



# sanitation

Kathryn Garcia Commissioner

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**Kathryn Garcia, Commissioner**

**Hearing on the FY 2019 Executive Budget  
Before the New York City Council Committees on  
Sanitation and Solid Waste Management and Finance**

**Thursday, May 17, 2018**

**11:00 A.M.**

**City Hall – Council Chambers**

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Good morning Chair Reynoso, Chair Dromm, and members of the City Council Committees on Sanitation and Solid Waste Management and Finance. I am Kathryn Garcia, Commissioner of the New York City Department of Sanitation. I am joined by Steven Costas, First Deputy Commissioner, and Larry Cipollina, Deputy Commissioner for Administration and Financial Management. We appreciate this opportunity to testify on the Department's expense and capital portions of the Mayor's Fiscal Year 2019 Executive Budget.

## **Expense Budget Highlights**

The FY 2019 Executive Budget allocates \$1.73 billion in expense funds to the Department, of which \$1.01 billion is for Personal Services, and \$724 million is for Other Than Personal Services. Our budgeted headcount for the FY 2019 Executive Budget is 10,306 full-time and full-time equivalent positions. The funding made available to the Department by this budget will allow us to meet our core service obligations to the public by keeping New York City healthy safe, and clean.

This budget includes funding for a new initiative called CleaNYC 2.0. This program includes targeted cleaning resources, including mobile litter patrol, litter basket service, and mechanical broom service in the Brooklyn North zone, which has the lowest average scorecard rating of the seven zones in the City, and four districts in the zone rank among the ten districts with the lowest scorecard ratings in this fiscal year to-date. CleaNYC 2.0 also includes additional supervisory resources to monitor compliance with alternate side parking regulations and ensure that mechanical brooms are able to quickly and effectively clean their routes. This expands on the earlier version of CleaNYC, which provided highway on-ramp cleaning and expanded Sunday and holiday litter basket service in all five boroughs.

On the topic of cleaning, I am excited to share with the Council that this summer the Department will be launching a design competition to envision the next generation corner litter basket for New York City. We expect to release more information about this exciting program in the next several weeks. In the meantime, I encourage New Yorkers to go to [BetterBIN.NYC](http://BetterBIN.NYC) to help us answer the question: what should New York City's next generation litter baskets look like?

In addition, this budget includes \$760,000 in FY 2019, increasing to \$1.2 million in FY 2022, for maintenance contracts to support the operation of the City's marine transfer stations. These contracts include fire alarm inspection, ventilation system cleaning and maintenance, and other similar services. Together with the additional maintenance staff included in the FY 2019 Preliminary Budget, these contracts will ensure these facilities are able to operate for decades to come.

To maximize our use of resources, the Department projects to achieve savings in the FY 2019 budget that will include its agency allocation share of citywide savings through reduced civilian overtime, phone plan charges, travel expenses, and procurement reform for vendors to facilitate online processing.

### **Capital Budget Highlights**

The capital portion of the Department's FY 2019 Executive Budget is \$495 million, which includes funding for facility construction and vehicles purchases. The budget includes full funding for our vehicle and equipment replacement cycle. In addition, from FY 2019 to FY 2023 the Department is funded to:

- Complete construction of the Southwest MTS, which we expect to open later this year;
- Continue construction of the East 91<sup>st</sup> Street MTS, which we expect to open in the first half of calendar year 2019
- Continue the modernization of the West 59<sup>th</sup> Street MTS, together with demolition of the Gansevoort MTS;
- Begin construction of the new Brooklyn 3 Garage in FY 2019;
- Complete the design of the new Staten Island 1/3 Garage and begin construction in FY 2020;
- Renovate the Queens 11/13 Garage;
- Design and then begin construction of a new Queens 1 Garage;
- Replace the Bronx 9/10/11 garage.

In addition, for the past several years the Department has invested in the renovation or construction of personnel facilities. The Department has conducted a complete assessment by the Department of its garages lacking suitable bathrooms and locker

rooms for its female workers. We are now nearing completion of this program and, by the end of FY 2019, I expect all of the female facility upgrades to be completed. We are currently assessing our personnel spaces for heating and air conditioning requirements for the next phase of upgrades.

In addition, as we prepare to be evicted this September from the Manhattan 6 garage near Hudson Yards, we are rehabilitating personnel and office spaces at three locations on the east side of Manhattan. This will facilitate ongoing service to District 6 while we continue to work toward a long-term facility to serve this community.

### **Sustainability Initiatives**

Going forward, the Department will build on its progress and commitment to increase recycling participation and diversion to set the City on a path to achieve our goal of sending zero waste goal by 2030.

A few weeks ago, DSNY kicked off our annual Spring SAFE Disposal Events. At SAFE events, which stands for Solvents, Automotive, Flammable, and Electronic products, New Yorkers can drop-off potentially harmful household products for proper recycling or disposal. Accepted products include household cleaners, unwanted or expired medications, automotive fluids, paint, batteries and electronics. We hold two events annually in each borough, one in the spring and one in the fall, but each event is open to New York City residents from any borough. Our next SAFE event will take place on Sunday, May 20<sup>th</sup> on West 120th Street in Morningside Heights in Manhattan. Residents can find out more about on our website at [nyc.gov/safedisposal](http://nyc.gov/safedisposal) or by calling 311.

