

CITY COUNCIL  
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

of the

COMMITTEE ON TECHNOLOGY IN GOVERNMENT

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Start: XX:XXam/pm

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HELD AT: Council Chambers  
City Hall

B E F O R E:

GALE A. BREWER  
Chairperson

COUNCIL MEMBERS:

Eric Ulrich  
Bill de Blasio  
G. Oliver Koppell  
Letitia James

A P P E A R A N C E S (CONTINUED)

Louisa Chafee  
Director for Management Innovation  
Health and Human Services

Ron Bergman  
First Deputy Commissioner  
NYC DoITT

Hayyim Obadyah  
Director of 211/311 Initiatives  
United Way of New York City

CHAIRPERSON BREWER: Good morning, I'm sorry I'm late. I'm Gale Brewer, City Council Member for the west side and Chair of Technology in Government. And I'm embarrassed to say this is the Technology Committee and the technology does not work, the PowerPoint, so I will just read the PowerPoint in the old-fashioned way to do an introduction.

We're talking today about the, I guess I call it the 211/311 system, also known as E311. It was launched, 311 itself was launched in early 2003 and it provides information, as we all know, on non-emergency city services in 170 languages, 24 hours a day, seven days a week. The 311 operators field over 1.3 million calls per month. The system does filter out non-emergency calls, it acts as a gateway to numerous city services, and it gathers information on potential trends evolving throughout the city, obviously things that people are concerned about from noise to housing inspection and so on. The city has upgraded the system by expanding the scope of information and building an online interface.

And then of course there's the

story about the advent of 211 services. In July 2000, the Federal Communications Commission, FCC, reserved 211 dialing code for community Information and Referral, I&R services, connecting callers around the country to not-for-profit and social service providers. 211 can do the following: it can help individuals find basic human needs, physical and mental health resources, employment supports, maybe not a job, support for older Americans and persons with disabilities and support for children and families. The 211 systems around the country operate in 46 states, plus Washington, DC and Puerto Rico, and apparently reach 250 million Americans--it says over 80% of the population. In New York State, there are numerous 211 systems providing support to 14 million people, or 75% of the state's population. There is in Washington a 211 act that's been introduced in Congress and it hopes to direct the Secretary of HHS, Health and Human Services, to award money to states that have a program making 211 available throughout whatever state desires it.

Enhanced 311, after many meetings

1  
2 that I attended, many, many, New York City decided  
3 in 2005 to fold a 211, one that I just described,  
4 211 type service into the 311 system already in  
5 place. I think Dino Mancini was still the  
6 Commissioner at that time. And with many, many  
7 meetings with United Way and others, that was the  
8 compromise. And in 2008, the city, in partnership  
9 with United Way, announced the launch of the  
10 Enhanced, or E311, system that provides  
11 information about social services and offers  
12 referrals to the appropriate city agency or  
13 community-based organization.

14 And I did call, just because I want  
15 to know, 211 this morning to see what was going to  
16 happen and you do get told to dial six if you want  
17 to speak to an operator, and then I pretended that  
18 I had a domestic violence program problem and to  
19 their credit, after some time, maybe a little bit  
20 longer than you might like, the operator came on  
21 and told us to go to Safe Horizon, which is  
22 exactly what you're supposed to do.

23 The new system includes 30  
24 specially trained operators for social service  
25 related questions and made accessible almost 1,000

social services and 1,300 nonprofits with the 311 or E311 or 211, whatever you want to call it. But E311, callers to the system, anybody calling E311 or 311, can now find information regarding financial counseling, child care, summer youth programs, senior centers, pre-K programs or UPK full-time programs, kindergarten programs, job training and domestic violence counseling.

There have been, since its launch to this E311, there have been about a quarter of a million such calls on Health and Human Services each month. And in August 2008, a Health and Human Services online-provided directory--we'll have to learn more about this--was launched and includes 17 Health and Human Services categories.

So, with that, we'd love to have DoITT, I think, come up and talk to us or Louisa Chafee from Deputy Mayor Gibbs' office and the great Ron Bergman from DoITT.

His mother was district manager of a Community Board, that makes him more special than anybody I can think of. Welcome.

MS. LOUISA CHAFEE: Good morning.

CHAIRPERSON BREWER: Good morning.

MS. CHAFEE: Good morning,  
Chairperson Brewer and Members of the Technology  
in Government Committee. My name is Louisa Chafee  
and I am the Director for Management Innovation  
for the Deputy Mayor for Health and Human  
Services, Linda Gibbs. Thank you for the  
opportunity to testify you this morning regarding  
New York City's Health and Human Service  
Information and Referral, known as 311, known as  
211 in other jurisdictions, and referred to in New  
York City as E311.

Working in close conjunction with  
DoITT and our nonprofit partners, we are  
responsible for leading the effort to bring 211  
services to 311. In November of 2005, Mayor  
Bloomberg made the public commitment to bring  
telephone-based Information and Referral to New  
Yorkers through the 311 calling center. Shortly  
after that announcement, Councilwoman Brewer, you  
held a hearing and DoITT discussed the many  
challenges that lay ahead, identifying appropriate  
call takers, incorporating critical information to  
the database, adhering to national data standards,  
obtaining the 211 number, and, perhaps most

importantly, engaging the nonprofit community's expertise in designing social service provision.

Today 311 provides Health and Human Service Information and Referral services to over 8,000 caller a day, 24 hours a day, seven days a week in nearly 180 languages. I'm pleased to testify on how we've achieved the critical building blocks we identified and created a unique blended model, which is the governance structure behind this innovative government nonprofit approach to effective social service information and referral provision.

Working with DoITT, we formed a coalition of nonprofits and government agencies to together plan the best approach to delivering this service. With strong leadership from Safe Horizon, LifeNet, Visions Blind Line, the Human Services Council, and of course, the United Way of New York City, these nonprofits, as well as the New York State Alliance of Information and Referral specialists, and over 40 other nonprofits formed an advisory committee that continues to advise DoITT on many aspects of social service implementation. Councilwoman Brewer, we thank you



and your staff for participation in this effort since its inception.

The workgroup was renamed the Advisory Committee when we formed a nonprofit organization in order to have the right to turn on the 211 number. That entity, the New York City Health and Human Service Information and Referral Corporation, has a board consisting of six city officials and three nonprofit leadership organizations: United Way of New York City, the Human Services Council, and Visions Blind Line. We were granted rights to use the 211 number in March of 2007. We created a unique blended model of 211 and 311, joining DoITT's robust technology with the nonprofit community and New York City government [off mic] social service provision expertise.

For data standards and call taker certification, we continue to work with the Alliance of Information and Referral specialists to have the call center accredited, bringing high standards to the call takers and allowing the analysis of data in the AIR's taxonomy so that one can compare call volume and usage across

jurisdictions.

In addition to New York City funds, New York State has issued about \$5 million to New York City, over two-thirds of which have been used to develop and enhance the content of 311 by adding social service content and provider information, recognizing the merits of the Height Information System, a database developed by the Greater New York Hospital Corporation to assist caseworkers when discharging patients, we've worked together for over three years to incorporate their content and leverage their excellent nonprofit knowledge. The remainder of the funding has been used by United Way of New York City to build capacity in our nonprofit community to receive referrals from 311. These include trainings and grants such as an actual capacity building grant, such as hardware and phone systems and computers.

So thank you again for your invitation to testify. This concludes my prepared remarks and I'd like to introduce you to my colleague at DoITT, First Deputy Commissioner Ron Bergman.

2 RON BERGMAN: Good morning and  
3 thank you, Louisa. Good morning, Chair Brewer, I  
4 just wanted to correct the record that my mother  
5 was an assistant to a wonderful district manager  
6 in Manhattan Community Board 7, Jane Haugh, who we  
7 remember fondly.

8 My name is Ron Bergman and I am the  
9 First Deputy Commissioner of the New York City  
10 Department of Information Technology and  
11 Telecommunications, or DoITT.

