been the Health Commissioner. The
8 first thing to tell you is that as Health workers, we
9 do not involve ourselves in the punitive component of
10 activities at Rikers. There are two sort of sets of
11 goals on Rikers, and we share our desire to have a
12 safe and healthy population. And the principal
13 objective of our health workers is to ensure the
14 health of the patients. So when a patient is seen,
15 we are not trying to assess whether they should be
16 punished or not. We are trying to assess them on the
17 basis of the complaints that they give to us. And
18 that is our responsibility as doctors, nurses,
19 psychologists, psychiatrists to assess the health and
20 wellbeing of the patient when they come to us. Quite
21 apart from the issue of what brought them there, what
22 infractions they may have incurred. We are their
23 healthcare workers. So, to say a little bit more
24 about this, I'll turn it to Dr. Parsons.

1
2 DR. AMANDA PARSONS: I think I would say
3 overall that people in the jails don't feign a mental
4 illness. I think the issue that you're referring to
5 is that for people who are about to go into CPSU, who
6 tell us, the mental health staff, that they want to
7 kill themselves, or make some attempt to do so. We
8 are often asked by the corrections officers to say,
9 Tell us the real from the fake. And if there were a
10 way to do that, we would, but we can't. There is no
11 way to tell whether or not somebody is serious when
12 they say they're going to kill themselves or not.
13 And if we try to guess and we guess wrong, people
14 kill themselves and indeed they have. And,
15 therefore, it's our responsibility as medical
16 providers to take what we hear and assume to the best
17 of our knowledge that it is true unless we know
18 otherwise.

19 And it is very hard to know otherwise
20 what is in somebody's head. Compounded by the fact
21 that when we actually look at the research, people in
22 punitive seg do try to kill themselves more than in
23 other places. And so, it's very hard to do what it
24 is that you are asking us to do, which is to tell the
25 real from the fake. And I think anybody who's got

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2 kids, anybody who's got a young girl who says, I'm
3 going to kill myself. And if you think you know
4 whether or not she's going to do it, you need to talk
5 to some parents of the people who didn't listen. And
6 we cannot be those people. It is our duty to protect
7 the health of the inmates, and we will do so even if
8 we can't tell whether they are telling the truth or a
9 lie.

10 COUNCIL MEMBER LANCMAN: Well, I respect
11 that and this committee and this Council from the
12 time that I've been here has been very committed to
13 the mental wellbeing of inmates in the correction
14 system, but there's a dual responsibility. And it's
15 not just to the inmates. It's to the staff, the
16 correction officers, and the health staff. And so I
17 hope, and I'm not a psychiatrist or a psychologist,
18 but I hope that your training incorporates the
19 context in which these diagnoses are made. And that
20 is people who have an incentive, or a very strong
21 incentive to mislead about their mental state.

22 DR. MARY BASSETT: I think Dr. Parsons'
23 remarks stand for the record.

24 COUNCIL MEMBER LANCMAN: All right,
25 thanks very much. Paul, thank you very much.

1
2 CHAIRPERSON CROWLEY: Also, it's
3 important to remember, and Council Member Lancman's
4 line of questioning when you have an inmate whether
5 or not they have a mental health diagnosis, but they
6 are continuing to commit infractions, and there is no
7 consequence to that, of course, staff's lives are at
8 risk and they're in danger, but so is the entire
9 population. So all those other inmates, it's not
10 right to those other inmates. And for me, if you
11 have a mental health diagnosis or you don't, if
12 you're disruptive and you're violent, you're not to
13 be with the rest of the population. It's not fair to
14 the other inmates, and it's not fair to the staff.
15 we've heard today that although there are inmates
16 that have committed infractions, they're not getting
17 any type of punishment. I'm not saying to put them
18 in punitive segregation. Maybe they need a program,
19 but the fact of the matter is they cannot be with
20 other -- the general population because they're going
21 to continue to do this. And that's why the violence,
22 which is spiraling out of control. Rikers Island
23 does not have the plan in place. You have 650 people
24 on a waiting list--

25 DR. MARY BASSETT: [interposing] Let me--

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2 CHAIRPERSON CROWLEY: --and those are the
3 same people. Two or three weeks ago when a
4 corrections officer got their head beaten in, it was
5 by somebody who had a mental health diagnosis, who
6 had committed infractions and was on the waiting
7 list. And if you look at the violence, you'll see
8 that they're much more likely, those that have
9 infracted in the past, to re-infract again. And
10 unfortunately, there is no discipline and order
11 because you do not have the facilities to house those
12 who need to be segregated properly.

13 DR. MARY BASSETT: I'm not sure whether
14 that is a question, but let me just refer the
15 Committee to a set of facts that are based on --

16 CHAIRPERSON CROWLEY: [interposing]
17 Everything that I said is facts.

18 DR. MARY BASSETT: --research that was
19 were done by -- that reflect the research done by the
20 Correctional Health Team on Rikers. They --

21 CHAIRPERSON CROWLEY: [interposing] This
22 Committee has never asked for somebody with a mental
23 health diagnosis to put in -- to be put in central
24 punitive segregation. We are asking that they be
25 removed from the general population so they're not

1 continuing to commit violent acts against other
2 inmates or a correction officer. They're in a
3 facility that has control, not with the general
4 population. You have 650 people who have committed
5 infractions who are more likely than other inmates to
6 commit more infractions, and right now you do not
7 have the facilities to help this population, and
8 who's at risk? Other inmates and the staff. Council
9 Member Vallone.
10

11 COUNCIL MEMBER VALLONE: Thank you, Madam
12 Chair. We are talking about a correctional facility,
13 are we not and are we not talking about the largest
14 correctional facility in the world? Are we talking
15 about a correctional facility that has the oldest
16 buildings that were never meant to house over 10 to
17 12,000 detainees. Sometimes I listen to what's going
18 on, and I think the finger is unfairly pointed at
19 Corrections and the officers and staff that's on the
20 island for the mess that we're talking about. So as
21 we put this task force together, and we talk about
22 changes, we have to talk about all of it together.
23 But the problem is we have to support those who are
24 on the frontline now there at the island and short
25 term.

1
2 And that was why Council Member Crowley
3 and all the chairs worked so hard at the budget
4 hearings, to have you have it not be so hard for the
5 Mayor to increase the budgets. To get our officers,
6 to get our health staff, to get our clinicians and
7 our psychologists and our grand contract. But if we
8 can't get any better than that, we should fight for
9 it. But we can get those resources now, and all of
10 the violence that we talk about. And the things that
11 are happening, we tend to say, Well, you know what,
12 the officers they didn't do the right thing. They
13 put somebody in segregation, or they didn't have the
14 tools. And I think I'd like to redirect what the
15 purpose of this is, and think you on the Board of
16 Corrections--

17 And by the way, Commissioner, I heard you
18 say that the Department of Health is bound to the
19 court's on treatment, for health treatment, and
20 mental services and I'm in agreement. I have to tell
21 you I voted for minimum standards for years ago, the
22 Department of Health, the Department of Corrections.
23 And there are many, many rules in our statutes
24 regarding discharge planning. Regarding the amount
25 of hours that have to go by. The amount of treatment

1
2 that is mandated. It has nothing to do with the
3 court system. So we can't sit back and say, Oh, the
4 courts told us, or we have to wait for a court to
5 tell us with a detainee or an inmate. So is that
6 actually what your testimony saying that the courts
7 are the ones?

8 DR. MARY BASSETT: What I was referring
9 to is what I understood that Chairman Crowley, Chair
10 Crowley, sorry, to -- Chairwoman, to say about
11 ensuring that people are in treatment, people being
12 mandated to be in treatment. And that is something
13 that can occur under what's known as Kendra's Law and
14 when people are referred for assisted outpatient
15 treatment that is pursuant to a court mandate. And
16 that we in the Department cannot mandate individuals
17 to get treatment. We can advise them, refer them,
18 try and ensure that there are no barriers to them
19 getting appropriate treatment. But a mandate that
20 they continue treatment is something that comes from
21 the courts.

22 COUNCIL MEMBER VALLONE: You also said
23 that there is no way for you to tell on a diagnosis
24 if someone is going to kill themselves or if someone
25 is not. Then, how can we take the next step in

1
2 anything? How can we tell our correction officers at
3 the Department of Corrections to make that
4 determination on how they're supposed to provide
5 safety in the jails if the Department of Health can't
6 give that type of clinical diagnosis?

7 DR. MARY BASSETT: I don't think that any
8 of us pretend that we can see the future. I think
9 what Dr. Parsons was saying is that there's no way
10 that we can tell for sure that somebody who has --
11 who says that they want to kill themselves will do it
12 or not. And this is something no physician, no
13 psychiatrist wants to err on. There are certainly
14 ways in which all of us trained to assess a claim, to
15 try and figure out how likely it is to happen.

16 COUNCIL MEMBER VALLONE: [interposing]
17 But that was the mental health assessment?

18 DR. MARY BASSETT: Yes.

19 COUNCIL MEMBER VALLONE: Okay, have you
20 see a rise on those -- on the mental health
21 assessments over the last couple of years in the
22 percentage of inmates or detainees that have been
23 assessed? Are those numbers increasing through that
24 assessment?

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2 DR. MARY BASSETT: I mean I can't answer
3 the time trend, and I want to clarify. Are you
4 trying to see whether we assess on entry whether
5 people have suicidal thinking?

6 COUNCIL MEMBER VALLONE: No, no, just on
7 the mental health, the scale.

8 DR. MARY BASSETT: [interposing] Whether
9 the proportion of people-- ?

10 COUNCIL MEMBER VALLONE: Uh-huh.

11 DR. MARY BASSETT: So right now, as you
12 know, or as you said or someone else said that we are
13 finding 25% of people have mental illness on initial
14 screen. Because they're more likely to stay in
15 longer, it rises to 38%.

16 COUNCIL MEMBER VALLONE: Have you seen a
17 the percentage increase over the years?

18 DR. MARY BASSETT: The serious mental
19 illness that's a 4.5% who have psychotic mental
20 illnesses is stable. Mental illness is slightly up.

21 COUNCIL MEMBER VALLONE: Has there been
22 any change in the staffing priorities over the
23 increase with the mental health diagnosis?

24 DR. MARY BASSETT: Hold on. Let me hand
25 you to Dr. Parsons.

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[Pause]

3

DR. AMANDA PARSONS: I'll hand you to Dr.

4

Venters. [sic]

5

ASSISTANT COMMISSIONER VENTERS: So it's

6

important to remember that during the time when we

7

probably had a 10 or 15% increase in the number of

8

people who come into the Mental Health Service.

9

During that time we've also had a concomitant

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decrease about 15% in the average daily population in

11

the jails. The jail's population is quite down.

12

However, we do think that in the Mental Health units,

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the units where we have the people with the highest

14

level of acuity, there are a lot of things we need to

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do to reach every one of those units. And we think

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probably one of them is staffing, not just the amount

17

of staffing, but the type of staffing. We have

18

social workers.

19

COUNCIL MEMBER VALLONE: Has anything

20

been done?

21

ASSISTANT COMMISSIONER VENTERS: We're in

22

the midst of probably a three-month redesign because

23

we need to look at not just staffing, not just

24

workflow, but the physical plan that these units are

25

in. How interact with our partners in Corrections

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2 and sharing the information. So there is no switch
3 to flip to make these units better overnight, but
4 we're committed with some of the bad outcomes that we
5 discussed to--

6 COUNCIL MEMBER VALLONE: [interposing]
7 Have there been any budgetary adjustments to hire
8 additional clinical psychologists and professionals
9 to deal with this rise?

10 ASSISTANT COMMISSIONER VENTERS: We
11 actually have preliminary -- we had some discussions
12 internally, but this will take several months because
13 I think one of the things we need to discuss with the
14 staff and the correctional staff is do we simply --
15 I'm not sure if we want to ask them for more social
16 workers for instance. I think we may need more
17 support by psychologists.

18 CHAIRPERSON CROWLEY: [interposing] I'm
19 sorry to interrupt, but the task force that the Mayor
20 has put together, have any of you served on the task
21 force that Bloomberg had that dealt with this
22 population?

23 ASSISTANT COMMISSIONER VENTERS: Yes.
24
25

1
2 CHAIRPERSON CROWLEY: Who did? How many
3 of you? Just one, two, that's it? Commissioner, you
4 didn't.

5 DR. MARY BASSETT: [off mic]

6 CHAIRPERSON CROWLEY: Okay, and do you
7 know how this task force is going to be different
8 than that task force?

9 ASSISTANT COMMISSIONER VENTERS: Yes. So
10 that task force had a very specific proposition to
11 get people out of jail who met a certain profile.
12 That's a relatively small group of people. This task
13 force is a comprehensive group of people at every
14 point along the continuum of interaction with the
15 police, the courts, arraignment, in jail, leaving
16 jail. So it's a comprehensive look, and part of it
17 is reassessing how we do things in jail, but as the
18 Commissioner said, it's much broader than that.

19 COUNCIL MEMBER VALLONE: Doctor, what
20 information is being provided to the officers at this
21 point? Because you had said-- You were speaking for
22 them and said they don't want to know the type of
23 treatment or what meds they're on. They just want to
24 know how to be safe for that tour. So what
25 information is being provided now to make sure that

1
2 the safety of the staff on the island is first? As
3 everyone is saying is first and foremost?

4 ASSISTANT COMMISSIONER VENTERS: Chair,
5 so I was just asked to re-introduce myself. Homer
6 Venters, Dr. Homer Venters with Correctional Health.
7 So in the Mental Observation units where there's the
8 most acute safety concern, and also there's a lot of
9 information that's important. We've started tour by
10 tour, and I think you might have -- Some of you
11 visited GRVC, the Mental Observation units. On those
12 units every time the mental health staff changes over
13 on the tour with the DOC security staff, they're
14 talking about who is doing well, and who is not doing
15 well. When we look at the safety problems people
16 have had; either been assaulted or harmed themselves,
17 what we inexorably find, including all the cases
18 we've talked about today, there were bits of
19 information that health staff had and that security
20 staff had.

21 What we have now in place in GRVC and
22 those mental observation units is that they share
23 that stuff every single tour. And what's important
24 to assess risk of violence or risk of self-harm is
25 how is the person behaving right now? And so that's

1
2 the information we share. Things like, Did they eat
3 today? Did they come out for recreation? Did they
4 yell. Did they put their clothes on? Did they do
5 anything wild or weird, or did they refuse to engage
6 with us in some way on the health side. All that
7 information is being shared, and the Correctional
8 staff is sharing with us their experiences: The
9 person was resistant. They wouldn't talk to me.
10 They swore at us when we came by. Things like that
11 that are really important for us to integrate. That
12 is the most powerful way to predict a bad outcome and
13 prevent it in the jails.

14 COUNCIL MEMBER VALLONE: Well, I'm
15 optimistic. We started the hearing by saying that
16 the communication between the departments, and I
17 think that traditionally has always been sometimes,
18 as I sat on the Board of Corrections for years there
19 would be no Department of Health, no Department of
20 Corrections, no Board of Corrections, no -- And I
21 think these are the steps that are wavering. I know
22 the Commissioners are optimistic they'll change. I
23 just -- I go back to the front line that's there, and
24 I think the way we can look at everything in the
25 short term and long term. I think a lot of the stuff

1
2 we're talking about is probably going to be long
3 term.

