CITY COUNCIL CITY OF NEW YORK -----X TRANSCRIPT OF THE MINUTES of the JOINT COMMITTEES ON EDUCATION AND GENERAL WELFARE -----X November 12, 2008 Start: 10:15 am Recess: 2:37 pm Council Chambers HELD AT: City Hall BEFORE: ROBERT JACKSON Chairperson, Education BILL DE BLASIO Chairperson, General Welfare COUNCIL MEMBERS: Gale A. Brewer Simcha Felder Lewis A. Fidler Daniel R. Garodnick Melinda R. Katz Jessica S. Lappin John C. Liu Annabel Palma Domenic M. Recchia James Vacca Peter F. Vallone Albert Vann David Yassky

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## A P P E A R A N C E S [CONTINUED]

Elayna Konstan Chief Executive Officer Office of School and Youth Development

Jan Flory Deputy Commissioner for Child Protection Administration for Children's Services

Marie Philappeaux Interim Associate Commissioner Division of Child Protection Administration for Children's Services

Lilian Garelick Director of Mandated Responsibilities New York City Department of Education

Jackie Sherman Director, Center for Courts and the Community Center for Court Innovation

Michael Mulgrew Vice President of Career and Technical High Schools United Federation of Teachers

Anne Angelsbee Chapter of Social Workers and Psychologists United Federation of Teachers

Angela Reformato Chapter of Guidance Counselors United Federation of Teachers

Steve Grossman Chapter of Attendance Teachers United Federation of Teachers

Kim Nauer Education Project Director Center for New York City Affairs

## A P P E A R A N C E S [CONTINUED]

Veronica Montgomery-Costa President, Local 372 New York City Board of Education Employees

Santos Crespo Local 372 New York City Board of Education Employees

Jennifer Pringle Director New York State Technical and Education Assistance Center for Homeless Students

Katherine Eckstein Policy and Advocacy Specialist The Children's Aid Society

Juanita Ayala-Vargas Vice President of Programs Unites Way of New York City

Doreen Wohl Westside Campaign Against Hunger

1	EDUCATION AND GENERAL WELFARE 4
2	CHAIRPERSON JACKSON: Good morning
3	everyone. And welcome to today's joint oversight
4	hearing of the Education and General Welfare
5	Committees on Chronic Absenteeism in New York City
б	Public School.
7	And before I begin my opening
8	statement let me introduce our colleagues that are
9	present this morning. Over to my left is Dan
10	Garodnick of Manhattan, Jimmy Vacca of the Bronx,
11	Annabel Palma of the Bronx, John Liu of Queens and
12	myself, Robert Jackson of Manhattan, along with
13	appropriate committee staff members.
14	Before we get started I'd like to
15	take a moment to acknowledge the untimely passing
16	of two individuals who have contributed much to
17	our city and who will be sorely missed by many
18	whose lives they have touched. First our
19	colleague and friend, former City Council Member
20	Phillip Reed who served the people of East Harlem
21	and Manhattan Valley and the part of the Upper
22	West Side with dedication and distinction for
23	eight years. His loss will not only be felt by
24	his neighbors and former constituents and by his
25	friends and former colleagues here at the City

1	EDUCATION AND GENERAL WELFARE 5
2	Council but by every New Yorker who benefited from
3	his efforts to reduce asthma, increase affordable
4	housing and improve neighborhood parks which means
5	all New Yorkers.
б	Secondly I have to remark upon the
7	recent tragic death from a heart attack of
8	Terrence Tolbert, Executive Director of the
9	Department of Education's Office of
10	Intergovernmental Affairs. Terrence had taken a
11	temporary leave of absence from the Department of
12	Education to work on Barack Obama's campaign in
13	Nevada. And in fact he was the head of the
14	statewide Nevada campaign.
15	Terrence was a fixture in New York
16	City politics, having served as a Chief of Staff
17	to Assembly Member Keith Wright of Harlem for many
18	years and was a veteran on many political
19	campaigns. Terrence was a selfless and dedicated
20	leader in his Harlem community. And he served all
21	New York City children through his position at the
22	Department of Education. I attended his wake and
23	his funeral and clearly by the outpouring of
24	individuals both at the wake and at the funeral,
25	it just showed that he was loved and respected by

1	EDUCATION AND GENERAL WELFARE 6
2	so many people.
3	And at this time I would like to
4	call for a moment of silence to honor these two
5	outstanding public servants.
6	[Pause]
7	Thank you.
8	And getting back to the focus of
9	today's hearing we will be looking at Chronic
10	Absenteeism in our public schools especially in
11	the elementary grades. In October 2008 the Center
12	for New York City Affairs at the New School
13	released a report entitled "Strengthening Schools
14	by Strengthening Families, Community Strategies to
15	Reverse Chronic Absenteeism in the Early Grades,
16	and Improve Supports for Children and Families".
17	This report revealed a serious problem with
18	chronic absenteeism in the early grades in the New
19	York City Public Schools.
20	Chronic Absenteeism is defined in
21	the report as missing 20 or more days in a year.
22	That's approximately 1 entire month of school
23	instruction. What the study revealed is shocking.
24	It found that 20% of the elementary school
25	students missed at least 1 month of school last