12 Thank you for the opportunity to  
13 testify today on the city's implementation of the  
14 Enhanced 311 initiative. This initiative, which  
15 provides the public with round-the-clock access to  
16 comprehensive Human Services Information and  
17 Referral from both city agencies and nonprofits  
18 across the five boroughs. But in many  
19 jurisdictions throughout the country is being  
20 developed through the 211 abbreviated dialing  
21 arrangement, New York City's enhanced 311  
22 leverages the staff, technology, infrastructure,  
23 and business processes already in place at the  
24 city's 311 customer service center.

25 As you said, launched in March

2003, 311 is New York City's phone number for all non-emergency government information and services operating 24 hours a day, 7 days a week, 365 days a year, and with translation services in nearly 180 languages.

311 can also be accessed from anywhere in the world by dialing 212 New York or via Skype or online at nyc.gov and users can now follow 311 NYC on Twitter as well.

Now receiving about 16 million calls per year and more than 87 million since its inception, 311 has become a model for the non-emergency government service numbers around the world, including countries such as Denmark, Germany, Ireland, and the United Kingdom. Stateside, the cities of Philadelphia, Newark, San Francisco have all established innovative non-emergency service numbers based upon our model.

When we last testified before the committee on this topic in February 2006, Mayor Bloomberg had recently announced the commitment to bring Health and Human Services Information and Referral to 311. Since that time, under the leadership of Deputy Mayor Linda Gibbs and with a

consortium of nonprofit organizations across the city, Enhanced 311, or simply E311, has become the nation's largest social service information referral call center.

The transformation began in November 2006 when we partnered with the city's Department for the Aging to handle expanded hours calls regarding the city's aging population. It continued the next year when we partnered with the Human Resources Administration to consolidate the Food and Hunger hotline. Hungry New Yorkers today can call 311 and speak with trained specialist to learn about the location and hours of emergency food programs citywide.

Marking these successes, in April 2008, Mayor Bloomberg announced that E311 was providing access to nearly 1,000 unique social services and 1,300 nonprofit organizations--an event that Chair Brewer attended at the 311 call center. These services included the Department of Youth and Community Development Summer Youth Employment program, the Department of Corrections inmate lookup capability, and eviction assistance services from the Legal Aid Society, in

conjunction with the Department of Homeless Services and HRA.

To promote the availability of these enhanced services to New Yorkers, especially the working poor, many of whom have limited English language proficiency, the city also unveiled a multi-language marketing effort in English, Arabic, Chinese, Haitian Creole, Korean, Russian, and Spanish. Ads also appeared in bus shelters on subways and on new bumper stickers on taxicabs in city vehicles. The campaign featured the familiar 311 logo and introduced a new 311 tagline: Your City, Your Needs, Your Number, informing New Yorkers that they could now simply get the 311, they get 311 for senior services, 311 food assistance, 311 domestic violence assistance, etc. for all their human service needs. Through E311 callers can receive information and referrals to assist with housing, health care, job training, daycare, substance abuse programs, workforce development, and educational opportunities, among others.

Calls coming in to 311 are first addressed by a call center representative who

assists the caller in narrowing down his or her inquiry to a relevant requested service. For more complex human service inquiries, the caller can then be transferred to an E311 information or I&R specialist to determine the underlying needs and provide referral and related services. These I&R specialists assist callers, both individual clients and professionals, in assessing which services they are seeking, referring callers to not for profits, community-based, faith-based, and government Health and Human Services organizations and programs.

Today, we continue our work with Deputy Mayor Gibbs and her staff to further improve, not only the vast array of human services offered, but in the ways that they can be made more accessible. For instance, information about the many E311 services, as well as access NYC, the web-based portal that prescreens New Yorkers in nearly 40 city, state, and federal human services programs are also available via 311 online.

Launched last March, 311 online is a terrific new website that offers customers multiple ways to find nearly 4,000 city services

on nyc.gov. Customers can search by keyword, locate information about specific programs and initiatives, and create service requests directly online. Users can browse the full range of city services and drill down to topics within each of these categories, as well as look for available services by specific demographics or service type. Easy keyword searches allow customers to navigate directly to the point of service in just a few clicks. A significant feature of the 311 online portal is the Health and Human Services provider directory--a comprehensive online tool to help New Yorkers connect with community-based organizations providing human services across the city. Customers can use the directory to search for information by ZIP code, borough, service category, or organization name on everything from smoking cessation clinics, foot stamp centers, public hospitals, and green markets, to career centers, after school programs, libraries, and much more.

Once users identify specific providers, they are presented with the organization's address, phone number, hours of



operation, and a listing of description of services offered. I am pleased to announce that the 311 online initiative is being recognized today by the Center for Digital Government with the Best of New York award as the project best advancing services to the public.

As the city's technology agency, DoITT works to transform the way the city interacts with its customers by leveraging IT to improve services and deliver on the Mayor's promise to increase transparency, accountability, and accessibility. These services span the range of the city's key mission areas, from public safety to economic development to infrastructure services. Among our portfolio of services, however, Enhanced 311 and related initiatives are among the most rewarding because they succeed in helping New Yorkers and their families change their lives for the better. As E311 continues to evolve and grow, we welcome the challenge of improving upon these services even still.

Thank you again for your time this morning. We would now be pleased to address any questions you have.

CHAIRPERSON BREWER: Thank you very much. I want to welcome Council Member Eric Ulrich, Bill de Blasio, and Oliver Koppell--I think, is there anybody else? No--to the committee and I also want to say that Sam Wong [phonetic] is here from our office, he is webcasting. The City Council, whatever reason, can't figure out how to do the technology today, but Sam Wong can figure out how to webcast.

And I want to point out to everybody right here in front of this camera that it costs nothing to do webcasting, we're trying to webcast--we have a bill that says every city meeting should be webcast in the city of New York. Just so we understand, it costs nothing, just want to point that out.

Thank you very much for your testimony, and I have a few questions and my colleagues will jump in if they have any questions. How is all of this funded, would be one question. Obviously, we're waiting for federal right now and city and state, could you describe--you talked a little bit about how United Way participates. How does the funding come from

the city and the state?

MR. BERGMAN: Okay, sure. The funding is mostly city funding with some additional state funding that we've received.

CHAIRPERSON BREWER: Right, and you're hoping that there could be some federal in the future.

MR. BERGMAN: Yes.

CHAIRPERSON BREWER: And how would that change if there is federal in the future? What would we do in addition?

MR. BERGMAN: I think we would have to look and see what the level would be of federal funding and we'd have to look at the city's overall economic condition to determine if that would replace city funds or be added to city funds.

CHAIRPERSON BREWER: And how many additional operators? Are they specially trained? Because obviously human services are slightly different.

MR. BERGMAN: Sure, we have trained operators who have experience, education in the human services world, they're a wonderful group.

They get trained for four weeks, it's intensive in the human services area, there are high rates retention and high rates of job satisfaction among this group because of the good work that--

[Crosstalk]

CHAIRPERSON BREWER: [Interposing]  
So when I called today, it was a pretty easy call because I just asked for DV and it was referred, I think as appropriate, to Safe Horizon.

MR. BERGMAN: Right.

CHAIRPERSON BREWER: What kind of call would have triggered one of your specialized operators?

MR. BERGMAN: Sure, the calls that are more transaction-based, a request for information--where there's a location, hours of operation, things like that, would be handled by the initial call taker. Call takers that have added levels of complexity, they could be around issues of aging, for example, to get information about the SCRIE program or things like that, where there is more of a dialogue, that those are the kinds of questions that would be referred to a tier two specialist who has the training and

background and experience in human services.

CHAIRPERSON BREWER: And are those individuals also on 24 hours a day? Because I know you've had some cutbacks.

MR. BERGMAN: We have had some cutbacks at 311, and in addition, our call volume has increased. However, we're maintaining the levels of the I&R specialists, it's around 50, and we do operate 24 by 7 and we'll continue to do that.

CHAIRPERSON BREWER: And the training that is done, is it done by United Way, by the agencies, who actually does the training?

MR. BERGMAN: Right, you want to take [off mic]? I believe that the training has been reviewed by our partners, but we are delivering the training through the 311 training work that we do. Our emphasis at 311, as you know, is on excellent customer service and maintaining high levels of customer satisfaction, and on using 311 data to further improve and work with agencies on how we deliver services.

