



Fiscal 2009 Executive Budget Hearings

❖ Committee on Finance Jointly with the Committee on Transportation

May 2008

Scheduled To Testify:

- *Department of Transportation*
- *MTA/ New York City Transit*

*Hon. Christine C. Quinn
Speaker of the Council*

*Hon. David I. Weprin, Chair
Committee on Finance*

*Hon. John C. Liu, Chair
Committee on Transportation*

*Preston Niblack, Director
Finance Division*

New York City Council Finance Division

Preston Niblack
Director

Andy Grossman
Acting Deputy Director, Expense

Latonia McKinney
Assistant Director, Infrastructure & Education

Chima Obichere
Analyst

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DEPARTMENT OF TRANSPORTATION (841)

Agency Operations

The Department of Transportation (DOT) manages approximately 5,800 miles of streets and highways and 790 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 11,900 signalized intersections. DOT also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters.

The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. Additionally, DOT also encourages the use of alternative modes of transportation and administers a citywide program advancing the use of alternative fuels.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Mod. as of 4/25/2008	Fiscal 2009 Preliminary Budget	Fiscal 2009 Executive Budget
City	\$427,659,039	\$427,624,039	\$428,461,403	\$464,359,410
Other Categorical	\$20,179,750	\$21,773,776	\$429,000	\$429,000
Capital IFA	\$138,530,799	\$138,530,799	\$161,059,076	\$164,748,469
State	\$45,726,049	\$83,052,625	\$38,205,960	\$42,570,535
Community Development	\$0	\$170,000	\$0	\$0
Federal-Other	\$13,746,996	\$61,739,876	\$13,746,996	\$13,788,137
Intra-City	\$1,423,073	\$2,023,379	\$1,409,073	\$1,409,073
Total	\$647,265,706	\$734,914,494	\$643,311,508	\$687,304,624

HEADCOUNT OVERVIEW

Headcount	Fiscal 2008 Adopted Budget	Fiscal 2008 Forecast for 06/30/2008	Fiscal 2009 Preliminary Budget	Fiscal 2009 Executive Budget
City	2,257	2,257	2,238	2,228
Non-City	1,972	2,636	1,941	1,971
Total	4,229	4,893	4,179	4,199

The Department of Transportation's Fiscal 2009 Executive Budget is a total of \$687 million, an increase of \$44 million compared to the Fiscal 2009 Preliminary Budget of \$643 million. This increase can be attributed to an increase in lease costs for the Department's headquarters and increased energy needs. Because of the differences between the City's fiscal year and the State and Federal fiscal years, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and will make adjustments throughout the year as additional funds are received.

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The Fiscal 2009 Executive Budget proposes \$464 million in City-tax levy funding for the Department - an increase of 8.4 percent from the Fiscal 2009 Preliminary Budget of \$428 million. It includes \$19 million in new needs, \$4 million in agency reductions and \$21 million in other adjustments.

PROGRAM FUNDING OVERVIEW (\$000s)

In addition to examining the agency's operations by funding sources, this document will also provide analysis by program area. The table below highlights the key program areas in this Agency and the amount of funding allocated to those programs. Analysis of the various programs will be provided in the appropriate units of appropriation section where warranted.

Program	2007 Actual Spending	2008 Adopted Budget	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Bridge Engineering and Administration	\$24,062	\$26,141	\$30,027	\$26,211	\$26,816
Bridge Maintenance, Repair & Operations	\$48,904	\$44,079	\$57,255	\$44,124	\$44,109
Ferry Administration & Surface Transit	\$7,302	\$3,765	\$8,362	\$3,662	\$3,687
Management & Administration	\$43,602	\$46,159	\$53,984	\$41,998	\$49,962
Municipal Ferry Operation & Maintenance	\$74,606	\$87,806	\$97,339	\$81,372	\$85,427
Roadway Construction Coordination & Administration	\$8,231	\$8,747	\$10,463	\$8,927	\$9,215
Roadway Repair, Maintenance & Inspection	\$157,982	\$165,183	\$188,209	\$166,974	\$170,535
Traffic Operations & Maintenance	\$220,519	\$228,853	\$268,459	\$240,232	\$252,840
Traffic Planning Safety & Administration	\$19,684	\$15,914	\$25,168	\$13,006	\$13,153
Vehicles & Facilities Management & Maintenance	\$13,376	\$20,619	\$20,040	\$16,806	\$31,560
Total	\$618,376	\$647,266	\$759,307	\$643,312	\$687,305

PROGRAM HEADCOUNT OVERVIEW

Program	2007 Actual Headcount	2008 Adopted Budget	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Bridge Engineering and Administration	290	336	363	336	345
Bridge Maintenance, Repair & Operations	432	467	496	467	458
Ferry Administration & Surface Transit	52	50	66	50	50
Management & Administration	414	362	481	362	376
Municipal Ferry Operation & Maintenance	557	634	634	634	634
Roadway Construction Coordination & Administration	117	101	129	101	106
Roadway Repair, Maintenance & Inspection	1,094	1,026	1,171	1,013	991
Traffic Operations & Maintenance	1,069	1,065	1,222	1,027	1,029
Traffic Planning Safety & Administration	132	66	181	67	72
Vehicles & Facilities Management & Maintenance	139	122	150	122	138
Total	4,296	4,229	4,893	4,179	4,199

PROGRAM ANALYSIS**Traffic Operations and Maintenance**

DOT's Division of Traffic is responsible for facilitating the flow of vehicular traffic on City streets and bridges; regulating parking on city streets and in city-owned lots and garages open to the public; and ensuring the safety of motorists and pedestrians. The Fiscal 2009 Executive Budget contains \$253 million for traffic operations and maintenance. These funds will help the Department to continue its efforts to implement strategic initiatives to improve traffic conditions citywide. These efforts include the implementation of Bus Rapid Transit (BRT) as recommended in the New York City Bus Rapid Transit Study conducted in conjunction with the MTA and the State Department of Transportation, and continuation of PlaNYC initiatives such as the Bike Network Development and Intelligent Transportation Systems initiative. The PlaNYC initiatives also have capital improvement components that total \$50 million.

In addition, in an effort to improve the availability of on-street parking, the Department plans to expand its Parking Placard Program in Fiscal 2009. The expanded program's goal is to increase the number of available parking spaces by reducing the number of government placards issued to City agencies by 20 percent beginning in 2009.

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The City Charter requires that U/As represent the amount appropriated for personal services (i.e. salaries) or Other Than Personal Services (i.e. supplies) for a particular program, purpose, activity or institution.

U/A	U/A Name	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Mod. as of 4/25/2008	Fiscal 2009 Preliminary Budget	Fiscal 2009 Executive Budget
001	Executive Admin & Plan Management	\$33,244,832	\$42,435,148	\$33,503,355	\$34,348,705
002	Highway Operations	\$97,554,218	\$106,795,777	\$96,125,194	\$99,374,535
003	Transit Operations	\$55,826,926	\$57,579,760	\$54,961,746	\$55,893,552
004	Traffic Operations	\$62,559,660	\$79,587,550	\$61,944,535	\$62,722,193
006	Bureau of Bridges	\$57,329,352	\$60,416,767	\$58,312,118	\$58,902,219
	Total PS	\$306,514,988	\$346,815,002	\$304,846,948	\$311,241,204
007	Bureau of Bridges	\$12,890,935	\$22,632,527	\$12,022,935	\$12,022,935
011	Executive Admin & Plan Management	\$33,533,032	\$34,840,528	\$25,300,286	\$46,252,476
012	Highway Operations	\$76,375,187	\$79,544,329	\$79,775,489	\$81,296,267
013	Transit Operations	\$35,744,172	\$42,831,049	\$30,072,049	\$33,220,399
014	Traffic Operations	\$182,207,392	\$199,711,863	\$191,293,801	\$203,271,343
	Total OTPS	\$340,750,718	\$379,560,296	\$338,464,560	\$376,063,420
	Total Agency	\$647,265,706	\$726,375,298	\$643,311,508	\$687,304,624