1	EDUCATION AND GENERAL WELFARE 7
2	year. In 12 Districts in the City of New York
3	more than a quarter of elementary grade children,
4	which is 25%, missed at least 20 days of class and
5	in 123 schools at least 30% missed school. In 4
6	schools, PS 6 and PS 48 in the Bronx, and PS 150
7	and PS 332 in Brooklyn, about half, 50%, of all
8	students missed 20 or more days of school last
9	year.
10	These numbers are completely
11	outrageous and unacceptable. Good attendance is
12	essential for children to receive a quality
13	education. The bottom line is if kids aren't in
14	school they're not learning. Not only do students
15	with poor attendance perform worse in school, they
16	have a much higher chance of dropping out.
17	According to one research study, $6^{th}$ graders who
18	missed more than 20% of the school year have a 75%
19	chance of dropping out of high school. Clearly
20	student attendance in the early grades is critical
21	to future success in school and in life.
22	For the most part, the chronic
23	absence from school was associated with low
24	income, black and Latino families affected by
25	teenage motherhood, welfare, unemployment, low

1	EDUCATION AND GENERAL WELFARE 8
2	maternal education, poor maternal health and
3	families with multiple siblings. The reasons for
4	poor school attendance range from children with
5	health conditions, most commonly asthma. And as
б	you know New York City especially East Harlem and
7	the South Bronx have some of the highest asthma
8	rates in the country.
9	So most commonly asthma, to parents
10	who suffer from depression, drug abuse or health
11	concerns or do not understand the importance of
12	regular school attendance. According to reports
13	children who take the bus to school or tend to
14	have lower rates of attendance, especially if they
15	miss the bus and have no other way of getting to
16	school, their attendance is worse than the norm.
17	This situation disproportionately affects special
18	education students who are often bussed out of
19	their neighborhoods to school in other Districts.
20	The quality of school environment
21	such as the friendliness of teachers and staff may
22	also have an effect on the student's desire to
23	attend school and a parent's willingness to work
24	with the school when a child is absent or is
25	having difficulties. Today we want to hear from

1	EDUCATION AND GENERAL WELFARE 9
2	the Department of Education what they're doing to
3	address the problem of Chronic Absenteeism
4	especially in the early grades.
5	The Department of Education has
6	empowered principals and pledges to hold them
7	accountable primarily through grades given to each
8	school on their progress report. However as
9	critics point out, attendance counts for only 5%,
10	at the most, of the progress report grade.
11	Obviously that's not sufficient to combat chronic
12	absenteeism in many schools. When a child's
13	absences are excessive and unexcused it crosses
14	the line and is considered educational neglect.
15	When that happens educators are required to report
16	it to the State Central Registry (SCR) and the
17	Administration for Children's Services, commonly
18	known as ACS. And they must get involved.
19	ACS is here today. And we expect
20	to question both the Department of Education and
21	the Administration for Children's Services on how
22	well they are communicating and coordinating their
23	efforts to address cases of educational neglect
24	and before they turn into high profile cases of
25	child abuse strategies. We'd also like to hear

1	EDUCATION AND GENERAL WELFARE 10
2	about the status of the implementation of the 2006
3	recommendations of the Interagency Task Force on
4	Child Welfare and Safety.
5	Our colleague Bill de Blasio is on
6	his way and we will turn to him for an opening
7	statement when he arrives. But in the meantime
8	we've been joined by our colleague Simcha Felder
9	over there on the end to my left, from Brooklyn.
10	AndAbigail. And with that we'll turn to our
11	first panel.
12	[Pause]
13	Could we have representatives from
14	the Department of Education and from the
15	Administration for Children's Services? And I'm
16	going to ask each one to introduce themselves and
17	their title. And then whoever wants to begin
18	first is fine with us. So if you don't mind.
19	Introduce your name and your title and which
20	department you work for and you may begin each one
21	of you if you don't mind before the individual
22	testimonies go forward.
23	[Pause]
24	MS. LILIAN GARELICK: Good morning.
25	I'm Lilian Garelick from the Department of

1	EDUCATION AND GENERAL WELFARE 11
2	Education. I'm the Director of Mandated
3	Responsibilities that includes attendance.
4	CHAIRPERSON JACKSON: Thank you.
5	MS. ELAYNA KONSTAN: Good morning
6	Chair Jackson. I'm Elayna Konstan. I'm the Chief
7	Executive Officer of the Office of School and
8	Youth Development. Attendance falls under my
9	office.
10	CHAIRPERSON JACKSON: Okay.
11	MS. JAN FLORY: Good morning. I'm
12	Jan Flory, Deputy Commissioner for ACS for Child
13	Protection.
14	CHAIRPERSON JACKSON: Deputy
15	Commissioner. Okay.
16	MS. MARIE PHILIPPEAUX: Good
17	morning. I'm Marie Philippeaux. I'm the Interim
18	Associate Commissioner for the Division of Child
19	Protection.
20	CHAIRPERSON JACKSON: I'm sorry;
21	could you say your position again?
22	MS. PHILIPPEAUX: Interim Associate
23	Commissioner.
24	CHAIRPERSON JACKSON: Of Child
25	Protection?