CHAIRPERSON BREWER: Council Member Ulrich, you have a question?

COUNCIL MEMBER ULRICH: Yeah, thank you, Madam Chair. Commissioner, at a recent Community Board hearing in my district, there were some concerns raised that DoITT would be consolidating some of the categories that people, when they call into 311, that some of those categories would be merged or consolidated in any way, could you speak to that? Do you know anything about that? I think it was with respect to noise complaints that there were a number of different categories that the customer service specialists were able to put those into and some of those have actually been merged, is that correct?

MR. BERGMAN: I am not aware of that and if I could find out more from your staff offline, we could look into that. We are always looking for ways to improve how we deliver services at 311 and deal with the reductions and call takers and our increasing call volume. But our basic way of operating in terms of ensuring that people can speak to a call taker quickly and that we have high levels of service remains the same. But there's nothing that I'm aware of

specifically--and noise complaints are fairly, we get a lot of them, number one, especially in summer and on Fridays and so on when windows are open and there's people who are outside, so we handle them very seriously. And I will find out more for you about any specifics in terms of recategorizing--

COUNCIL MEMBER ULRICH: Are they differentiated in any way? Noise complaints in the system? And in terms of your reporting, obviously, I'm sure that quarterly or semiannually you're able to throughout the year report on, for instance, how many calls 311 complaint regarding loud music or how many noise complaints they receive regarding dogs barking in the middle of the night, whatever the case may be.

MR. BERGMAN: Right, we are--

COUNCIL MEMBER ULRICH: They are differentiated? They're not kind of lumped into one or...?

MR. BERGMAN: I believe that we do differentiate them, we use a tool to analyze our data, we look for trends and we are constantly, again, trying to improve and tune how we operate.

So we can report on a regular basis, that information is available under local law, and we report it in the MMR in the sort of aggregate numbers, but we have some detail on that so--

[Crosstalk]

COUNCIL MEMBER ULRICH:

[Interposing] And when you report that data, again, that's not in any consolidated fashion. Is there a specific breakdown that is available?

MR. BERGMAN: I really need to go back and take a look, I will be happy to--

COUNCIL MEMBER ULRICH: That's fine.

MR. BERGMAN: --meet with you and your staff--

COUNCIL MEMBER ULRICH: That's fine.

MR. BERGMAN: --to discuss it in more detail.

COUNCIL MEMBER ULRICH: Thank you, Commissioner.

CHAIRPERSON BREWER: Council Member Koppell. Council Member Koppell.

COUNCIL MEMBER KOPPELL: Thank you.



Welcome, I believe--

CHAIRPERSON BREWER: Push the--

[Crosstalk]

COUNCIL MEMBER KOPPELL: --my  
constituent.

MR. BERGMAN: Right.

COUNCIL MEMBER KOPPELL: Am I  
right?

MR. BERGMAN: Yes.

COUNCIL MEMBER KOPPELL: It was  
nice to see you not in the bagel shop--

[Off mic]

COUNCIL MEMBER KOPPELL: That's  
where we usually meet.

MR. BERGMAN: That's correct.

COUNCIL MEMBER KOPPELL: Several  
things, first of all, let me observe that, I think  
I'm a reasonably aware individual in the community  
and a representative and I was not aware of the  
degree of expansion of 311 that you discussed this  
morning. I think that there needs to be a better  
job of telling people that these services are  
available, because as I say, I was not aware of  
them, I mean of course I'm aware of 311, but not

aware that you had this extensive social service referral system.

One question I had about it is that how do you evaluate the effectiveness of these organizations that you're referring people to? Is there any--

MR. BERGMAN: Take that.

COUNCIL MEMBER KOPPELL: --such evaluation going on?

MR. BERGMAN: Sure, I'll ask Louisa to address that, and we will look also at our marketing campaign.

Part of our goal in creating Enhanced 311 was to make the service seamless for New Yorkers so that they could call 311 with any type of service request, any type of inquiry and they can get an answer. And so, in one way, we certainly need to do more marketing so that people understand what the services we offer and that was the intent of our marketing campaign a few years ago, to begin to change that message, but we could certainly do more.

COUNCIL MEMBER KOPPELL: Because as I say, I mean, if I'm not aware of this, I would

1  
2 assume that many people in my district are unaware  
3 because I obviously I'm interested in this sort of  
4 thing and we're well aware of the use of 311 to  
5 contact city agencies and make complaints and  
6 such, but not that this referral service is  
7 available for all the social service agencies.  
8 We're just not aware of it. I think it deserves a  
9 publicity campaign even though I've been critical  
10 of spending too much money on advertising these  
11 days, still I think that public service  
12 announcements of this is something that would be  
13 worthwhile certainly on the various city cable  
14 stations and such.

15 MS. CHAFEE: Well we certainly a  
16 welcome increased awareness. In fact, working  
17 with United Way of New York City, we've been  
18 thinking about moving forward how to engage new  
19 technologies and how to reach different types of  
20 populations, whether it's print media or just  
21 different options through technology as it  
22 evolves.

23 COUNCIL MEMBER KOPPELL: One  
24 suggestion I might make off the top of my head is  
25 that if you could provide maybe provide members of

the Council who all send out newsletters, I'd be happy to put a notice in my newsletter telling people about the availability of this service if you provided some sort of form notice, maybe through the Speaker's office even, people would put it in their newsletter. I'd be happy to put it in my newsletter because I think it's a very useful--

MS. CHAFEE: Great.

COUNCIL MEMBER KOPPELL: --tool.

MS. CHAFEE: Yeah, we--

[Crosstalk]

COUNCIL MEMBER KOPPELL: But you wanted to answer the question about--

MS. CHAFEE: Right.

COUNCIL MEMBER KOPPELL: --  
evaluation of the services.

MS. CHAFEE: So we have a number of levels of evaluation. For a nonprofit to participate in the 311 system, they need to adhere to the state established regulations, and the state organization is a collaborative of the 211 statewide that established credentialing frameworks that determines what they consider to

be parameters of good organizations, and we'd be happy to share those, it's a fairly extensive list and it was crafted together with many nonprofits and providers.

So once a nonprofit has been or other services has been incorporated into 311, we have a number of quality assurance sort of loops to make sure that services are being provided as we anticipated they would be provided and, of course, if anyone has an experience that's either extremely positive--occasionally we get those--or negative, that we look at those on an individual level, we have aggregate outreach, and we have the ongoing quality assurance feedback.

COUNCIL MEMBER KOPPELL: Well, I mean, how do you do that? I mean, let's assume I call up, and to talk about an area that I'm involved in, the mental health and somebody calls 311 and says I'm feeling very anxious, I need to have some counseling and you refer them to a local mental health, let's say the Riverdale Mental Health Service to take one that I know. Let's assume that person has a bad experience there, hopefully they wouldn't, but I'm just using it as

an example, don't misunderstand, I think they do a very good job, but let's assume someone had a bad experience. How do they report that or do you send out maybe some follow up to that person and say we referred you recently to Riverdale Mental Health, was that a good experience for you, do you do anything like that?

MS. CHAFEE: Well first of all, thank you for using the mental health example because it's actually the area that we initially focused on for a number of reasons. Of one calls 311 or 211 with a mental health issue, one is transferred to LifeNet and LifeNet is the organization working with the Department of Health and Mental Hygiene that routes on that both offers telephone-based counseling and makes appropriate referrals. And we've been meeting with LifeNet on a regular basis over the course of the last three months to analyze all calls being transferred from 311 and to see that both the quality outreach they do and where the transfer is appropriate because, at times, they have questioned why callers were being routed to them. So an example is if a caller calls in a great sense of agitation, an

operator may make a decision that they're dealing with a mental health issue; in fact, they're just dealing with an irate New Yorker, sometimes they're dealing with an irate New Yorker with a mental health issue. So literally there's been a call by call analysis making sure that both LifeNet felt that the right calls were coming in, that 311 felt the right calls were being transferred, and fine-tuning the training around that to improve the quality assurance.