This fall, the Department will expand its curbside electronic waste collection service to southern Brooklyn and western Queens. In areas with curbside e-waste collection service, residents living in buildings with nine or fewer dwelling units can schedule a pick-up appointment for unwanted televisions, computers and other electronics covered by the New York State e-waste disposal ban. The service is currently offered in northern Brooklyn and Staten Island.

The Department also continues to work with its partners, Housing Works and Electronic Recyclers International, to expand the refashionNYC and ecycleNYC programs in larger apartment buildings across the City so that residents can drop off unwanted clothing and electronics free of charge. Currently, more than 13,000 residential buildings with 830,000 households are enrolled in the ecycleNYC program, and more than 1,500 buildings with 158,000 households are enrolled in the refashionNYC program. This year, we will continue efforts to grow these programs, and we have recently expanded capacity to process textile donations through a new partnership with the Salvation Army.

As we discussed with the Council at the previous hearing on the City's 2017 Waste Characterization Study, we must have a robust organic waste diversion program to meet our ambitious zero waste goals. Presently, the residential organics curbside program serves 3.5 million New Yorkers and is, by far, the largest and most expansive

curbside organics collection program in the United States.

We believe that for the program to be successful over the long term we must ensure New Yorkers are getting the very best service when curbside organics collection reaches their neighborhoods. To achieve this, the City is evaluating its current service with the goal of increasing efficiencies and streamlining the program. At this time the City has temporarily placed the implementation schedule for expanding the program to additional districts on hold.

In the interim, residents in the current participating areas will continue receiving curbside organics collection service while the Department continues intensive outreach in these neighborhoods to grow participation. **We will also** continue supporting Green Market Drop-Off Programs across the City where residents can drop off their organic waste for collection.

Working with our neighborhood partners and local non-profit organizations, we continue to promote the expansion and growth of new community composting sites across all five boroughs. Earlier this year, we completed construction of a new and expanded community compost site along the Gowanus Canal in partnership with the Gowanus Canal Conservancy and BIG Reuse.

The Department will continue to look for opportunities and seek innovative ways to increase recycling diversion and participation rates across the City, and look forward to working with you and the City Council to achieve these mutual goals.

### **Fleet Technology**

As you know, the Department operates a sizeable fleet of trucks and other vehicles to collect, recycle and dispose of waste, clean streets and vacant lots and clear snow and ice. When I appeared before you in March at the Preliminary Budget hearing, members of the Sanitation Committee expressed interest in the Department's sustainable vehicle fleet. I would like to take this opportunity to highlight some elements of our fleet program, which continues to be among the cleanest heavy-duty fleets in the nation.

Thanks to new technologies and our agency's commitment to lead in the research, development and testing of cleaner fuels and emissions -- an effort the Department began over twenty years ago -- we have achieved success in dramatically reducing emissions of particulate matter, nitrogen oxides and other air pollutants from our fleet. Currently all of the Department's heavy-duty diesel vehicles utilize the industry's latest computer-controlled and regulated clean-diesel engines for their respective model years, while the Department's light duty fleet incorporates hybrid-electric, plug-in hybrid-electric, and all electric technology to minimize vehicle emissions.

In FY 2018, the Department ordered 446 new collection trucks, an accelerated purchase to take advantage of favorable contract pricing. These new trucks will be in full

compliance with EPA Phase-1 greenhouse gas standards, and will augment our fleet of sustainable collection trucks.

To further lower fleet emissions, the Department is currently using B20 biodiesel -- 20% coming from soybeans, for its trucks citywide. We use B20 generally from April 15 through November 15, and B5 during the colder months. We are currently conducting a pilot for use of B20 throughout the winter at fourteen district locations, and the results so far look promising.

While we have achieved much to date, we believe more improvements are possible as technology advances, and we are excited to be at the forefront of testing other kinds of alternative fuels and technologies. Toward that end, I am very pleased that as part of the City's Clean Fleet Plan, the Administration recently announced it will use, for the first time, renewable diesel -- a low-emissions, 99% petroleum-free fuel -- to power over 1,000 large heavy-duty vehicles, including some Sanitation trucks. Renewable diesel is made almost exclusively of plants and animal fats, with just 1% of its composition derived from petroleum.

In addition, in 2019 the Department will receive from Mack Trucks a fully electric demonstration refuse collection truck equipped with an integrated electric drivetrain system to test in its daily operations. This represents a significant opportunity for the Department to test a truly zero emissions vehicle, the first of its kind manufactured by Mack Trucks and one of the first in its class nationwide.