4 So what do we do short term to address
5 what the public sees as a rise to the street that our
6 officers and our staff on the island have to deal
7 with? And then we as elected official put more
8 pressure and give us results right now, and it's not
9 fair. And I think there needs to be accountability
10 that we can look at what we can actually accomplish.
11 So I was at the last Board of Corrections meeting,
12 and I'll just state this. And there was a study
13 performed, and I think it's good for the knowledge
14 here because no one else was here -- at that meeting.
15 To compare Rikers Island to any other large city in
16 the country to see if there is something being done
17 in the country that we're not doing here in New York
18 or at least in Rikers.

19 And the report came back that there is
20 none. And in San Francisco, the closest one that is
21 providing additional services, the main difference is
22 that they had an entire facility, brand new building
23 with like a mental health observation. With wings of
24 treatment that could be provided for all -- It's like
25 we're talking about CAPS, like expanding CAPS. We

1
2 don't have that at Rikers Island. So unless we talk
3 about a real infrastructure commitment in the budget,
4 and making changes so that we can -- the changes that
5 we come up here, we're asking an old outdated
6 structure to do something it can't do.

7 So we're going to need your support to
8 fight for those budgetary increases for
9 infrastructure, for the proper staff that's going to
10 be there. Otherwise, all of these great ideas,
11 you're going to -- If anyone spends five minutes on
12 Rikers Island knows those facilities can't make these
13 changes. And I think when you go into the wings, and
14 CAPS always says, Well, this jail is not really able
15 to do that. It's old, it's weak, and it's got no way
16 to provide these services. So I'd like to continue
17 that. Is there anything that we foresee that can be
18 immediately done to address that this year or next
19 year? Or do you see it just waiting on an
20 infrastructural change overall?

21 COMMISSIONER PONTE: I think there are
22 things we're doing now. We're doing some significant
23 renovations for all of our buildings, adding cameras
24 a lot of systems in place. But like the overall
25 structure is bad. So it's hard to overcome that, but

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2 there is an ongoing substantial amount of money being
3 put into these old facilities to improve on them,
4 both ventilation, fire safety, and a couple of other
5 things. But it doesn't change the design. Still
6 small cell blocks, not a lot of programming space.
7 So those are the bigger hurdles to overcome.

8 COUNCIL MEMBER VALLONE: And there is one
9 new facility on that is being planned now?

10 COMMISSIONER PONTE: There is one 1,500-
11 bed facility being planned now, yes.

12 CHAIRPERSON CROWLEY: [interposing] Thank
13 you Council Member Vallone. Council Member Andy King
14 -- Andy Cohen. [laughter]

15 CO-CHAIRPERSON COHEN: Andy from the
16 Bronx. I don't know if it was -- I meant to ask
17 before in terms of the role of medication in managing
18 the population on the Island. I don't know if the
19 4.5% that are severely anti-psychotics or are there
20 anti-depressants available or other mood altering or
21 mood stabilizing medications? Do you think they play
22 a role. Is that role -- should that role be
23 expanded? Is it adequate? I'm just curious about
24 that.

25

1
2 DR. MARY BASSETT: So your questions is
3 about the sort of standard of care, and I would say
4 that in general we seek to meet the community
5 standard of care. So that patients who are seen on
6 Rikers are getting the same type of treatment. That
7 obviously would include talk therapy. It would
8 include taking medications. Both of these are part
9 of the treatment of people with mental illness. So
10 people -- there is a big pharmacy operation. There's
11 a full range of psychotropic medications available
12 for the treatment of the inmate population. I don't
13 know whether you're asking whether they're over-
14 treated. I haven't heard that concern raised before.

15 CO-CHAIRPERSON COHEN: I'm not suggesting
16 that they're over-treated or not treated, that
17 they're adequately treated. I don't know if there's
18 a correlation -- if you have any idea of what
19 percentage of the population is currently -- is
20 taking --

21 DR. MARY BASSETT: [interposing] Well, I
22 can give-- Well, of the portion of people who have
23 mental illness diagnosis, what proportion are getting
24 -- That would be possible for us to get from our
25 electronic record, but I'll have to offer to get it

1
2 to you at a later time. Unless either one of the
3 team here -- Half. About half.

4 CO-CHAIRPERSON COHEN: Of the 37%,
5 approximately half of those people are receiving -- I
6 mean.

7 ASSISTANT COMMISSIONER VENTERS: About
8 half of the people in the Mental Health Service are
9 receiving some sort of mental health or psychotropic
10 medication. Most of the people who have a serious
11 mental illness diagnosis obviously are on medication.

12 CO-CHAIRPERSON COHEN: On initial intake
13 if I didn't want to disclose that I was taking anti-
14 psychotic medication, is there any vehicle by which
15 you would find that out, or just that it would make
16 itself evident at some point?

17 DR. AMANDA PARSONS: So we have a process
18 in place now where we-- As I mentioned before, we
19 can look up the community med history. So as long as
20 they have had medications in the history that are
21 captured, they didn't pay for them by cash, we can
22 come to know of that. We're also connected with our
23 -- we've also connected our electronic medical record
24 to the State Health Information Exchange, and over
25 time as information because populated in that, we'll

1
2 be able to pull down not just medications, but also
3 other issues. And then the other third way that we
4 can find out from using technology, is we are
5 connected to Psychease, which is the Medicaid claims
6 history for all of the patients. And so, assuming
7 that we can match, and we can't match everybody, and
8 not everybody is on Medicaid. But assuming that we
9 can match for a Medicaid eligible patient, we're able
10 to pull down actually a very easy to use
11 comparatively speaking -- easy to use format. We can
12 look at where they were last hospitalized; where
13 their last treatment was; what their medications are;
14 and actually come to know a fair amount about the
15 patient. So we don't have to be 100% reliant on the
16 patient's self-history.

17 CO-CHAIRPERSON COHEN: Just one thing,
18 you don't think in other words that there is a
19 correlation between the violence and a lack of the
20 proper application of the mental health medication?

21 DR. MARY BASSETT: We don't have data to
22 suggest that, no.

23 CO-CHAIRPERSON COHEN: Thank you.

24 CHAIRPERSON CROWLEY: Thank you. Council
25 Member Cornegy.

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2 COUNCIL MEMBER CORNEGY: Good afternoon
3 and thank you, Chairs. So sitting here and listening
4 to this testimony, I'm having flashbacks because I
5 was on 95, 74, and in Rows E [sic] as an employee.
6 And I started as the Supervisor for Social Services,
7 and then went on to be the Assistant Director of
8 Substance Abuse. So I was very familiar with working
9 in the MO Unit. And one of the things I'm having
10 flashbacks because I'm thinking of those hot nights
11 on Rikers Island and lack of support. And the
12 decreased amount of psychiatrists that were there.
13 So just the staffing pattern was horrible in trying
14 to support those individuals with substance abuse
15 issues, and with mental health issues. And then on
16 the discharge, you talked about recidivism.

17 The recidivism rate for mental health is
18 way higher than the general recidivism rate because
19 at discharge, the discharge planners are forced to
20 place these individuals in facilities that are
21 substandard, halfway houses and those kinds of
22 things. So the cycle just continues. So everything
23 about the way that the individuals who have mental
24 health issues are handled in the system, attributes
25 to increased violence. The best way that the

1
2 violence was decreased while I was there was by
3 providing adequate services. So most officers and
4 employees will tell you that if you give people what
5 they need while they're there, it decreases the
6 amount of violence on these units and in the housing
7 area.

8 So I think that there has to be an
9 increase in budgeting for staffing especially as it
10 relates to those people that dispense medication,
11 psychiatrists, psychologists and all those kinds of
12 things. And until that happens I think we're just
13 going to be spinning our wheels. The support has to
14 be in place, and I think one of the things with the
15 officers weren't equipped to deal with the wide range
16 of increases of violence, and increases of diagnoses
17 that they were seeing while I was there. So it was
18 really an awful situation. From the time that you
19 come over to Rikers Island on the bus, and you could
20 be on the bus with everybody from a visitor to
21 inmates to -- Just the whole system is set up
22 seemingly not to support officers as well as inmates.

23 So I think there has to be an overall
24 change to way the system is dealt with. And until
25 you do that like wholesale, we're going to deal with

1
2 these problems increasingly. So, I just wanted to
3 know is there a plan to deal with everything from
4 transportation, and the way that officers are
5 supported? Because I think it was a tragic -- I'm
6 just amazed that I didn't see more incidents of
7 violence even being transported from one building to
8 another based on everybody riding on the same bus. I
9 mean it was -- it seemed like such a recipe for
10 disaster. I'm truly amazed that the years that I was
11 there, there was never an incident in transfer. So
12 everything from that suggests that there's really no
13 support for officers from the core staffing patterns
14 to the transportation system. So all of those things
15 kind of contribute to violence against staff and
16 officer. So I just want to know if there is anything
17 being done to address that.

18 DR. MARY BASSETT: There's observations
19 and the question. I don't know whether you were in
20 the room, Council Member Cornegy, when Dr. Parsons
21 was talking about some of the findings about violence
22 against staff occurring on the Mental Observation
23 Units. Most of the incidents that we have observed
24 are what we call splashings, and they've done some
25 work talking with inmates about what drives them to

1
2 initiate splashings. And she recounted how the
3 inmates often in their view resort to these actions
4 because they have not received the benefits to which
5 they feel they're entitled. Or they want to have
6 more interactions with people, get out of their cell,
7 and numbers of reasons. So my answer to your many
8 observations is that it's my hope, and I'm sure
9 Commissioner Ponte shares this thought that the task
10 force that's recently been established on Behavioral
11 Health and the Criminal Justice System.

12 It's led by Deputy Mayor Barrio-Paoli,
13 and the Criminal Justice Coordinator Liz Glazer, will
14 address the whole continuum. We've heard from Dr.
15 Venters that this -- this mandate is broader than the
16 one that was previously convened under the previous
17 administration. It's intended to begin and follow
18 along the whole course from arrest to arraignment,
19 incarceration, discharge planning, to return to
20 community and re-entry. Two members of my staff are
21 involved in co-chairing subcommittees. There will be
22 somebody from OMB to speak to your budget question,
23 who is associated with each one of these work groups.
24 And it's our -- we've been tasked to tackle these
25 tough issues, and map our way forward in 100 days.

1
2 COUNCIL MEMBER CORNEGY: Well, I thank
3 you for that response, but I just want to make sure
4 that we include -- When we talk about mental health
5 issues, that we include the staff and officers that
6 work there because they have probably the highest
7 rate in public service of heart attacks and mental
8 illness and suicides are on the Island. So, you
9 know, there was a common referral that when you
10 worked there, whether you're doing one, two, or
11 sometimes three chores, you're incarcerated as well.
12 And there aren't substance abuse report services for
13 officers. And if you check the rates, and I'm sure
14 that the doctor can statistically support the fact
15 that the rate of heart attacks between 40-year-old
16 officers and staff is off the chart as well as
17 suicide, and as well as every other -- And mental
18 health issues. So as we're addressing the inmates, I
19 just want to make sure that we address those issues
20 as it relates to the staff as well.

21 CHAIRPERSON CROWLEY: Thank you Council
22 Member Cornegy. Council -- not council member, but
23 our Public Advocate James.

24 PUBLIC ADVOCATE JAMES: Just a couple
25 comments because I know the hour is long. The hour

1
2 is late, and a number of other individuals want to
3 testify. I really want to look at this Corizon
4 contract, and who is monitoring Corizon's compliance
5 with the contract, and where can one find the annual
6 evaluation? Is it online?

7 DR. MARY BASSETT: This is the
8 responsibility of the Health Department. And Dr.
9 Venters also could comment on it. [sic]

10 ASSISTANT COMMISSIONER VENTERS: Sure.
11 VENDEX, which is the citywide system we use to
12 evaluate contractors is online. And I believe the
13 most evaluation either was done in the last couple
14 months. Either it's online or will be online very
15 shortly.

16 PUBLIC ADVOCATE JAMES: And is the
17 Department of Health satisfied with the performance
18 Corizon?

19 ASSISTANT COMMISSIONER VENTERS: So,
20 Corizon --

21 PUBLIC ADVOCATE JAMES: Corizon.

22 ASSISTANT COMMISSIONER VENTERS: --we
23 have 30, we have 40 performance indicators that we
24 use to assess the adequacy of care. These are taken
25 straight from HHC and other health systems. And

1
2 there are consistently areas, every quarter when we
3 evaluate them it is probably similar to other big
4 hospitals or other health systems. There are some
5 areas where there is definitely improvement needed,
6 and there are areas where the care is adequate. And
7 some areas where the care is very, very high. So
8 recently, as we've all discussed here, most -- much
9 of our scrutiny about the adequacy of care. But also
10 the health system that we designed, and our own
11 policies have focused on the mental observation
12 areas. And so, we believe that we've had errors in
13 those settings. We believe also we had to change our
14 policies and we need more resources. But to sum up
15 your question, the area of the greatest scrutiny for
16 us has been the Mental Observation areas.

17 PUBLIC ADVOCATE JAMES: So in this
18 budget, as we are reviewing this budget, have you
19 sought an increase in your budget to address the
20 mental health deficiencies?

21 ASSISTANT COMMISSIONER VENTERS: We
22 actually are in it. We're just beginning the process
23 of the re-tooling of the Mental Observation. So I
24 think we're one step behind whatever budget is ours
25 that has been proposed. We're actually just

1
2 beginning the re-evaluation of this, but as
3 forewarned, I think that's part of it.

4 PUBLIC ADVOCATE JAMES: So of the inmates
5 with mental health histories, are most of them
6 convicted of non-violent crimes? Anyone know. Yes.
7 Anyone have any idea?

8 DR. MARY BASSETT: I don't know the
9 answer to that question, but it's an interesting
10 question.

11 PUBLIC ADVOCATE JAMES: And we talked a
12 lot about mental illness today. To what extent does
13 violence have -- how much of the violence on Rikers
14 Island is attributed to gang violence, and what are
15 we doing with respect to gang violence. And are
16 those deficiencies on staff related to that issue.

17 COMMISSIONER PONTE: It's a major issue
18 for us. I mean gang violence now we've got gangs
19 that we never heard of years ago. So the ability to
20 separate and keep these gangs at a level where
21 they're not preying on each other or injuring staff
22 is a very difficult and complicated task, and one
23 that I think we need to greatly improve on. But it's
24 amazing how gangs in general the different -- just on
25 the recent arrests of gangs, they're neighborhood

1
2 gangs. So it's getting much more complex than ever,
3 and we definitely need to apply more resources to it,
4 and much better skill of our staff and training.

5 PUBLIC ADVOCATE JAMES: And more
6 resources meaning more correctional officers?

7 COMMISSIONER PONTE: That's correct.

8 PUBLIC ADVOCATE JAMES: So you're
9 advocating for additional, for an increase in
10 correctional officers?

11 COMMISSIONER PONTE: To the -- we call it
12 a gang unit, an INTEL Unit--

13 PUBLIC ADVOCATE JAMES: [interposing]
14 Yes.

15 COMMISSIONER PONTE: --that really
16 manages that population. Yes, I think we need more
17 resources in that unit.

18 PUBLIC ADVOCATE JAMES: And you support
19 the call of the City Council as well as the Public
20 Advocate for additional correctional officers?

