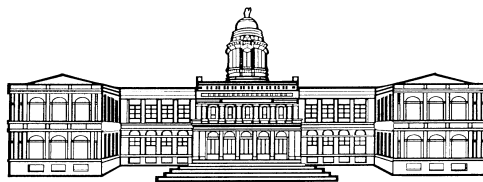


THE COUNCIL OF THE CITY OF NEW YORK

Finance Division



Hearing on the Fiscal 2010 Executive Budget for the **Department of City Planning**

May 14, 2009

Hon. Christine C. Quinn
Speaker

Hon. David I. Weprin
Chair, Committee on Finance

Hon. Melinda Katz
Chair, Committee on Land Use

Preston Niblack
Director

Jeffrey Rodus
First Deputy Director

Jonathan Rosenberg
Deputy Director

Anthony Brito
Legislative Financial Analyst

Department of City Planning (030)

Agency Overview

The Department of City Planning (DCP) conducts planning related to the growth, improvement and future development of the City. It is responsible for initiating changes in the zoning maps and resolutions, providing technical and professional assistance to community boards, and preparing an annual capital needs and priorities report.

Expense Budget Overview

Since the Fiscal 2009 Budget Adoption, the Office of Management and Budget has asked DCP to submit Programs to Eliminate the Gap (PEGs) proposals. In the first round, in September, OMB sought PEG submissions equal to five percent of agency City tax-levy budgets for Fiscal 2010, with a further seven percent sought in December and another four percent sought in April.

DCP's Fiscal 2010 Executive Budget is 67 percent less in City tax levy funds than the Fiscal 2009 Adopted Budget due to the overall elimination of six funded vacancies and the payment of contractual obligations. The elimination of the funded vacancies is projected to save the agency \$422,854 in Fiscal 2010 and \$426,854 in Fiscal 2011. Most of the decrease in City tax levy funds between the Fiscal 2009 Adopted Budget and the Fiscal 2010 Executive Budget is primarily attributable to the one-time infusion of \$7.1 million in City-funds in Fiscal 2009 to pay for contracts that would develop Environmental Impact Statements (EIS) for the following rezoning projects throughout the City: East Village/Lower East Side Rezoning, Lower Concourse Rezoning, DUMBO rezoning, Bronx 161st Street Rezoning, and the Gowanus Rezoning. Approximately \$6.3 million of these funds have been contracted out for EIS' in Fiscal 2009 while the remaining \$832,515 will be rolled into the Fiscal 2010 budget. Other notable actions in the Fiscal 2010 Executive Budget include \$382,198 in collective bargaining increases for DCP employees in Fiscal 2010 and the outyears.

DCP's Fiscal 2010 Executive Budget includes a total headcount reduction of 6 positions from the Fiscal 2009 Adopted Budget. The headcount reduction is comprised of the elimination of 4 existing vacancies in Fiscal 2010 and the 2 vacancies eliminated as part of the November PEG Program.

| | 2008 Actual Expenses | 2009 Adopted Budget | 2010 Preliminary Budget | 2010 Executive Budget |
|------------------------------|-------------------------|------------------------|----------------------------|--------------------------|
| Spending | | | | |
| Personal Services | \$21,032,842 | \$20,927,326 | \$20,503,405 | \$20,875,838 |
| Other than Personal Services | \$3,363,322 | \$9,224,716 | \$3,256,678 | \$3,302,326 |
| Total | \$24,396,164 | \$30,152,042 | \$23,760,083 | \$24,178,164 |
| Funding | | | | |
| City | NA | \$16,789,408 | \$9,639,640 | \$10,034,156 |
| State | NA | \$0 | \$3,144 | \$3,144 |
| Federal - CDBG | NA | \$12,320,160 | \$13,029,769 | \$13,053,334 |
| Federal - Other | NA | \$1,042,474 | \$1,087,530 | \$1,087,530 |
| Total | NA | \$30,152,042 | \$23,760,083 | \$24,178,164 |
| Headcount | | | | |
| Full-time Positions | 282 | 283 | 276 | 277 |

PS and OTPS 001 & 002

Funding amounts in these units of appropriation are used to conduct the City's physical and socioeconomic planning, including: land use and environmental review; preparation of plans and policies; and provision of technical assistance and planning information to government agencies, public officials, community boards, and the public.

| | 2008 Actual Expenses | 2009 Adopted Budget | 2010 Preliminary Budget | 2010 Executive Budget |
|-------------------------|-------------------------|------------------------|----------------------------|--------------------------|
| Spending | | | | |
| 001 – Personal Services | \$19,008,958 | \$18,827,380 | \$18,363,658 | \$18,731,485 |
| 002 – OTPS | \$3,039,204 | \$8,927,028 | \$2,958,990 | \$3,004,638 |
| Total | \$22,048,162 | \$27,754,408 | \$21,322,648 | \$21,736,123 |
| Funding | | | | |
| City | NA | \$16,789,408 | \$9,639,640 | \$10,034,156 |
| State | NA | \$0 | \$3,144 | \$3,144 |
| Federal – CDBG | NA | \$9,922,526 | \$10,592,334 | \$10,611,293 |
| Federal - Other | NA | \$1,042,474 | \$1,087,530 | \$1,087,530 |
| Total | NA | \$27,754,408 | \$21,322,648 | \$21,736,123 |
| Headcount | | | | |
| Full-time Positions | NA | 252 | 245 | 246 |