And so to the second part of your question, 311 is actually doing ongoing outreach calls, so at the end of certain calls, and Commissioner Bergman can speak to this more, there is a certain randomly assigned questionnaires, would you be interested in doing a follow-up and, if you are, you need to provide your information so that the outreach call can happen and later on a 311 operator calls out and asks according to a standard protocol how the experience was to just determine in general how the call experience is progressing.

COUNCIL MEMBER KOPPELL: Well I might suggest that one thing you might consider is

1  
2 if the people give you their name and address,  
3 that some kind of form be sent to them with a  
4 questionnaire, was the service--'cause I think  
5 that this is a dicey area because sometimes they  
6 may not get very good advice and you want to know  
7 that. So I think it's very important when you get  
8 involved in something like this to have a follow-  
9 up.

10 Do you refer people with respect to  
11 legal services? If people say they need a lawyer,  
12 what do you do about that?

13 MS. CHAFEE: We have limited legal  
14 services referrals and we are actually in the  
15 process of analyzing what we currently do and  
16 thinking about how it could be expanded.

17 COUNCIL MEMBER KOPPELL: I mean, I  
18 think, again, that's an interesting area, but a  
19 lot of the Bar Associations have referral  
20 services, and then of course there's Legal Aid and  
21 Legal Services and so it's complicated, but I  
22 think it's probably a worthwhile area to look  
23 into.

24 Lastly, something came up at a  
25 hearing just the other day and Council Member



Ulrich asked about noise complaints. Do you keep separate records with respect to noise complaints about sightseeing buses? We just had a hearing about this.

MR. BERGMAN: I will look into that, I don't know the answer.

COUNCIL MEMBER KOPPELL: It would be interesting if you did, obviously that refers mainly to Manhattan, but the Department of Consumer Affairs was notably, I thought, ignorant of complaints. The people who represent areas, I don't know if Council Member Brewer has this experience, but people living in areas where the sightseeing buses go do complain to my colleagues, I don't represent Manhattan, so I don't have any sightseeing buses. But it would be interesting for me to know based on whether I want to support the legislation or not whether you've gotten many complaints about the noise emanating from sightseeing buses, especially people making announcements on the open air deck as the buses go through residential areas. So if you could get back to me on that. I'm sure that the Chairman of our Consumer Affairs Committee and Transportation

Committees will be interested in that information, which as I said, Department of Consumer Affairs couldn't provide and they didn't know whether you could provide it or not. They should have asked you, but they didn't.

MR. BERGMAN: We'll do the research and--

[Crosstalk]

COUNCIL MEMBER KOPPELL: Thank you.

MR. BERGMAN: --to you, sure.

CHAIRPERSON BREWER: Thank you.

We've been joined by Council Member Letitia James, thank you.

How does a group get to be part of the referral network? You talked about this a little bit, but obviously in the city one of the issues is the big groups and the small groups and all of us in the neighborhoods like both, but we know that in many cases it's the smallest group that has the most impact in the neighborhood. So how do you make the decision as to who gets to be a part of your network? Obviously, if you're LifeNet, then maybe there's another subsection-- and following up Council Member Koppell, one of

the questions would be okay, you go to LifeNet, but then LifeNet may refer to Riverdale and that might actually be the service. So how do you figure out this network?

MS. CHAFEE: It's a complex process, but I'll try and explain it briefly and we'd be happy to give you former information after, as appropriate.

The way we have been doing it is we've looked at nonprofits under contract and nonprofits not under contract with the city, so those are two data sources, and in addition, we've been using or we've been working closely with the Greater New York Hospital Corporation's height tool, which was a database of social services and Health and Human Services developed for caseworkers working with discharging patients and it contains an enormous realm of nonprofit providers and information services.

CHAIRPERSON BREWER: You have that whole database.

MS. CHAFEE: Yes.

CHAIRPERSON BREWER: I call it the Lloyd Bishop database.

MS. CHAFEE: Yes, exactly. Now with these different data sources, the way we've been working it through is actually by content area. So, for example, right now we're focusing on employment services and literally each service that is incorporated into 311 requires a number of levels of organization to get into the taxonomy we talked about so that we're categorizing the data in two ways, both according to how the 311 database works and according to this taxonomy, and adhering to the statewide rules, and then just the basic information so that the 311 and the nonprofit can communicate. They know who to reach if your phone line is down or sort of basic communication.

The other way to get into the queue of nonprofits that wish to participate is just to call 311 and 311 literally maintains a list of entities that would like to participate. So if for some reason you're not in the other sources, you get added that way.

CHAIRPERSON BREWER: So is it true that all contracted agencies with the city could be part of it? In other words they're not all

part of it 'cause--

[Crosstalk]

MS. CHAFEE: [Interposing] They're not all--

CHAIRPERSON BREWER: --too many.

MS. CHAFEE: --part of it.

CHAIRPERSON BREWER: And the social--

[Crosstalk]

MS. CHAFEE: And some social service, some nonprofits under contract with the city are under contract with very strict provisions and so 311 shouldn't be routing to them, we route to the agency and then the agency would refer unto them. So for nonprofits under contract, we actually work really closely with the city agency to make sure that, whenever appropriate, they're involved, but that if not appropriate--so, for example, if it's a service where you have to have really strict criteria to receive the slot, it wouldn't be appropriate for 311 to make that decision, 311 would route to the appropriate area of the city agency and then the city agency would move the client forward.

CHAIRPERSON BREWER: And then you have the 24-hour issue, obviously then Safe Horizon hotlines are 24-hour, is LifeNet 24-hour?

MS. CHAFEE: Yes.

CHAIRPERSON BREWER: So most of the hotlines that you refer to are 24-hour.

MS. CHAFEE: Right, but this is one of the issues or one of the concerns is that we can't expect every nonprofit to be available 24 hours and so it's both having accurate information on the hours of service of the nonprofit and making sure that the call taker gives that information appropriately to the caller.

CHAIRPERSON BREWER: Picking up on Oliver Koppell's question earlier, just on the other side, I happen to know the nonprofit community very well and worked at it many times in different capacities, and one of the issues is the training that they get. In other words, either Fran Barrett at Community Resource Exchange or at support center and so on and so forth. Are they aware of the working that you're doing? In other words, do you participate in their training so that they understand from the nonprofit sector how

to be involved on the backside?

MS. CHAFEE: Yes, the short answer is yes, United Way of New York City has been leading trainings and we'll speak to that more. And specifically to Community Resources Exchange, we've actually run a pilot with them for the last six months focused specifically on assisting senior leadership in the nonprofit in the economic downturn. So Community Resources Exchange is extremely aware of the 311 system because they went through all the criteria to be involved.

CHAIRPERSON BREWER: Also, do you find that, given the recession issues, that the E311 calls are increasing? Is there some--

MS. CHAFEE: Yes.

CHAIRPERSON BREWER: --data from last year versus this year or something like that?

MR. BERGMAN: In Fiscal 2008, Health and Human Services type calls were almost 30%, 29.7%, and in Fiscal 2009, it's gone up to 31%. So it's about almost 3 million calls of all of our calls are related to Health and Human Services type inquiries. It's gone up slightly.

CHAIRPERSON BREWER: And the

database, how does the operator looking at the screen, having been at the call center, how does the operator actually see this? Obviously, if it's domestic violence then she would go to something called domestic violence? It's just such a huge listing, so I'm trying to understand--

MR. BERGMAN: Right.

CHAIRPERSON BREWER: --how it works.

MR. BERGMAN: Sure, the operator will type into the system keywords that the caller discusses, and so based on the keyword, the operator will have options that direct them. For example, if it's an issue of around mental health, that there's a sense of crisis, callers will be connected to LifeNet, or domestic violence, callers get connected, as Louisa said, to Safe horizons. If there's something that's more complex, if there are multiple problems or issues that are raised by the caller, they will then be transferred to an I&R specialist, and the nature of that exchange is more of a dialogue, so that the more specially trained I&R specialist can kind of work with the person who's calling to identify



the resources and connect that person appropriately.

CHAIRPERSON BREWER: I think I asked at this last hearing, but are there any MSWs on-site as part of this endeavor?