21 COMMISSIONER PONTE: Specific to that
22 unit I think without any question that unit needs
23 more manpower. Yes.

24 PUBLIC ADVOCATE JAMES: Thank you.
25

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2 CHAIRPERSON CROWLEY: I have a few
3 suggestions to share with you comparing our most
4 recent data. We'll call it Fiscal Year 2013 because
5 Fiscal Year 2014 is not complete, although early
6 indications shows that there's an even greater
7 increase in violence since last year. I looked all
8 the way back to the year 2005, and in the year 2005,
9 there were 30 stabbings and slashings. For Fiscal
10 Year 2013, there's been 73 stabbings and slashings.
11 So that's more than doubled. It's like almost 150%
12 increase. And this is also keeping in mind that the
13 daily population back then was 15,000. Today the
14 daily population in 2013 is closer to 11,000 or 11-
15 1/2 thousand. And we look at another indicator or a
16 use of force where injury occurs, there were 72
17 incidents.

18 And if we look at last fiscal year, there
19 was 147 so that is more than double as well. What is
20 another interesting performance indicator that I
21 believe is important, and it's something that I
22 started out with my questions earlier today had to do
23 with overtime. And overtime let's not just say we
24 understand the budget is always moving and getting
25 larger because of the cost of living increase and

1 expenses they continue to go up. But if you look at
2 2005 when the budget for the Department of
3 Corrections \$819,962,000. It wasn't quite a billion
4 yet. It's not near where the budget was in 2013 when
5 you had \$1 billion \$90 million. Back then in 2005,
6 of the overall percentage, you were -- less than 7%
7 of your overall budget was spent on overtime. It was
8 6.8%.

9
10 You're in line this year to spend double
11 that as it relates to your overall budget. You're in
12 line with your \$140 million that you're spending on
13 overtime, that's closer -- it's greater than 13% of
14 your \$1 billion \$90 million allocated in your
15 financial plan. So it's just a number that I think as
16 you -- as we as a council negotiate with the Mayor
17 over the next two and half weeks for Fiscal Year '15.
18 And it would be wise, I believe, to reduce that
19 number of overtime. And it may be that your staffing
20 needs an increase, but with officers working -- And I
21 saw some high ranking officers, captains, wardens
22 actually working over 80 hours a month. It's just
23 not acceptable. In order to keep violence under
24 control we really need to reduce the number of
25 overtime.

1
2 I think that it's something basic that we
3 could work together to do. I want to thank the
4 Administration for being here today. I look forward
5 to hearing the results of the Task Force Study. I
6 want to thank my colleagues, and encourage them to
7 continue to stay on with the administration. If
8 everyone could identify themselves for the record so
9 that we could have it in our written testimony
10 everybody's name accurately. And I would implore the
11 Administration to stick around and listen to -- We
12 have advocates from the public that are going to
13 testify. I believe you'll hear a contradictory
14 story, and as we have heard in other hearings that
15 we've had where people will give you examples of
16 inmates not getting the care, especially the
17 healthcare that they deserve. So once you're ready
18 just identify, and anybody who spoke today,
19 themselves for the record.

20 DEPUTY COMMISSIONER BERLINER: Erik
21 Berliner, Deputy Commissioner, Department of
22 Corrections

23 COMMISSIONER PONTE: Joseph Ponte,
24 Commissioner, Department of Corrections.

COMMITTEE ON MENTAL HEALTH, DEVELOPMENTAL DISABILITY,
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2 DR. MARY BASSETT: Mary Bassett,
3 Commissioner, Health Department.

4 DR. AMANDA PARSONS: Amanda Parsons,
5 Deputy Commissioner, New York City Health Department.

6 ASSISTANT COMMISSIONER VENTERS: Homer
7 Venters, Assistant Commissioner, Correctional
8 Services, DOHMH.

9 CHAIRPERSON CROWLEY: Thank you again to
10 our Commissioners and to the staff from the
11 Department of Corrections, the Department of Health.
12 I would like to now call up Norman Seabrook who is
13 the President of the Correction Officers Benevolent
14 Association.

15 [Pause]

16 CHAIRPERSON CROWLEY: Okay, Mr. Seabrook,
17 once you're -- once you're ready if you could
18 identify yourself, and your colleagues.

19 NORMAN SEABROOK: Good afternoon. My
20 name is Norman Seabrook. I'm the President of the
21 New York City Correction Officers Benevolent, the
22 association to my left is Elias Husamudeen, 1st Vice
23 President. To my right is Thomas Farrell, the
24 Legislative Chairman. Madam Chair, first, you know,
25 it's kind of strange how we went through, I don't

1
2 know, two and a half hours and testimony. Then
3 everybody leaves, and it's almost like I might as
4 well just give you my testimony and walk, too. But
5 anyway --

6 CHAIRPERSON CROWLEY: Please don't.

7 NORMAN SEABROOK: But anyway, the events
8 of the past couple of months has thrust correction
9 officers into the public consciousness. The tragic
10 death of Jerome Murdough; a series of assaults on
11 correction officers; the arrest of correction
12 officers; questions about punitive segregation and
13 mental illness are in the news, as they have been in
14 the past. With the new Mayor comes the New York City
15 -- with the new Mayor comes a new New York City
16 Department of Corrections, Commissioners, et cetera,
17 et cetera. And commissioners are intent on making
18 sweeping changes into the way that we are allowed to
19 our jobs. These proposed changes range from the
20 process that a inmate is due before he or she has
21 infracted to the protection to be afforded, and
22 medical treatment of the alleged 40% that are
23 mentally ill.

24 How punitive segregation can be used, how
25 violent 18-year-olds are treated, and even whether

1
2 24-year-olds are to be treated as if they were in the
3 mid-teens. New York City Correction Officers are
4 sworn peace officers in the State of New York. They
5 have a very tough job and they do a very tough job as
6 well under these circumstances, 24 hours a day seven
7 days a week. What's become very alarming is that
8 correction officers are now faced with dealing with
9 some of the most psychologically challenged
10 individuals in society, the mentally ill detainees.
11 They're also charged with dealing with a growing
12 number of homeless individuals. Has Rikers Island
13 become the dumping ground? Rikers Island is part of
14 a penal system that was established to detain
15 individuals who have been accused of committing
16 crimes.

17 It appears now that we are in the
18 business of housing inmates that need mental health
19 assistance -- Excuse me. We are in the business of
20 housing the homeless. We are now charged with three
21 different types of individuals that are in our care,
22 custody, and control. Things are going wrong. The
23 City Council, the City of New York, and government in
24 the city are responsible for providing services to
25 the citizens of the city that need services. Rikers

1
2 Island is not a mental institution where correction
3 officers can be made responsible for medicating those
4 in our charge. To ensure that they are receiving the
5 proper treatment that they so likely deserve, and
6 that the City of New York has a fiduciary
7 responsibility to provide.

8 Rikers Island is for individuals who have
9 allegedly committed crimes. Mentally challenged
10 individuals do not commit a crime. The only crime
11 that the people want to accuse them of committing is
12 not receiving their health or medication. We don't
13 have solitary confinement on Rikers Island, a New
14 York City jail. We are just a system and not a
15 prison system. We have punitive segregation, and
16 there's a difference. Terms like the box, the hold,
17 or solitary confinement doesn't apply to Rikers
18 Island New York City jails. Punitive segregation has
19 been an effective tool in controlling criminal
20 violent behavior and enforcing rules and regulations
21 within the jail system. It is a non-violent way of
22 effecting a punitive action on offenders after a due
23 process hearing is conducted.

24 Punitive segregation is like a jail
25 within a jail. Punitive segregation is the most non-

1 violent, non-adversarial tool in the Department of
2 Corrections for enforcing rules and regulations.
3 Limited the use of punitive segregation is already
4 having a negative effect on Rikers Island. The
5 increase in violence by inmates who when they break
6 the rules are not punished, they continue to commit
7 infractions. The managers of the New York City
8 Department of Corrections have decided to house gangs
9 separate from each other. Bloods in one house,
10 Crypts in another house; Latin Kings in another house
11 rather than do what is done in state prisons. That
12 is, inmates should be housed according to their
13 classification, and not gang affiliations. There's
14 an 800 back-up of inmates waiting to go to punitive
15 segregation because of crimes and infractions
16 committed by inmates since being incarcerated.

18 In 2013, there were a total of 3,285 use
19 of force incidents. Of these 3,285 use of force
20 incidents, 73 were inmate-on-inmate slashings and
21 stabbings; 195 were serious injuries from inmate-on-
22 inmate incidents; 147 Class A use of force incidents
23 where inmates and/or correction officers were
24 injured; and 196 incidents with correction officers
25 receiving serious injuries including broken bones,

1 sutures, fractures, et cetera. There is an increase
2 in violence, but a decrease in the amount of arrests.
3 How is that possible. Correction officers are not
4 opposed to change. We are not opposed to pursuing a
5 multi-faceted approach of handling inmates. We're
6 opposed to being subjected to violence by inmates who
7 become unpunishable or untouchable behind the
8 mentally ill label.
9

10 We are opposed to not being able to
11 punish inmates who commit crimes and violate the
12 rules while in jail. Rikers Island continues to deal
13 with inmates who commit crimes against the public.
14 Rikers Island also has to deal with the huge gang
15 population and the continue to stab, slash, and
16 attempt to murder each other within the confines of
17 the jail. While doing all of this, correction
18 officers are very short staffed. We don't have the
19 staffing levels we need to combat the problems. We
20 don't have the equipment we need to combat the
21 problems. Yet, everyone continues to say, What are
22 we going to do about the problem? Well, the answer
23 is simple. The money that's allocated to provide
24 services to the homes, let that money go to the
25 homeless.

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And provide housing for the homeless.
Let that money go. The money that's allocated to
treat mentally ill individuals let that money go to
those individuals so that they can be treated in
institutions that have the equipment to treat them
like Bellevue Hospital, Creedmoor. Like Upstate
facilities where they have institutions that have
already been set up to deal with the problems we face
everyday in the City of New York. Direct the
Department of Health to concern themselves with their
business, and stop dumping their responsibilities on
the New York City correction officers. They continue
to neglect individuals that need services in this
city and just rubber stamp the paperwork until
something goes terribly wrong. They then begin to
back peddle, blaming others for their shortcomings.

According to statistics, the Department
of Corrections mentally ill inmates make up 37.5% of
the population n New York City jails, but are
responsible for 61.30% of the use of force and
assaults. Why is this? According to the experts,
this is a direct response to being placed in punitive
segregation. Nothing could be further from the
truth. Part of the reason for this spike is that a

1
2 small group of inmates have learned how play the
3 system, and the correction officers are no longer in
4 charge of the jails. Inmates know that they just
5 have to request to see a mental health worker to be
6 classified as an inmate with mental health issues,
7 and they can't be housed in punitive segregation. It
8 is a fact that when we have inmates in our system
9 that are mentally ill, the correction officers are
10 conscious of this.

11 But we're also conscious of the fact that
12 mentally ill inmates are not members and leaders of
13 gangs. Correction officers believe that programs
14 such as Clinical Alternatives to Punitive
15 Segregation, CAPS, and Restricted Housing United,
16 RHU, are progressive, and if provided to the inmates
17 who are truly mentally ill without a doubt it can be
18 successful. But inside the CAPS and RHU programs are
19 inmates who are gang members, and inmates who are
20 guilty of crimes of assault against other inmates,
21 civilians, and correction officers. The reality is
22 inmates who have mental problems should not be housed
23 on Rikers Island. They should be housed in a mental
24 institution and/or Bellevue Hospital or a prison
25

1
2 ward. They should be in custody of mental health
3 professionals, and not correction officers.

4 A newly appointed correction officer
5 receives a total of 21.5 hours of mental health
6 training while assigned to the Correction Academy.
7 Thereafter, correction officers receive a one-day
8 refresher course. COBA, which is the Correction
9 Officers Benevolent Association isn't opposed to our
10 members receiving additional training and mental
11 health to better handle inmates who are truly
12 mentally ill. New Yorkers are a unique group of
13 people who are made up of individuals from all walks
14 of light -- all walks -- all walks of life. I'm
15 sorry -- who suffer mental illnesses. It's not just
16 the minority community. All walks of life suffer
17 homelessness. It's not just the minority community.
18 Yet, when we look at Rikers Island, you would look at
19 it as a dumping ground for individuals that come out
20 of these same communities where gentrification is
21 taking place.

22 We have to do a little bit more. We have
23 to work a little bit harder, and we have to give
24 correction officers and correction staff the
25 equipment they need to combat these problems. The

1
2 Rikers Island dilemma is that the focus of the
3 Department has shifted from running an efficient
4 organization to numerical results. It's more
5 concerned with a parade of inmate advocacy groups
6 than running a proper detention facility. On Rikers
7 Island, violence among inmates and toward correction
8 officers is considered normal, and the blame is
9 placed on the correction officer charged with
10 patrolling this beat. What did the City or the
11 Department do about the crimes of assault that took
12 place last year? What does the department or the
13 City do for the victims of these crimes.

14 What the City and the Department do about
15 the crimes committed inside the jails. In March at
16 the City Council Budget Hearing for the Department of
17 Corrections, there was no money in the budget for
18 hiring additional correction officers although the
19 previous commissioner testified that the Department
20 of Corrections was under its staffing level by more
21 than 900 correction officers. There was no money for
22 additional mental health training for correction
23 officers. There was no money to hire additional
24 correction officers to work in the gang intel
25 [sic]unit to deal with the gang activity and gang

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2 violence. We welcome the new Correction Commissioner
3 that has come to the City of New York, Joe Ponte. We
4 are going to work diligently together, give Joe the
5 power.

6 If you give Joe the power to dismiss all
7 that have been a part of the problem under previous
8 commissioners, and let us do our job. As President
9 of the Correction Officers Benevolent Association, I
10 want to take -- I want to make this an environment
11 where not only can inmates, correction officers, and
12 civilians be safe, but it's important that the public
13 be kept safe as well. If the individuals are not
14 secure in the facilities, and they begin to escape
15 into the City of New York, they will continue to
16 commit more crimes. Correction officers have been
17 the step-kids of law enforcement. A police officer
18 interacts with these individuals for approximately 60
19 minutes. We then have them for 60 days. We have Dr.
20 Dora Shiro who failed the system. We have Martin
21 Horn who failed the system.