1	EDUCATION AND GENERAL WELFARE 12
2	MS. PHILIPPEAUX: That's correct.
3	CHAIRPERSON JACKSON: Okay. Okay.
4	Whoever wants to begin first is fine. Please
5	begin.
6	MS. KONSTAN: Good morning Chair
7	Jackson and members of the Education and Welfare
8	Committee and good morning to you Chair de Blasio
9	when you come. I am Elayna Konstan, Chief
10	Executive Officer of the Office of School and
11	Youth Development at the New York City Department
12	of Education.
13	I am joined today by Lilian
14	Garelick, the Director of Mandated
15	Responsibilities from my office. Lilian has spent
16	most of her professional career working on
17	improving student attendance. And her talents,
18	expertise and guidance support our schools and
19	school leaders. I know her insightful lens will
20	add to our discussion.
21	We are also joined here today by
22	our colleagues at the Administration for
23	Children's Services to discuss these important
24	issues. We are pleased to be here today to
25	discuss the work that our department is doing to

1	EDUCATION AND GENERAL WELFARE 13
2	improve attendance. Our Children First
3	Initiatives under Mayor Bloomberg and Chancellor
4	Klein have yielded positive gains.
5	The good news is that attendance
6	has been going up and last year our attendance
7	rate was the highest it has been in 15 years. The
8	aggregate citywide attendance in 2007-2008 was
9	89.75% up from 88.44% in '05-'06 and up from
10	86.44% in 1995-'96 when we first began capturing
11	attendance data in the way that we do. Last year
12	29 of the 32 School Districts showed an increase
13	in attendance.
14	Our attendance rate for September
15	for the past 2 years has been 91.2%. And when we
16	compare this September, September 2008, with last
17	September, we continue to see positive trends. We
18	see that 3.4% more students had over 90%
19	attendance this year as compared to last
20	September. While we have made tremendous strides
21	we recognize that we still have a long way to go.
22	Our recently released study from
23	the Center of New York City Affairs at the New
24	School entitled "Strengthening Schools by
25	Strengthening Families" by Kim Nauer, Andrew White

1	EDUCATION AND GENERAL WELFARE 14
2	and Rajeev Verneni, provided analysis on chronic
3	absenteeism in early grades. I was invited by Kim
4	Nauer and Andrew White to take part on the panel
5	at the release of this study on October $21^{ ext{st}}$ which
6	was an honor and proved to be an excellent
7	discussion. This study is important work and
8	continues to remind all of us that we must remain
9	vigilant and work each and every day for improved
10	attendance and improved student outcomes so that
11	every student succeeds.
12	Every unexcused absence is an
13	absence of concern. The report also reminds us
14	that we must pay attention to the early grades.
15	And I would absolutely agree. When we look at the
16	three year attendance rate for early grades we see
17	similar patterns of increased attendance.
18	To highlight these trends, for
19	Kindergarten attendance rate increased from 90.25%
20	in 2005-'06 to 91.06% in '07-'08. For 1 <sup>st</sup> grade,
21	rates increased from 91.67% to 92.46% for the same
22	time period. Similarly for 2 <sup>nd</sup> grade rates
23	increased from 92.48% to 93.18%. And for 3 <sup>rd</sup> grade
24	rates increased from 92.92% to 93.63%. Early and
25	focused interventions are critical to long lasting

1	EDUCATION AND GENERAL WELFARE 15
2	and sustained gains for improved attendance and
3	academic achievement for all students.
4	I would like to walk you through
5	the attendance support structures that the
6	Department has in place. DOE has some of the most
7	sophisticated attendance systems in the nation.
8	And while I may not be completely neutral in my
9	thinking, our systems have provided principals and
10	school teams with incredible tools to address
11	attendance needs and most importantly the safety
12	and welfare of our students. As our attendance
13	systems become even more sophisticated we continue
14	to enhance our interventions.
15	We have developed a number of
16	tracking tools to support schools in this effort.
17	The overall goal of the tracking system is to
18	first ensure safety and welfare of our students
19	and at the same time to facilitate and support
20	regular school attendance. Each student's absence
21	is taken on a daily basis and entered into our
22	main computer. Schools also have available to
23	them numerous reports to monitor attendance rates,
24	identify patterns and view the specific names of
25	students who are absent so that early and specific

1	EDUCATION AND GENERAL WELFARE 16
2	interventions occur.
3	School personnel reach out to homes
4	of absent students by telephone, home visits and
5	by mail. If a student is absent 10 consecutive or
6	20 aggregate days it triggers the automatic
7	generation of what we call a "407 Attendance
8	Follow-Up Outreach and Referral Form." Outreach
9	and interventions are undertaken to ascertain the
10	reason for the absence and to facilitate the
11	student's return to school. It is only closed
12	upon the student's return or appropriate
13	discharge.
14	And we have good news in this area
15	as well. We note that last year we saw a dramatic
16	decrease in the number of 407's that were
17	generated. This means that far fewer students
18	crossed this absentee threshold and more were
19	coming to school.
20	We have an additional tool called
21	the Absent Alert that ensures that all 407's are
22	closed within a timely fashion. This is an
23	additional measure to provide a second layer of
24	tracing for our younger and more vulnerable
25	population of students.