### **Snow Budget**

As we now enjoy longer daylight hours and warming temperatures, we are pleased to put the 2017-18 snow season behind us. This past snow season seemed to never end -- we received more than 5 inches of snow on April 2<sup>nd</sup>, the day after Easter. And the total of more than 40 inches of snow was nearly 50 percent more than the average annual snow accumulation for New York City.

The Department's snow budget for FY 2019 is funded for \$97.7 million, an increase of \$13.6 million from the \$84.1 million funded in the FY 2018 Adopted Budget. Additionally, our current spending estimate for FY 2018 now stands at \$107.2 million.

The Department expects to hire between 400 and 500 new sanitation workers this summer, depending on the rate of attrition. These new employees will receive snow operations training before the start of next winter. Going into the 2018-19 snow season, the Department will have adequate staffing with over 6,500 sanitation workers available to be prepared for whatever Mother Nature has in store for us.

### **Concluding Remarks**

I would like to thank you for the opportunity to testify today on the funding of the Department's programs and operations over the next fiscal year. The Council has

always recognized the vital role of the Department in keeping communities across the City healthy, safe and clean, and we will continue to uphold high standards in our delivery of the essential services we provide to the public. We also welcome your support and partnership in carrying out all of our future agency initiatives.

My staff and I will now be happy to answer your questions.

FY 2019 Executive Budget Hearing  
New York City Council  
Committee on Finance  
Committee on Governmental Operations

Good afternoon Chair Dromm, Chair Cabrera, and Committee members. I am Lisette Camilo, Commissioner of the Department of Citywide Administrative Services (DCAS). I am joined by members of my executive team to discuss the planned expenditures and revenues for FY19, as well as highlights of DCAS's Capital Plan.

**Overview**

The Mayor's FY19 Executive Budget supports our agency's goal of delivering essential services and expertise to City agencies, City employees, and the public to further the Administration's vision for a more sustainable, resilient, and equitable city.

This budget builds on our agency's accomplishments over the last year and will support our major priorities this year including: more efficient administration of Civil Service tests; the deployment of a space management initiative; increased M/WBE utilization, and further reduction of greenhouse gas emissions from City-owned fleet and buildings. I would like to take this opportunity to provide updates for some of the projects highlighted in my FY19 Preliminary Budget testimony, as well as talk about some of our new initiatives.

**Human Capital/EEO**

DCAS continues to work on compliance with the New York State Civil Service Law to reduce the number of provisional employees. Key to these efforts is having in place Computer-based Testing and Application Centers, or CTACs, across every borough. We currently have CTACs in four boroughs, and I am happy to announce that DCAS

will be opening a CTAC in the Bronx, located in a DCAS building at 1932 Arthur Avenue. This CTAC is expected to be in operation by the end of 2018.

DCAS also leads the way in providing training on diversity and inclusion and Equal Employment Opportunity rights for City employees. For FY18 to date, we have provided classroom and computer-based training to nearly 21,000 City employees, surpassing our FY18 goal of training 20,000 employees. For FY19, DCAS plans to enhance and expand its e-learning offerings and other tools provided to its Citywide client base. Our upcoming Citywide training module on sexual harassment is a prime example of our enhanced services.

### **Citywide Procurement**

DCAS is committed to working on maximizing M/WBE vendor participation by conducting outreach and ensuring that M/WBEs are included as a normal part of the agency's purchasing culture. As of April 30, 2018, we have awarded approximately \$87.5 million in contracts to M/WBE firms in FY 18. This total exceeds the FY17 M/WBE Award of \$53 million. While we see progress, we will continue to work to increase awards to MWBE vendors.

### **Citywide Fleet**

DCAS is working to make the largest municipal fleet in the nation the safest and most sustainable. An important part of this work is to expand the use of electric vehicles. To support the growing EV fleet, the City now has installed 500 electric vehicle chargers across the five boroughs and has completed the first phase of solar-powered electric vehicle carports. These carports charge vehicles using nothing but solar power. The City's 500 electric vehicle chargers are accessible at facilities operated by several City government agencies. The solar-powered carports are installed at 37 locations and can fully charge electric vehicles without use of the city's electric grid. In addition, DCAS will oversee the installation of 100 level-3 fast chargers as funded in the Executive Budget Capital Plan.

## **Real Estate**

In partnership with the Office of Management and Budget and City Hall, DCAS is continuing its mission to maximize the use of all City-owned and private leased spaces currently occupied by City agencies. This includes the implementation of the new space standards for work stations when designing new work space for City employees. These efforts will allow for the creation of a more efficient and effective office environment for City agencies while making better use of taxpayer dollars.

## **Energy Management**

DCAS manages City utility accounts and oversees initiatives that strengthen energy management and generate emissions reductions across the City's portfolio of buildings. These efforts include the City's Demand Response Program. DCAS launched the Demand Response Program in 2013 to provide City agencies with the ability to earn revenue by reducing electricity usage during periods of peak usage and stress on the electric grid. DCAS recently announced that 23 participating agencies and organizations earned \$9.3 million for reducing electricity consumption by more than 75 megawatts during Summer 2017. The revenue is paid by utility companies and the state grid manager, as part of their efforts to use pricing incentives to add flexibility to energy delivery systems. DCAS passes on the revenue earned to participating agencies, who use it to implement energy efficiency projects, tackle deferred maintenance issues, and improve quality of life at their buildings.