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EXECUTIVE BUDGET ACTIONS (\$000)

Description	Fiscal 2008			Fiscal 2009		
	City	Non- City	Total	City	Non-City	Total
Agency Budget as per the Preliminary Plan	\$453,460	\$305,581	\$759,041	\$428,459	\$214,854	\$643,313
PEGs						
Additional Building Related Revenue	\$0	\$0	\$0	\$222	\$0	\$222
Additional HIQA Inspectors	\$334	\$0	\$334	\$726	\$0	\$726
Additional Revenue - Multi-space Meters	\$69	\$0	\$69	\$185	\$0	\$185
CHIPS Funding Switch	\$0	\$0	\$0	(\$896)	\$896	\$0
SI Ferry Subsidy Funding switch	\$0	\$0	\$0	(\$3,467)	\$3,467	\$0
Winter Equip Mte. funding Switch to IFA	(\$142)	\$142	\$0	(\$142)	\$142	\$0
Ferry Fuel Funding Adjustment	\$0	\$0	\$0	(\$860)	\$0	(\$860)
Total PEGs	\$261	\$142	\$403	(\$4,232)	\$4,505	\$273
New Needs						
Accident Investigations -Local Law 11 of 08	\$0	\$0	\$0	\$387	\$0	\$387
Delay in Contracting -Night Ferry Service	\$0	\$0	\$0	\$609	\$0	\$609
DOT Headquarters Lease Cost Increase	(\$3,700)	\$0	(\$3,700)	\$16,208	\$0	\$16,208
MIS Software License Maintenance	\$0	\$0	\$0	\$1,000	\$0	\$1,000
Extended Placard Program	\$0	\$0	\$0	\$504	\$0	\$504
Lower Manhattan Constr. Command Ctr.	\$0	\$0	\$0	\$598	\$0	\$598
Total New Needs	(\$3,700)	\$0	(\$3,700)	\$19,306	\$0	\$19,306
Other Adjustments						
Labor Contract Annuities Underfunding	\$666	\$0	\$666	\$666	\$0	\$666
Collective Bargaining	\$836	\$330	\$1,166	\$837	\$330	\$1,167
Additional HIQA Inspectors - Fringe	(\$23)	\$0	(\$23)	(\$138)	\$0	(\$138)
Street Opening Permit Revenue Incr - Fringe	\$0	\$0	\$0	(\$62)	\$0	(\$62)
Ferry Terminal Maintenance Funding Roll	(\$2,180)	\$0	(\$2,180)	\$2,180	\$0	\$2,180
Dry-docking Contract Delay Fund Roll	(\$500)	\$0	(\$500)	\$500	\$0	\$500
Computer Equipment Funding Roll	(\$1,115)	\$0	(\$1,115)	\$1,115	\$0	\$1,115
55 Water Street Move Delay	(\$1,800)	\$0	(\$1,800)	\$1,800	\$0	\$1,800
Vehicle Delivery/Contract Delays Roll	(\$1,320)	\$0	(\$1,320)	\$1,320	\$0	\$1,320
Heat, Light and Power	(\$2,986)	\$0	(\$2,986)	\$10,466	\$0	\$10,466
Fuel	(\$100)	\$0	(\$100)	\$11	\$0	\$11
Gasoline	\$3,214	\$0	\$3,214	\$2,077	\$0	\$2,077
Lease Adjustment	\$0	\$0	\$0	\$45	\$0	\$45
Signal Maintenance CHIPS	\$0	\$3,023	\$3,023	\$0	\$0	\$0
Movable Bridges P/M	\$0	\$1,331	\$1,331	\$0	\$0	\$0
Austin Class Drydocking	\$0	\$1,165	\$1,165	\$0	\$0	\$0
Emission Reduction Equip Retrofit - LL77	\$0	\$0	\$0	\$0	\$1,000	\$1,000
Increase in Cost of Asphalt	\$0	\$0	\$0	\$0	\$2,256	\$2,256
FY08 Funding Adjustments - Non-City	\$0	\$3,017	\$3,017	\$0	\$0	\$0
Technical Adjustment	\$4	\$0	\$4	\$9	\$0	\$9
Total Other Adjustments	(\$5,304)	\$8,866	\$3,562	\$20,826	\$3,586	\$24,412
Total Executive Plan Budget Changes	(\$8,743)	\$9,008	\$265	\$35,900	\$8,091	\$43,991
Agency Budget as per the Executive Plan	\$444,717	\$314,589	\$759,306	\$464,359	\$222,945	\$687,304

EXECUTIVE BUDGET ACTION ANALYSIS

Programs to Eliminate the Gap (PEGs)

- **Additional Building Related Revenue.** As a result of an increase in the number of requests for street cut permits, the Department anticipates additional revenue of \$1.2 million in Fiscal 2008 and \$500,000 in Fiscal 2009 and in the outyears. However, to generate the revenue, the Department will need \$222,000 in Fiscal 2009, \$225,000 in Fiscal 2010, \$229,000 in Fiscal 2011 and \$233,000 in Fiscal 2012 for five positions and related OTPS costs.
- **Additional Highway Inspection Quality Assurance (HIQA) Inspectors.** The Fiscal 2009 Executive Budget includes \$334,000 in Fiscal 2008, \$726,000 in Fiscal 2009, \$733,000 in Fiscal 2010, \$740,000 in Fiscal 2011 and \$748,000 in Fiscal 2012 for 10 positions and related OTPS costs to increase street opening enforcement. This action is aimed at alleviating traffic congestion and improving roadway safety and will bring the total HIQA headcount to 128. The Department anticipates that it will generate additional revenue of \$335,000 in Fiscal 2008 and \$1.1 million in Fiscal 2009 and in the outyears.
- **Additional Revenue from Multi-space Meters.** Due to the continued expansion of commercial parking, the Department anticipates additional revenue of \$3.5 million in Fiscal 2008 and \$4.6 million in Fiscal 2009 and in the outyears from commercial parking fees that will be offset by associated OTPS costs of \$69,000 in Fiscal 2008, and \$185,000 in Fiscal 2009 and the outyears.
- **Consolidated Highway Improvement Programs (CHIPs) Funding Switch.** The Department of Transportation proposes to use State funding for CHIPs eligible personal services (PS) and other than personal services (OTPS) related expenses, resulting in City tax-levy savings of \$896,000 in Fiscal 2009 only.
- **State Ferry Subsidy Surplus.** Because DOT anticipates additional State funding of \$3.4 million in Fiscal 2009 and 2010 for the Staten Island Ferry, the Fiscal 2009 Executive Budget contains City tax-levy savings in the same amount for those years.
- **Winter Equipment Maintenance Funding Switch -IFA.** The 2009 Executive Budget contains baseline funding of \$142,000 in Fiscal 2008 and in Fiscal 2009 and in the outyears for equipment maintenance funded through intra-funding agreements (IFAs), which are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff.
- **Ferry Fuel Funding Adjustment.** Due to re-estimates, the Department anticipates a decrease of \$860,000 in Fiscal 2008 and in the outyears in ferry fuel costs.

New Needs

- **Accident Investigations – Local Law 11 of 2008.** The Department will receive \$387,000 in Fiscal 2009 and \$279,000 in Fiscal 2010 and in the outyears for five positions that will investigate accident locations to comply with Local Law 11 passed in 2008. Under this

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legislation, the Department is to inspect every accident location with five or more injuries or fatalities involving pedestrians and/or bicyclists during the prior twelve month period.