1	EDUCATION AND GENERAL WELFARE 17
2	We have also put in place in recent
3	years a case tracking mechanism that enables
4	school support staff to document all interventions
5	and follow-up that have been undertaken for
6	individual students. It allows the effective
7	utilization of resources by providing an
8	electronic and centrally located data collection
9	system where support teams working with the
10	student are able to review previous interventions
11	and build upon them. It has proven to be a great
12	way to track various strategies and have been used
13	to expand upon them for even better results.
14	This year we developed a new report
15	that will help schools to readily identify
16	students who may be on the road towards being
17	chronically absent. Therefore beginning this
18	month schools will receive a weekly report that
19	identifies the names of students who have reached
20	five aggregate days of absence in the current
21	school year. They will then receive a second
22	report of students who reach ten aggregate days of
23	absence. The purpose of these reports is to flag
24	students early on before the level of absence gets
25	to a more serious point. It is a preventative

1	EDUCATION AND GENERAL WELFARE 18
2	approach that we believe will ultimately impact
3	upon student attendance and achievement.
4	Under Children First, principals
5	have the empowerment they need to lead their
б	schools and these attendance systems mentioned
7	above provide them with additional tools to make
8	informed decisions about how to support their
9	students. Our principals are focused on how to
10	ensure that each and every student learns and
11	grows in a climate that promotes growth. And we
12	are there to support them.
13	Under the leadership of the
14	principal all school staff members have a role to
15	play in ensuring that students are coming to
16	school each day, engaged and ready to learn. Each
17	school has an inquiry team that is part of the
18	accountability work at the school. Teams look at
19	data trends and also track individual student data
20	and achievement. School staff, particularly
21	teachers, use this intervention to intervene and
22	attendance is a key factor for students to attain
23	academic achievement.
24	To better understand these issues
25	and the data, schools have pupil personnel teams

1	EDUCATION AND GENERAL WELFARE 19
2	and attendance teams in place to come together and
3	discuss specific challenges of individual
4	students. Teams are comprised of staff across
5	discipline, each of whom brings their own
6	experience to the table. By delving into
7	individual cases, teams are able to see what
8	factors contribute to academic and attendance
9	challenges and determine the best intervention for
10	a student.
11	For the past two years the
12	Department has required principals and school
13	teams to complete the School and Youth Development
14	Consolidated plan as required by state law and/or
15	Chancellor's Regulation. One major component is
16	the Attendance Plan that schools need to complete.
17	Other aspects of the plan include child abuse,
18	crisis intervention, a plan to support students
19	living in temporary housing and bias harassment
20	prevention. This consolidated plan is yet another
21	way school leaders and school teams can document
22	and monitor school wide approaches.
23	DOE also provides training to all
24	schools, Designated Child Abuse Prevention
25	Liaisons who turn-key the information to all

1	EDUCATION AND GENERAL WELFARE 20
2	school personnel. This training is a requirement
3	and each school must send a representative on an
4	annual basis. The training provides information
5	on protocols and state requirements for reporting
6	child abuse and education neglect. In addition to
7	professional development sessions, online
8	resources, including a webcast training are
9	available for all school staff and central staff.
10	And we are also there for support and to answer
11	questions.
12	Attendance improvement crosses many
13	divisions within the Department. School support
14	organizations use attendance data to help
15	principals identify and address school needs.
16	Schools also have the support of a borough based
17	Integrated Service Center or a network based
18	children network team. Each ISC, CFN has an
19	attendance expert who is part of a broader youth
20	development team that support schools in
21	attendance and a range of youth development
22	services. And over 390 attendance teachers are
23	assigned to schools and networks of schools
24	throughout the city for more intensive attendance
25	outreach, follow-up and home visits.

1	EDUCATION AND GENERAL WELFARE 21
2	Attendance improvement requires a
3	variety of effective strategies from the use of
4	data tools to focused school interventions and
5	ongoing professional development for staff members
6	who work and who do this work. My office and
7	other offices as well provide opportunities for
8	schools to learn more effective strategies to
9	improve attendance. Attendance teachers receive
10	targeted professional development and work with
11	borough based attendance content experts. They
12	are an integral part of school support teams and
13	provide in depth knowledge of attendance
14	procedures and strategies and assist the schools
15	in reconnecting students and their families.
16	In order to maximize a level of
17	support that we provide to borough based teams
18	weekly and monthly data reports are shared with
19	the Youth Development teams. These reports enable
20	school teams to review the status of school
21	attendance and 407 rates on a weekly basis in
22	order to identify schools that may be in need of
23	additional support. My office, the Office of
24	School and Youth Development, support schools to
25	promote and maintain a positive school climate and

1	EDUCATION AND GENERAL WELFARE 22
2	culture to foster student growth and learning.
3	While this includes specific areas
4	such as attendance improvement, guidance,
5	prevention and intervention, social-emotional
6	learning, and safety and security, we view our
7	work holistically as a continuum of support from
8	prevention to intervention, to the overall school
9	culture. But our office does not do this work
10	alone. The work of improved attendance is in
11	focus of the entire Department of Education and
12	numerous other city agencies and external
13	partners.
14	Our collaboration with other city
15	agencies is critical to supporting our schools and
16	students. In 2006 the Mayor's Office first
17	convened the Interagency Task Force on Child
18	Welfare and Safety, which serves as a forum where
19	representatives from city agencies including ACS,
20	DOE and the New York Police Department, meet to
21	collaboratively address issues that affect safety
22	and welfare of children.
23	Recently we have strengthened our
24	interagency efforts to work even more closely with
25	ACS so that children and families receive the