22 We now have to work diligently to be able
23 to tell the Mayor of the City of New York, No, Rikers
24 Island is not a dumping ground for the mental health
25 inmates. Rikers Island is not a dumping ground for

1 the homeless. Rikers Island is a penal system
2 established for those individuals who have committed
3 infractions against the law, and have been placed
4 there pending the outcome of their trial and/or until
5 a judge decides he or she can go home. The Rikers
6 Island is simple. We will -- I'm sorry. Will the
7 professionalism of the responsible for the care,
8 custody, and control of 12,000 detainees continue to
9 be questioned, or will the stakeholders in the City's
10 jail system start to act in a more collaborative
11 fashion for the betterment of all?
12

13 And let me say -- and I will answer any
14 questions that you have Council Member -- it is
15 really in my opinion as a professional law
16 enforcement officer, and President of the unit for
17 the past 19 years that mental health has no -- in my
18 professional opinion should not be testifying
19 alongside of the Department of Corrections. They
20 wouldn't put Fire next to Sanitation. They wouldn't
21 put Police next to Health and Hospitals. Putting the
22 Department of Corrections side by side with Mental
23 Health defeats the purpose of security and care,
24 custody, and control. I think that the Department of
25 Health continues to negate their situation. They

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2 have allowed inmates to circumvent the system, and I
3 sat here for two hours and I listened to them
4 testify.

5 I listened to a lot of filibustering. I
6 listened to a lot of yeah, yeah, yeah, no, no, no.
7 There is nothing that's going to be done. And I
8 think that the Department of Corrections needs to be
9 able to testify alongside a law enforcement agency as
10 opposed to being lumped in with the Department of
11 Health who does not have our best interests at heart.
12 And continues to circumvent the efforts of the job
13 that they are responsible to do. Like I don't want
14 to call them Verizon, Corizon or whatever, but the
15 health group that the City of New York hired for tens
16 of millions of taxpayers' money, and supposed to be
17 helping these people, and it's not helping them at
18 all. So I will answer any questions that you or your
19 colleagues may have.

20 CHAIRPERSON CROWLEY: Thank you Mr.
21 Seabrook. Thank you for being here today. Thank you
22 for your testimony, and for being here for, it was
23 actually three hours.

24 NORMAN SEABROOK: Yeah.
25

1
2 CHAIRPERSON CROWLEY: Three hours of
3 testimony from the Administration. I understand how
4 you see that as a misfit of the two different
5 departments testifying together. However, in the
6 past we've had one without the other, and they'd
7 point the finger, Oh, that's not under our
8 responsibility. It's under DOH's, and so that's why
9 I felt it was important the constraints we're up
10 against here as a Council. Now, you must have had
11 the opportunity to meet with the new Commissioner.
12 Have you a little sit-down, you know.

13 NORMAN SEABROOK: Several times.

14 CHAIRPERSON CROWLEY: Okay. Do you think
15 or have you heard any plan that is in place to change
16 or stop this tide from turning in the wrong direction
17 back into something to bring down the levels of
18 violence to make the jail safer? Is there anything
19 that you think that he's focused on right now that
20 you heard today or that you've had in your
21 conversation?

22 NORMAN SEABROOK: Well, I think that the
23 commissioner has indicated that he wants to make this
24 a safe environment, and environment for not only
25 correction officers, but inmates and non-uniform

1
2 members alike to be safe, secure. And I think based
3 and his and my private conversations, this will
4 become an environment that next year at this time we
5 can look back on it and say he's changed the system.
6 As opposed to the previous commissioners that have
7 been here that have, I guess destroyed this agency.
8 And I will use that word.

9 CHAIRPERSON CROWLEY: Well, that's good.
10 So you have faith in the new commissioner in that
11 he's determined to turn the department around? I
12 also have faith in him, but he's asking the Mayor the
13 resources. You mentioned in your own testimony he's
14 come -- he's only two months, but we're only two
15 weeks away from passing the budget. And our budget
16 has to balance, and we have to allocate the resources
17 to various different agency so that those agencies
18 can run efficiently. And he did not ask for any more
19 resources in the budget than he did last year. In
20 fact, he asked for less, and we're currently in line
21 to spend.

22 NORMAN SEABROOK: Well, I think that, and
23 I certainly can't speak for Joe Ponte, but I think
24 that in the position that the Council sits in, and I
25 think that in the position that Union sits in, we

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1 should be asking the Mayor to give us more resources.
2 Because certainly an individual that works for the
3 Mayor is not going to ask the Mayor for anything.
4 Because we saw what happened when a certain
5 commissioner asked the Mayor for more officers, and
6 he was sort of like -- You don't hear him asking any
7 more for more officers because he works for the
8 Mayor. So, in other words, don't go out there and
9 embarrass me.
10

11 CHAIRPERSON CROWLEY: [interposing]

12 Right.

13 NORMAN SEABROOK: So hopefully the
14 Council and the Public Advocate that was here would
15 be the first to stand up with the union and say, Give
16 us the finances and the funds that we need so that we
17 can better be able to combat the problems that we
18 face everyday.

19 CHAIRPERSON CROWLEY: What's an
20 acceptable level of overtime?

21 NORMAN SEABROOK: Well, it depends on who
22 you ask. I mean, what's the overtime for?

23 CHAIRPERSON CROWLEY: I mean you wouldn't
24 have the overtime if the staff positions they already
25 knew that they had to staff. It's almost planned.

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2 NORMAN SEABROOK: Well, that's the
3 mismanagement of the last administration that was
4 here. And you and I both know that we've talked
5 about this over and over until we're blue in the
6 face. And I think that what has to happen is that
7 the mismanagement has to stop. And Joe Ponte has to
8 dismiss those that were part of the mismanagement
9 practices that took place more than two months ago.
10 So an acceptable amount of overtime? I guess it
11 would depend on what are staffing the overtime for?
12 I'm certainly not going to sit here as a union
13 president and become management, and say, Don't give
14 them no overtime. Hell, I think we should all make a
15 ton of money. You, too. You get overtime?

16 CHAIRPERSON CROWLEY: [interposing] Yeah,
17 but aren't your members tired?

18 NORMAN SEABROOK: It's not -- it's not a
19 point of being --

20 CHAIRPERSON CROWLEY: Don't they have
21 families?

22 NORMAN SEABROOK: No, it's not. It's
23 not.

24 CHAIRPERSON CROWLEY: Are they more
25 likely to get sick or get hurt on the job?

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2 NORMAN SEABROOK: No, listen, Council
3 Member, I think it's mismanagement. If you -- if you
4 -- I heard a question that was asked earlier in which
5 an inmate assaulted a -- I think it was a clinical
6 staff member, and when asked, the inmate said
7 something to the point of he or she didn't get what
8 they were supposed to have. If the Department of
9 Corrections would staff each and every facility with
10 steady officers, steady tours, and put stability in a
11 person's life, they wouldn't have these problems.
12 But when you have inconsistency everyday -- Today you
13 have Correctional Officer Farrell. Tomorrow you have
14 Correctional Officer Seabrook. The next day you have
15 Correctional Officer Husamudeen. You have three
16 different directions that this inmate is receiving
17 because we're all different. If you have Correction
18 Officer Farrell everyday four, five days in a row,
19 and the Correction Officer Seabrook and Correction
20 Officer Husamudeen everyday, that's consistently the
21 person knows their program. They know what it is
22 they're supposed to have. So if the Department of
23 Corrections would just concentrate on the basics of
24 staring back at basics and giving individuals
25 stability in their lives, they wouldn't have as much

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2 overtime. But I'm certainly not going to sit here
3 and tell you what the overtime numbers should be because
4 like I said, I think we should make a ton of money
5 because we don't make enough money as uniform members
6 of the City of New York as we are right now.

7 CHAIRPERSON CROWLEY: Well, before I pass
8 it along to my colleagues to ask questions --

9 NORMAN SEABROOK: You didn't say that you
10 support us on that.

11 CHAIRPERSON CROWLEY: No, I don't.

12 NORMAN SEABROOK: Okay, okay.

13 CHAIRPERSON CROWLEY: I think we're all
14 human, and we all need time to rest --

15 NORMAN SEABROOK: [interposing] Okay.

16 CHAIRPERSON CROWLEY: -- even as council
17 members.

18 NORMAN SEABROOK: Okay, so we're talking
19 about overtime. We're not talking about --

20 CHAIRPERSON CROWLEY: [interposing] Yeah,
21 I don't have a job with you.

22 NORMAN SEABROOK: [interposing] You're
23 right.

24 CHAIRPERSON CROWLEY: I'm not enforcing
25 the peace and --

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2 NORMAN SEABROOK: [interposing] You're
3 right

4 CHAIRPERSON CROWLEY: --and go on an
5 island to everyday.

6 NORMAN SEABROOK: [interposing] And
7 everybody that testified today don't have the same
8 job that we have, and everybody that asked questions
9 today, don't have the same job that we have. And
10 they never had urine and feces thrown in their mouth.
11 And they've never been punched in the eye, and had
12 their eye socket broken. And they've never had their
13 nose broken. And they've never had their finger
14 bitten off. And they've never had their arms broken.
15 And they've never been doused with blood mixed in,
16 and you don't know whether this inmate is HIV
17 positive or not. So, until you've walked into the
18 shoes of a correctional officer, nobody should pass
19 judgment on us.

20 CHAIRPERSON CROWLEY: And I have a
21 tremendous amount of respect for the work that your
22 members do.

23 NORMAN SEABROOK: Thank you.

24 CHAIRPERSON CROWLEY: That you do and
25 your two colleagues that are here today. I bet you

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2 wouldn't do the job if you didn't need to, right? If
3 everybody was good and didn't commit crimes, there
4 would be no need for jail and the world would be a
5 better place. But your job would be easier. You
6 members' job would be easier if there were people
7 that shouldn't be inside Rikers weren't. So those
8 with severe mental health diagnoses, or a certain
9 population that are in there that you spoke about in
10 your testimony, and that you --

11 NORMAN SEABROOK: [interposing] That's
12 correct.

13 CHAIRPERSON CROWLEY: And just tell us
14 how frustrating it is that there's a line or a long
15 list of -- waiting list for people to get into RHU or
16 CAPS. We have 650 people who have infracted.
17 Sometimes the re-infract. Is it frustrating for you
18 as a correction officers to your members?

19 NORMAN SEABROOK: [interposing] It's very
20 frustrating.

21 CHAIRPERSON CROWLEY: You know, is that
22 the contributing to the violence? What's the plan?
23 How do you handle this?

24 NORMAN SEABROOK: It's very frustrating
25 that's first of all, and I think more paramount to

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2 that is, is that the individuals that are waiting to
3 go in have committed serious -- some serious
4 infractions of violating the rules and regulations
5 inside the confines of the jail. It's equivalent to
6 violating the rules and regulations in the law in the
7 five boroughs of the City of New York. You break the
8 law, here's a penalty that goes along with it. You
9 run a red light, you pay a ticket. You punch an
10 officer in the eye, you go to punitive segregation.
11 It's not solitary confinement. Solitary confinement
12 you have no windows. There's a leaky ceiling.
13 There's bulb that doesn't work and there's a mouse
14 that eats your food. Punitive segregation is totally
15 different. Punitive segregation you get your visits,
16 you go to the law library.

17 You get everything that you're supposed
18 to have, one hour of recreation. The only thing that
19 you are not allowed to do in punitive segregation is
20 that is run the corridors any more, and commit a
21 crime against other inmates any more. You're not
22 allowed to slash other inmates any more. You're not
23 allowed to extort other inmates anymore. That's the
24 only difference between punitive segregation, and the
25 person being out in the general public in population.

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2 As a matter of fact, I would think that inmates in
3 the City's jail system if you ask them, they would
4 say they're safer because the guy that's extorting
5 them or slashing them or raping them or beating them
6 is in punitive segregation. Because at the end of
7 the day this is not a punishment. Punitive
8 segregation is not for punishment.

9 Punitive segregation is to prevent
10 further crimes being committed against inmates and
11 corrections officers. If that be the case, what are
12 we supposed to do with them? People are going to
13 tell you well there shouldn't be punitive
14 segregation. And I say, What the hell do we do with
15 them? Just allow them to continue to prey on those
16 that they have preyed upon, and destroy the lives and
17 the fabric of those children that come to the
18 Department of Corrections that their parents want to
19 see them go home the same way that they came. Not
20 everybody in the city's jail system is guilty of a
21 crime. There are some people in jail that have not
22 even committed that crime. But at the end of the
23 day, our job of care, custody, and control is to
24 treat everybody equally and fairly. And protecting
25 them, and keeping them safe is part of what we do by

1
2 placing an individual that has committed an
3 infraction in violation of the rules and regulations
4 in punitive segregation.

5 CHAIRPERSON CROWLEY: Thank you. Council
6 Member Johnson.

7 COUNCIL MEMBER JOHNSON: Thank you Mr.
8 Seabrook.

9 NORMAN SEABROOK: Uh-huh.

10 COUNCIL MEMBER JOHNSON: I too--

11 NORMAN SEABROOK: I'm sorry.

12 COUNCIL MEMBER JOHNSON: No, it's okay.
13 I, too, respect the work that you all do on a daily
14 basis, which I know is dangerous, and I know you take
15 with a very high level of seriousness. I want to
16 understand currently what is the staffing level in
17 number of correction officers?

18 NORMAN SEABROOK: I would think that the
19 staffing level in some facilities would probably be
20 three to one.

21 COUNCIL MEMBER JOHNSON: Three to one?

22 NORMAN SEABROOK: Yes, sir.

23 COUNCIL MEMBER JOHNSON: But what is that
24 raw number? How many corrections officers are there?
25 How many union members do you have that are active?

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NORMAN SEABROOK: We have 8,900? 8,700?

3

MALE SPEAKER: [off mic]

4

NORMAN SEABROOK: The average number is

5

8,000.

6

COUNCIL MEMBER JOHNSON: Okay, and to do

7

the job in a safer way without huge amounts of

8

overtime to get a force that you feel like can be

9

doing the control and custody work at Rikers and

10

other facilities, what type of increase do you think

11

you would need from the City of New York for new

12

officers?

13

NORMAN SEABROOK: I think I would need a

14

20% increase.

15

COUNCIL MEMBER JOHNSON? 20% so that's

16

about -- that could be like 1,500?

17

NORMAN SEABROOK: Yes.

18

COUNCIL MEMBER JOHNSON: That's a lot.

19

NORMAN SEABROOK: That's not a lot

20

considering the job that we have to do. If we're

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spending twice as much money on overtime as we did

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last year, and we had more members, then certainly

23

the math would work out that if we got an additional

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1,500 officers. Starting them off with a starting

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salary less than what you're paying a person with top

1 salary with overtime I think it works out very well
2 for the City of New York. But unfortunately, we
3 don't have those that really advocate for Correction,
4 because Correction is out of sight, out of mind. And
5 as long as it's not in my neighborhood it's okay. As
6 long as crimes are not being committed in front of
7 me, it's okay. But if you give all of those
8 individuals to the correction officers that patrol
9 the toughest precincts in New York, the city jails 24
10 hours a day, then there's a problem when mental
11 health is the issue.

12
13 But when mental health wasn't the issue,
14 there was no problem with Corrections. They did
15 whatever they wanted to do us. They disrespected us.
16 They did whatever it is that they wanted to do. And
17 I've got to be honest with you Council didn't really
18 step up to say, Hey, what about correction officers?
19 Oh, it's great we have these hearings, but at the end
20 of the day nothing happens. There's not going to be
21 anybody that writes any letters on the Council that
22 say, Hey, Mayor, by the way, Correction needs more
23 money. We aren't going to get no letters for that.
24 You're not going to get anybody to advocate for
25 correction officers. You'll get them to advocate for

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2 police officers, for fire fighters, for Sanitation,
3 for teachers, but you're not going to get anybody to
4 advocate for criminals.