- **Delay in Contracting Night Ferry Service.** In 2003, funding totaling \$1.2 million for night ferry service was eliminated in anticipation of a contract with a private contractor to provide overnight ferry service on the Staten Island Ferry between the hours of 12 am and 6 am. However, because of a delay, the funding was restored for Fiscal 2009, \$609,000 in the Preliminary Budget and \$609,000 in the Executive Budget.
- **DOT Headquarters Lease Cost Increase.** Due to re-estimates, the Department's lease costs for its headquarters is decreased by \$3.7 million in Fiscal 2008 but will increase by \$16.2 million in Fiscal 2009, and by \$4.3 million in Fiscal 2010 and in the outyears.
- **MIS Software License Maintenance.** DOT will receive baseline funding of \$1 million in Fiscal 2009 and in the outyears for software licensing agreements.
- **Extended Placard Program.** To help the Department centralize the issuance of parking permits, the Fiscal 2009 Executive Budget contains \$504,000 in Fiscal 2009 and \$494,000 in Fiscal 2010 and in the outyears to hire two positions for the agency parking placard program. DOT anticipates that this action will result in a 20 percent reduction in the number of City government parking permits, thereby improving the availability of on-street parking citywide.
- **Lower Manhattan Construction Command Center.** DOT will receive additional funding of \$598,000 in Fiscal 2009, \$603,000 in Fiscal 2010 and \$304,000 in Fiscal 2011 for 14 positions at its Lower Manhattan Construction Command Center.

Other Adjustments

- **Labor Contract Annuity Underfunding.** Due to funding re-estimates, the Fiscal 2009 Executive Budget contains \$666,000 in Fiscal 2008 and in Fiscal 2009 and in the outyears for costs associated with a labor contract agreement.
- **Collective Bargaining.** The Fiscal 2009 Executive Budget contains transfers from the Labor Reserve in the Miscellaneous Budget to the budget of the Department of Transportation to cover costs associated with collective bargaining agreements in the amount of \$836,000 in Fiscal 2008 and \$837,000 in Fiscal 2009 and in the outyears.
- **Additional HIQA Inspectors - Fringe.** This action represents a funding realignment between DOT's Budget and the Miscellaneous Budget for fringe benefit costs associated with 10 HIQA inspectors of \$23,000 in Fiscal 2008, \$138,000 in Fiscal 2009, \$144,000 in Fiscal 2010, \$151,000 in Fiscal 2011 and \$159,000 in Fiscal 2012.
- **Street Opening Permit Revenue Increase - Fringe.** This action represents a funding realignment between DOT's Budget and the Miscellaneous Budget for fringe benefit costs associated with five positions of \$62,000 in Fiscal 2009, \$65,000 in Fiscal 2010, \$68,000 in Fiscal 2011 and \$72,000 in Fiscal 2012.

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- **Ferry Terminal Maintenance Funding Rollover.** As a result of a timing delay, \$2.1 million earmarked for a Staten Island Ferry Terminal maintenance contract in Fiscal 2008 will be rolled to Fiscal 2009.
- **Ferry Terminal Maintenance Funding Rollover.** As a result of a contract delay, \$500,000 for drydocking services for the Staten Island Ferry in Fiscal 2008 will be rolled to Fiscal 2009.
- **Computer Equipment Funding Roll.** Due to a delay, \$1.1 million earmarked for computer purchases in Fiscal 2008 will be shifted to Fiscal 2009.
- **55 Water Street Move Delay.** As a result of a contract delay, the Department will roll \$1.8 million earmarked for moving costs in Fiscal 2008 to Fiscal 2009.
- **Vehicle Purchase Delay.** As a result of a delay, \$1.3 million earmarked for vehicle purchases in Fiscal 2008 will be shifted to Fiscal 2009.
- **Heat, Light and Power.** Due to re-estimates, the Department anticipates a decrease of \$2.9 million in Fiscal 2008 and an increase of \$10.5 million in Fiscal 2009 and in the outyears in heat, light and power costs.
- **Fuel.** Due to re-estimates, the Department anticipates a decrease of \$100,000 in Fiscal 2008 and an increase of \$11,000 in Fiscal 2009 and in the outyears for fuel costs.
- **Gasoline.** Due to re-estimates, the Department anticipates an increase of \$3.2 million in Fiscal 2008 and \$2.1 million in Fiscal 2009 and in the outyears for gasoline costs.
- **Lease Adjustment.** Due to re-estimates, the Department anticipates an increase of \$45,000 in Fiscal 2009 and in the outyears for lease-related costs.

METROPOLITAN TRANSPORTATION AUTHORITY (MTA)

The Metropolitan Transportation Authority (the “Authority” or “MTA”) was established under the New York State Public Authorities Law in 1965 as a public benefit corporation. The Authority has responsibility for developing and implementing a unified public transportation policy for New York City and the seven New York metropolitan-area counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester. It carries out these responsibilities through its subsidiary and affiliate entities that include the New York City Transit Authority (NYCTA) and its subsidiary, the Manhattan and Bronx Surface Transit Operating Authority (MaBSTOA); the Staten Island Rapid Transit Operating Authority (SIRTOA); The Long Island Rail Road Company (LIRR); the Metro-North Commuter Railroad Company (MNCRC); the Metropolitan Suburban Bus Authority (MSBA); the MTA Bus Company; and the MTA Capital Construction Company. Another affiliate of the MTA, the Triborough Bridge and Tunnel Authority (TBTA), which is now called the MTA Bridges and Tunnels (B&T), is empowered to construct and operate toll bridges and tunnels and other public facilities in New York City. The revenues from all authorities and subsidiaries support the organization as a whole.

February Financial Plan 2008-2011

MTA Consolidated Statement of Operations – Including MTA Bus Company (\$ in millions)

	2006 Actual	2007 Final Estimate	2008 Adopted	2009 Projected	2010 Projected	2011 Projected
Operating Revenue						
Farebox	\$3,840	\$3,974	\$4,165	\$4,242	\$4,288	\$4,336
Toll Revenue	1,242	1,249	1,302	1,309	1,310	1,314
Other Revenue	467	478	481	501	521	548
Capital & other Reimburse	0	0	0	0	0	0
Total Operating Revenue	\$5,548	\$5,702	\$5,948	\$6,051	\$6,120	\$6,198
Operating Expenses						
Labor Expenses	\$5,690	\$6,130	\$6,576	\$6,833	\$7,059	\$7,267
Non-Labor Expenses	2,087	2,411	2,619	2,710	2,886	3,005
Other Expenses Adjustmen	(16)	(19)	(10)	(14)	(9)	(10)
General Reserve	0	38	75	75	75	75
Depreciation	1,605	1,681	1,777	1,876	1,976	2,060
Other Post Employment Benefit	0	1,259	1,316	1,372	1,427	1,483
Total Operating Expenses	\$9,366	\$11,500	\$12,353	\$12,852	\$13,414	\$13,881
Net Operating Deficit	(\$3,818)	(\$5,798)	(\$6,405)	(\$6,801)	(\$7,295)	(\$7,683)
Subsidies	\$4,174	\$4,515	\$4,451	\$4,291	\$4,415	\$4,575
Debt Service	(1,310)	(1,714)	(1,529)	(1,432)	(1,869)	(2,006)
Deficit after Subsidies & Debt	(\$954)	(\$2,997)	(\$3,483)	(\$3,942)	(\$4,748)	(\$5,114)
Conversion to Cash						
Depreciation	\$1,605	\$1,681	\$1,777	\$1,876	\$1,976	\$2,060
OPEB	0	1,259	1,316	1,372	1,427	1,483
All other	(296)	(357)	199	(18)	(86)	(97)
Prior year cash bal.	582	937	524	333	0	0
Baseline Net Cash Balance	\$937	\$524	\$333	(\$379)	(\$1,432)	(\$1,668)
Cash Bal. Before Prior Yr. Carryover	\$355	(\$413)	(\$191)	(\$712)	(\$1,432)	(\$1,668)
Policy & GAP Closing Actions	0	0	35	129	1,096	1,251
Prior Year Carryover	582	937	524	368	0	0
Net Cash Surplus/(Deficit)	\$937	\$524	\$368	(\$216)	(\$335)	(\$416)

MTA BUDGET OVERVIEW

The Expense Plan

The Calendar Year 2008 Adopted Budget for the MTA includes a Four Year Financial Plan for the years 2008 through 2011. The Authority issued a preliminary budget in July of 2007 for public review and comment before issuing a final budget in late November that was presented to the MTA Board for a vote in December of 2007. It was subsequently released in February 2007 with no significant changes. The Adopted Plan contains technical adjustments to the baseline projections that were presented in the November 2007 Financial Plan. The adjustments reflect impacts from the fare and toll increases that were approved by the MTA Board last December and other policy actions financed through the Authority's service enhancement fund also approved by the Board.

