

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Corey Johnson
Speaker of the Council

Hon. Rory L. Lancman
Chair, Committee on Justice System



Report of the Finance Division on the
Fiscal 2019 Preliminary Budget and the
Fiscal 2018 Preliminary Mayor's Management Report for the
Mayor's Office of Criminal Justice

March 20, 2018

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Mayor's Office of Criminal Justice Overview

The Mayor's Office of Criminal Justice (MOCJ or the office) facilitates cooperation and partnerships among the agencies and actors involved in crime-fighting and criminal justice in New York City. MOCJ serves as a liaison between the Police Department (NYPD), the Departments of Correction (DOC) and Probation (DOP), the five District Attorneys' offices, the Office of the Special Narcotics Prosecutor, the courts, and other agencies to help coordinate consistent citywide policy on criminal justice issues. MOCJ also advises the Mayor on criminal justice matters and is responsible for developing and implementing policies, legislation, and strategies in the fields of public safety and criminal justice.

This report provides a review of MOCJ's Preliminary Budget for Fiscal 2019 located within the Mayor's budget. The report also includes several performance indicators connected to MOCJ's work in the Fiscal 2018 Preliminary Mayor's Management Report (PMMR). It should be noted, while that the cure violence indicators for the Crisis Management System (CMS) are no longer presented in the PMMR. However, now that the Office to Prevent Gun Violence (OPGV) is created, the Administration should begin to present data on cure violence and the Crisis Management System again in the PMMR. The second section discusses broad multi-agency initiatives that MOCJ oversees. The third section of the report reviews the City Council initiatives that are procured through MOCJ. Finally, a review of the proposed capital budget for the courts with a discussion of significant changes proposed to the \$1.2 billion Preliminary Capital Commitment Plan for Fiscal 2018-2022.

In the section and table below, the Fiscal 2019 Budget is presented in a chart which details MOCJ's spending by Personal Services (PS), Other Than Personal Services (OTPS), and headcount.

Fiscal 2019 Preliminary Budget

Mayor's Office of Criminal Justice - Expense Budget (002)				
	2018	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	Adopted	2018	2019	2017-2018
Personal Services	\$2,887	\$4,526	\$2,881	(\$6)
Other Than Personal Services	3,337	4,226	3,337	0
TOTAL	\$6,224	\$8,752	\$6,218	(\$6)
Budgeted Headcount				
Full-Time Positions – Civilian	31	77	31	0
TOTAL	31	77	31	0

**The difference of Fiscal 2018 Adopted Budget compared to Fiscal 2019 Preliminary Budget.*

The operating budget for the Mayor's Office of Criminal Justice is part of the Mayor's budget in units of appropriation (050 and 051) for criminal justice programs. The Fiscal 2019 Preliminary Budget for MOCJ totals \$6.2 million with a budgeted headcount of 31 positions. MOCJ's Fiscal 2019 Preliminary Budget decreases slightly by \$5,602, which is essentially unchanged when compared to the Fiscal 2018 Adopted Budget. However, MOCJ's Fiscal 2018 Budget as of the Fiscal 2019 Preliminary Plan increases by \$2.5 million when compared to the Fiscal 2018 Adopted Budget. Furthermore, headcount also increases by 46 positions, largely due to the recognition of a Justice Assistance Grant of \$1.5 million and 39 positions attached to that federal funding.

Contracts Summary

<i>Dollars in Thousands</i>	Preliminary Plan		*Difference
	2018	2019	2018 - 2019
Indigent Defense			
Sub-total, Indigent Defense	\$270,083	\$270,828	\$746
Criminal Justice Programs			
Pretrial Screening, ATI and ATD Programs	\$57,085	\$54,670	(\$2,415)
Crime Victims	22,081	20,932	(\$1,149)
Court Operations	2,756	2,756	\$0
Mediation	1,325	1,325	\$0
Mayor's Action Plan for Neighborhood Safety	11,358	9,920	(\$1,439)
Anti-Gun Violence	12,123	14,818	\$2,695
Federal Asset Forfeiture	222	0	(\$222)
Re-Entry Services Total	8,465	11,385	\$2,920
Other Programs	10,199	8,869	(\$1,330)
Sub-total, Criminal Justice Programs	\$125,613	\$124,674	(\$939)
City Council Initiatives			
Sub-total, City Council Initiatives	\$29,832	\$0	(\$16,520)
Total	\$425,527	\$395,501	(\$20,069)
Funding			
City Funds	\$349,855	\$331,731	(\$20,623)
State	73,921	63,770	804
Federal	222	0	0
Office of Court Administration	1,529	0	(250)
Total	\$425,527	\$395,501	(\$20,069)