1	EDUCATION AND GENERAL WELFARE 23
2	support they need. For example DOE and ACS
3	currently exchange foster care student data on a
4	monthly basis. This data exchange allows for DOE
5	staff to provide any necessary additional supports
6	to schools in this high risk category and provides
7	foster care staff with valuable student
8	information that allows them to identify any
9	attendance and/or educational issues that may be
10	impacting the student. This collaborative effort
11	enables us to partner with ACS in a manner that
12	maximizes both agencies' ability to support the
13	most vulnerable population of students.
14	The DOE also gives access to our
15	student information system to designated ACS staff
16	in order to enhance their ability to provide more
17	effective services and support to students and
18	their families. We are in frequent communication
19	with ACS to ensure that critical cases are
20	collaboratively addressed. We regularly train ACS
21	field staff in order to equip them with the
22	necessary information they need to access and
23	utilize our student information system.
24	My office also works very closely
25	with other agencies including the Department of

1	EDUCATION AND GENERAL WELFARE 24
2	Youth and Community Development, the Department of
3	Homeless Services, the New York Police Department
4	and the State Education Department, just to name a
5	few. These interagency efforts help to foster a
6	more coordinated and comprehensive approach.
7	And I cannot talk about improving
8	attendance achievement and strengthening the
9	overall climate of schools without mentioning our
10	partnership with community based organizations.
11	CBOs are an integral part of the fabric of our
12	school. Their work in our schools has helped
13	schools address specific needs of students and
14	focus on the social-emotional learning of school
15	communities. There are countless examples of
16	schools and CBOs working together to foster a more
17	positive climate and culture and improve
18	attendance.
19	And while I cannot begin to talk
20	about all of them I would like to highlight just a
21	few. We currently partner with United Way to
22	administer our Attendance Improvement and Dropout
23	Prevention Program with over 40 CBOs who have
24	provided attendance improvement and dropout
25	prevention services in over 100 schools. Our

1	EDUCATION AND GENERAL WELFARE 25
2	partnership with the Department of Youth and
3	Community Development puts high quality after
4	school and community programs into our schools.
5	There are over 400 OST programs in
6	our schools and 57% of them are in elementary
7	schools. And through our Beacon programs there
8	are more than 50 CBOs working in 80 of our
9	schools. 16 of the Beacon programs have ACS
10	services embedded in the program during the day to
11	help support families in the neighborhood.
12	Through funding from the New York State Education
13	Department, 21 <sup>st</sup> Century programs provides services
14	to 300 schools including academic enrichment,
15	youth development and parent outreach.
16	Again we have much more work to do
17	to improve attendance in all of our schools and we
18	can be encouraged that we are moving in the right
19	direction. It is the work of our school leaders,
20	their staffs and the largest school communities do
21	each and every day to connect with and engage
22	students and their families that make the
23	difference. We all have a role to play in this
24	work. And I am proud to be a part of this
25	endeavor. I thank you for the opportunity to

1	EDUCATION AND GENERAL WELFARE 26
2	address you on these important matters.
3	[Pause]
4	CHAIRPERSON JACKSON: Well thank
5	you for your testimony and I believe now we're
б	going to hear from the Administration for
7	Children's Services.
8	MS. FLORY: Thank you.
9	[Pause]
10	MS. FLORY: Good morning Chair
11	Jackson, Chair de Blasio, again when he arrives,
12	and members of the Education and General Welfare
13	Committees. I am Jan Flory, Deputy Commissioner
14	for Child Protection at the New York City
15	Administration for Children's Services. And with
16	me today is Marie Philippeaux, Interim Associate
17	Commissioner for Operations in the Division of
18	Child Protection.
19	I might explain that the Division
20	of Child Protection within ACS is the front part
21	of our system and does all investigations of all
22	cases come to our attention. And Ms. Philippeaux
23	is responsible for the vast array of borough
24	offices that oversee this work.
25	I would like to take this

1	EDUCATION AND GENERAL WELFARE 27
2	opportunity to inform the committees about
3	Children's Services efforts to investigate
4	concerns of educational neglect and to update the
5	Council on the collaborative work that is ongoing
6	with the Department of Education to strengthen our
7	investigations in this area.
8	There are two efforts guiding this
9	work both of which were established in the wake of
10	the death of Nixzmary Brown in early 2006. This
11	tragedy highlighted the need for increased
12	collaboration between Children's Services and DOE
13	to strengthen our efforts in protecting children
14	whenever there are concerns about the safety or
15	wellbeing of a child.
16	First through the Mayor's
17	Interagency Task Force on Child Welfare and
18	Safety, Children's Services and DOE have been
19	working since early 2006 to ensure that school
20	absences which may indicate a level of educational
21	neglect are being handled as effectively as
22	possible and to implement reform initiatives to
23	strengthen the work of both agencies.
24	Second the Children's Services
25	Child Safety Plan, Safeguarding Our Children,

1	EDUCATION AND GENERAL WELFARE 28
2	which first came out in March of 2006 and has had
3	several updates since then, implemented a number
4	of initiatives to emphasize our focus on child
5	safety and to strengthen practice in all of our
6	investigations including those reported with
7	allegations of educational neglect.
8	I want to say just a little bit
9	about child protective investigations. Do? Okay.
10	Just to give you a backdrop of how investigations
11	take place. Children's Services is the city's
12	public child welfare agency dedicated to
13	protecting children and strengthening families.
14	We investigate more than 60,000
15	reports of suspected child abuse or neglect each
16	year. In 2007 roughly 14,000 of these reports,
17	about 23% of all our reports, alleged educational
18	neglect which is legally defined as "the failure
19	of a person in a parental relationship to a child
20	to ensure that child's prompt and regular
21	attendance in school or the keeping of a child out
22	of school for impermissible reasons". In addition
23	school staff continue, for us, to be the most
24	common source of child abuse and neglect reports,
25	calling in approximately 29% of all the reports