## **Facilities Management**

DCAS has also upgraded and customized ARCHIBUS, a work order tracking system that improves tracking and accountability of on-demand work orders. This system will provide more efficient distribution of staffing and resources for DCAS tenants. The data collected in the system will be used to guide building management staff and tenants to better work together to identify and resolve building issues.

### **Expense Budget and Funding Additions**

DCAS's expense budget reflects funding of \$1.2 billion and a budgeted headcount of more than 2,400 in FY19. The majority of DCAS's planned FY19 expenditure – \$725 million – is allocated for Citywide heat, light, and power expenses. The FY19 energy budget is a collaborative effort between DCAS and OMB in forecasting agency energy usage as well as commodity rates in the upcoming fiscal year. DCAS continues to work closely with agencies Citywide to enhance the energy performance of their facilities through a range of programs, including retrofitting equipment and improving operations and maintenance.

In the FY19 Executive Budget, DCAS received expense funding to enhance our services through joint efforts with other City agencies which include the following:

- DCAS was allocated funding for three (3) positions and \$750,000 to assist in the implementation of the new Citywide Sexual Harassment Policy. The funding includes \$500,000 that will be used to conduct annual training for all City employees.
- In FY19, DCAS will receive \$7 million to assume the building management and operation of the Public Safety Answering Center (PSACII) in the Bronx, taking it over from DoITT.
- One of the biggest concerns in today's world is the security of an organization's IT system. DCAS received two (2) positions and \$450,000 to help monitor our agency's IT systems to ensure security.
- DCAS received funding for three (3) energy engineers and \$300,000 to support the efforts to reduce greenhouse gas emissions by 35% from New York City government buildings by 2025.

- DCAS received a total of \$14 million in FY19 for the continued implementation of Local Law 2 of 2016, which reimburses security expenses incurred by participating non-public schools.

### **Agency Efficiency Initiatives**

As requested by OMB, we have identified savings in areas that will not adversely affect the agency's ability to provide critical services to both the public and our client City agencies. Some of these include:

- DCAS is projecting to earn an additional \$4 million in proceeds from auto auctions in FY19. This revenue increase is due to higher than expected vehicle relinquishments by agencies, including DSNY trucks, resulting from the Fleet Reduction Citywide Savings Initiative.
- DCAS's FY18 OTPS budget was reduced by \$3 million in the FY19 Executive Budget. This reduction was the result of savings in the OTPS Budget associated with project delays in the current fiscal year.
- The Non-Public School Security budget is reduced by \$6.6 million in the current fiscal year. This surplus is due to 135 schools participating in the program, substantially fewer than the 240 schools estimated at the time Local Law 2 was enacted.

### **Revenues**

The FY19 total DCAS revenue budget is \$69.3 million primarily due to:

- Commercial rentals of City-owned property, projected at \$43.1 million;
- The sale of surplus vehicles and other City-owned equipment totaling \$11.9 million; and,

- Anticipated revenue of approximately \$4.8 million in filing fees for Civil Service examinations.

## **Capital Plan**

The Executive Budget reflects an updated Four-Year Capital Plan of \$3.1 billion for FY19-FY22 to maintain and enhance DCAS facilities and obtain leased spaces, as well as to continue the Energy Conservation Program. The Executive Capital Budget for FY19 is \$767 million and will allow us to complete some of the following initiatives:

- DCAS Managed Facilities: DCAS's capital construction program for City-owned offices and court buildings totals \$373.7 million in FY19. This includes \$210 million allocated for the renovation of office spaces and related systems upgrades at facilities such as 345 Adams St., 210 Joralemon St., and 100 Gold St. Another \$60 million is also allocated for the upgrade of fire protection and suppression systems at facilities such as the Brooklyn Supreme Court and 253 Broadway.
- Energy Conservation and Clean Energy Projects: The Capital Plan for FY19 totals \$204 million. This budget allocation supports both energy efficiency projects such as lighting retrofits, HVAC upgrades, steam distribution improvements, and clean energy projects like solar PV, solar-thermal, and co-generation.
- Fleet: \$14 million has been received to implement 100 EV fast chargers for City fleet operations as well as an additional 50 solar carports.

## **Conclusion**

Thank you for this opportunity to discuss DCAS's planned expenditures and revenues for FY19, as well as our Capital Plan. I look forward to a strong, continued working relationship with the Council over the next year. I would be happy to answer any questions you may have.

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# **Testimony of Michael J. Ryan, Executive Director Board of Elections in the City of New York**

## **Joint Committee of Finance and Governmental Operations Council of the City of New York**

### **Fiscal Year 2019 Executive Budget**

**May 17, 2018**

Chair Cabrera, Chair Dromm, members of the New York City Council's Committee on Governmental Operations and Committee of Finance, thank you for the opportunity to appear before you on behalf of the Board of Elections (Board). I am Michael J. Ryan, the Executive Director of the Board.