Source: MOCJ

MOCJ's Contracted Services Funding Summary table above provides the total contract value for indigent defense and criminal justice programs by funding source. MOCJ is responsible for managing the City's Indigent Defense System, which includes procuring contracts with legal service organizations and improving the efficiency, accountability, and quality of representation that indigent New Yorkers receive. MOCJ also contracts with community-based organizations to provide a variety of criminal justice programs. These contracts can be categorized as crime victims services, pretrial services, alternative to incarceration and alternative to detention programs, mediation, court operations, asset forfeiture, re-entry services, and other services and initiatives. The total contract value for Fiscal 2018 as of the Preliminary Budget is approximately \$425.5 million (including City and non-City funding.) Of this amount, \$125.6 million is funding for over 100 criminal justice programs and \$270.1 million is exclusively dedicated to funding indigent defense (see the report on indigent defense on the Council's website).

While MOCJ's core operations and staff are funded through the mayoralty budget, most of the contractual services managed by MOCJ are budgeted in the City's miscellaneous budget. The Preliminary Financial Plan for the Fiscal 2018-2022 introduced several new budget actions related to these programs.

Below are relevant budget actions for MOCJ that can be found in the City's miscellaneous budget.

- **Bail Expediter Program Expansion.** The Fiscal 2019 Preliminary Budget includes \$490,800 in Fiscal 2018 and baselines funding of \$478,000 in the outyears to enable Criminal Justice

Agency (CJA) to expand the existing bail expediting program (BEX), which aims to assist people with paying bail following arraignment. The one-time Fiscal 2018 funding of \$490,800 will cover the cost of tablets for all CJA employees within the BEX program. The baseline funding of \$478,000 beginning in Fiscal 2019 will increase CJA's ability to facilitate bail payment by contacting family members and other potential sureties to helping them navigate the process. Specifically, the expansion will increase the number of people eligible to include those with bail set under \$5,000 in every borough, except Staten Island, which does not have a BEX program.

- **Case Managers at Youth Part.** The Fiscal 2019 Preliminary Budget includes \$250,000 in Fiscal 2018 and \$500,000 in Fiscal 2019 and 2020 to cover the cost of four cases managers who will work in each youth part in criminal courts. Youth part is same in the criminal courts, which handle certain criminal cases where the defendant's age is 16 and 17 years. Each borough currently has one youth part except Staten Island.
- **Youth Court for the Bronx.** The Fiscal 2019 Preliminary Budget includes \$75,000 in Fiscal 2018 and \$300,000 in Fiscal 2019 and in the outyears for a Youth Court in the Bronx. This Youth Court is an alternative tribunal where specially trained teenagers adjudicate low-level offenses involving their peers and help prevent further involvement in the justice system. This program will be administrated by the Center for Court Innovation (CCI), which currently operates the youth courts in Manhattan, Brooklyn, Queens, and Staten Island.
- **Second Look Bail Review Program.** The Fiscal 2019 Preliminary Budget includes \$429,749 in Fiscal 2019 and \$859,499 in Fiscal 2019 and in the outyears for the bail review program. This program is designed to reduce unnecessary incarceration by working with trial attorneys, social workers, and paralegals to create bail packages, conduct bail reviews and file writs. MOCJ expects engage over 500 Legal Aid attorneys citywide and serve over 600 clients citywide.
- **Improve Outcomes for Women in Jail.** The Fiscal 2019 Preliminary Budget includes a total of \$6 million, of which \$2.9 million is in MOCJ's budget. This initiative is intended to break the cycle of incarceration for women in NYC. This initiative is designed to support family connections, address significant behavioral needs of women in jails, and support the long-term stability that prevents future returns to jails. This initiative is a partnership with the Department of Correction (DOC) and the Department of Health and Mental Hygiene (DOHMH), and the Administration for Children Services (ACS).
- **Expedited Mental Competence Exams.** The Fiscal 2019 Preliminary Budget includes \$147,066 in Fiscal 2018 and \$588,264 in Fiscal 2019 and in the outyears to speed up the mental competence exams or also known as 730 exams in Queens. This pilot program will launch in Queens. According to MOCJ, the Queens Court clinic, the pilot site, was conveniently located within the courthouse, which would allow for timely communication. The goal of the pilot program is to reduce the time it takes to administer the 730 exam for misdemeanors and felonies cases. This funding includes five additional