1	EDUCATION AND GENERAL WELFARE 29
2	that we receive each year. And obviously that
3	goes up during the school year. It goes down
4	dramatically during the summer.
5	Attendance and behavior in school
6	are often indicators of deeper challenges that a
7	family is facing in providing for their child's
8	safety and wellbeing. So it is critical that a
9	thorough child investigation is conducted in every
10	case.
11	Investigations by our staff are
12	initiated when a report is received through the
13	New York State Central Registry for Child Abuse
14	and Maltreatment, known as the SCR. This is
15	operated by the State's Office of Child and Family
16	Services. State law and regulations identify the
17	specific responsibilities and duties of child
18	protective services concerning reports of
19	suspected child abuse or maltreatment.
20	These duties include the
21	requirement to be able to receive all reports 24
22	hours a day, 7 days a week and to initiate an
23	investigation within 24 hours of the receipt of a
24	report to assess the immediate safety of the child
25	or children. This applies to all reports of

1	EDUCATION AND GENERAL WELFARE 30
2	suspected child abuse and maltreatment including
3	ed neglect reports.
4	There are some basic steps to a
5	child protective investigation, just to run you
6	through them very quickly. They include contact
7	with the family within at the minimum 48 hours,
8	but within 24 hours or immediately when there is a
9	very serious situation that we learn of. A
10	thorough safety investigation assessment of the
11	home, interviews with all family members,
12	interviews with the source of the report,
13	interviews with other individuals who have a
14	relationship with the child or the family who may
15	be able to give us additional information about
16	the allegation. This includes neighbors, family
17	members, friends, teachers, doctors or others who
18	know the family, a thorough review of the family's
19	prior child welfare contacts, and a constant
20	assessment of safety and risk to a child
21	throughout the investigation.
22	Our policy and practice states that
23	during any investigation involving school aged
24	children, teachers and other school staff are
25	critical collateral contacts to help our staff

1	EDUCATION AND GENERAL WELFARE 31
2	learn more about the child's attendance and
3	progress in school and to help ascertain whether
4	school personnel has identified any safety
5	concerns or has other information that may help
6	us. At any point during an investigation
7	Children's Services may take action or put
8	services in place to assist the family in
9	providing a safe environment for their child or
10	children.
11	These services are intended to
12	address safety issues identified during the
13	investigation and should target the family
14	specific needs. They may include things such as
15	domestic violence counseling, parenting classes,
16	substance abuse treatment, mental health services,
17	child care assistance, home making services, and a
18	host of other things that are unique and specific
19	to that family.
20	In cases where more intensive
21	supervision is needed, our staff may file a child
22	protective case in Family Court to request court
23	ordered supervision. The Court can authorize
24	Children's Services to monitor interventions that
25	the agency prescribes to address the issues that

1	EDUCATION AND GENERAL WELFARE 32
2	brought the family to Children's Services
3	attention.
4	When we find a child in imminent
5	danger we can petition the Court, and do, to
6	remove the child from his or her parents' care and
7	place the child in foster care. Removal of a
8	child occurs when other options to protect the
9	child are not possible. In many cases Children's
10	Services works with the family, organizing
11	services and supports so that the child can remain
12	safely with his or her own family.
13	At the conclusion of an
14	investigation, a determination is made whether the
15	reported allegations, what we were told
16	originally, when we got the report, are indicated,
17	which means there is credible evidence that what
18	we were told, the allegation is true, or we can
19	return an unfounded determination meaning that no
20	credible evidence exists.
21	In the reports that we received
22	comparing 2005 to 2007, the ed neglect reports,
23	where we had an indicated determination, in 2005
24	35% of those reports were indicated. And in 2007,
25	41.5% of those reports were indicated. That's

1	EDUCATION AND GENERAL WELFARE 33
2	CHAIRPERSON JACKSON: [Interposing]
3	Can you give me those numbers again please?
4	MS. FLORY: Yes. Yes.
5	CHAIRPERSON JACKSON: [Interposing]
6	Because thatis that listed in here?
7	MS. FLORY: It's not. I'm
8	CHAIRPERSON JACKSON: [Interposing]
9	Okay.
10	MS. FLORY:adding it to my
11	testimony.
12	CHAIRPERSON JACKSON: Okay. I
13	just, can you just give me those numbers again.
14	MS. FLORY: Sorry. In the reports
15	where ed neglect was the allegation, in 2005 35%
16	of those reports were indicated meaning we found
17	credible evidence to take action. In 2007, last
18	calendar year, 41.5% of those reports were
19	indicated. Now that's generally in line with our
20	overall increase in indicated reports which we
21	have been doing steadily since 2006. But ed
22	neglect reports are following that pattern is the
23	point I want to make.
24	As the Council is aware, one of the
25	key initiatives launched as part of the Children's