The MTA 2008 Adopted Budget remains balanced through the calendar year with an anticipated net cash surplus of \$333 million. However, the outlook for the outyears remains bleak as prior year surpluses disappear and real estate activity in the region that is a major source of revenue for the Authority continues to decline.

Consequently, the 2008 Adopted Budget reflects an end-of-year cash deficit of \$379 million in 2009, \$1.4 billion in 2010 and \$1.7 billion in 2011. Rising debt service costs, increasing pension and health expenses and the depletion of non-recurring resources continue to exert pressure on the Authority's budgets.

For calendar year 2008, fare box revenue is projected to be \$4.1 billion, of which \$114 million is attributed to the recent fare increases. The Authority is expecting a ridership growth of 1.6 percent or 42 million trips in 2008. As a result, total ridership is projected to be 2.6 billion in calendar year 2008.

Lastly, the 2008 Adopted Budget continues to assume that new and recurring governmental assistance of approximately \$600 million will be available beginning in 2010. Given the failure of the congestion pricing legislation at the State level, which would have provided a steady stream of revenue to the Authority's budget, this assumption remains highly risky and could have a negative impact on the MTA's budget in the coming years.

The Capital Plan

At the urging of the State Legislature, on February 27, 2008, the MTA released its proposed capital program for Calendar Year 2008-2013. This action accelerated the Authority's capital planning process by 18 months, allowing the State Legislature to consider it in conjunction with the final recommendations of the New York City Traffic Congestion Mitigation Commission. Although the State Legislature failed to approve the City's congestion pricing program and the \$4.5 billion that came along with it, the current MTA capital program does not expire until December 31, 2009. As a result, the Authority anticipates that it will use this time to seek and develop new funding sources to ensure that its capital program remains uninterrupted.

The MTA's current capital plan for 2005-2009 continues to present significant budgetary problems. This is due in part to the proliferation of construction projects in the region resulting in increased demand, not only for construction materials and equipment, but for qualified labor. These unanticipated factors, in conjunction with construction management inefficiencies, have

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resulted in severe construction cost over-runs. These cost over-runs could further impair the Authority's 2005-2009 Capital Plan if not contained.

The Authority expects to borrow approximately \$9.3 billion to help finance the 2005-2009 capital programs of \$21.1 billion. As such, the debt service on these bonds is projected to grow from \$116 million in 2008 to over \$600 million by 2015. Combined with the debt service from past capital programs, the total debt service would grow from \$1.5 billion in 2008 to \$1.9 billion in 2010 and \$2 billion by 2011.

Therefore, it is conceivable that in the absence of additional federal, state and City aid, the Authority will seek to close the gaps through a combination of service cuts, agency efficiencies and fare and toll increases.

NEW YORK CITY TRANSIT (NYCT)

Mission Statement

The New York City Transit (NYCT), a subsidiary of the Metropolitan Transportation Authority (MTA), provides bus and subway service to New York City. The NYCT is responsible for providing safe, clean, and reliable public transportation services to all persons traveling within the City. The NYCT employs approximately 40,000 workers who are responsible for the operation and maintenance of 3,700 buses and 5,900 subway cars. Over 1.5 billion people ride the City's buses and subways each year.

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(\$ in millions)

	2006 Actual	2007 Final Estimate	2008 Adopted	2009 Projected	2010 Projected	2011 Projected
Operating Revenue						
Farebox	\$2,758.9	\$2,841.6	\$2,983.9	\$3,033.9	\$3,060.2	\$3,087.3
Other Revenue	282.5	298.6	301.7	313.5	331.8	354.3
Total Operating Revenue	\$3,041.4	\$3,140.2	\$3,285.6	\$3,347.4	\$3,392.0	\$3,441.6
Operating Expenses						
Labor Expenses	\$3,706.1	\$4,113.7	\$4,385.8	\$4,541.8	\$4,677.9	\$4,806.4
Non-Labor Expenses	1,098.8	1,237.1	1,368.8	1,464.8	1,599.2	1,701.0
Other Expenses Adjustment		0	0	0	0	0
Operating Expenses Before Depr. and OPEB 1	\$4,859.0	\$5,350.8	\$5,754.6	\$6,006.6	\$6,277.1	\$6,507.4
Depreciation	\$1,012.1	\$1,065.4	\$1,134.0	\$1,204.0	\$1,274.0	\$1,334.0
Other Post Employment Benefit	0.0	963.4	1,009.5	1,055.4	1,098.9	1,144.8
Total Operating Expenses	\$5,871.1	\$7,379.6	\$7,898.1	\$8,266.0	\$8,650.0	\$8,996.2
Net Operating Deficit	(\$2,829.7)	(\$4,239.4)	(\$4,612.6)	(\$4,918.6)	(\$5,258.1)	(\$5,554.6)
Projected Subsidies	\$2,210.8	\$2,629.1	\$2,678.1	\$2,523.3	\$2,574.0	\$2,672.0
Post 08 Gap Program	0.0	0.0	0.0	5.5	5.5	5.5
Deficit after Projected Subsidies	(\$618.9)	(\$1,610.3)	(\$1,934.5)	(\$2,389.8)	(\$2,678.6)	(\$2,877.1)
Conversion to Cash						
Depreciation & OPEB	\$1,012.1	\$2,028.8	\$2,143.5	\$2,259.4	\$2,372.9	\$2,488.8
Net Cash Surplus/(Deficit) 2	\$393.2	\$418.5	\$209	(\$130.4)	(\$305.7)	(\$388.3)

Source: Metropolitan Transportation Authority

Note: 1- Excludes Debt Service, 2 - Excludes Prior Year Balance & Interagency Transfers

Budget

As approved by the MTA Board of Directors, the NYCT Operating Budget before depreciation and other post-employment benefits, which is any benefit that is not pensions, is approximately \$5.7 billion for Calendar Year (CY) 2008, which does not include \$861.5 million of reimbursable expenses.

Operating Revenue / Expense Projections

For CY 2008, the NYCT operating revenues are projected to be \$3.3 billion, which are largely farebox revenues of \$3 billion and fare reimbursements of \$104 million. Also included in operating revenues are various revenues totaling \$102 million and paratransit reimbursements of \$95 million. The expenses include \$4.4 billion in labor costs and \$1.4 billion in non-labor costs. In addition, the 2008 Adopted Budget contains a non-cash depreciation expense of \$1.1 billion and other post-employment benefit expenses of \$1 billion. The budget provides for 49,576 positions, which include 5,495 reimbursable positions and 44,081 non-reimbursable positions. Reimbursable positions are positions paid for with capital funds.