MR. BERGMAN: I don't know specifically in terms of the call takers, we have specialists--

[Crosstalk]

CHAIRPERSON BREWER: Supervisors, yeah.

MR. BERGMAN: --but I'll check for you and get back to you--

CHAIRPERSON BREWER: [Interposing] That was something that I had asked for last time and I just think it's--

MR. BERGMAN: We'll follow-up.

CHAIRPERSON BREWER: --something to think about because if you were going to get more, you get a level of quality that could perhaps be enhanced by somebody with MSW and experience.

[Crosstalk]

CHAIRPERSON BREWER: I think I know almost 80,000, all the 80,000 nonprofits in the

city and somebody who has some depth with the social service, it could be helpful for the--

MR. BERGMAN: Sure, and I can tell you from being at graduations and speaking to these specialists that they have a great deal of experience, I don't know if they specifically have MSWs, but they do have educational background and significant professional experience in this world.

CHAIRPERSON BREWER: The other question I have is, obviously now we're switching--this is back to the senior issues--we are switching from the Department for the Aging to the Department of Finance in terms of SCRIE and I'm nervous about it because the Department for the Aging, they love seniors and when Mrs. Jones talks on the phone for half an hour, they listen. And I worry about Department of Finance 'cause I don't think they're going to listen for half an hour. So my question is, how is 311 gearing up for this change? Because I assume you're going to get more SCRIE calls. With all due respect to Finance, they're going to screw it up, at least at the beginning.

MR. BERGMAN: I believe that our

process of 311 will remain exactly the same, we'll handle calls, we'll work with the agency--be it Aging or Finance to ensure that callers are connected and we'll follow up to see if services--

CHAIRPERSON BREWER: [Interposing]  
And to check, particularly at this time, as the transition is taking place.

MR. BERGMAN: Sure, if I understand correctly, Finance was involved always in processing the ultimate SCRIE because of the work that they need to do.

CHAIRPERSON BREWER: The seniors used to walk into DFTA--

MR. BERGMAN: Right.

CHAIRPERSON BREWER: --they can't walk to Finance.

MR. BERGMAN: Understood.

CHAIRPERSON BREWER: That's going to be a problem, they like to see the person who's working with them.

MR. BERGMAN: Sure.

CHAIRPERSON BREWER: Council Member James?

COUNCIL MEMBER JAMES: Thank you.

I can think of no other segment in our population which needs more social services and human services than those of the residents of public housing. To what extent is NYCHA involved in this Enhanced 311? How can we do outreach to the residents of public housing where the need is most critical?

MS. CHAFEE: Thank you. NYCHA is one of the city agencies that sits on our advisory committee and so regularly comes together and thinks about, as we look at different challenges [off mic] the system, how to do the outreach most effectively, what special issues may be incorporated, and any other issue that they feel needs to be raised. And so, in that respect, we attempt to have as open a planning dialogue as with any Member of the advisory committee. But certainly we're also extremely sensitive in these trying times to looking at those parts of, are those people in New York most challenged by the financial downturn and thinking about how to strengthen what the city can provide. What is Information and Referral and how to do that as strongly as possible to help as many as possible.

COUNCIL MEMBER JAMES: And in terms of complaints related to NYCHA housing units, is that a separate system or is that logged in your system as well?

MS. CHAFEE: That would be part of the overall 311 reporting system. All calls get reported through the same system, and so, yes, it's part of a larger system.

COUNCIL MEMBER JAMES: And I know that the purpose of 311 is to see if there's a pattern and practice or a trend in the city of New York, is that reported in the management report? Is that reflected in the management report?

[Crosstalk]

MS. CHAFEE: I cannot speak to the exact details of what is in the NYCHA MMR, but in general the MMR, each agency's MMR does a report on high call volume. So what's being called to 311, what the top volume calls are, but I would be happy to look into further detail and get back to you.

COUNCIL MEMBER JAMES:  
[Interposing] Right, I know that the management report reflects the number of calls. I guess, is

there a segment in the management report which provides indicators as to a possible trend in the city of New York? Rate of foreclosures, things like that? Is there some segment dedicated to that?

MR. BERGMAN: [Interposing] Agency in their management reporting discusses the key trends--

COUNCIL MEMBER JAMES: I see.

MR. BERGMAN: --and data in the MMR and, in addition, we report every month, which is on nyc.gov on Local Law 47 compliance, which is by Council district and has data specific to each agency's performance.

COUNCIL MEMBER JAMES: And is there tracking of all complaints and follow-up?

MR. BERGMAN: It depends on the type of complaint, and there is follow up in terms of where the systems allow for that. We can get you, if you would like, specific information about types of complaints in your district.

COUNCIL MEMBER JAMES: Sure, I'd just like to know what is the system in place for follow-ups.

MR. BERGMAN: Sure.

COUNCIL MEMBER JAMES: As far as I know there is no system in place for follow-ups--

[Crosstalk]

MR. BERGMAN: [Interposing] Well are you talking specifically for NYCHA--

COUNCIL MEMBER JAMES: [Interposing] Not for NYCHA, in general.

MR. BERGMAN: Sure, as you know, the city's information technology systems vary. We've worked a great deal in this administration to modernize those systems, to connect them to 311, and we are doing more in that area. But the idea is that there's a customer relationship management system that 311 uses to enter service request. The idea is that that service request then goes to the agency for follow-up--be it a pothole or a traffic signal or whatever--and the goal that we have is for the agency, once that request is completed, to be able to send that information back to the 311 system so that the caller has a service request number, they can either call 311 or go on to nyc.gov and look up their service request online. So if you call 311

now, you'll get a service request number, it can be e-mailed to you if you would like, and then you have the capability of seeing what the status of that is by looking it up on the service request online. And so that's the goal, we haven't fully realized it, but we've made a great deal of progress in that area.

COUNCIL MEMBER JAMES: In Brownstone Brooklyn and in my district, which I think is representative of a number of issues in downtown Brooklyn, there's a significant number of calls for tree pruning, for speed bumps, and for increased lighting.

MR. BERGMAN: Sure, well we can look specifically at those with you and your office. I can tell you that recently a new system was put into the Parks Department that does exactly what I described in terms of tree pruning and tree types of request, and what it does is it enables somebody to request a tree, for example, or maintenance on a tree online and for them to be able to, or at 311, and to be able to get information about when they can expect that request to be fulfilled, what the time frames will



be, and then to get status on that through an automated system.

COUNCIL MEMBER JAMES: Last two questions.

MR. BERGMAN: Sure

COUNCIL MEMBER JAMES: Are the not for profits representative of each borough or are they Manhattan-centric?

MS. CHAFEE: They are representative of each borough and we're particularly attuned to small community-based organizations that might not have--they might be more focused on their own community and not as focused on sort of citywide efforts. And United Way of New York City has been working really closely and having actually remarkable success with their training and engaging many smaller organizations that don't normally have the capacity to provide training of this type.

COUNCIL MEMBER JAMES: I'll reserve my questions for the representative from United Way.

My last question is are you hiring? It's an expanded Enhanced 311, are there

additional jobs?

MR. BERGMAN: Sure, as I mentioned, our ultimate goal is to hire. We have limited numbers of call takers and have had some reductions, we are replacing where we can attrition. So we're hiring in a very limited fashion currently.

COUNCIL MEMBER JAMES: And in what job roles are you hiring?

MR. BERGMAN: Primarily it's in the call taker position.

COUNCIL MEMBER JAMES: And will you be advertising for those positions or--

MR. BERGMAN: All of our positions are posted on nyc.gov.

COUNCIL MEMBER JAMES: Thank you.

CHAIRPERSON BREWER: Thank you very much. I know this as an aside, Council Member James mentioned NYCHA and obviously they have their own call center, so I guess one of the issues, which is an ongoing problem, some of my constituents and I'm sure some of hers and others, call 311 and then they're referred to CCC as we call it. And I just say that because with E311

1  
2 somebody may have a DV issue, which actually would  
3 be a 311 issue or an E311 and not a maintenance  
4 issue. So I think that has to be looked at really  
5 carefully 'cause people do tend to still call 311,  
6 particularly if they don't get any satisfaction  
7 from CCC. So that's an ongoing problem.