1	EDUCATION AND GENERAL WELFARE 34
2	Services Safety Plan is ChildStat, our
3	accountability initiative to engage in discussions
4	about practice and trends so that we may better
5	understand the challenges faced by our staff on
6	the front lines. Some of you have attended
7	ChildStat, our weekly session and we would invite
8	any of you to come and observe our sessions, every
9	week on Thursday mornings.
10	This key tool also provides a forum
11	where we work together towards addressing practice
12	issues and making the best decisions possible to
13	protect children. ChildStat is carried out weekly
14	where executive staff including Commissioner
15	Mattingly, Associate Commissioner Marie
16	Philippeaux and myself meet with managers and
17	administrators in from our borough offices on a
18	rotating basis to review their performance, data
19	and to talk about case practice through a case
20	that we look at.
21	We have recently in the last month
22	included supervisors among ChildStat attendees.
23	This allows us to ensure that the lessons that we
24	are learning through these meetings and steps we
25	are taking to address issues are reaching our

1	EDUCATION AND GENERAL WELFARE 35
2	frontline staff. Children's Services work with
3	schools is consistently a part of the discussion
4	at ChildStat sessions. And we have the
5	opportunity through these meetings to identify
6	practice issues related to our work with families
7	experiencing educational neglect, to reinforce
8	policy and best practice across the board, and to
9	strengthen our decision making in allegations, in
10	child abuse allegations.
11	Through ChildStat and other
12	initiatives to strengthen practice we are
13	emphasizing the importance of obtaining relevant
14	and substantive information from a variety of
15	sources so that we can best determine what the
16	appropriate services or interventions are in order
17	to keep children safe.
18	Contact with the source of the
19	report, particularly in educational neglect cases,
20	is a key element of this work. And we have
21	focused our attention in ChildStat meetings on
22	qualitative reviews and policy development to
23	reinforce the importance of talking with the
24	person who actually saw and experienced something
25	that was told to them, or something that they saw

1	EDUCATION AND GENERAL WELFARE 36
2	in order to make a report to us.
3	Through ChildStat we are also
4	monitoring the types of services provided to
5	families and the success of our interventions in
6	preventing repeat reports to the State Central
7	Registry.
8	As a result of our partnership
9	through the Interagency Task Force we have taken a
10	number of steps to enhance the education related
11	information and supports available to our staff as
12	well as casework staff at our partner agencies,
13	the preventive and foster care agencies so that
14	they too can be in link, in touch with DOE in a
15	more effective way.
16	In 2006 we ensured that every
17	borough office has a Child Protective Unit,
18	specializing in investigations of educational
19	neglect. Right now we have about 26 units, at
20	least 1 per borough office. These units are
21	designed to handle all cases where ed neglect is
22	the primary reason that we have a report from the
23	SCR. Depending on the volume of reports in a
24	particular borough office these units may handle
25	general protective cases as well.

1	EDUCATION AND GENERAL WELFARE 37
2	Child protective staff in the ed
3	neglect units undergo the same training,
4	curriculum as all of our staff and all staff are
5	trained in the use of DOE's information system
6	which includes attendance and other information
7	relevant to a child's progress in school. Because
8	the majority of the caseloads for these staff
9	involve education related issues, these units are
10	familiar with the schools and the communities they
11	serve. They have a deeper understanding of DOE
12	policies and practices and in many cases have
13	relationships with local school staff enabling
14	them to obtain thorough information about the
15	reasons that a report has been called in and to
16	better assist the family in working with the
17	school.
18	In 2008 Children's Services and DOE
19	rolled out the School Success Initiative through
20	which many foster care agencies established
21	education liaisons, staff designed to access the
22	DOE's system as well.
23	Over the last couple of years we
24	have updated our case practice guide for our
25	staff, for investigations, which highlights the

1	EDUCATION AND GENERAL WELFARE 38
2	responsibilities of our staff to contact schools
3	and assess the school progress of children as a
4	part of their investigations. And this is in
5	every investigation, not just ed neglect cases.
6	We have also issued a Child Safety Alert on
7	investigating allegations of educational neglect
8	and coordinating with DOE during our
9	investigations.
10	As the Council may be aware, OCFS,
11	the State Office of Child and Family Services,
12	recently developed in collaboration with the State
13	Education Department a model for practice and
14	procedures regarding the investigation and
15	reporting of ed neglect cases for all social
16	service and education agencies in New York State.
17	This is from a law passed in 2006.
18	This model outlines the guidelines
19	for reporting educational neglect cases to the SCR
20	as defined by State law. It also mandates social
21	service and education agencies to establish a
22	system for regular review and update of their
23	policies and procedures as well as informing staff
24	of changes.
25	Children's Services and DOE staff

1	EDUCATION AND GENERAL WELFARE 39
2	are meeting bi-weekly to make sure that existing
3	policy and procedures are in compliance with the
4	State model, building on the work that we've been
5	doing for almost now two years.
6	A critical need for all of our
7	child welfare workers is the ability to help a
8	family or a child access the appropriate
9	educational resource. This summer we launched a
10	web-based education resource tool to make
11	information available to all of our staff as well
12	as staff at our contracted agencies providing
13	foster care and preventive services.
14	This education website includes
15	links to the DOE website on regulations and
16	policies, information about the structure of DOE,
17	and critical contact information for various
18	service resources at DOE and ACS. The homepage of
19	the website is updated on a regular basis.
20	And you can go to our ACS website
21	and on the left-hand side, click on Education
22	Resource and you can find immense amounts of
23	information about things such as enrollment, early
24	intervention, alternative schools, special ed,
25	discipline and suspensions. These are the kinds