Transit Tax Revenue

The NYCT is funded, in part, with tax revenues from the Metropolitan Mass Transportation Operating Assistance Account (Metro Account), and the Urban Mass Transportation Operating Account (Urban Account). Combined, the revenue from these accounts is projected to be \$1.8 billion in CY 2008, which is \$118 million less than the CY 2007 amount of \$1.9 billion. In calendar year 2007, the NYCT enjoyed the benefits of the continued increase in real estate transactions, which is projected to decline in 2008.

The City's Contribution

For CY 2008, the City's contribution to the NYCT's budget, excluding capital commitments, is expected to be approximately \$602 million. It is comprised of the following: \$45 million for the school fare subsidy; \$14 million for the elderly and disabled subsidy; \$56 million for paratransit reimbursement; \$158 million to match State Operating Assistance; \$252 million for private bus subsidy; and \$77 million for the maintenance and operation of Long Island Railroad and Metro North Railroad stations in the City.

Paratransit

Pursuant to an agreement between the City and the MTA, the NYCT assumed responsibility for all paratransit services required under the Americans with Disability Act of 1990. The City reimburses the NYCT for thirty-three percent of net paratransit operating expenses less fare revenues and urban tax proceeds. Total paratransit revenue is expected to be \$106 million in CY 2008, which includes \$56 million from the City.

State Subsidies

For CY 2008, the State's subsidy to the NYCT's budget is expected to be \$203 million. Of this amount, \$45 million is for school fare reimbursement and \$158 million is to match city operating assistance. This funding does not include State dedicated tax revenues of \$2.4 billion the NYCT expects to receive in 2008.

Fiscal 2009 Executive Budget Report

THE MTA BUS COMPANY (MTABC)

The MTA Bus Company was created in September 2004 pursuant to an agreement between the City of New York and the MTA to consolidate the operations of seven private franchise bus companies. The purpose of the takeover was to improve the quality and efficiency of bus service formerly provided by the private bus franchise operators. The agreement calls for the City to pay MTABC the difference between the actual cost of operating the bus routes and all revenues and subsidies received by the MTABC and allocable to the operation of the bus routes. As a result, the costs of MTABC operations are fully reimbursable by the City to the MTA.

The proposed Calendar Year 2008 budget is the third budget for the MTABC. Prior to the transition, the necessary headcount to provide adequate bus service was insufficient. Over the last three years, the MTABC has steadily increased headcount in an effort to address past deficiencies. The 2008 Adopted Budget provides for 3,511 positions, an increase of 52 positions compared to the CY 2007 headcount number of 3,459 and an increase of 456 positions compared to the CY 2006 headcount number of 3,055.

February Financial Plan 2008-2011 (\$ in millions)

	2006 Actual	2007 Final Estimate	2008 Adopted	2009 Projected	2010 Projected	2011 Projected
Operating Revenue						
Farebox	\$125.1	\$137.5	\$144.2	\$148.3	\$151.5	\$155.0
Other Revenue	16.5	15.5	15.9	16.2	16.5	16.9
Total Operating Revenue	\$141.6	\$153.0	\$160.1	\$164.5	\$168.1	\$171.9
Operating Expenses						
Labor Expenses	\$236.6	\$254.3	\$294.2	\$303.8	\$313.5	\$323.8
Non-Labor Expenses	103.2	135.9	111.0	114.1	116.1	118.7
Other Expenses Adjustment		0	0	0	0	0
Operating Expenses Before Depr. 1	\$339.8	\$390.2	\$405.2	\$417.9	\$429.6	\$442.5
Depreciation	\$14.5	\$26.2	\$36.3	\$38.8	\$41.5	\$44.4
Other Post Employment Benef	0.0	47.8	49.8	51.7	53.6	55.5
Total Operating Expenses	\$354.3	\$464.2	\$491.3	\$508.4	\$524.7	\$542.5
Net Operating Surplus/(Deficit)	(\$212.7)	(\$311.2)	(\$331.2)	(\$343.8)	(\$356.7)	(\$370.6)
Post 08 Gap Program	\$0	\$0	\$0	\$0	\$0	\$0
Deficit after GAP Actions	(\$212.7)	(\$311.2)	(\$331.2)	(\$343.8)	(\$356.7)	(\$370.6)
Conversion to Cash						
Depreciation & OPEB	\$14.5	\$74.0	\$86.1	\$90.5	\$95.1	\$99.9
Net Cash Surplus/(Deficit) 2	(\$198.2)	(\$237.2)	(\$245.1)	(\$253.3)	(\$261.6)	(\$270.7)

Source: Metropolitan Transportation Authority

Note: 1- Excludes Debt Service, 2 - Excludes Prior Year Balance & Interagency Transfers

Operating Revenue / Expense Projections

For CY 2008, the MTABC operating revenues are projected to be \$157 million, which are largely farebox revenues of \$144 million and other operating revenue of \$16 million. The budget also projects non-reimbursable expenses before depreciation of \$405 million for CY 2008. These expenses include \$294 million in labor costs and \$111 million in non-labor costs. The depreciation expense and the other post-employment benefit expenses are projected to be \$36 million and \$50 million respectively, in calendar year 2008.

Department of Transportation

2009 Executive Budget

The Department of Transportation (DOT) is responsible for approximately 5,800 miles of streets and highways and 787 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 11,900 signalized intersections. The Department also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters. The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. The Department also encourages the use of alternative modes of transportation, and administers a citywide program advancing the use of alternative fuels.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending by Program					
Bridge Engineering and Administration	\$23,152,015	\$24,061,817	\$30,026,581	\$26,211,345	\$26,815,984
Bridge Maintenance, Repair & Operations	\$45,173,205	\$48,903,826	\$57,255,370	\$44,123,708	\$44,109,170
Ferry Administration & Surface Transit	\$7,788,359	\$7,301,880	\$8,362,487	\$3,661,892	\$3,687,030
Management & Administration	\$39,275,083	\$43,602,140	\$53,984,416	\$41,997,707	\$49,962,069
Municipal Ferry Operation & Maintenance	\$70,008,631	\$74,606,253	\$97,339,443	\$81,371,903	\$85,426,921
Pre-K Bus Program Intra-City	\$72,082,438	\$108,994	\$0	\$0	\$0
Roadway Construction Coordination & Administration	\$6,975,065	\$8,231,048	\$10,462,527	\$8,926,978	\$9,215,485
Roadway Repair, Maintenance & Inspection	\$129,606,197	\$157,982,012	\$188,209,318	\$166,973,705	\$170,534,823
Traffic Operations & Maintenance	\$201,397,902	\$220,518,667	\$268,458,985	\$240,232,394	\$252,840,065
Traffic Planning Safety & Administration	\$16,090,027	\$19,683,505	\$25,168,164	\$13,005,942	\$13,153,471
Vehicles & Facilities Managemnt & Maintenance	\$11,663,261	\$13,375,797	\$20,039,743	\$16,805,934	\$31,559,606
WTC Disaster Related Expenses	\$11,120	\$0	\$0	\$0	\$0
Total	\$623,223,303	\$618,375,941	\$759,307,034	\$643,311,508	\$687,304,624
Funding					
Capital- IFA	NA	NA	\$165,663,629	\$161,059,076	\$164,748,469
City Funds	NA	NA	\$444,717,608	\$428,461,403	\$464,359,410
Federal - Community Development	NA	NA	\$170,000	\$0	\$0
Federal - Other	NA	NA	\$61,781,017	\$13,746,996	\$13,788,137
Intra City	NA	NA	\$2,023,379	\$1,409,073	\$1,409,073
Other Categorical	NA	NA	\$1,898,776	\$429,000	\$429,000
State	NA	NA	\$83,052,625	\$38,205,960	\$42,570,535
Total	NA	NA	\$759,307,034	\$643,311,508	\$687,304,624
Full-Time Positions	4,187	4,296	4,893	4,179	4,199
Contracts	NA	NA	631	560	560

Note: "NA" means that data is not available

Bridge Engineering and Administration

This program is responsible for designing and supervising consultant designs of bridge projects and to oversee capital budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's capital budget through intra-fund agreements (IFA).