- **Fiscal 2010 Personal Services (PS) Savings and Headcount Reduction.** This initiative reflects savings from the reduction of two vacant positions in the Fiscal 2009 Executive Budget in the amount of \$215,000 and a proposal to eliminate a net total of 4 full-time positions that would save \$422,854 in Fiscal 2010 and \$426,854 in Fiscal 2011 and the outyears. In total, the elimination of these vacant positions will save the agency approximately \$637,000 in Fiscal 2010.
- **Environmental Impact Statements.** This technical adjustment includes \$832,515 that will be rolled into the Fiscal 2010 OTPS budget for a consulting contract to conduct environmental impact studies. DCP's Fiscal 2009 budget included approximately \$7.1 million for EIS' of which \$6.3 million has been spent on contracts. The remainder of the funds will be rolled into Fiscal 2010 when it is expected that the last set of contacts will be awarded.
- **Collective Bargaining Adjustment.** Beginning in Fiscal 2010, annual funds totaling \$338,135 are being transferred from the Labor Reserve in the Miscellaneous Budget to the budget of the Department of City Planning to cover the costs associated with recent collective bargaining settlements.
- **Heat, Light, and Power Adjustment.** The Executive Plan includes \$45,648 for the agency's budget in Fiscal 2010 and the outyears to properly reflect the costs associated with heat, light, and power.

Geographic Systems 003 & 004

Funding amounts in these units of appropriation are used to develop and maintain automated geographic products for Citywide and agency-specific use, including a variety of geographic and cartographic files for computer mapping and geographic information systems.

| | 2008 Actual Expenses | 2009 Adopted Budget | 2010 Preliminary Budget | 2010 Executive Budget |
|------------------------------------|-------------------------|------------------------|----------------------------|--------------------------|
| Spending | | | | |
| 003 – Geographic Systems | \$2,023,884 | \$2,099,946 | \$2,139,747 | \$2,144,353 |
| 004 – Geographic Systems - OTPS | \$324,118 | \$297,688 | \$297,688 | \$297,688 |
| Total | \$2,348,002 | \$2,397,634 | \$2,437,435 | \$2,442,041 |
| Funding | | | | |
| Federal – CDBG | NA | \$2,397,634 | \$2,437,435 | \$2,442,041 |
| Total | NA | \$2,937,634 | \$2,437,435 | \$2,442,041 |
| Headcount | | | | |
| Full-time Positions | NA | 31 | 31 | 31 |

- **Collective Bargaining Adjustment.** Beginning in Fiscal 2010, annual funds totaling \$44,063 are being transferred from the Labor Reserve in the Miscellaneous Budget to the budget of the Department of City Planning to cover the costs associated with recent collective bargaining settlements.

Supplemental Tables

Executive Budget Actions

The following table summarizes changes to the Fiscal 2009 and 2010 budgets since the 2009 budget was adopted. The Non-City actions include State, Federal, Other Categorical, Intra-City and Capital Inter-Fund Agreement (IFA) funding changes.

| Description | Fiscal 2009 | | | Fiscal 2010 | | |
|--|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| | City | Non-City | Total | City | Non-City | Total |
| Agency Budget as per the January Plan | \$16,572 | \$17,010 | \$33,582 | \$9,639 | \$14,117 | \$23,756 |
| Executive Plan Programs to Eliminate the Gap (PEGs) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total PEGs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Executive Plan New Needs | | | | | | |
| Total New Needs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Executive Plan Other Adjustments | | | | | | |
| Analyst Collective Bargaining | \$3 | \$4 | \$7 | \$8 | \$8 | \$16 |
| Attorney Collective Bargaining | \$4 | \$0 | \$4 | \$6 | \$0 | \$6 |
| CWA Collective Bargaining | \$8 | \$6 | \$14 | \$19 | \$15 | \$34 |
| Fringe Restoration | \$0 | \$0 | \$0 | \$174 | \$0 | \$174 |
| Heat, Light, and Power | (\$4) | \$0 | (\$4) | \$46 | \$0 | \$46 |
| Hudson Yards | \$0 | \$0 | \$0 | \$65 | \$0 | \$65 |
| Salary Restoration | \$0 | \$0 | \$0 | \$78 | \$0 | \$78 |
| Total Other Adjustments | \$11 | \$10 | \$21 | \$394 | \$24 | \$418 |
| Total Executive Plan Budget Changes | \$11 | \$10 | \$21 | \$394 | \$24 | \$418 |
| Agency Budget as per the Executive Plan | \$16,583 | \$17,020 | \$33,603 | \$10,033 | \$14,141 | \$24,174 |

Summary of Changes Since June 2009 Plan*City Funds only, \$\$ 000's*

| | 2009 | 2010 | 2011 | 2012 | 2013 |
|-----------------------|-----------------|-----------------|----------------|----------------|----------------|
| June 2009 Plan | \$16,789 | \$9,809 | \$9,809 | \$9,809 | \$9,809 |
| New Needs | \$1,073 | - | - | - | - |
| Collective Bargaining | \$73 | \$120 | \$124 | \$124 | \$124 |
| Other Adjustments | (\$837) | \$594 | \$346 | \$288 | \$295 |
| PEG | (\$514) | (\$488) | (\$1,342) | (\$1,349) | (\$1,357) |
| Exec 2010 Plan | 16,854 | \$10,035 | \$8,937 | \$8,872 | \$8,871 |