5 COUNCIL MEMBER JOHNSON: You're talking
6 about the public.

7 NORMAN SEABROOK: Yeah.

8 COUNCIL MEMBER JOHNSON: Yeah, I think
9 you're right. It is an out of sight, out of mind
10 issue --

11 NORMAN SEABROOK: [interposing]
12 Absolutely.

13 COUNCIL MEMBER JOHNSON: --very much.
14 There have been talks last week. And members of the
15 Council, the Chair of the Public Safety Committee,
16 Council Member Gibson from the Bronx held a press
17 conference that I attended talking about
18 civilianization. And getting police officers that
19 are currently doing desk jobs, roll call. And are
20 being paid a good wage to actually get them back into
21 the force doing the type of work that they were
22 trained to do, and that only they can do. There has
23 been conversations around civilianization [sp?] with
24 correction officers as well. That there are
25 currently correction officers that are doing what

1
2 could be civilian jobs currently in the department.

3 Would you support civilianization to actually get
4 some of your correction officers back doing this type
5 of work and handing some of those jobs that could be
6 done by civilians back over to civilians?

7 NORMAN SEABROOK: Well, we support that
8 now because we have a piece of legislation that's
9 called Anti-Privatization where you cannot use a
10 civilian to do the job a correction officer. And I
11 certainly would not interfere with a person that is
12 supposed to get a job and my members are interfering
13 with their livelihood. Everybody deserves a job, but
14 every job is not for everybody. So to answer your
15 question, I have no problems with individuals that
16 are working out of title, and they are correction
17 officers. Let them be correction officers. Because
18 I'm not going to interfere with someone that also
19 needs to be working at the same time.

20 COUNCIL MEMBER JOHNSON: You spoke in your
21 testimony about the clinical alternatives to punitive
22 segregation, the CAPS Program. Currently there are
23 three at Rikers. They were only able to handle a
24 very small number of the inmates that are currently
25 there. Both Commissioners testified that they think

1
2 that CAPS is a success, that they think that handling
3 these patients who have been diagnosed with mental
4 illness to get more holistic treatment to be
5 programmed in some way is actually helping. Would
6 you assess that CAPS has been a good helpful program
7 for the inmates that are in your custody and care?

8 NORMAN SEABROOK: I think it -- Listen,
9 I think it has been helpful, and I think that it
10 could be even more helpful if we had the staffing
11 levels to do it. We don't have the staffing levels
12 to do it. I think that it goes back to what I
13 originally said about mismanagement. We could have
14 the correction officers to do it, but then Mental
15 Health is holding us up on certain parts of it. And
16 I guess key questions to the entire program is, Okay,
17 Mental Health, do you have enough staff that when the
18 Department says they're going to up four or five more
19 CAPS programs, can you provide the service for it.
20 They're going to tell you they can't do it. So it's
21 easy for them to come here and sit here, and make
22 believe that it's all good. But at the end of the
23 day it's not. It's an environment where you're
24 supposed to make tours, you're supposed to do certain
25 things. They don't do what they're supposed to do

1 all the time, and I have no problem saying it.

2 Correction officers are not going to take the
3 responsibility for bring a mental health clinical
4 doctor, psychologist --

5
6 COUNCIL MEMBER JOHNSON: [interposing]

7 Nor should they.

8 NORMAN SEABROOK: Exactly right. So they
9 have to be held accountable to do what it is that
10 they are charged to do, and they don't do that. Now,
11 if they did their job, we would better be able to do
12 our job. But our job is so bureaucratically
13 bottlenecked that when we ask for certain things, we
14 can't get information. A correction officer needs to
15 know that this person here is dangerous. You don't
16 just give this inmate to a correction officers, and
17 you don't tell him, or you don't diagnose him as
18 being schizophrenic. You need to tell us, Listen,
19 this guy is dangerous. Be very careful. He could
20 possibly go off any minute. That tells us we need to
21 prepare in a different environment from this person.
22 You don't put this person in an environment where you
23 release them to the general population. I'm not
24 saying put them in punitive segregation. I'm not

1
2 saying any of that. I'm saying put them in an
3 environment where you know they can be controlled.

4 COUNCIL MEMBER JOHNSON: So I was not on
5 the Council for the previous 12 years under the
6 previous administration --

7 NORMAN SEABROOK: [interposing] You got
8 lucky.

9 COUNCIL MEMBER JOHNSON: --with the
10 previous commissioners that you had so sweetly
11 mentioned in your remarks. But I -- maybe I'm just
12 an eternal optimist, but I would hope that with a new
13 administration, a new commissioner, a new Council,
14 and us having this hearing today, I think hopefully
15 shows you that the Council does take this seriously.
16 And that many of the questions that were raised today
17 that have been asked that you have raised and that
18 other folks have raised are hopefully going to start
19 a serious substantive conversation. Not just on
20 these short-term fixes, which I know are important
21 for your members, for the inmates. The short-term
22 stuff matters, but to have a broader conversation
23 about what are some of the large scale things that we
24 can be doing to actually help change what is going on
25

1
2 at Rikers and our other detention correctional
3 facilities in the city?

4 And this task force that is set up, I am
5 always slightly wary whenever someone puts a task
6 force together or a blue ribbon commission to look at
7 something. Because a lot of times reports are
8 written, and not much change comes out of it. It's
9 my hope that with a Council that has real oversight
10 authority, and with the Public Advocate that's
11 engaged that hopefully with our Commissioner that
12 really does want to do the right thing and change
13 things in the system that we all can work together on
14 these issues. I know that I take it seriously. I
15 know the colleagues here today really care about this
16 issue. So I would just say that today I think is a
17 starting point, and I know that we need resources to
18 actually move this. You can't talk about these
19 things in a pie-in-the-sky Pollyannaish way without
20 confronting the realities that are going to happen
21 day in and day out in these facilities.

22 So I would just say that we have to do
23 this together, and that's why I mentioned to the
24 Commissioners that whatever recommendations come out
25 of this task force, everyone needs to be involved,

1 whether it be the correction officers, the mental
2 health professionals, the healthcare workers, even
3 the inmates to understand what's going on. To have
4 real broad scale change in a way that's meaningful, I
5 think everyone needs to come together and do that. I
6 know I'm committed to that. I know you're committed
7 to that for the safety of your members, and for the
8 future of the Correctional system. And I would say
9 that let's work together. Let's work on this
10 together. It's not the sexiest issue. It's not the
11 issue as you said the public is calling us about, but
12 it's an issue that really matters I think morally.
13 But also there is a cost to our city both
14 financially, and in letting people back out onto to
15 streets that have been -- that have not been
16 rehabilitated in any way. And they are committing
17 crimes, and put right back into the system.

19 NORMAN SEABROOK: And Council Member, you
20 are a thousand percent correct about what you've
21 said. And that's why this year I sent my vice
22 president, my first vice present, and my second vice
23 president and a couple others over to the West Coast
24 to look at the city -- the jail systems over there.
25 And, they came back and the reports are that they

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2 have a building. It's a jail, but it's strictly for
3 individuals with mental illnesses. They know how to
4 work with them. The officers know how to work with
5 them. The staff knows how to work with them, and
6 they're in their own type of environment that they
7 get the help that they need. If we're spending tens
8 of millions of dollars, and we're not getting any
9 results for it, there's something seriously wrong
10 here.

11 And if the money that is allocated in the
12 budget that is for housing is not going to housing
13 it's going to Parks and Recreation. Or the money
14 that is supposed to be going to mental illnesses or
15 mental health issues are not going to mental health
16 issues. They're going some place else, there's
17 something wrong. We need to take the monies that's
18 allocated, and use it for the people that it's
19 allocated for to give these people the help that they
20 need. Or we are going to have a major problem in the
21 Department of Corrections before the end of the year.
22 Yes, sir.

23 CO-CHAIRPERSON COHEN: Thank you, Mr.
24 Seabrook. I appreciate your testimony, and I
25 appreciate your patients. I know it took a long time

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2 to get you up there. And also I was the Law
3 Secretary in the Bronx Supreme Court, and we would do
4 criminal arraignments periodically. And I did have
5 interactions with your members, and I do have a small
6 sense of the great difficulty and challenges in that
7 work. Could you just expand a little bit because I'm
8 not clear on your testimony regarding the interaction
9 between the Department of Mental Health and the
10 Department of Corrections, and dumping their
11 responsibilities. Do you think --- is it something
12 happening in the system, or is it -- are you
13 referring to sort of the bigger picture that people
14 are not getting the resources they need?

15 NORMAN SEABROOK: It's something that's
16 happening in the system, and what do I mean by that?
17 If an inmate barricades himself with five other
18 inmates in the housing area, and starts a mini riot,
19 the inmate is then -- after the security is breached,
20 and we go in and we take the inmate out, the inmate
21 then goes down to the clinic and is then seen. The
22 inmate then goes, and has to be seen by a mental
23 health physician. The mental health physician says,
24 We charge [sic] him. Why? It's mental health.
25 Okay, we can't put him in punitive segregation.

1
2 Okay, so what do we do with this person? That's what
3 I mean. Now, before he came to Rikers Island, there
4 was no indication that he had any mental problems.
5 All of a sudden because they're in the system for a
6 month they have psychological problems. But when they
7 came to us they didn't have any psychological
8 problems.

9 So they're hiding behind the fact that
10 they are mentally ill, and the mental health staff is
11 allowing them to hide behind it because it makes
12 their job that much easier. As opposed to signing
13 off on it say, You know what, this person jeopardized
14 the safety and security of the institution. The
15 safety and security of the other inmates in the
16 housing area. The safety and security of the staff,
17 and should be moved and/or placed in punitive
18 segregation. They won't do that. So the problem
19 becomes our problem again. So now we put him right
20 back in the housing area that he came from. So he
21 goes back in the housing area that he came from, and
22 he says, Look at me. Nothing can happen to me
23 because I'm mentally ill because I'm dribbling on
24 myself. Now, there are legitimate individuals in the
25

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2 Department of Corrections that have mental illness
3 problems, and they need help.

4 Not the guy who's making believe that he
5 has mental illness problems and he's the head of the
6 Bloods. Not the guy who's making believe he has
7 mental illness problems, and he's the head of the
8 Latin Kings. We have people that legitimately need
9 help, and these individuals that have gone to school
10 for many, many years to determine a person's
11 capability or thinking, is going along with the
12 program. And to me, that's just ludicrous. So I
13 think that what needs to happen is that we have to
14 hold those accountable for the areas in which they
15 are responsible. Just like I'm held accountable as a
16 correction officer. You're held accountable.
17 Council members are held accountable, she's the
18 Chair. Everybody has to be held accountable. We
19 can't allow this to continue because it's only
20 getting worse, and it's going to get someone killed,
21 if you will.

22 CO-CHAIRPERSON COHEN: I mean I guess
23 it's part of the problem also, I mean what we talked
24 about earlier the backlog that there is no -- if
25 someone -- it is hard I guess to ultimately determine

1
2 briefly whether a person is really -- it's mental
3 health issue or a it's a pretext. But I guess
4 because there's no capacity to deal with that
5 problem, and then they're ending up back in general
6 population. I mean in order -- The goal I think
7 everybody is interested in keeping -- in reducing
8 violence across the system. Obviously, it would
9 confront your officers as well as anybody else in
10 connection with the system.

11 NORMAN SEABROOK: Well, Mr. Cohen, I
12 think that part of that comes with communication. If
13 they were communicating with correction officers
14 more, there would probably be a better understanding
15 of how to deal with an individual because the officer
16 is with that inmate for 8 hours and 31 minutes a day.
17 The clinical staff that comes through, comes through
18 on a skate board. In and out and they're gone. But
19 for 8 hours and 31 minutes this correction officer
20 sees, deals, interacts with this person, and I'm
21 going to be able to tell you whether or not this
22 person has got a problem or not. There is no way
23 that you could sit in front of a person for 8 hours
24 and 31 minutes and not tell me that this person has a
25 person. There's no way. So I think that what has to

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2 happen is there has to be more communication between
3 the clinical staff and the correction officer, and
4 give the correction officer a little bit more I guess
5 information about the person, and then we will be
6 able to combat a problem before it gets worse.

7 COUNCIL MEMBER COHEN: I'm going to
8 apologize for my ignorance, but do you have -- do
9 your officers have an opportunity to get input back
10 like this guy doesn't exhibit any symptoms at all
11 except when confronted? I mean do you have an
12 opportunity to go on the record and tell that side of
13 it?

14 NORMAN SEABROOK: I don't have that
15 opportunity. We don't have that opportunity. We
16 don't have the opportunity to sit down and say, You
17 know, Doc, you were here for five minutes. And after
18 you left, for the next 8 hours and 25 minutes, this
19 person was interacting like nothing was wrong with
20 them. But as soon as you walked in, they went in the
21 corner and started dribbling on themselves. So at
22 the end of the day, something has got to give.
23 Something has got to change.

24 COUNCIL MEMBER COHEN: Thank you.
25

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2 CHAIRPERSON CROWLEY: Council Member
3 Vallone.

4 COUNCIL MEMBER VALLONE: Again, thank you
5 Mr. President, and I appreciate you staying for this
6 entire testimony. You know, I think we should have a
7 day in the life of a correction officer, an hour in
8 the life of a correction officer, and see how things
9 change. Because you can hear how sometimes it's so
10 easy to put the blame on what the people think is the
11 Corrections responsibility. As you heard in my
12 questions to the Department of Health, it's not the
13 case. And that's -- when we put these groups
14 together and we talk about changes, I think what you
15 said there at the end is one of the most telling
16 points that no one is communicating with us. So I
17 think is one of the first things we have to address,
18 and it has to be more than one hour a month at a
19 Board of Corrections meeting, and it has to more than
20 having to come to testify here. I think that the
21 real communication with the officers has to take
22 place. Because like you said, it's not me or Council
23 Member Crowley or King that's there in the jail with
24 them. So how do you think that's -- What change
25 would you envision through legislation, through

1
2 policy to get the officers to have that communication
3 with that we need?

4 NORMAN SEABROOK: I think that it's
5 probably going to have to happen through some type of
6 legislation because of what individuals will hide
7 behind called the HIPAA Law where you can't divulge a
8 person's medical records and/or illnesses. But I
9 think that being incarcerated should supersede that
10 because what you're doing is preventing perhaps a
11 more serious crime being committed in the confines of
12 a jail. So I would think that it would have to
13 probably be legislation wise. But to make it easier,
14 I think just having I guess weekly meetings with
15 management, and the officers assigned to that area in
16 CAPS, if you will. Saying, you know what, these are
17 all the officers assigned to CAPS. Today, we're all
18 going to be here at 4 o'clock to have a discussion
19 about each one of the individuals that are in our
20 care, custody and control. Have the doctor there.
21 Have the officer there. Have the supervisor there.
22 Have the warden there. Have the deputy warden. Have
23 everybody that plays a role in this in that room, and
24 then you'll get a better sense of what's wrong with
25 this person, if anything is wrong with this person.