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$19,648,213	\$20,965,013	\$23,467,187	\$22,286,031	\$22,890,670
Other than Personal Services	\$3,503,802	\$3,096,804	\$6,559,394	\$3,925,314	\$3,925,314
Total	\$23,152,015	\$24,061,817	\$30,026,581	\$26,211,345	\$26,815,984
Funding					
Capital- IFA	NA	NA	\$17,444,095	\$17,360,208	\$17,447,427
City Funds	NA	NA	\$10,017,845	\$8,851,137	\$9,368,557
Federal - Other	NA	NA	\$2,564,641	\$0	\$0
Total	NA	NA	\$30,026,581	\$26,211,345	\$26,815,984
Full-Time Positions	286	290	363	336	345
Contracts	NA	NA	75	70	70
Cleaning Services	NA	NA	2	2	2
Contractual Services - General	NA	NA	15	15	15
Data Processing Equipment Maintenance	NA	NA	8	8	8
Maintenance and Repairs - General	NA	NA	6	6	6
Office Equipment Maintenance	NA	NA	16	16	16
Printing Services	NA	NA	3	3	3
Professional Services - Computer Services	NA	NA	1	1	1
Professional Services - Engineering and Architectural Services	NA	NA	2	1	1
Professional Services - Other	NA	NA	6	2	2
Telecommunications Maintenance	NA	NA	1	1	1
Temporary Services	NA	NA	1	1	1
Training Program for City Employees	NA	NA	13	13	13
Transportation Services	NA	NA	1	1	1

Note: "NA" means that data is not available

Bridge Maintenance, Repair & Operations

This program is responsible for the inspection, maintenance, and repair of City-owned bridges.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$33,544,804	\$37,019,040	\$39,045,237	\$36,026,087	\$36,011,549
Other than Personal Services	\$11,628,401	\$11,884,787	\$18,210,133	\$8,097,621	\$8,097,621
Total	\$45,173,205	\$48,903,826	\$57,255,370	\$44,123,708	\$44,109,170
Funding					
Capital- IFA	NA	NA	\$1,509,694	\$1,509,694	\$1,509,694
City Funds	NA	NA	\$38,747,188	\$40,578,941	\$40,564,403
Federal - Other	NA	NA	\$10,645,480	\$0	\$0
Intra City	NA	NA	\$801,653	\$285,073	\$285,073
State	NA	NA	\$5,551,355	\$1,750,000	\$1,750,000
Total	NA	NA	\$57,255,370	\$44,123,708	\$44,109,170
Full-Time Positions	434	432	496	467	458
Contracts	NA	NA	37	35	35
Cleaning Services	NA	NA	4	4	4
Contractual Services - General	NA	NA	5	3	3
Maintenance and Operation of Infrastructure	NA	NA	2	1	1
Maintenance and Repairs - General	NA	NA	16	15	15
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	1	1	1
Office Equipment Maintenance	NA	NA	1	3	3
Security Services	NA	NA	1	0	0
Telecommunications Maintenance	NA	NA	2	3	3
Training Program for City Employees	NA	NA	5	5	5

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Bridges rated fair (%)	Outcome	NA	57.7%	NA	58.0%	NA	57.9%	NA	NA
Bridges rated good or very good (%)	Outcome	NA	41.5%	NA	41.5%	NA	41.7%	NA	NA
Bridges rated poor (%)	Outcome	NA	0.8%	NA	0.5%	NA	0.4%	NA	NA
Bridge flags eliminated in-house	Output	476	437	18.1	430	465	451	465	NA
Bridge projects (structural work) substantially completed on schedule- East River	Service Quality	NA	NA	NA	NA	100%	100%	100%	100%
Bridge projects (structural work) substantially completed on schedule- Non-East River	Service Quality	100%	80%	100%	81%	100%	89%	100%	100%

Note: "NA" means that data is not available

Ferry Administration & Surface Transit

This program is responsible for the supervision of private ferries, in addition to subsidized franchised bus companies, including the MTA bus companies.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$6,031,849	\$4,579,329	\$5,373,226	\$3,595,832	\$3,620,970
Other than Personal Services	\$1,756,510	\$2,722,552	\$2,989,261	\$66,060	\$66,060
Total	\$7,788,359	\$7,301,880	\$8,362,487	\$3,661,892	\$3,687,030
Funding					
Capital- IFA	NA	NA	\$146,213	\$146,213	\$146,213
City Funds	NA	NA	\$5,085,440	\$3,515,679	\$3,540,817
Federal - Other	NA	NA	\$3,130,834	\$0	\$0
Total	NA	NA	\$8,362,487	\$3,661,892	\$3,687,030
Full-Time Positions	91	52	66	50	50
Contracts	NA	NA	17	7	7
Contractual Services - General	NA	NA	1	0	0
Data Processing Equipment Maintenance	NA	NA	1	1	1
Maintenance and Operation of Infrastructure	NA	NA	1	0	0
Maintenance and Repairs - General	NA	NA	1	1	1
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	1	0	0
Office Equipment Maintenance	NA	NA	1	1	1
Printing Services	NA	NA	1	1	1
Professional Services - Engineering and Architectural Services	NA	NA	2	0	0
Professional Services - Other	NA	NA	3	0	0
Telecommunications Maintenance	NA	NA	1	1	1
Training Program for City Employees	NA	NA	4	2	2

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Private ferry surface- change in number of passengers (%)	Demand	NA	-28.5%	NA	-1.3%	NA	-4.4%	NA	0.5%
Private ferry surface- change in number of routes (%)	Demand	NA	0.0%	NA	-6.1%	NA	-16.0%	NA	-4.8%

Note: "NA" means that data is not available

Management & Administration

This program includes funding to support all aspects of administration and planning for the Department including payroll, budgeting, accounting, purchasing, legal services, data processing, training, community relations, franchises and revocable consents, and other services required to support executive and administrative operations that cannot be clearly linked to specific program areas.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$23,387,680	\$27,105,030	\$31,436,557	\$24,620,326	\$25,420,844
Other than Personal Services	\$15,887,403	\$16,497,111	\$22,547,859	\$17,377,381	\$24,541,225
Total	\$39,275,083	\$43,602,140	\$53,984,416	\$41,997,707	\$49,962,069
Funding					
Capital- IFA	NA	NA	\$3,729,858	\$2,812,969	\$2,835,750
City Funds	NA	NA	\$41,209,138	\$38,158,138	\$46,099,719
Federal - Other	NA	NA	\$4,186,153	\$177,600	\$177,600
Intra City	NA	NA	\$49,000	\$49,000	\$49,000
Other Categorical	NA	NA	\$195,361	\$0	\$0
State	NA	NA	\$4,614,906	\$800,000	\$800,000
Total	NA	NA	\$53,984,416	\$41,997,707	\$49,962,069
Full-Time Positions	368	414	481	362	376
Contracts	NA	NA	76	72	72
Contractual Services - General	NA	NA	13	12	12
Data Processing Equipment Maintenance	NA	NA	2	3	3
Maintenance and Repairs - General	NA	NA	2	3	3
Mental Hygiene Services	NA	NA	1	0	0
Office Equipment Maintenance	NA	NA	31	31	31
Printing Services	NA	NA	5	3	3
Professional Services - Computer Services	NA	NA	3	3	3
Professional Services - Other	NA	NA	5	0	0
Telecommunications Maintenance	NA	NA	3	7	7
Temporary Services	NA	NA	2	1	1
Training Program for City Employees	NA	NA	9	9	9