8 When one looks online, what are the  
9 languages there? Because I know when you call  
10 there are many languages, but I don't know if the  
11 online version has as many languages.

12 MR. BERGMAN: Right. That's an  
13 area for expansion for us and that's something  
14 we're looking at in terms of what is the cost,  
15 what are the needs, so that we can expand  
16 languages online.

17 CHAIRPERSON BREWER: So right now  
18 it's just English, okay.

19 MR. BERGMAN: There are some areas  
20 where translation is possible, but it's not  
21 currently on the 311 area.

22 CHAIRPERSON BREWER: It shouldn't  
23 be too hard to do, it would seem to me [off mic].

24 MR. BERGMAN: There are lots of  
25 issues with that, there's lots of automated tools

that do these translations and that's what you're referring to in terms of cost, but we have found that the nuances in translation, especially around human services, have to be treated very carefully because the--

CHAIRPERSON BREWER: [Interposing]  
Sam Wong can do anything, just so you know. I'm not kidding, it's unbelievable.

Can you describe a little bit more the AIR system and how that works and the standards that go into it? The taxonomy.

MS. CHAFEE: AIRS, the Alliance of Information Referral Specialists is a national organization that actually has established a national taxonomy so the data is all organized by category, and each 211 organizes their own information into that national standard so as to be able to compare how many calls are coming in across regions. So the data that you referred to in your original PowerPoint, referring to call volume in different jurisdictions because we all adhere to AIRS, we can actually, on a very granular level, compare exactly which area of calls are coming in to. It's also an organization

that accredits call centers and 311 has been working with AIRS to complete the accreditation and we're in the process of the site visit.

CHAIRPERSON BREWER: I'm sure you'll pass, and that will help you get federal money. I assume you don't get federal money without accreditation or....

MS. CHAFEE: Actually, the accreditation is independent of federal money, although I believe in the Calling for 211 Act, they recognize that part of what makes up 211 is adhering to the AIR standards. But many 211s haven't completed it, it's a very rigorous process.

CHAIRPERSON BREWER: And then do you share with California and Texas? In other words, is there a sharing of data that goes on around the country to compare--

MS. CHAFEE: So United Way of America is leading an effort to do exactly that, to have call centers be able to effectively compare trends, look at different call volume, share examples, I mean use the data in a way that both tells a story and helps regions learn from

each other.

CHAIRPERSON BREWER: So then you can have some best practices as a result--

[Crosstalk]

MS. CHAFEE: Exactly.

CHAIRPERSON BREWER: --will be the goal.

MS. CHAFEE: And there is an annual--

CHAIRPERSON BREWER: [Interposing]  
The best way to handle DV, the best way to handle employment, and so on.

MS. CHAFEE: Yes, and there is an annual conference, which we have participated in, although this year, unfortunately, could not, but at that conference part of the primary objective is to bring together providers to share lessons learned.

CHAIRPERSON BREWER: And then also just one of the final questions. The nonprofits, I know for instance, Safe Horizon last year referred from 311 9,784 calls, I don't know if that's up, obviously that's '08 to '09 and I don't know if that's up from '07 to '08, I don't have

that data, I assume it is. But the issue is do they get more money? In other words, if LifeNet and if--legal services will have a heart attack if you wish for a whole bunch more calls and don't give them more money, so will I. So my question is these nonprofits that will be taking--'cause I mean the lifeline in this city in my world is that legal services in the generic term, do they get more money as a result of all their efforts? 'Cause they will get more call volume, I would assume.

MS. CHAFEE: So to--

CHAIRPERSON BREWER: [Interposing]  
Everybody wants an attorney before they go to Housing Court.

MS. CHAFEE: The overall model of 211s is that nonprofits participating are not paid to participate. Now in New York City, our blended model is somewhat different because some of our nonprofits are actually under contract with city agencies to provide their service via the telephone, and in those circumstances our payment structures around them, depending on the specifics of the contract. But in general, we've been

extremely clear that if a nonprofit wishes to participate, that the actual call, there is no payment to participate and, in fact, looking at 211 call volumes in general, not just New York City, but also other jurisdictions, it seems as if people who look for services via the telephone get to them faster using 211, but that it doesn't necessarily increase the overall, it just makes the call volume, it just makes the customer experience smoother.

CHAIRPERSON BREWER: I mean, I'm sure they don't get there faster to get an attorney, that I know for sure. The final question is, obviously when you call 311 from noise to the pothole, it's anonymous, and obviously, I assume when you call the issue of domestic violence is also anonymous, is that correct? In other words--

MS. CHAFEE: Yes.

CHAIRPERSON BREWER: -- there is no Social Security or--

MS. CHAFEE: No, it's--

CHAIRPERSON BREWER: --any other number kept unless you want to.



MS. CHAFEE: It's all completely anonymous and, in fact, in our marketing or the outreach campaign that happened last summer, we really stressed that there would be absolutely no asking of any kind of individual information because we felt that so many of our services need to be offered to anyone calling 311 and that for many reasons, supported by Executive Orders, we the city do not ask people's information when we provide information.

CHAIRPERSON BREWER: Council Member James.

COUNCIL MEMBER JAMES: Last two questions, I'm not sure whether or not 311 or 211 and/or the Enhanced 311 includes these types of complaints: complaints for police misconduct, CCRB, is 311 set up to do that or is there a system for filing a CCRB complaint online, if you know?

MR. BERGMAN: I'll have to get back to you, we have capabilities of looking that up very quickly so we'll do that for you this afternoon.

COUNCIL MEMBER JAMES: And second

issue is I created a public safety task force in my district in response to concern about public safety in downtown Brooklyn and one of the recommendations from the public safety task force is that after a crime is committed, you cannot call 911 to file a complaint, you have to physically go to a precinct and, unfortunately, most people do not go because it takes up time out of their schedule. Are you possibly considering a way to file a complaint system online, perhaps through the 311 or the 211 system? And, if not, if you would consider that, that would be greatly appreciated. A significant number of my constituents just don't have time to sit in a precinct and wait for someone to file a complaint, a system with filing a complaint.

MR. BERGMAN: Sure we'll confer with the police department and look into that.

COUNCIL MEMBER JAMES: Thank you.

CHAIRPERSON BREWER: I would like to add to that, that's an issue of the signature to maintain that the complaint was a real one. And even when the tree falls on your car windshield, every car on my block lost their

windshield--drama. So then everybody had to go to the precinct--more drama. So the question would be, why we can't do something like that online. So I'm just saying, but I've had this conversation and I assume it's the signature problem. I really wish we could work on it. I mean just to have that problem dealt with would be helpful. Maybe you could send something in later, you know, but if you could immediately, so you can deal with the insurance or, in this case, the more dramatic and more personal problem.

COUNCIL MEMBER JAMES: Or some sort of information online that it's true and penalty of perjury, something like that.

CHAIRPERSON BREWER: Right, something to think about. I want to thank you both very much. I think one of the messages we come out is that it's certainly going in the right direction, and it would be great, along with Council Member Koppell's suggestion, I think to make sure that more elected officials know what you're doing because they may have suggestions, both in terms of the nonprofits, but also in terms of what feedback they're getting about the

services that are being provided. So it might be that while you're having some meetings with agencies, you invite people or think of some other communication, okay.

MR. BERGMAN: Sure, we'll do that.

CHAIRPERSON BREWER: Thank you very much.

MR. BERGMAN: Thank you.

CHAIRPERSON BREWER: Our next representative is from United Way of New York and its Hayyim Obadyah. [Pause] Whenever you're ready, go ahead. Thank you for being here.

MR. HAYYIM OBADYAH: Good morning, my name is Hayyim Obadyah, I am the Director of 211/311 Initiatives at the United Way of New York City.

Thank you, Council Member Brewer and Members of the Committee on Technology in Government for this opportunity to testify regarding our collaboration with the city of New York on Health and Human Services Information and Referral.

The mission of United Way of New York City is to connect people, resources, and

ideas to create a thriving community characterized by income stability, educational success, and healthy people. We believe that everyone has a role in building a better future for all.