1	EDUCATION AND GENERAL WELFARE 40			
2	of things that our staff, on a daily basis, may be			
3	dealing with. And now there's a place for them to			
4	go and			
5	CHAIRPERSON JACKSON: [Interposing]			
6	You go to that website, it just links on to the			
7	Department of Education's website?			
8	MS. FLORY: No. It will link to			
9	our education resources, will link to our website,			
10	and within that website depending on the topic, it			
11	will link to a DOE website			
12	CHAIRPERSON JACKSON: [Interposing]			
13	Okay.			
14	MS: FLORY: But some of the			
15	information is our own information.			
16	CHAIRPERSON JACKSON: Okay.			
17	MS. FLORY: And it's designed to			
18	help a worker walk through the maze of what a			
19	family or a child may need.			
20	Our intent is to provide easy			
21	access to educational information throughout the			
22	life of a child's involvement with us from the			
23	early stages of an investigation through the			
24	provision of preventative and foster care services			
25	when those are required.			

1	EDUCATION AND GENERAL WELFARE 41					
2	We have also expanded the role of					
3	our Education Unit to consult with child					
4	protective staff as well as staff at our					
5	preventive and foster care agencies. The goal is					
6	to build capacity and expertise among staff when					
7	working with families and DOE on education related					
8	issues. Education advocates in this unit are well					
9	versed in educational regulations and laws and					
10	provide training and support to our child welfare					
11	staff. They are also able to troubleshoot the					
12	more complex cases, advice on DOE policies and					
13	regulations, and help staff and families make the					
14	connections to the appropriate offices within DOE					
15	to resolve issues that arise during a child					
16	welfare case.					
17	Last month we've added two					
18	additional education advocates to this unit and we					
19	anticipate adding a supervisor in December. We're					
20	sorry that Regina Shaeffer who's the director of					
21	this unit is not able to be here today. But she					
22	is in charge of facilitating much of the work					
23	that's being coordinated between DOE and ACS.					
24	Through the collaborative work of					
25	the Mayor's Interagency Task Force each borough					

1	EDUCATION AND GENERAL WELFARE 42				
2	office of Children's Services is now assigned an				
3	Educational Liaison who's responsible for				
4	facilitating communication with DOE, working with				
5	ACS Liaisons at schools and at the DOE Integrated				
6	Services Centers. These liaisons help to				
7	strengthen relationships between ACS and DOE on				
8	the local level.				
9	Also in each borough ACS and DOE				
10	are meeting on a regular basis to troubleshoot				
11	specific cases, highlight areas of concern in case				
12	practice and to inform each other about changes in				
13	policies and procedures. These meetings held by				
14	sort of mid-level managers and administrators				
15	really help develop the relationships between our				
16	two systems and pave the way for collaborative				
17	work on individual cases.				
18	Children's Services and the				
19	Department of Education are also working to				
20	provide training and information to our staff. In				
21	August of this past year we hosted an enhanced				
22	Back to School Summit that provided child				
23	protective staff with information on policies and				
24	processes in order to support families. Staff				
25	also had the opportunity to network with staff				

1	EDUCATION AND GENERAL WELFARE 43				
2	from various areas of DOE to better understand				
3	their roles.				
4	In the spring and fall of this				
5	year, the two agencies conducted, appropriately				
6	named, the ABC's of DOE, enabling staff to receive				
7	information and ask questions regarding DOE's				
8	reporting procedures and structure.				
9	As the Council is also aware, we at				
10	ACS created the Office of Safety First in 2006 to				
11	serve as an ombudsman for mandated reporters so				
12	that they could address concerns about the safety				
13	of a child after they've made a report to the				
14	State Central Registry. 52% of the calls received				
15	by Safety First come from DOE staff, averaging				
16	between 300 and 400 calls a month when school is				
17	in session.				
18	Safety First ensures that someone				
19	is available during business hours, when child				
20	protective staff may be in the field, to answer				
21	questions about the process of child protective				
22	investigations and to help connect callers to our				
23	borough office staff following up on concerns that				
24	arise once an investigation is under way.				
25	At times when a situation arises				

1	EDUCATION AND GENERAL WELFARE 44					
2	that presents imminent danger for a child, Safety					
3	First helps to make contact with child protective					
4	staff to ensure that our workers are able to					
5	locate the child and take appropriate action as					
6	quickly as possible.					
7	Because educational personnel make					
8	up a significant percentage of the reporters					
9	served by Safety First the office has expanded its					
10	outreach and training to DOE Integrated Service					
11	Centers and schools to explain the role of Safety					
12	First and educate on the responsibilities of					
13	mandated reporters. We've received very positive					
14	feedback from the community, particularly from					
15	school staff, about the support provided by Safety					
16	First in facilitating communication and					
17	collaboration between Children's Services staff					
18	and mandated reporters.					
19	In conclusion, when we talk about					
20	the work of Children's Services and DOE, we are					
21	looking at two complex systems, each charged with					
22	safeguarding children and supporting them in					
23	growing to become healthy successful adults. For					
24	this reason there are a number of critical					
25	initiatives underway between the two agencies to					

1		EDUCATION AND GENERAL WELFARE	45
2	strengthen	our	
3		[TAPE ENDS ABRUPTLY]	
4			
5			
6			

I, Laura L. Springate certify that the foregoing transcript is a true and accurate record of the proceedings. I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

Lama L. Springate

Signature: Laura L. Springate\_\_\_\_\_

Date: January 20, 2009\_