Note: "NA" means that data is not available

Municipal Ferry Operation & Maintenance

This program is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$40,697,727	\$43,092,207	\$51,343,886	\$51,365,914	\$52,272,582
Other than Personal Services	\$29,310,904	\$31,514,046	\$45,995,557	\$30,005,989	\$33,154,339
Total	\$70,008,631	\$74,606,253	\$97,339,443	\$81,371,903	\$85,426,921
Funding					
Capital- IFA	NA	NA	\$1,765,856	\$1,743,773	\$1,765,856
City Funds	NA	NA	\$60,226,859	\$49,889,130	\$50,412,349
Federal - Other	NA	NA	\$9,594,478	\$2,259,000	\$2,300,141
Intra City	NA	NA	\$1,075,000	\$1,075,000	\$1,075,000
State	NA	NA	\$24,677,250	\$26,405,000	\$29,873,575
Total	NA	NA	\$97,339,443	\$81,371,903	\$85,426,921
Full-Time Positions	537	557	634	634	634
Contracts	NA	NA	23	22	22
Cleaning Services	NA	NA	4	4	4
Contractual Services - General	NA	NA	0	5	5
Maintenance and Operation of Infrastructure	NA	NA	9	5	5
Maintenance and Repairs - General	NA	NA	1	1	1
Professional Services - Computer Services	NA	NA	1	0	0
Professional Services - Other	NA	NA	3	3	3
Security Services	NA	NA	3	2	2
Telecommunications Maintenance	NA	NA	0	1	1
Temporary Services	NA	NA	1	0	0
Training Program for City Employees	NA	NA	1	1	1

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Staten Island Ferry- Trips that are on-time (%)	Service Quality	90.0%	88.7%	85.0%	88.5%	85.0%	91.7%	85.0%	90.6%

Note: "NA" means that data is not available

Pre-K Bus Program Intra-City

Prior to Fiscal 2007, the Department managed the transportation of special needs students in Pre-Kindergarten for the Department of Education. The Department's contracts with private companies for Pre-K transportation services was transferred from the Department of Education to the Department of Transportation during Fiscal 2007.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$416,667	\$92,366	\$0	\$0	\$0
Other than Personal Services	\$71,665,771	\$16,628	\$0	\$0	\$0
Total	\$72,082,438	\$108,994	\$0	\$0	\$0
Full-Time Positions	9	0	0	0	0

Note: "NA" means that data is not available

Roadway Construction Coordination & Administration

The Department supervises street resurfacing and repair work, permit management, and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$6,824,770	\$7,843,175	\$9,738,204	\$8,266,175	\$8,554,682
Other than Personal Services	\$150,295	\$387,872	\$724,323	\$660,803	\$660,803
Total	\$6,975,065	\$8,231,048	\$10,462,527	\$8,926,978	\$9,215,485
Funding					
Capital- IFA	NA	NA	\$2,143,252	\$1,499,644	\$1,550,502
City Funds	NA	NA	\$7,348,416	\$7,427,334	\$7,664,983
Federal - Community Development	NA	NA	\$170,000	\$0	\$0
Federal - Other	NA	NA	\$750,000	\$0	\$0
State	NA	NA	\$50,859	\$0	\$0
Total	NA	NA	\$10,462,527	\$8,926,978	\$9,215,485
Full-Time Positions	104	117	129	101	106
Contracts	NA	NA	5	2	2
Maintenance and Operation of Infrastructure	NA	NA	2	1	1
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	1	1	1
Professional Services - Engineering and Architectural Services	NA	NA	1	0	0
Transportation Services	NA	NA	1	0	0

Note: "NA" means that data is not available

Roadway Repair, Maintenance & Inspection

The Department maintains the City's streets and arterial highways, and also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$70,915,555	\$91,967,375	\$98,176,307	\$87,859,019	\$89,899,359
Other than Personal Services	\$58,690,642	\$66,014,637	\$90,033,011	\$79,114,686	\$80,635,464
Total	\$129,606,197	\$157,982,012	\$188,209,318	\$166,973,705	\$170,534,823
Funding					
Capital- IFA	NA	NA	\$126,884,945	\$122,576,120	\$125,987,756
City Funds	NA	NA	\$41,638,991	\$36,530,625	\$36,680,107
Intra City	NA	NA	\$96,769	\$0	\$0
State	NA	NA	\$19,588,613	\$7,866,960	\$7,866,960
Total	NA	NA	\$188,209,318	\$166,973,705	\$170,534,823
Full-Time Positions	1,012	1,094	1,171	1,013	991
Contracts	NA	NA	107	105	105
Cleaning Services	NA	NA	6	6	6
Contractual Services - General	NA	NA	21	21	21
Data Processing Equipment Maintenance	NA	NA	6	6	6
Maintenance and Operation of Infrastructure	NA	NA	1	1	1
Maintenance and Repairs - General	NA	NA	18	17	17
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	23	23	23
Office Equipment Maintenance	NA	NA	9	9	9
Printing Services	NA	NA	6	6	6
Professional Services - Computer Services	NA	NA	1	1	1
Professional Services - Other	NA	NA	1	1	1
Security Services	NA	NA	1	1	1
Telecommunications Maintenance	NA	NA	9	9	9
Training Program for City Employees	NA	NA	5	4	4

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Pothole work orders	Demand	NA	51,460	NA	45,228	NA	47,934	NA	12,602
Street maintained with a pavement rating of fair (%)	Outcome	NA	26.8%	NA	30.0%	NA	29.6%	NA	NA
Street maintained with a pavement rating of good (%)	Outcome	NA	73.0%	NA	69.9%	NA	70.3%	NA	NA
Street maintained with a pavement rating of poor (%)	Outcome	NA	0.2%	NA	0.1%	NA	0.1%	NA	NA
Potholes repaired	Output	NA	216,107	NA	179,728	NA	205,227	NA	42,941
Average time to close a pothole work order where repair was done (days)	Service Quality	NA	NA	NA	NA	NA	2.1	NA	2.6
Pothole work orders closed within 30 days of notification (%)	Service Quality	65%	98%	65%	99%	85%	99%	85%	No longer in use

Note: "NA" means that data is not available

DOT Performance-Based Budget**2009 Executive Budget**

Average cost per lane mile resurfaced citywide (\$)	Unit Cost	NA	\$87,237	NA	\$92,786	NA	\$118,594	NA	NA
Average cost per ton of asphalt placed citywide (\$)	Unit Cost	NA	\$97.11	NA	\$99.56	NA	\$118.67	NA	NA
Average in-house cost of asphalt per ton (\$)	Unit Cost	NA	\$33.45	NA	\$42.06	NA	\$44.01	NA	NA
Average vendor cost of asphalt per ton (\$)	Unit Cost	NA	\$43.74	NA	\$49.53	NA	\$56.69	NA	\$61.18