Joining me here at the table is the Board's Deputy Executive Director Dawn Sandow.

Before I begin discussing the Mayor's Executive Budget for FY19, I would like to thank the City Council and the Mayor for providing the necessary support to the Board in FY18 to meet its Constitutional and statutory mandates as well as the needs of the voters of the City of New York.

This responsible funding partnership has allowed the Board to conduct the business of election administration properly, manage its finances and engage in more effective short and long-term budget planning. I look forward to continuing our collective work and to ensuring that public resources are utilized judiciously and responsibly while at the same time affording all eligible voters the opportunity to freely and independently exercise the voting franchise.

### **Mayor's Executive Budget for Fiscal Year 2019**

The Board would like to take this opportunity to extend its gratitude to Mayor de Blasio, his Administration and the New York City Council for partnering with the Board. The funding provided in the Mayor's FY19 Executive budget is \$122.1 million, of which \$62.5 million was allocated for the Personal Services (PS) and \$59.6 million allocated for Other Than Personal Services (OTPS). The Board acknowledges that its fiscal needs are fully met through the end of calendar year 2018. The Board continues to work closely with the Mayor's administration to ensure that all fiscal needs will be provided for through the end of FY 2019.

The Board and the administration have engaged in effective communication throughout this process. It is anticipated that the pattern of consistent communication will continue throughout the fiscal year. This will allow for periodic adjustments based upon the needs of individual election events and for any special elections that may occur due to vacancies.

In FY 19, the Board will conduct two Citywide election events including a Citywide Primary (September 2018), as well as the General Election (November 2018).

Barring any unforeseeable election events in the next fiscal year, an effective budget strategy will allow the Board to operate more efficiently and effectively serve the voters of the City of New York.

#### Election Day Compensation

Given the dramatic changes in the way that voting is conducted in the City of New York, it is vital for the continued success of the elections process to increase the compensation for all poll workers. The Board recommends an increase of \$100 in the poll worker per diem. More than a dozen years have passed since the last increase in poll worker compensation; this adjustment is long overdue.

The case in support for this increase is clear. Poll workers are now required to work longer with additional responsibilities in connection with the new voting system. Coupled with the enhanced testing standards envisioned, such an increase is fundamental for the Board to recruit and retain qualified poll workers year after year.

We urge the Council to support this request and petition the Mayor to issue an executive order to increase the poll worker compensation.

#### Performance Incentive

The current baseline funding of our performance incentive, at \$35 per poll worker, is inadequate. We ask the Council to increase funding to pay for a more realistic \$100 performance incentive. To be eligible for this incentive, poll workers must attend appropriate training, pass the exam, and work at **least** two elections per year.

If funding is received, the Board can improve its program to retain qualified poll workers from event to event and help ensure that all our poll sites are staffed with trained and experienced poll workers on each Election Day.

## Cyber Security

The Board extends thanks to the administration for continued support in the with respect to cyber security. The Department of Information Telecommunication and Technology (DoITT) has facilitated the Board's leveraging of an existing contract to provide enhanced cyber defense and monitoring 24 x 7 x 365. This process commenced prior to the Presidential 2016 Election and was base-lined in October 2017. The continuation of these services is essential to the security of the Board's computer operations.

To further enhance the Board's efforts in this regard, the Board has requested \$1,300,000.00 in additional funding to establish a more robust internal cyber security unit. It is the Board's understanding that this request has been deferred to provide an opportunity for the newly created Cyber Command office to evaluate this proposal. The Board looks forward to maintaining mutual vigilant efforts with Cyber Command staff and is confident that such efforts will provide the necessary security to maintain effective operations.

## Conclusion

The Board remains sensitive to the fiscal challenges faced by the Mayor's Administration and the New York City Council and is mindful of its obligations to serve the voters of the City of New York. The Board remains committed to the partnership that has been forged with this Administration and this Council. The Board reaffirms its commitment to this Council that any allocated resources will be wisely utilized and the public trust will continue to be its guidepost.

As always, my colleagues and I are available to answer any questions that you may have, and we are always available if anyone should need further information.

**Statement by Corporation Counsel Zachary W. Carter**  
**to the City Council**  
**In Connection with the Executive Budget for Fiscal Year 2019**  
**May 17, 2018**

Good afternoon, Chair Dromm, Chair Cabrera and distinguished Members of the Finance and Government Operations Committees. I am pleased to appear before you to discuss the Law Department's fiscal year 2019 Executive Budget.

The Law Department consists of sixteen legal and three support divisions. We handle an extraordinary array of cases and non-litigation matters: from tort to tax, from environmental and administrative issues to economic development and municipal financing. We also represent the City as plaintiff in a wide variety of affirmative matters.

The Executive Budget contains funds to support implementation of Phase 1 of New York State's Raise the Age Legislation in New York City. The law increases the age of criminal responsibility in New York State to 16 years old as of October 1, 2018 and to 17 years old on October 1, 2019.