211 at 311 or E311, Enhanced 311, is a unique partnership of the public and not-for-profit sectors. It ensures that all those who live in, work in, or visit New York City have easy access to the full range of Health and Human Service programs and services provided throughout the five boroughs. United Way has collaborated closely with the Office of the Deputy Mayor for Health and Human Services with the Department of Information Technology and Telecommunications, and with nonprofit stakeholders to develop a system that takes advantage of the breadth and depth of essential human services offered by non-for-profit agencies.

Around the nation, 211 is available to nearly 80% of the US population, with 236 active call centers in 45 states. Nationwide, 211 received more than 14 million calls in 2008 and that was 44% higher than in 2007. Volume in 2009 will be dramatically higher, especially in regions

hardest hit by natural disasters and the current economic crisis. United Way of America, therefore, has made it a priority to advocate for the Calling for 211 Act currently pending in Congress which would authorize federal funds.

Here in New York state, 77% of the population has access to 211, and by the end of this calendar year that reach will increase to 93%. In 2008, 211 in New York handled 1.8 million calls, but calls in the first half of the current calendar year have already totaled 1.7 million.

In New York City, a close collaboration between the city and the nonprofit sector enables 211 services to be provided by 311. In April of '08, Mayor Bloomberg announced the expansion of the 311 customer service center to include human service information and referrals, providing an additional and critical gateway for New Yorkers to access vital services and it represents a cutting edge public not-for-profit partnership. In the context of this blended 211 and 311 model, United Way of New York City builds the capacity of not-for-profit organizations to participate in the Health and Human Services

Information and Referral system by enhancing their technology and training their staff.

I should take this opportunity to update some information in your briefing document that United Way of New York City has long abandoned the CARES database that it had operated some years ago, we now regularly referr any calls for service to 311.

Our training for staff of not-for-profit agencies builds skills, not only for information and referral specialists, but also for other people at agencies who are helping people identify their needs, determine the services that can address those needs, and connect them to those services. I should point out that the trainings that we are doing for agency staff are based on the same AIRS principals but are by no means as comprehensive as the training that specialists receive at the 311 center.

We currently provide six different one-day workshops and have offered to-date 63 sessions is 715 registrants. They provide specific skills such as active listening and managing difficult calls and also include

information on how to use 311 as a resource in helping clients. United Way encourages nonprofit providers to see 311, not only as a service for the public, but also as a professional resource. Evaluations of the trainings have been consistently very positive and it's particularly gratifying that the staff who have participated largely represents small community-based organizations that otherwise would not be able to provide such an opportunity for staff development.

United Way has also helped a small group of not-for-profit service providers to enhance their capacity by providing telecommunications and technology enhancements. Throughout the five boroughs, people are reaching out for help and, in the face of increasing demand, nonprofit agencies helped people directly when they can and help people find other resources when they cannot. We want to assure that these agencies can manage the calls that come in, including those that are referred by 311 and can provide necessary information and referral, including, where appropriate, referral to 311. To-date we have incurred capital costs, primarily



to upgrade telephone systems and increase computer hardware of over \$200,000. The nonprofits that have received technology are primarily small community-based organizations. The enhancements have been used to enable organizations, for example, to transfer phone calls between different program sites, to take referral information into the field, and to expand information centers. We anticipate that we will expand more than \$186,000 additionally by the end of this calendar year.

So in the three years since 211 New York awarded the designation in New York City, there has been tremendous progress, but the work continues. We need to be prepared to take advantage of innovation, to expand the reach of information and referral, we also need to solidify and sustain the progress that has been achieved. We're particularly pleased that 211 and 311 will be focusing as a priority in the coming months when increasing the number of nonprofit services included in the 311 database.

New York City is blessed with a multitude of nonprofit human service providers of all sizes addressing a vast range of needs. As

the access to these services is made easy and convenient by 211 and 311, all New Yorkers will benefit. United Way of New York City is committed to working with the Council and the Administration to ensure effective, efficient, and compassionate information and referral.

I'd be happy to take any questions you might have.

[Off mic]

COUNCIL MEMBER JAMES: Just a question that I asked earlier and that is whether or not the organizations are representative of the city of New York, and obviously my concern is the great borough of Brooklyn. Tell me a little bit about the organizations that are representative or that are in the borough of Brooklyn.

MR. OBADYAH: Absolutely. In fact some months ago, we did an analysis of the agencies that had received technology and we did find that all five boroughs were represented, that it was very representative, Brooklyn in particular was well represented. We have done work, for example, with the Bed Stuy Campaign Against Hunger, and we've done work with a couple of

development corporations in Flatbush, Ocean Bay, and other parts of the city.

COUNCIL MEMBER JAMES: Would you be so kind as to provide the Chair a list of those organizations, particularly--

MR. OBADYAH: Absolutely.

COUNCIL MEMBER JAMES: --for the borough of Brooklyn? And last but not least, are you sensitive to the issue of making sure that the organizations reflect the diversity of this great city?

MR. OBADYAH: Oh, yes.

COUNCIL MEMBER JAMES: The leadership.

MR. OBADYAH: Oh, yes. Yes, we're very much concerned because we know that services are best delivered in neighborhoods.

COUNCIL MEMBER JAMES: Yep.

MR. OBADYAH: That's the bottom line--services are best delivered in neighborhoods and best delivered by the community-based organizations with whom people have a relationship of trust. And those are the organizations that we need to be supporting.

2 COUNCIL MEMBER JAMES: Thank you.

3 CHAIRPERSON BREWER: Thank you very  
4 much. Maybe the list of groups that you're  
5 working with or that E311 is working with, is that  
6 on the web? In other words, is that something  
7 that is publicly available or it could be on your  
8 website?

9 MR. OBADYAH: It's not currently  
10 because we haven't completed the work, but we'll  
11 be happy to make that available, both what has  
12 been done to-date, the agencies that we've  
13 provided and also as it comes to the end of the  
14 program, we'll submit the full list.

15 CHAIRPERSON BREWER: Because it  
16 would be good to also put it up on the web, on  
17 your web, that's what I would suggest and then  
18 people wouldn't have--I guess it's always best to  
19 have as much information as possible and for the  
20 public to know it. So when you say the end of the  
21 project, in other words, it's obviously going to  
22 be ongoing training, because new people, new  
23 groups, and so on, so explain--

24 MR. OBADYAH: [Interposing] Right,  
25 tech capacity, however, we expect to complete by

the end of the calendar year.

CHAIRPERSON BREWER: And tell me what that means. I mean, to me it would mean, for instance--Tish, what's that great woman who runs the hot spot at Classon [phonetic]? Barbara?

[Off mic]

CHAIRPERSON BREWER: She's head of the child development.

COUNCIL MEMBER JAMES: Yes [off mic].

CHAIRPERSON BREWER: Wonderful-- yes, she's so fabulous. So this director understands technology and so if she was to have technology upgrade, to me that would mean not only internal to the organization, but also some kind of device so that if her staff is in the field, they would also be able to take a 311 call. Is that the kind of technology that you're talking about? Could you be specific? She's--

[Crosstalk]

MR. OBADYAH: [Interposing] Yes, we would certainly consider that as a possibility. Most of the requests we've had are for improving telephone systems, in some cases completely

replacing a 20 year old phone system, in many cases, supplementing the phone system that was kind of out of date with greater range of possibilities to use it. But going into the field is something that we would certainly support.

CHAIRPERSON BREWER: But you haven't done it yet. In other words, when I call--

MR. OBADYAH: [Interposing] We have actually done it in the sense that we approved--

CHAIRPERSON BREWER: [Interposing] If you to transfer for phone obviously from home to the field, an easy transfer.

MR. OBADYAH: Right, we would certainly support that. What we have done is with a couple of agencies had specifically requested laptops because they wanted to be able to take their information on services into the field and that was something we did support.

CHAIRPERSON BREWER: Do you know how many agencies in total have gotten a technology upgrade? Approximately?

MR. OBADYAH: Eighteen.

CHAIRPERSON BREWER: Eighteen,

okay. And the funding that you get is from the city and some from the state, is that correct? In order to work with these nonprofits?