Note: "NA" means that data is not available

Traffic Operations & Maintenance

The Division of Traffic Operations is responsible for all activities aimed at facilitating traffic flow and enforcing regulations that support those activities. The division installs and maintains streetlights, traffic control devices, parking meters, and bus stops.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$62,130,164	\$64,397,176	\$64,620,993	\$55,133,315	\$55,681,931
Other than Personal Services	\$139,267,738	\$156,121,490	\$203,837,992	\$185,099,079	\$197,158,134
Total	\$201,397,902	\$220,518,667	\$268,458,985	\$240,232,394	\$252,840,065
Funding					
Capital- IFA	NA	NA	\$11,489,860	\$13,010,599	\$13,105,415
City Funds	NA	NA	\$208,094,433	\$214,098,399	\$225,715,254
Federal - Other	NA	NA	\$22,347,706	\$11,310,396	\$11,310,396
Intra City	NA	NA	\$957	\$0	\$0
Other Categorical	NA	NA	\$1,703,415	\$429,000	\$429,000
State	NA	NA	\$24,822,614	\$1,384,000	\$2,280,000
Total	NA	NA	\$268,458,985	\$240,232,394	\$252,840,065
Full-Time Positions	1,093	1,069	1,222	1,027	1,029
Contracts	NA	NA	177	156	156
Cleaning Services	NA	NA	9	9	9
Contractual Services - General	NA	NA	22	20	20
Data Processing Equipment Maintenance	NA	NA	8	8	8
Financing Costs	NA	NA	3	0	0
Maintenance and Operation of Infrastructure	NA	NA	53	40	40
Maintenance and Repairs - General	NA	NA	35	36	36
Office Equipment Maintenance	NA	NA	25	22	22
Printing Services	NA	NA	4	4	4
Professional Services - Computer Services	NA	NA	1	2	2
Professional Services - Engineering and Architectural Services	NA	NA	4	1	1
Professional Services - Other	NA	NA	3	1	1
Security Services	NA	NA	1	2	2
Telecommunications Maintenance	NA	NA	4	4	4
Temporary Services	NA	NA	1	1	1
Training Program for City Employees	NA	NA	4	6	6

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Monetary value of commerical parking cards sold (\$000)	Output	NA	\$9,082.0	NA	\$10,056.0	NA	\$12,530.0	NA	No longer in use
Percent of metered spaces that have uni-meters (multi-space meters)	Output	NA	NA	NA	21.2%	NA	25.5%	NA	26.9%
Average time to fix traffic signals (hours)	Service Quality	NA	NA	NA	NA	NA	56.3	NA	28.3
Average time to repair priority regulatory signs after notification (days)	Service Quality	NA	2.4	NA	2.5	NA	2.9	NA	2.1
Average time to repair streetlights- by ConEd (days)	Service Quality	NA	83.9	NA	39.4	NA	16.8	NA	12.9

Note: "NA" means that data is not available

DOT Performance-Based Budget
2009 Executive Budget

Average time to repair streetlights- by DOT (days)	Service Quality	NA	19.0	NA	9.0	NA	2.4	NA	2.1
On-street parking meters that are operable (%)	Service Quality	90.0%	91.3%	90.0%	90.9%	90.0%	90.9%	90.0%	90.6%
Priority regulatory signs repaired pr replaced within nine days of notification (%)	Service Quality	100%	100%	100%	100%	100%	100%	100%	No longer in use
Streetlight defects responded to within 10 days of notification (%)	Service Quality	95.0%	92.9%	95.0%	94.4%	95.0%	96.5%	95.0%	No longer in use
Traffic signal defects responded to within 48 hours of notification (%)	Service Quality	98.0%	98.7%	98.0%	98.8%	98.0%	99.0%	98.0%	No longer in use
Traffic signals installed within six months of approval (%)	Service Quality	95%	100%	95%	100%	95%	100%	95%	No longer in use

Note: "NA" means that data is not available

Traffic Planning Safety & Administration

This program is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$8,787,148	\$8,521,006	\$13,854,507	\$6,811,220	\$7,040,262
Other than Personal Services	\$7,302,879	\$11,162,499	\$11,313,657	\$6,194,722	\$6,113,209
Total	\$16,090,027	\$19,683,505	\$25,168,164	\$13,005,942	\$13,153,471
Funding					
Capital- IFA	NA	NA	\$299,856	\$149,856	\$149,856
City Funds	NA	NA	\$14,232,461	\$12,856,086	\$13,003,615
Federal - Other	NA	NA	\$8,561,725	\$0	\$0
State	NA	NA	\$2,074,122	\$0	\$0
Total	NA	NA	\$25,168,164	\$13,005,942	\$13,153,471
Full-Time Positions	124	132	181	67	72
Contracts	NA	NA	65	31	31
Cleaning Services	NA	NA	1	1	1
Contractual Services - General	NA	NA	2	3	3
Data Processing Equipment Maintenance	NA	NA	3	3	3
Maintenance and Operation of Infrastructure	NA	NA	5	0	0
Maintenance and Repairs - General	NA	NA	1	1	1
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	1	0	0
Office Equipment Maintenance	NA	NA	11	11	11
Printing Services	NA	NA	16	1	1
Professional Services - Computer Services	NA	NA	3	3	3
Professional Services - Engineering and Architectural Services	NA	NA	9	0	0
Professional Services - Other	NA	NA	3	0	0
Security Services	NA	NA	0	1	1
Telecommunications Maintenance	NA	NA	2	2	2
Temporary Services	NA	NA	1	1	1
Training Program for City Employees	NA	NA	6	4	4
Transportation Services	NA	NA	1	0	0

Performance Measures

	Type of Measure	2005 Annual Target	2005 Annual Actual	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 4-Month Actual
Citywide traffic fatalities	Outcome	NA	300	NA	307	NA	310	NA	112
Inspected street work rated satisfactory (%)	Outcome	75%	76%	75%	76%	75%	76%	75%	77%
Traffic fatalities - bicyclists/pedestrians	Outcome	NA	162	NA	180	NA	174	NA	66
Traffic fatalities - motorists/passengers	Outcome	NA	138	NA	127	NA	136	NA	46
Bicycle lane miles installed	Output	NA	11.8	NA	3.5	77.1	41.8	70.0	NA

Note: "NA" means that data is not available

DOT Performance-Based Budget**2009 Executive Budget**

Bicycle racks installed	Output	NA	250	NA	523	275	320	300	NA
Construction permits issued (000)	Output	NA	200.4	NA	214.0	NA	227.4	NA	81.8
Inspections of permitted street work (000)	Output	285.0	311.0	285.0	340.6	325.0	437.1	385.0	180.4
Speed humps installed near schools	Output	NA	40	NA	72	NA	101	NA	7

Note: "NA" means that data is not available

Vehicles & Facilities Managemnt & Maintenance

This program provides for the maintenance of the Department's vehicles and facilities citywide.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$8,485,897	\$9,643,318	\$11,009,053	\$8,883,029	\$9,848,355
Other than Personal Services	\$3,177,364	\$3,732,479	\$9,030,690	\$7,922,905	\$21,711,251
Total	\$11,663,261	\$13,375,797	\$20,039,743	\$16,805,934	\$31,559,606
Funding					
Capital- IFA	NA	NA	\$250,000	\$250,000	\$250,000
City Funds	NA	NA	\$18,116,837	\$16,555,934	\$31,309,606
State	NA	NA	\$1,672,906	\$0	\$0
Total	NA	NA	\$20,039,743	\$16,805,934	\$31,559,606
Full-Time Positions	129	139	150	122	138
Contracts	NA	NA	49	60	60
Cleaning Services	NA	NA	5	5	5
Contractual Services - General	NA	NA	12	11	11
Maintenance and Operation of Infrastructure	NA	NA	1	1	1
Maintenance and Repairs - General	NA	NA	24	35	35
Maintenance and Repairs - Motor Vehicle Equipment	NA	NA	1	1	1
Office Equipment Maintenance	NA	NA	2	2	2
Printing Services	NA	NA	1	1	1
Professional Services - Other	NA	NA	0	1	1
Security Services	NA	NA	1	1	1
Telecommunications Maintenance	NA	NA	1	1	1
Transportation Services	NA	NA	1	1	1

Note: "NA" means that data is not available

WTC Disaster Related Expenses

Funding for World Trade Center Disaster-Related expenses are in this program area.

	2006 Actuals	2007 Actuals	2008 Budget (May 1, 2008 Financial Plan)	2009 Preliminary Budget	2009 Executive Budget
Spending					
Personal Services	\$11,120	\$0	\$0	\$0	\$0
Total	\$11,120	\$0	\$0	\$0	\$0

Note: "NA" means that data is not available