The Family Court system is focused on rehabilitation. The Law Department, through its Family Court Division, seeks to ensure that those youth who commit delinquent acts are held accountable for their misconduct and receive appropriate services. In making adjudication recommendations to the Family Court, the Law Department seeks to balance the need for protection of the community with the needs and the best interests of the youth. The Department is working intensely to prepare for both the legal and logistical changes to the juvenile delinquency practice in New York City Family Courts as well as an expected increase in case volumes. It is important that during this implementation, our Family Court Division remains focused on our current youth population as well as ensuring that older youth receive rehabilitative services in a manner consistent with community safety.

In light of the anticipated volume, our Family Court Division is restructuring to develop an expanded and stronger central management team, and has created new supervisory positions in specialized areas of the practice, including the Major Case Unit, which handles our most serious and violent cases, and the Special Victims Unit, which handles sex offenses. In addition to internal preparations, the Family Court Division has been actively participating in both city-wide and borough based working groups and task forces focusing on various implementation issues including court processes, data analytics and risk assessment, programming and diversion, and facilities. Our Family Court Administration team has been collaborating with the five District Attorneys' offices to ensure smooth transfer and removal of adolescent offender cases to the Family Court. Our Director of Raise the Age Strategy and Planning recently conducted

training alongside the Manhattan District Attorney's Office at the New York Prosecutors Training Institute Metro Conference for approximately 200 local ADAs and prosecutors, and we are planning joint trainings with the District Attorneys' offices in all counties for this fall.

The Executive Budget also contains funds for particular case related needs, which are largely electronic discovery and expert services. Further, the budget for the 2018 Charter Revision Commission resides within the Law Department's budget.

### **Conclusion**

I thank you for your support of the Law Department and look forward to our continued work together. I would be happy to answer any questions you may have.

**NEW YORK CITY CAMPAIGN FINANCE BOARD OPERATING BUDGET  
FISCAL YEAR 2019**

<b>FISCAL 2018 CFB BUDGET</b>		<b>FISCAL 2019 CFB BUDGET</b>	<b>CHANGES FROM 2018 ADOPTED</b>
<b>PERSONAL SERVICES (PS)</b>	\$10,556,171	\$11,374,890	\$818,719
<b>OTHER THAN PERSONAL SERVICES (OTPS)</b>			
OTPS	\$5,898,012	\$4,884,202	-\$1,013,810
VOTER GUIDE	\$11,102,000	\$3,380,000	-\$7,722,000
NYC CAMPAIGN FINANCE FUND	\$29,100,000	\$1,000,000	-\$28,100,000
SUB TOTAL OTPS	\$46,100,012	\$9,264,202	-\$36,835,810
<b>TOTAL</b>	<b>\$56,656,183</b>	<b>\$20,639,092</b>	<b>-\$36,017,091</b>

<b>HEADCOUNT</b>	<b>FY2018</b>		<b>FY2019</b>		<b>CHANGE</b>	
	<b>Full Time</b>	<b>Seasonal</b>	<b>Full Time</b>	<b>Seasonal</b>	<b>Full Time</b>	<b>Seasonal</b>
	103	13	109	14	6	1



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**Testimony of Amy Loprest  
Executive Director  
New York City Campaign Finance Board**

**City Council Committees on Finance and Governmental Operations  
May 17, 2018**

Good afternoon to the Chairs of the committees, Councilmembers Cabrera and Dromm and to the other members of both committees gathered here today.

I am Amy Loprest, Executive Director of the New York City Campaign Finance Board. With me today is Eric Friedman, Assistant Executive Director for Public Affairs.

I want to thank you for the opportunity to lay out the CFB's budget for fiscal year 2019 and to answer any questions you may have.

The CFB's 2019 executive budget of \$20.6 million is \$36 million lower than in 2018. Most of that amount comes from reductions in our budget for the New York City Campaign Finance Fund and the Voter Guide.

Excluding those items, however, the agency has reduced its day-to-day operating expenses by 1.2 percent compared to fiscal year 2018. This reflects the Board's real commitment to controlling costs in a non-city election year, while also fulfilling our mandate to engage all New Yorkers in the electoral process.

I will provide details on our budget in just a moment, but first I want to update you on the CFB's activities, and the role the agency has played in the ongoing national discussion about campaign finance reform in the past year.

**National Campaign Finance Reform Outlook**

Over the 30-year history of New York City matching funds program, the City Council has routinely worked with the Board to craft legislation that has made our matching funds program into a nationally-recognized model. I am happy to report that there has been a sharp increase in successful reform efforts around the country that take their inspiration from our program.

In Montgomery County, Maryland 20 candidates have already received nearly \$3 million in matching funds in a new program that is explicitly modeled on ours. The program includes some interesting tweaks that will be worth watching as voters head to the polls for the first publicly-funded primary election next month.

In Washington DC, the City Council passed and Mayor Muriel Bowser signed into law a matching funds system that will be in place for elections in 2020.