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2 COUNCIL MEMBER VALLONE: I mean the
3 Commissioner testified that she thought, or the
4 doctor thought that the officers don't need this
5 information, that they don't want the health
6 information. They just want to be safe, and I don't
7 think that's a true statement.

8 NORMAN SEABROOK: I don't think it is
9 either. I definitely don't think it's a true
10 statement, but I don't want to be disrespectful to
11 the doctor who spent many years in school to get that
12 degree. But at the end of the day, we're talking
13 about safety and security and law enforcement. And
14 we're talking about justifying their job, if you
15 will.

16 COUNCIL MEMBER VALLONE: Well, whether or
17 not they're allowed or not is one thing. Whether you
18 need the information is different.

19 NORMAN SEABROOK: [interposing] This is
20 true.

21 COUNCIL MEMBER VALLONE: And that's what
22 we're saying about statutory changes, and I think
23 Council Member -- Chair Crowley is right that if --
24 if they're not allowed, then we have to look at why
25 not. And I think the HIPAA law that you mentioned,

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2 and not many people are familiar with that, the
3 boundary or defense that people can throw up and say,
4 Sorry, you can't get that. But I do believe that if
5 someone has committed a crime within Rikers Island or
6 other watch, and has knowingly violated that trust,
7 then I think there is -- there should be a way for
8 those officers to -- for the safety for everyone at
9 the island to know what's going on without revealing
10 whether someone has a disability. It's more to the
11 point that basic information could be -- would be
12 given to the officers. And I think that's important.
13 There is one other thing you put here in your
14 testimony, which made the little hair I have left
15 stand up. The correction officers are no longer in
16 charge of the jails.

17 NORMAN SEABROOK: That is true.

18 COUNCIL MEMBER VALLONE: Can you expand
19 on that?

20 NORMAN SEABROOK: Well, it goes back to
21 what I was talking to Mr. Cohen about where inmates
22 barricade a housing area. They do whatever it is
23 that they want. They destroy property; they slash,
24 cut stab each other; assault correction officers.
25 They're taken out of the housing area. Goes down to

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2 Mental Health. Mental Health says, Send them back to
3 the housing area. You can't move them. You can't do
4 anything. So at the end of the day, hell, we might
5 as well just give them the keys, and, you know what,
6 let them do whatever it is that they want to do
7 because there is no more security of these jails.
8 What's happening is we've become babysitters, if you
9 will. I'm not talking about punishing people
10 because, Council Member Vallone, I don't think a kid
11 that's 16 years old that gets caught smoking a
12 cigarette should go to punitive segregation. I don't
13 think that a kid that's horse playing should go to
14 punitive segregation. But at the end of the day, I
15 think that people that commit violent acts'
16 jeopardizes the safety and security of the jail, and
17 barricades a housing area in an attempt to escape, if
18 you will needs to be placed in punitive segregation.
19 And that being said --

20 COUNCIL MEMBER VALLONE: [interposing]

21 And do you think helps the rest of the staff? [sic]

22 NORMAN SEABROOK: That being said, the
23 correction officer has no more authority because he
24 or she is told by the supervisor, Before we move this
25 inmate to OBCC or any other facility that person has

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2 to be cleared by the Mental Health staff. We take
3 that person to be cleared by the Mental Health staff,
4 and Mental Health says, You can't move him. Why
5 can't you move him? Well, we can't tell you. Okay,
6 so you can't tell me why I can't move him. So you're
7 telling me to put him back in the same area that I
8 just took him out of. So at the end of the day,
9 there's a serious problem here. A perfect example is
10 there was an incident that occurred last month in
11 AMKC. The inmates, 12 of them or so, barricaded the
12 housing area. The emergency unit had to respond. It
13 was a whole big thing. The inmates were then
14 transported to another facility to be placed in
15 segregation. They were then ordered back to AMKC
16 because the mental health doctor had not cleared
17 there. I was standing in the corridor at 1 o'clock
18 in the morning when these inmates came back. They
19 weren't acting unruly. They were like church mouse.
20 So shouldn't these guys be put in punitive
21 segregation?

22 COUNCIL MEMBER VALLONE: Is there a
23 statistic follow the amount of inmate on officer --

24 NORMAN SEABROOK: [interposing] Yes.
25

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2 COUNCIL MEMBER VALLONE: --crime? And
3 has that been followed up with actual explanations
4 and incidents just like you've done today?

5 CHAIRPERSON CROWLEY: I'm sorry to
6 interrupt. Council Member Vallone, we mentioned that
7 earlier. It's out of control. What Norman is saying
8 is it's out of control. I said it in my earlier
9 opening statement. The violence be it staff, inmate
10 on staff or inmate on inmate, it is doubling and
11 nearly tripling what it was years ago when there were
12 more inmates. And I'm sorry. I appreciate you
13 coming in today. Norman, I'm sorry you had to wait--

14 NORMAN SEABROOK: [interposing] Thank
15 you.

16 CHAIRPERSON CROWLEY: --as long as you
17 did to testify. I'm sorry to interrupt your
18 questioning. I've got a list of about 15 people.

19 NORMAN SEABROOK: I'm not leaving yet.
20 [laughs] I want to stay for three hours more, and
21 thank you gentlemen.

22 CHAIRPERSON CROWLEY: I won't probably be
23 as long as that, but I have to --

24 NORMAN SEABROOK: [interposing] Okay,
25 thank you Madam Chair.

1
2 CHAIRPERSON CROWLEY: --see exactly who
3 is still here to testify to figure out how much to
4 allot them in terms of time. But you do represent
5 nearly 10,000 staff members that work on Rikers
6 Island. So thank them for the work that they do on
7 behalf of the city.

8 NORMAN SEABROOK: Well, listen, and I
9 thank you, Council Member Crowley and Vallone and Mr.
10 Cohen and the other members that were here for the
11 work that you do. But I would just like to add that
12 I hope that you would consider separating Correction
13 from Mental Health. If you want to join us up with
14 someone, join us up with that Police Department.
15 Join us up with the Fire Department, join us up with
16 those agencies that maintain safety and security for
17 the City of New York as opposed to someone that's
18 trying to circumvent what we do every single day.
19 All right. Thank you.

20 CHAIRPERSON CROWLEY: Thank you, Norman
21 Seabrook and to COBA. Now just by a show of hands if
22 these people are still in the room James Gilligan,
23 are you still here? No. A Dr. Kirk Anthony James.
24 We're not ready for him yet. Just checking. Sarah
25 Kerr? Michelle Bellaine [sp?], Joanna Miller, Mary

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2 Beth Anderson, Dahlia Albertson, Beth Powers, Dr.
3 Henry Weinstein. Someone from the Jail Actions
4 Coalition. Anybody from the--? Oh, okay. Someone
5 named Nick Malainowski [sp?]. Thank you. I'm going
6 to call up first the Doctors Council SEIU. I have
7 Dr. Frank Pasca - Proscia and Maya Escalona

8 [Pause]

9 CHAIRPERSON CROWLEY: And unfortunately
10 because we do have so many people testifying, if you
11 don't lead off from your testimony, it would be
12 better. I mean we can refer to it, but we're going
13 to limit your testimony to five minutes, and we're
14 going to limit the questioning.

15 DR. FRANK PROSCIA: Could I just say I
16 have a little laryngitis and I have not been able to
17 read this repeatedly out loud. So I need to read
18 from the testimony. I apologize. My name is Dr.
19 Frank Proscia, the President of Doctors Council SEIU.
20 Good afternoon, Chairs and the City Council Members.
21 I'm Dr. Frank Proscia, as I just said. We're a union
22 of doctors, and a voice for patients. Thank you for
23 the opportunity to speak today. We represent doctors
24 throughout the country including those that work in
25 HHC hospitals, facilities, DOHMH and Rikers Island

1
2 and other city agencies. Today's oversight hearing
3 comes at a volatile for time for healthcare workers
4 and other professionals who have been faced with a
5 marked increase in violent assaults while providing
6 care at Rikers Island.

7 Rikers saw eight assaults on healthcare
8 staff in 2011; 22 in 2012; 32 in 2013 according to
9 DOHMH. It is worth noting that the uptick in
10 violence has coincided with an increasing number of
11 mentally ill offenders in our jails. Thirty-seven
12 percent of inmates, as was said, on Rikers in any
13 given day had a mental health diagnosis according to
14 the IBOs you reported. We support Intro 292, which
15 aims to track and assess punitive segregation -- in
16 segregation especially with respect to those inmates
17 with mental health issues. These statistics may help
18 the various agencies involved make informed decisions
19 on how to better address certain volatile behavior in
20 the prison setting, and enhance safety for everyone
21 at Rikers, and actually throughout the City.

22 Through working with the Board of
23 Corrections, and through our contact with Corizon,
24 the Doctors Council has advocated for immediate
25 changes that can improve the work environment for all

1 staff. Steps are being taken in the right direction,
2 but recent incidents show that this continues to be a
3 serious issue. First, we are calling for more
4 training of staff across all agencies. This is key.
5 The DOHMH is training their staff. The DOC is
6 training their staff. Corizon is training their
7 staff. That's not the way it's done in hospitals.
8 It's cross-training police, techs, nurses, the LPNs,
9 doctors. We respond as a team. Each one knows we're
10 part of the healthcare team, and that's key. And we
11 call on Corizon and the City agencies to make
12 trainings and safety protocols more of a priority.

14 Sufficient staffing of doctors and COs is
15 also a critical issue, as you mentioned. Excuse me.
16 The inmate wait times in medical clinics are
17 excessive causing over-crowding and agitation, and
18 putting inmates, correction officers, and healthcare
19 professionals at risk. In 2013, almost half of
20 65,000 inmates scheduled for important follow-ups and
21 care were not seen, and had to be cancelled, more
22 than half. And that just creates, it just promotes--
23 The next day when they have to return to the clinics
24 it's even more people waiting longer periods of time,
25 and especially if a lot of them are mentally ill it

1
2 causes agitation. With respect to CO [sic] staffing
3 more individuals are clearly needed to provide a
4 safer environment in all the clinics.

5 In some clinics inmates can walk around
6 unescorted, and in other clinics there are no COs at
7 all. Another critical area is the physical setup of
8 the health clinics. Clear sightlines so that the
9 correction officers can see doctors is important. We
10 would like more input into how clinics are physically
11 set up, and maximize the security. We are pleased to
12 see that panic buttons have been added in several
13 clinics recently, something we have long been
14 advocating for. But now all of a sudden they find
15 the funding in order to provide that. But that is
16 jus the first step. While doctors are called on for
17 on-person panic buttons, cuff bars in all clinics and
18 emergency egress for medical staff in treatment
19 areas.

20 Any mental health practitioner knows that
21 when you're dealing with the mentally ill, if a
22 patient is walking in from that side of the room, you
23 want your back to the door. There has to be another
24 way out of that room. You just can't have way in and
25 one way out. Working with the Board of Corrections

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1
2 and the DOHMH Doctors Councils has helped convene and
3 take part into two interagency working group meetings
4 in recent months at Rikers. And we will continue to
5 take a leadership role in this, and to collaborate
6 with other various parties including the Council to
7 improve the safety standards and quality care at
8 Rikers Island. We would also like to thank the
9 Mayoral Administration and various City agencies for
10 reaching out to us recently in phone calls to address
11 this work safety issues at Rikers.

12 This we would not have believed to have
13 occurred in any other previous administration. It is
14 this type of inclusive dialogue that will help effect
15 change on this difficult issue. We welcome the
16 Administration news on the formation of the task
17 force on Behavioral Health and Criminal Justice
18 system [bell]. Our doctors understand, though, that
19 many of the challenges involved will need
20 collaborative change [sic]. We just can't have
21 agency heads as part of the task force. They're
22 going to have to reach out to community groups, to
23 inmate groups, and to staff groups to bounce back any
24 type of dialogue or opinions. Thank you very much.

25

1
2 CHAIRPERSON CROWLEY: You're a colleague
3 from the Doctors Council?

4 MAYA ESCALONA: I'm a member of NYSNA
5 actually, New York State Nurses Association. My name
6 is Maya Escalona [sp?]. I'm a psychiatric nurse
7 practitioner working at Rikers Island. Thank you for
8 allowing me the opportunity to speak to day. For
9 going on three years, I've worked mostly full-time in
10 the Mental Observation Units. These are the areas
11 where mentally ill inmates with higher treatment
12 needs are housed. I enjoy working with these
13 patients, although there are challenges at times, of
14 course. But it's an opportunity to help people in
15 the community who are in great need. Many of them
16 have serious chronic mental illness, and the jail is
17 one of the few places where they have access to
18 treatment. But some of these inmates can be violent,
19 as we all know. In the last year assaults against
20 civilian medical staff have spiked.

21 Most of these attacks have occurred in
22 the Mental Observation or MO Units as they're called.
23 One intern was punched in the face unprovoked, and
24 she sustained multiple fractures, a broke jaw, nose
25 and eye orbit. Another intern was sexually

1 assaulted, and assaults against officers has also
2 risen. Sadly, I've seen few changes to improve the
3 safety of these areas despite worsening violence. As
4 a result, I refuse to visit MO patients in their
5 housing areas. Many of my colleagues who continue to
6 work in the MOs are in constant fear and anxiety.
7 Being escorted by an officer onto these units does
8 not necessarily guarantee safety, as some of the most
9 recent attacks have shown. These clinicians were
10 attacked unprovoked and they had an officer next to
11 them. I recommend that until conditions improve,
12 clinical staff should not visit patients in their
13 housing areas.
14

15 This is a high risk interaction for
16 caregivers, officers, and patients. Instead, the
17 patients should be brought to the staff in secure
18 clinic offices. I understand that City wants to
19 increase programming and treatment to the patients in
20 the MO areas. And as a mental health professional, I
21 certainly understand the value of this. But this
22 would require an increased clinical presence in the
23 housing areas. If conditions continue as they are,
24 then more staff would be placed at risk. If Rikers
25 or part of Rikers is to operate like a psychiatric

1
2 facility, then reforms need to be made so that we can
3 treat patients safely and effectively. I chose to
4 reduce my hours, work part times and with patients in
5 the general population rather than in mental
6 observation to avoid becoming another statistic. We
7 understand, I understand we work in a potentially
8 dangerous place, but we don't have to be in danger.

9 I urge the agencies on Rikers to work
10 collaboratively, and to develop solutions to this
11 very serious problem. We need safe levels of
12 staffing at all times, of all staff, and that
13 includes both healthcare workers and officers. We
14 need better protocols for healthcare is delivered on
15 the Island. The City Council Resolution being
16 considered today will create more transparency, which
17 is an essential first step. But we need to go
18 further to address -- excuse me. To address these
19 issues at their roots to create a safe environment
20 for workers and inmates at Rikers. Our union is an
21 ongoing construction dialogue with the Mayor's
22 office, and with City officials regarding the assault
23 on caregivers a Rikers. And we appreciate both the
24 City Council's and the Mayor's commitment to
25 addressing this situation. We look forward to

1
2 working with all parties to find a long-term
3 solution. Thank you.