MR. OBADYAH: E311 as a whole, the bulk of operation is supported by the city as part of the 311 center. In addition, we've received funding from the state legislature through the United Way of New York State, which allocates monies to the different regions. New York City region has gotten close to \$5 million.

CHAIRPERSON BREWER: From the state.

MR. OBADYAH: From the state. Of that, 3.7 million has gone to DoITT to make changes that were specifically needed to incorporate health and human service information-- database design, staff training, etc. 1.3 million has gone to the United Way and that is what funds these programs.

CHAIRPERSON BREWER: And individuals are trained in the nonprofit sector by you and then DoITT trains the actual 30 to 50 call takers that work--

MR. OBADYAH: That's correct.

CHAIRPERSON BREWER: --at the call center.

MR. OBADYAH: That's correct.

CHAIRPERSON BREWER: And is there any discussion between those two groups, the call center operators and the nonprofits? Do they ever talk to each other? Any bringing together those leaders?

MR. OBADYAH: We have not brought the actual call takers together--

CHAIRPERSON BREWER: [Interposing]  
The actual call takers, yes.

MR. OBADYAH: --no, but we have had discussions about curriculum between the United Way and DoITT--

[Crosstalk]

CHAIRPERSON BREWER: [Interposing]  
I think at some point--in other words, when one goes to the call center itself, it's a sterile experience, everything is really clean, but it's also a professional looking space and so I thought something to think about to bring some of the nonprofit leaders to the call center, you get a sense of what people are doing and just when you



talk to a call operator, as I have done many times, you see, you know, it's nice to talk to Ron Bergman, very nice, and very nice to talk to Paul Cosgrave, but it's also nice to talk directly to the operators--

MR. OBADYAH: Yes.

CHAIRPERSON BREWER: --and sometimes they may have issues, 'cause they care, and sometimes the director might be able--a nonprofit director to say this is a better way of handling something. So I would just say to try to put a little human element into the human services. Sometimes we forget that part.

MR. OBADYAH: Very good point, because we have seen that--

CHAIRPERSON BREWER: [Interposing] I'd be glad to organize that--

MR. OBADYAH: Right.

CHAIRPERSON BREWER: --Tish and I are very good at organizing things.

MR. OBADYAH: Great, thank you.

CHAIRPERSON BREWER: The other question, the same one that I asked DoITT, the nonprofit sector, in addition to United Way, also

has community resource exchange, support center and so on. Do you work with them? Because they do a lot of training of nonprofits, so I did hear DoITT indicate that they do work with CRE, there are many others--

MR. OBADYAH: That's correct.

CHAIRPERSON BREWER: --so do you work with some of the other nonprofit umbrella groups, Foundation Center, etc.?

MR. OBADYAH: Yes, yes, absolutely.

CHAIRPERSON BREWER: How do you do that?

MR. OBADYAH: In fact, within the United Way of New York City, in addition to our work in E311, we have an entire group dedicated to strengthening New York City nonprofits. So there are various other activities that the United Way does: convocations, meetings, conferences and trainings to direct technical assistance that has been provided to individual nonprofit organizations, often contracting with organizations like CRE.

CHAIRPERSON BREWER: Because I just think, maybe this is part of their training, but

for everybody to know that being part of the E311 system is something that they can be part of, obviously--

MR. OBADYAH: That's right.

CHAIRPERSON BREWER: --it's a process, but I think there's a little bit more, not just of elected officials notification, but also, obviously, those that deal with HRA perhaps know and some of the direct contracts, but I bet a lot of the groups that work with DYCD, which is another whole world, don't know as much about what you're doing and I think--

MR. OBADYAH: Right.

CHAIRPERSON BREWER: --that that's another world that is increasing based on the need.

MR. OBADYAH: Great, thank you, we'll do that.

CHAIRPERSON BREWER: And I think at some point I'd like to know more about the employment. My guess is that the people calling 311 for employment is increasing, and I'm just wondering how do you handle that specifically, what's the process for that.

MR. OBADYAH: Right, I cannot tell you about how that gets handled at 311, I can say though that statistics from '08 show that, on a statewide basis, Consumer Affairs is the single largest category of call and that includes credit, counseling, budget assistance, and those kinds of things and that has actually surpassed now basic living needs of food, clothing, and shelter calls.

CHAIRPERSON BREWER: And so there is enough capacity in terms of the nonprofit community in New York City to try to address some of that need?

MR. OBADYAH: I would not make any statement about the capacity of the nonprofit sector as a whole.

CHAIRPERSON BREWER: To absorb all that, okay. So in your training to the end of the year, how many more nonprofits do you expect to bring online or are you just training those that are already in the process?

MR. OBADYAH: No, we will begin an outreach, a large outreach, in fact, one of the things that's been very successful is, because United Way also manages HPNAP and FEMA Emergency

Food and Shelter program funds, we have many contacts with very small groups in communities and, using that mailing list, e-mail list, as well as others at the United Way, we get a very good response.

CHAIRPERSON BREWER: So you're going to be expanding the numbers of nonprofits that you're going to be working with? Because you said that towards the end of the year, you'll have finished at least the first phase of all the training.

MR. OBADYAH: No, we will have finished the technology enhancements.

CHAIRPERSON BREWER: Technology, okay. In terms of the--

MR. OBADYAH: We expect--

CHAIRPERSON BREWER: --general training--

MR. OBADYAH: Right, we--

CHAIRPERSON BREWER: --how long does that take or what's the process there? For the general training. In other words, people who are part of the 311 nonprofit system, I assume they get trained by you, that's what you

indicated, and is that training ongoing? What's the end of the beginning of that?

MR. OBADYAH: Right, there are six sessions, all of which are independent. We sometimes recommend that people take the basic training before taking others, but they can take any of those six 1-day workshops, and those are offered throughout the year, beginning in October through June.

CHAIRPERSON BREWER: And that's also on your website as to when those sessions are?

MR. OBADYAH: Yes.

CHAIRPERSON BREWER: All right, so in other words, once a nonprofit wants to be part of it, they contact 311, that's what we were told earlier, and then I guess they refer to you.

MR. OBADYAH: That's true, but on the other hand, to attend trainings, we don't require that they be listed with 311, because we know that in some cases what's important is to get organizations to understand that they can refer people to 311. So even if they're not in the 311 system, we want to get the word out to them.

CHAIRPERSON BREWER: I see, okay.

So in other words, you don't have to be trained to provide assistance.

MR. OBADYAH: Correct.

CHAIRPERSON BREWER: I think that's it. I do want to say that on the technology front, I think 18, those are very lucky, fortunate programs. It would be nice to have more in that front and have it as sophisticated as possible. If there is federal money, I assume that will go national, state, and local, but I assume you have a plan for what you could do with that funding.

MR. OBADYAH: That's correct.

CHAIRPERSON BREWER: All right, thank you very much.

MR. OBADYAH: Thank you very much.

CHAIRPERSON BREWER: I think that's going to conclude our hearing. I want to thank all the participants who came today, certainly the speakers. I think we learned a bit today about Enhanced 311, but I do think that we would love to hear from anybody in the community regarding the way in which they feel this process helps people individually, how it could be improved, how it's

doing well, and, obviously, I have a direct interest in how the technology is working, so we will be following up.

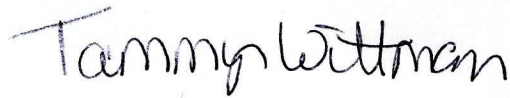
And I want to thank my colleagues for joining us and thank all the participants, and particularly thank Jeff Baker, who is Counsel to the committee and Colleen Pagter who's Policy Analyst and the great Sam Wong, who is managing to webcast this. I want to repeat the city of New York, the Speaker's office, everybody who's listening, we do not need to spend a lot of money on webcasting, every single city money could be webcast. Thank you very much. This meeting is adjourned.

[Off mic]



C E R T I F I C A T E

I, Tammy Wittman, certify that the foregoing transcript is a true and accurate record of the proceedings. I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

A handwritten signature in black ink that reads "Tammy Wittman". The signature is written in a cursive style with a large initial 'T'.

Signature\_\_\_\_\_

Date October 5, 2009