Closer to home, Suffolk County passed a matching funds program that will be in place for county legislative elections in 2021.

The Board is very encouraged, and the Council should be proud, that other jurisdictions continue to look to New York City for inspiration.

We should also be proud that our program continues to deliver strong results for and instill confidence in both candidates and voters.

Candidates continue to show confidence in the program, even in an election year that was notable for the high number of incumbents seeking re-election, by volunteering to participate at very high rates. For the September primary elections, 82 percent of candidates on the ballot joined the matching funds program. The Campaign Finance Board paid out slightly more than \$17 million in public funds to 106 candidates. The 2017 mayoral race included four televised debates, two each before the primary and the general elections and both major party nominees accepted public financing for the second election in a row. These facts point to a program that remains very popular with candidates from all parts of the city and across the political spectrum.

The program provided real value to voters as well. Matching funds help encourage deeper participation in the political process by New Yorkers. Research clearly shows that individual contributors are willing to invest small contributions in city campaigns, knowing their support will be amplified by matching funds. This broad base of support at the contributor level helps ensure that diverse voices from every corner of the city have an opportunity to be heard.

The matching funds program provides every candidate with the opportunity to get their message before the voters and ensures that access to wealth is not the only path to electoral success. Because of the matching funds program, the 2017 elections were more competitive, small donors were empowered, and voters were better educated about their choices at the polls.

The Board looks forward to continuing our productive relationship with the City Council, especially over the coming year. CFB staff are working on the comprehensive review of the program and the work of the CFB that we prepare following every citywide election cycle. The report will include the Board's legislative recommendations for the Council to consider. We appreciate the opportunity to partner with the Council to help ensure the Program continues to best serve the public and the candidates who choose to participate.

#### Fiscal Year 2019 Budget

Turning back now to our fiscal year 2019 budget. Overall, our budget is \$36 million lower than last year, with the largest reductions in the Campaign Finance Fund and the Voter Guide. For the matching funds, we are allocating \$1 million to cover any potential special elections. For fiscal 2018, we budgeted \$29 million for the Campaign Finance Fund. Please note that, as we have done following previous elections, the CFB returned unused Campaign Finance funds to the City's General Fund in November 2017.

For the Voter Guide, we have allocated roughly \$3.5 million in 2019 compared to \$11 million in 2018. We anticipate printing a citywide Voter Guide for the general election on November 6th that will cover ballot proposals from Mayor de Blasio's Charter Revision Commission.

Excluding the Campaign Finance Fund and the Voter Guide, the CFB has reduced spending on day-to-day operations by 1.2 percent compared to fiscal 2018. Specifically, we have reduced our non-personnel spending by more than \$1 million, to reflect a reduced staff work load in a non-city election year.

We have increased staffing levels modestly, as you can see in our personal services line. The majority of the additional staff will join our Audit and Systems units.

New Audit staff will immediately help complete the post-election audits from the 2017 and begin laying the groundwork for what promises to be a very busy 2021 election cycle.

I would like to outline briefly some of the steps we have taken to streamline the Audit process. Audit staff implemented these changes for 2017 campaigns and we have already seen an impact on speeding up our post-election audit work.

In June 2017, we started providing campaigns in the matching funds program with a summary of where they stood from a compliance standpoint. The intention in sending this summary was to help campaigns understand and fix any compliance issues that might prevent them from receiving public funds. This is, in fact, the intention for most of the work that our Candidate Services and Audit staff perform. Each disclosure statement review is an opportunity for us to help candidates fix errors and resolve issues that might prevent payment. Our goal is to help candidates achieve compliance and receive public funds. Our goal is to enable citizens from all walks of life to run for office.

For the 2017 post-election audits that are now underway, Audit staff are issuing document requests that are tailored to each campaign and include more details on potential compliance issues than in previous years. These tailored requests should allow campaigns to directly respond to specific potential audit issues and resolve them. We piloted this approach during the special elections that were held for the City Council between 2015 and 2017. We found that the resulting draft audit reports were shorter and that campaigns had resolved many issues that otherwise would have been in the draft audit simply by responding to the CFB's initial document request. Indeed, 13 of the 19 campaigns in those special elections that have completed the audit process did not receive any violations or penalties.

We are already seeing positive results from these and other changes we've made to the audit process. The CFB has issued draft audit reports to 68 campaigns, more than one-third of the total draft audits we anticipate sending for the 2017 cycle. At this point in 2014, we had not issued any draft audit reports to 2013 campaigns.

Making real progress in streamlining audits is one of our key goals, but it has practical implications as well. As I mentioned before, the 2021 elections promise to be the most competitive in a generation.

We estimate that 500 candidates will register with the CFB by the fall of 2021, a 45 percent increase in the number of candidates compared to 2017. We project there could be 44 open seats, 36 of which will be in the City Council, with open contests for all citywide and borough-level offices.