4 CHAIRPERSON CROWLEY: Thank you. I want
5 to thank both of you for coming in and representing
6 the staff. I know that my office has been in touch
7 with SEIU and Jeff from my staff has been out to the
8 meetings and has been giving me an update. And
9 unfortunately it seems like the Department of Health
10 is painting a rosier picture than it actually is. In
11 a previous hearing, I asked the Commissioner if he
12 was going to change the policy of escorting inmates
13 into all Mental Health Observations rooms with maybe
14 more officers or an additional officer, and there was
15 no internal plan to change that process, which
16 frustrates me. I want to make sure that you're safe,
17 and I think that has everything to do with what I was
18 bringing up earlier. I don't know if you agree, but
19 there must be a need for more officers. If you are
20 going into a housing unit rather than them coming
21 into office, either way you're in a dangerous
22 situation if there isn't enough oversight. If you're
23 an inmate then you're seen as violent and known to be
24 violent. 40% of the population is, thus committing
25 violent acts. So we're going work with this new

1
2 administration. I mean I feel heartening that
3 they've reached out to you, and will be working with
4 you. It makes me feel that you're being included,
5 and that shows there's a change within the new
6 administration in terms of the task force. We'll
7 reach out to them, and also let them know that -- I'll
8 let them know I think it's a good idea to include
9 representatives from labor both from the healthcare
10 and from the correction officers and their task
11 force. Thank you. Thank you both for being here
12 today. We're going to get the --

13 COUNCIL MEMBER: [interposing] Madam Chair
14 one second. Maya thank you and doctor, thank you.
15 Is there office space now, clinical to do these
16 evaluations or is this something that has to be also
17 related to the structural concerns.

18 DR. FRANK PROSCIA: It is a problem. You
19 know -- I'm sorry. You know, the main problem with
20 Rikers is that it's a jail facility.

21 COUNCIL MEMBER: Yeah, it's supposed to
22 be.

23 DR. FRANK PROSCIA: Doctors, you know,
24 mental health practitioners they work in hospitals or
25 healthcare facilities. The doctors and nurses are in

1
2 charge. The healthcare professional are in charge.
3 On Rikers they're not. Their employers are totally
4 different. It's either the DOH and the DOC on one
5 side, or it's Corizon --

6 COUNCIL MEMBER: But Maya made a point
7 about that you can't provide that type of observation
8 or care because it's not safe to walk the halls. But
9 we want to bring it back to a place that would be
10 safe to do that. So is there existing space at this
11 point or --?

12 DR. FRANK PROSCIA: Maya would be best
13 for that. That's it.

14 COUNCIL MEMBER: Thank you, doctor.

15 MAYA ESCALONA: In many of the Mental
16 Observation Units there are no office spaces for us
17 to use. So we'd have to go into a corner or meet the
18 patient at his cell. More needs to be done in that
19 area. Sometimes we're in a closet that has cleaning
20 supplies, and we're seeing patients in there. I
21 suggested that --

22 CO-CHAIRPERSON JOHNSON: interposing]
23 Well, Maya, thank you for coming today because we
24 wouldn't have know that if you didn't tell us.

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2 MAYA ESCALONA: Yes, and not only should
3 there be more clinic offices, but these mental
4 observations, which are not CAPS, which are not --
5 They're other programs RHU, they're overcrowded and
6 the inmate to officer ratio is high, and I feel it's
7 unsafe.

8 CO-CHAIRPERSON JOHNSON: Thank you.

9 CHAIRPERSON CROWLEY: Thank you both.

10 And next we're going to call up the Jail Action
11 Coalition. We have Deborah Hertz and we have
12 Elizabeth Myers, and then there's somebody else?
13 Five, is that somebody's first name?

14 [Pause]

15 CHAIRPERSON CROWLEY: Please begin your
16 testimony, whomever would like to go first.

17 [background discussion]

18 ELIZABETH MYERS: Thank you for this
19 opportunity to testify concerning the brutality and
20 violence that are endemic throughout the jails at
21 Rikers Island, and which fosters an environment that
22 is extremely destructive for all who are confined
23 there. I am a new member of the Jails Actions
24 Coalition. JAC includes formerly and currently
25 incarcerated people, family members, and other

community members working to promote human rights,
dignity and safety for people in New York City jails.

I am please to be active with this dedicated group
about the devastating problems that are part of life
on Rikers Island, a place I could not have imagined
before my visits there.

I have been a visitor at Rikers for 2-1/2
years, accompanying the mother of a young man, now 20
years old who has been in the vine [sic], a/k/a
solitary confinement for much of this time. We have
never understood why he has been in the bing so
frequently and long. What we do know is that he has
a mental illness, and has been taking medication
since he was eight years old. Presently, he is in
protective custody, which is solitary confinement
with a TV. Those of us who are on the outside have
very little knowledge of what goes on inside. This
opacity is profoundly troubling, but seems to be the
modus operandi at Rikers.

For this reason, I am grateful for what
we have learned from recent information obtained
through the Freedom of Information Law. Information
which would not otherwise have been available to
those outside the department and the Board of

1
2 Corrections. These documents shed some light on the
3 secret activities in the jails, but flourish like
4 mushrooms in a dark and damp environment. For
5 example, because of information contained in an email
6 sent by the Executive Director of the Board of
7 Corrections to members of the Board on November
8 13, 2013, we know about the plight of Jose Bautista,
9 who was admitted to the Otis Bantum Correctional
10 Center on January 10, 2013.

11 According to email, after admission Mr.
12 Bautista was quote, "Subject to a new admission
13 medical exam after which he was sent to an intake
14 pen. In the pen, he attempted to commit suicide by
15 tying himself up by his neck on the bars of the cell.
16 A video shows inmates taking him down, officers
17 entering the cell, and then use of force and rear
18 cuffing of the inmate on the ground. The inmate
19 appears to jump to his feet at one point, and is then
20 subject to more force. He and the officers move out
21 of the camera's view [bell] and then..."

22 CHAIRPERSON CROWLEY: I'm sorry you don't
23 have the time you would like or we would like you to
24 have.

1
2 ELIZABETH MYERS: Can I finish my
3 paragraph?

4 CHAIRPERSON CROWLEY: You can finish your
5 paragraph you can please submit your testimony --

6 ELIZABETH MYERS: [interposing] Okay,
7 okay, okay.

8 CHAIRPERSON CROWLEY: -- for the record,
9 and it will be entered as part of the record. I
10 apologize. That was part of the reason I was rushing
11 trying to trying to rush testimony of the
12 commissioners earlier, which took almost an hour. So
13 it delayed the whole hearing, and there are a lot of
14 people today after you, about ten people who want to
15 testify.

16 ELIZABETH MYERS: Okay. Finish my
17 paragraph? Yes? Thank you. "He and the officers
18 move out of the camera's view, and then come back
19 into view where the officers are seen repeatedly
20 punching the inmate on the floor. The inmate
21 sustained potentially life-threatening injuries
22 requiring emergency for a perforated colon and
23 hospitalization. Almost finished." Although this
24 email contains descriptions of three deaths, two by
25 suicide and acts of use of force on four other

1
2 incarcerated persons, I chose this particular case to
3 highlight because it includes issues of mental
4 health, brutality, and lack of transparency. A man
5 with mental illness attempts suicide, and corrections
6 officers' officers response is to use significant
7 force against him, including while he was on the
8 floor and handcuffed. A portion of the incident
9 cannot be seen on video because the video line of
10 sight is partial. But we know the truth of what
11 happened because it was captured on a video.

12 Unfortunately, these brutal acts are often committed
13 outside the camera's range. And I thank you for this
14 opportunity to speak.

15 DEBORAH HERTZ: My name is Deborah Hertz.
16 I'm a member of the Jails Action Coalition. I thank
17 you for the opportunity to speak today. I actually
18 want to clear up a common misconception both here and
19 in the press that there is no difference between
20 punitive segregation and solitary confinement. I
21 have had the opportunity working at the Urban Justice
22 Center to -- I go on -- I do Brad H interviews, and I
23 speak to roughly 20 incarcerated individuals per week
24 that also are dealing with mental health issues. And
25 I've spoken to many who are in solitary, and the idea

1 that they are two separate things is simply not true.

2 And the idea that it's not a punishment is -- it's
3 flawed because it the word 'punitive' in the title
4 itself. But in any event, the -- Sorry.

5
6 And the fact that one can owe days to the
7 box means you can at one time during your
8 incarceration have committed infractions and be
9 released. And then at a later date, be expected to
10 complete those days. So that -- it's so far removed
11 at that point from the actual infraction that that's
12 not going to be a deterrent for that, or proper
13 punishment for that behavior. I have submitted some
14 copies for all of you. This is called Voices from
15 the Box, and it is a collection of personal accounts
16 of people that -- you use the term punitive
17 segregation but we use the term solitary confinement.
18 And as you can see, there are really-- When you read
19 through this, there really aren't many differences,
20 and just very quickly for example being locked into a
21 cell, and a very small cell for a minimum of 23 to 24
22 hours a day.

23 It's not always -- you don't always get
24 your hour of recreation. Sometimes you're denied
25 recreation. People are denied food. They're denied

1 showers. They are -- they do not have any human
2 interactions or very few. There's no human contact.
3 The idea is to truly isolate you from any kind of
4 social role, and this has really detrimental effects.
5 And, in fact, has been called 'torture' by the United
6 Nations Rapporteur on Torture, and people's mental
7 health deteriorates when you're inside, and if you --
8 and it exacerbates those symptoms that one has. But
9 also, people without any mental illness can become so
10 [bell] while in solitary confinement. Thank you.

12 CHAIRPERSON CROWLEY: Thank you and you
13 both. We're going to try to stay as brief as we can.
14 You know, I'm going to follow up with some questions.
15 We have your content information. We have Sarah Kerr
16 here from the Legal Aid Society. I'm going to call a
17 few people up at the same time. Mary Beth Anderson
18 from the Urban Justice Center.

19 [Pause]

20 SARAH KERR: Good afternoon. Thanks for
21 staying so long and hearing from us. You'll see I'm
22 handing out a term paper. Don't worry. I never plan
23 to do that as a my oral testimony, and I've now cut
24 my oral testimony significantly. The scope of
25 problems in our jails are well known. The tragic

1 outcomes of the failure to act are also well known,
2 and a number of you have brought up some of those
3 tragedies today, and I'm not going to repeat them. I
4 could recount stories of medical neglect. I could
5 recount seemingly endless stories of brutality
6 inflicted on people who are incarcerated in our city
7 jails. But we need to talk about reform, and we need
8 to take action. Our jails have a culture of violence
9 that is unacceptable. Staff resort to force first
10 and fail to implement interventions that would stop
11 the cycle of violence, and address root problems in
12 an appropriate management of the individuals who are
13 in their care.

15 The increase in the use of punitive
16 segregation in the Bloomberg Administration was
17 outrageous. While New York State prisons and the
18 rest of the country were implementing reforms, New
19 York City, my city, our city inexplicitly was
20 increasing its use. This was irrational, tortuous
21 and harmful to countless people in the jails. The
22 absurdity of the punishment continues to be obvious.
23 The wait list for punitive segregation, and there is
24 a policy to implement old bing time regardless of
25 whether individuals later adjust to the jails and

1 don't reinfract. The wait list, you don't get taken
2 off of the wait list if you don't have more problems.
3 You stay on the wait list. This is wrong. We must
4 have rational policies that eliminate this punishment
5 for the sake of punishment. Sentences are too long.
6 The 22 to 24-hour isolation is too onerous for our
7 fellow human beings.

8
9 Individuals with serious mental health
10 needs and our young people in particular cannot
11 withstand this isolation. Yet, we continue to use it
12 against them. When someone cuts themselves in
13 punitive segregation cell, our policies must
14 recognize that this is a cry for help. The attitudes
15 that suggest that individuals are gang leaders or
16 manipulative are wrong headed and result in tragic
17 cases of neglect that we have seen all too recently.
18 Many studies have already been done. I was happy to
19 hear a lot of them mentioned today. The Justice
20 Center, the Council of State Government did a study
21 as part of their -- Bloomberg's task force and DOHMH
22 has done a number of studies demonstrating the
23 likelihood of injuries to people in jails who have
24 mental illness. The Board of Correction has also
25 conducted some studies. We know we need to increase

1
2 the beds in CAPS. We know we need to increase access
3 to CAPS. We need municipal leadership to implement
4 long-lasting reforms, and we need to change the
5 violent culture [bell] in our jails. I'll stop.

6 CHAIRPERSON CROWLEY: Can we have your
7 testimony?

8 [Pause]

9 MARY BETH ANDERSON: Thank you for
10 allowing us to testify here today. I similarly am
11 not going to read from my testimony, but will
12 highlight some of the reforms that I think are
13 needed. That--

14 CHAIRPERSON CROWLEY: Please state your
15 name.

16 MARY BETH ANDERSON: Oh, I'm so sorry.
17 Mary Beth Anderson. I'm the Director of the Urban
18 Justice Center, Mental Health Project. But before
19 that for many, many years I was a colleague of Sarah
20 at the Legal Aid Society in the Criminal Defense
21 Division where I worked as an attorney representing
22 people with serious mental health problems for about
23 15 years. I also got my Social Work Degree during
24 that time period because I found it helped informed
25 my practice. So I'm also now a licensed social

1
2 worker. And that our jails have experienced an
3 uptick in violence does not surprise me, but it does
4 dismay me because I cannot believe that it's getting
5 worse. I do believe that the increased use of
6 punitive segregation does help contribute to the
7 culture of violence, and that, therefore, elimination
8 of punitive segregation is warranted.

9 I think that the Department of
10 Corrections does need to have additional training
11 and, in fact, I know they are going to be
12 implementing the Mental Health First Aid Training,
13 which is a model done for our public safety officers,
14 and I hope that that helps. But they're only
15 starting with the new training class. So I hope that
16 they expand, and provide this training throughout the
17 Department of Corrections. They do, indeed, have a
18 difficult job, but they -- it's the job they signed up
19 for and they have to expand and provide this training
20 throughout the Department of Corrections. They do,
21 indeed, have a difficult job, but it's the job they
22 signed up for, and they have to do it in a way that
23 is consistent with professional ethics as well as
24 safety.

1
2 In addition, we would recommend that all
3 employees -- all employees, everyone who works in a
4 city jail facility be trained on trauma informed
5 care. Many, many, many people, in fact, the vast
6 majority of people who come in contact with the
7 Criminal Justice System as defendants do have trauma
8 issues. It's not a soft-on-crime approach. It's an
9 approach that's been shown in other prison systems to
10 decrease incidents. Finally, I think that for us to
11 have any true difference, meaningful difference on
12 mental health in our society, we have to become more
13 transparent, and eliminate the stigma and
14 discrimination that people with mental illness face.
15 Norman Seabrook showed how terrible it can be for a
16 person with mental illness when he said --

17 And I'm sure he didn't mean it this way.
18 He said they should use the money to send people with
19 mental illness Upstate to institutions. We are
20 trying to help people engage in recovery in the
21 community, not in institutions, and ideally that's
22 what we do, be more open, and promulgate policies
23 that promote more openness and recognition of mental
24 health issues. The City does have an Initial
25 Psychiatric Episode Initiative. [bell] So, for

1
2 people who have first grade episode of psychosis, I
3 am on the advisory board. I think initiatives like
4 that need to be expanded. Thank you.

5 CHAIRPERSON CROWLEY: Next, we're going
6 to hear from the Fortune Society, Dr. Kirk, Anthony
7 James, and at the same time I would like to invite
8 the Brooklyn and the Bronx Defenders. We have from
9 Brooklyn Nick Malinowski [sp?]. I'm sorry. I can't
10 read your handwriting, and Pagenette Franklin, and
11 then Skylar [sp?] Albertson from the Bronx.

12 [Pause]

13 CHAIRPERSON CROWLEY: Move in so you feel
14 comfortable. [sic]

15 DR. KIRK ANTHONY JAMES: My name is Dr.
16 Kirk Anthony James from the Fortune Society, and I
17 just want to say thank you for allowing us an
18 opportunity to testify. I have often heard the
19 saying that insanity is doing the same thing over and
20 over and expecting different results. We've known
21 for probably 200 years that solitary confinement does
22 not work. There is a lot of research that has been
23 conducted that has shown that solitary confinement
24 has led to psychosis in various settings. A question
25 that hasn't been asked here today, which I think is

1
2 really interesting, is that if we can acknowledge
3 that so many people in prison are mentally ill, why
4 are we not asking then why are they going to prison?

5 And maybe this is not the hearing for
6 that, but if we're all under the understanding that
7 there's a large amount of people in prison that are
8 mentally ill, I think a question should be asked why
9 are they in prison to begin with? The first person -
10 - I'm also a licensed therapist, and I remember
11 working with an individual once they were released
12 from prison. And their crime was actually during a
13 psychotic episode they tried to kill themselves, and
14 do you know what happened? They were arrested and
15 charged with possession of a weapon. And I thought
16 that's a real problem in regards to our understanding
17 of mental health. So the person that testified spoke
18 about trauma, and the pervasiveness of trauma in
19 prisons.

20 And I think we listened to a lot of
21 testimony today that makes me really very aware that
22 our understanding of mental health is still very
23 limited. And what we're actually doing is we're
24 actually creating the environment for a lot of this
25 violence to happen in prison. And we're actually

1
2 creating the environment that will actually continue
3 the violence in the community once released. So I
4 think the answer is for all sets of society to
5 develop a greater understanding of mental health, and
6 also a greater understanding of what works, right?
7 Because it's not - -doing the same thing over and
8 over and not having the results that we're looking
9 for. So we're seeing solitary confinement doesn't
10 work.

11 It doesn't work for the correction
12 officers. It doesn't work for the individuals. And
13 a personal item that I wanted to share right, was
14 that I'm also formerly incarcerated. And I can tell
15 you, you know what, I spent nine years in prison
16 under the Rockefeller Drug Laws, and the experiences
17 that were the worst for me was actually going to the
18 box or the bing or whatever you want to call it. And
19 I was sent there for a minor offense. I was sent
20 there because I worked in the law library, and I
21 possessed somebody's legal document, which I was
22 making a copy for them, right.

23 And this was considered enough of an
24 infraction to send me to the box. And I was
25 fortunate that I only got a chance to spend a week

1
2 there before a riot in the prison actually allowed me
3 to leave and them to put other people there. But I
4 can tell you that it was the lowest period of my
5 life, and I only spent a week. So imagine all these
6 other young men, women mentally ill, pregnant women
7 that are being sent to solitary confinement. I think
8 it's really inhumane that as an advanced society we
9 still feel that punishment is a way to respond to
10 social ills. Thank you.

11 [Pause]

12 SKYLAR ALBERTSON: Good afternoon. My
13 name is Skylar Alberstson, and I'm the Assistant to
14 the Executive Director of the Bronx Defenders. In
15 this capacity, I have been conducting interviews
16 since January with clients of the Bronx Defenders
17 currently or formerly held in solitary confinement at
18 Rikers Island, including in RHU. I would like to
19 thank the Council for the opportunity to testify on
20 this matter. One year ago I graduated from college
21 excited to begin my first full-time job at the Bronx
22 Defenders. Having interned at a public defender
23 office as a undergraduate student, I assumed that not
24 much would shock me. For several months, this

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1
2 expectation held true. Then in January, I began
3 meeting with clients held in solitary.

4 Nothing could have prepared me for what I
5 would hear. The treatment of individuals placed in
6 solitary confinement at Rikers Island goes far beyond
7 what I ever imagined could be possible in the United
8 States. It is horrifying and it is shameful.

9 Roughly three months ago I sat across the table from
10 Luquan [sp?] Berkeley in a cramped interview in
11 Rikers. Laquan was hunched over with one arm
12 handcuffed to a wall. The fear in his eyes was
13 painfully clear. In a word, he looked broken. Prior
14 to arrive at Rikers, Laquan had been diagnosed with
15 multiple mental illnesses and learning disabilities.
16 Yet, once Laquan entered solitary confinement, he
17 found it incredibly difficult to access even the most
18 basic services such as medical care, phone calls, and
19 showers.

20 On more than one occasion, Laquan was
21 ordered to hang himself so that he could see a mental
22 health professional. The correction officers
23 responsible for Laquan would taunt him telling him to
24 hang it up really good, and to call them when he was
25 about to die. I wish I could say that Laquan's

1 situation is unique, but based on the interviews that
2 I have conducted over the past six months, many
3 aspects of his experience are all too common among
4 our clients at Rikers. Inexplicitly, solitary
5 confinement is the only form of punishment used for
6 most infractions at Rikers. Once a person faces
7 allegations and appears at an internal hearing for
8 which there is no right to counsel, the only question
9 remaining is just how long he or she will be locked
10 away in solitary confinement.
11

12 The median age for our male clients
13 interviewed this year about their experiences in
14 solitary is only 20 years old. Once our clients
15 enter solitary, it becomes shockingly easy for
16 correctional officers to pile on tickets for alleged
17 infractions. The median amount of solitary time for
18 our male clients interviewed this year is 105 days.
19 At least two of our clients have received tickets
20 totaling well over 1,000 days. The proposal at hand
21 is crucial for developing meaningful changes to the
22 use of solitary confinement at Rikers. It is
23 inexcusable, but arguably the most severe punishment
24 that the government inflicts upon individuals in this
25 city is shrouded in secrecy.

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2 Unfortunately, transparency is just the
3 first of many changes that must be made. Despite the
4 guarantees contained in the Minimum Standards, our
5 clients are often denied access to phones, showers,
6 medical care, mental health professionals, and
7 outdoor recreation. Solitary confinement, as it is
8 practiced at Rikers Island, is cruel, unusual, and
9 inflicts both severe harm to our clients. [sic] It
10 will take much more than monthly reports to check the
11 over-use and abuse of solitary at Rikers. But
12 without knowing the full scope of the problem [bell]
13 and without being able to monitor any progress that
14 we achieve, we have nowhere to begin. Thank you.

15 CHAIRPERSON CROWLEY: Thank you.

16 NICK MALINKOWSKI: My name is Nick
17 Malinkowski. I'm here on behalf of Brooklyn
18 Defenders Services, and we represent 40,000 people a
19 year in criminal and family court in Brooklyn. About
20 6,000 of our clients have spent time in city jails.
21 Hundreds of them will be subjected to solitary
22 confinement, which we've heard from everyone today is
23 torture, unqualified. I agree with a lot of stuff
24 people have said before me, including from Jails
25 Action Coalition, and the Justice Center and Legal

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2 Aid, and would just like to add a few points. One, I
3 want to express my disappointment that there doesn't
4 seem to have been much effort to include people who
5 are housed within the cells on Rikers Island in this
6 discussion. It's over 100,000 people a year, and
7 over a million in the last ten years, and you would
8 think that they would have a representative here.

9 I think solitary confinement needs to be
10 abolished. Like my colleagues said, there's
11 centuries of research on this that it creates
12 problems that it's pathogenic, that it leaves people
13 more prone to violent and anti-social behavior.
14 There should be zero tolerance for brutality from
15 correctional officers. Adolescents should not be in
16 adult facilities. They shouldn't be in jails of any
17 kind, but as we work towards that goal, I think one
18 option would be to use their borough-specific
19 facilities to have 16 and 17-year-old in their
20 community where they would have better access to
21 services, their communities and family members. As
22 we heard from just about everyone today, the
23 infrastructure and personnel in city jails are not
24 set up for managing complicated mental health needs.

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2 So people with complicated mental health
3 needs shouldn't be there until that is rectified. We
4 don't see the RHUs as a good model to resolve that.
5 As for the bill, we fully support it. Over the
6 years, the transparency from the Department of
7 Corrections has decreased, and we find it inexcusable
8 that so many people would be subjected to these
9 policies without any kind of oversight. In addition
10 to the reporting outline in the bill, as attorneys we
11 would like notification from the Department of
12 Corrections when any of our clients are subjected to
13 an infraction hearing. When any one of our clients
14 is moved from an observation to punitive segregation,
15 there's typically an interview, which often has
16 criminal consequences.

17 People are asked to waive their rights to
18 self-incrimination, and things like that. And we
19 think it's important to have an attorney there.
20 We've seen this benefit in our immigration practice
21 where after the City Council funded us to defend
22 people in deportation hearings, the success rate for
23 people went from 3% to 50%. The circumstances did
24 not change. You just gave them an advocate, and 50%
25 of the people were able to assert real defenses that

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2 they belong in this country and were not guilty of
3 what they had been -- the administrative conflict.
4 Lastly, I just to share two stories that I think kind
5 of exemplify situations that would hopefully be
6 rectified by the Bill 292.

7 CHAIRPERSON CROWLEY: [off mic]

8 [interposing] You have only one minute. [sic]

9 NICK MALINKOWSKI: Okay. One client was
10 issued an infraction ticket on May 12th because a
11 correctional officers alleged he had found something
12 in his rectal cavity during his cell search. [bell]
13 As a result, our client was placed in isolation --

14 CHAIRPERSON CROWLEY: [interposing] Your
15 testimony will be submitted for the record. You
16 don't have to read it. I understand that you hope
17 for more people who have been victims of solitary
18 confinement to come to the public hearing. Everybody
19 was invited. The next time I have a hearing with the
20 DOC if you want to bring any of your clients, please
21 do. We'll read through your testimony, and it will
22 be part of the record. If I have any questions, we
23 leap [sic] back at you. I'm sorry. We're pressed
24 for so much time, but it's almost 6 o'clock, and
25 there are people who wanted to close this room almost

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2 an hour ago. My apologies. Thank you for the work
3 that you do. We have Dr. Henry Weinstein [sp]. We
4 have Joanna Miller and Michelle Bollaire [sp?]

5 [Pause]

6 MEAGAN O'TOOLE: Hi, I'm obviously not
7 Dr. Henry Weinstein. He had to leave. My name is
8 Meagan O'Toole. I'm the Executive Director of the
9 New York County Psychiatric Society. We represent --
10 we have some non-profit membership association, with
11 psychiatrists in Manhattan and Staten Island with
12 over 1,800 members. We're pleased that the three
13 committees are here today on this important issue,
14 and the recent deaths at Rikers Island have obviously
15 shed light on what many of us already knew that we're
16 not adequately treating and caring for people with
17 mental illness who end up in our jails.

18 And while overall jail population may be
19 decreasing, we heard already today that the
20 population with mental health needs is actually
21 increasing. We believe that much must be done at all
22 stages of the process from pre-trial detention to
23 incarceration to post-release supervision and
24 treatment. And we're proud that many of our members
25 are already making strides in the process including

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2 having members on the Mayor's task force. I'm going
3 to be very brief and just say that we're encouraged
4 by your attention to this issue, and we know that
5 addressing the problems are not going to be quick.
6 It's not going to be easy, but we stand by ready to
7 be a resource to the Council whenever our doctors are
8 needed.

9 CHAIRPERSON CROWLEY: [off mic] Thank you
10 for your testimony. [sic]

11 MICHELLE BIHA: Hi, my name is Michelle
12 Biha, and I actually work mostly in Rhode Island. I
13 work as an intern, a medical intern in the Mental
14 Observation Unit in both the women's medium security
15 facility and the men's high security and medium
16 facility. And I just wanted to share that recently I
17 spoke with the Colorado Director of Corrections who
18 directs the whole state's Corrections Department so
19 it's prisons and jails. But he actually reduced --
20 He made headlines in New York when he spent a night
21 in solitary confinement. But he reduced the
22 population of their numbers in solitary confinement
23 from the triple digits to the single digits. And his
24 first priority is leaving all mentally ill inmates
25 out of segregation.

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2 And he did that without any increases in
3 violence. So I just wanted to throw that out there
4 because a lot of the evidence today that sort of
5 suggests how it's been predicted of hypothesis about
6 increases in violence or decreases in violence
7 depending upon the use of punitive segregation. But
8 it's been done in Colorado very successfully, and he
9 also previously worked in Wyoming, or in this
10 context. So you can talk to him about it, and I hope
11 the Council does seek his advice. He's very open to
12 sharing his techniques that he used for that because
13 I think they really need it here in New York. And as
14 a medical worker, I also want to echo what the nurses
15 said about needing specific rooms.

16 We don't see any patients at all in their
17 cells whether they're housed in segregation or not.
18 We have our own medical room and we see them in
19 clinics. And I think that's really fundamental. So
20 to the extent that you've all supported that health
21 and safety, I would make that environmental and
22 structural change as quickly as possible. And then
23 I also want to echo what everyone else had to say
24 about changing punitive segregation. We should not
25 have any youth awaiting trial in punitive

1 segregation. There should be no use of punitive
2 segregation for people who have a non-violent
3 infractions. There was one youth that I spoke to in
4 several of my interviews that I've done in Rhode
5 Island. He was given an infraction for having an
6 expired prescription bottle in his room, and then he
7 would --

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9 In his cell and he was given a sentence
10 of 300 days in punitive segregation. And it seems
11 like those were, you know, the situations that
12 Seabrook described where you have inmates rioting.
13 There are situations where the Corrections Department
14 needs ultimate authority in determining those. But
15 that's not what's being used for the majority of
16 people held in these units. They're small
17 infractions. They're things that need to be dealt
18 with like throwing out the prescription bottle and
19 making sure that person is taking their medication.
20 They don't need to be put in segregation that causes
21 more pain and psychiatric illness and all these sorts
22 of things. They can be fixed immediately. So if
23 you're looking for solutions now in the short term,
24 that's a really good place to start is changing the
25 infractions that they use. And looking to other

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2 departments that were able to reduce their population
3 dramatically. So thank you for your time.

4 CHAIRPERSON CROWLEY: Thank you both. I
5 want to thank everybody for staying so long today
6 especially those that had to wait a long time to
7 testify. The Committee will follow up with
8 questions. So please if you could respond that will
9 be great, and we'll add it to the Committee Report.
10 This concludes the hearing of June 12, 2014, co-
11 chaired by Council Member Andy Cohen who chairs
12 Mental Health, and co-chaired by Council Member Corey
13 Johnson, who Chairs Health. And I'm Council Member
14 Elizabeth Crowley, and this concludes the hearing.

15 [gavel]

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C E R T I F I C A T E

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date June 19, 2014