For comparison, in 2001, the first election under term limits, there were 44 open seat races and 526 candidates registered with the CFB. Thus far, 20 candidates have registered with us for the 2021 cycle; they will file their first disclosure statement in July. At this point in the 2001 election cycle, only five candidates had registered with the CFB.

In addition to the sheer volume of candidates and open seats, 2021 will present new challenges as the CFB prepares to implement new requirements in the program, including early payments. Preparing for those new requirements will mean additional work for Candidate Services and Audit, as well as new development to our information architecture.

Our Systems unit has also begun a comprehensive project to update the CFB's Campaign Finance Information Systems or CFIS. Some of the additional staff we are adding for fiscal 2019 will assist with this work. CFIS is the backbone of our data, disclosure, and compliance systems. Improvements to CFIS, which communicates with CSMART, our candidate disclosure system, and virtually every other application that the CFB runs, will be critical to ensuring a smooth 2021 election.

We have an online candidate disclosure system that is the envy of every other campaign finance system in the country. But the bottom line is the underlying architecture needs to be modernized. At the end of this project, we anticipate having a brand new CFIS that significantly streamlines the interactions candidates have with the CFB.

In addition to the work on early payments and other new requirements, Systems staff is currently working to implement the online voter registration portal, following legislation passed by the Council last year. We are very excited to release that portal in June 2019.

Before concluding, I would like to draw your attention to our 2018 Voter Assistance Report, which was delivered to all Councilmember offices at the end of April. The report addresses the many efforts we made in 2017 to increase voter participation through our NYC Votes campaign and outlines election reforms that should be adopted in Albany to increase voter turnout.

Thank you for the opportunity to testify today. I am happy to answer any questions you may have.

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: 5/17/18

(PLEASE PRINT)  
Name: MICHAEL J. RYAN

Address: BOE

I represent: \_\_\_\_\_

Address: 42 BROADWAY

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☒ in favor ☐ in opposition

Date: 5/18/18

(PLEASE PRINT)  
Name: Dawn Sandow

Address: 42 Broadway

I represent: Board of Elections

Address: 42 Broadway

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)  
Name: Dawn M. Pinnoch

Address: 1 Centre Street

I represent: DCAS

Address: 1 Centre Street

20200202

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)

Name: Lisette Camillo

Address: \_\_\_\_\_

I represent: DCAS

Address: \_\_\_\_\_

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

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☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)

Name: Anthony Fiore

Address: DCAS

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

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☐ in favor ☐ in opposition

Date: 5/17/18

(PLEASE PRINT)

Name: RICHARD Titom

Address: 87-21 250TH ST. BELLEGADE, N.Y. 11526

I represent: DCAS

Address: ONE CENTRE ST. N.Y., N.Y. 10007

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**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

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☐ in favor ☐ in opposition

Date: 5/17/18

(PLEASE PRINT)

Name: Laura Ringelheim

Address: 1 Centre St NYC

I represent: Ocas

Address: 1 Centre St

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: 5/17/18

(PLEASE PRINT)

Name: Kath Kernan

Address: DCAS Fleet

I represent: 1 Centre Street

Address: NY, NY

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)

Name: DEPUTY COMMISSIONER LARRY CIPOLLINA

Address: NYC DEPT. OF SANITATION

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)

Name: FIRST DEPUTY COMMISSIONER STEVEN COSTAS

Address: NYC DEPT. OF SANITATION

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: \_\_\_\_\_

(PLEASE PRINT)

Name: COMMISSIONER KATHRYN GARCIA

Address: NYC DEPT. OF SANITATION

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

**THE COUNCIL  
THE CITY OF NEW YORK**

Appearance Card

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: Thursday, May 17

(PLEASE PRINT)

Name: Angela Albertus Division Chief Family

Address: Law Department, 100 Church St. Court Div.

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL  
THE CITY OF NEW YORK**

**Appearance Card**

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: Thursday, May 17

(PLEASE PRINT)

Name: Malachy Higgins, Chief of Administration

Address: Law Department, 100 Church St.

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

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**THE COUNCIL  
THE CITY OF NEW YORK**

**Appearance Card**

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: Thursday, May 17

(PLEASE PRINT)

Name: Georgia Pestana, First Assistant Corporation

Address: Law Department, 100 Church St. Counsel

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

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**THE COUNCIL  
THE CITY OF NEW YORK**

*Appearance Card*

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: Thursday May 17

(PLEASE PRINT)

Name: Zachary Carter Corporation Counsel

Address: Law Department 100 Church Street

I represent: \_\_\_\_\_

Address: \_\_\_\_\_

Please complete this card and return to the Sergeant-at-Arms

**THE COUNCIL  
THE CITY OF NEW YORK**

*Appearance Card*

I intend to appear and speak on Int. No. \_\_\_\_\_ Res. No. \_\_\_\_\_

☐ in favor ☐ in opposition

Date: May 17, 2018

(PLEASE PRINT)

Name: Angie Loprest

Address: 100 Church St. 10007

I represent: Campaign Finance Board

Address: 100 Church St.

Please complete this card and return to the Sergeant-at-Arms