positions for Health and Hospitals (H+H) (three clinicians, one clerical, and one clinic director).

- Raise the Age Consultants.** The November 2017 Financial Plan added \$1.7 million to the Fiscal 2018 budget for Raise the Age consultants. MOCJ will employ the Center for Court Innovation (CCI), the Vera Institute for Justice, and Bennett Midland to assist with implementation of Raise the Age. The consultants will assist MOCJ and other relevant City agencies with operations and strategy advice, research analytics, and developing a communication strategy around the Raise the Age legislation. MOCJ expects the City will be working with the consultants until October 2018, the first deadline in the Raise the Age legislation.

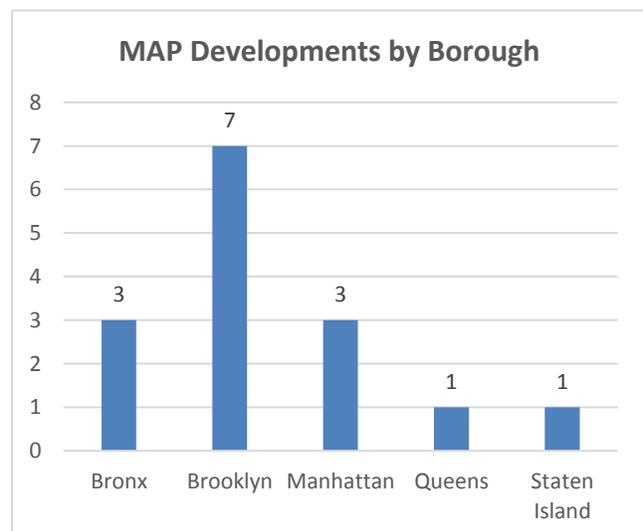
Multi-Agency Initiatives

In its role as liaison between law enforcement and criminal justice agencies, MOCJ's work shapes and funds strategies at the various stages of the criminal justice process. The Office's work has broad impacts on other agencies' budgets. Below are some of the multi-agency initiatives coordinated and managed by MOCJ that involve agencies beyond law enforcement and criminal justice organizations.

Mayor's Action Plan (MAP) for Neighborhood Safety

In July 2014, the Administration announced a comprehensive multi-agency effort to address public safety and community development in 15 New York City Housing Authority (NYCHA) developments. With MOCJ's oversight, the Department for the Aging (DFTA), Department of Probation (DOP), Department of Parks and Recreation (DPR), Department of Youth and Community Development (DYCD), Human Resources Administration (HRA), New York Police Department (NYPD), Center for Economic Opportunity (CEO), Mayor's Office to Combat Domestic Violence (OCDV), and NYCHA provide multiple services in an effort to bring neighborhood residents and government together to reduce crime at the 15 NYCHA developments. The 15 NYCHA developments are Boulevard, Brownsville, Bushwick, Butler; Castle Hill, Ingersoll; Patterson, Polo Grounds, Queensbridge, Red Hook, St. Nicholas, Stapleton, Tompkins, Van Dyke, and Wagner.

The Administration initially invested \$210.5 million in expense funding for a variety of programs and service enhancements. The total funding for this initiative is captured in the budgets of multiple City agencies including MOCJ. Part of the total \$210.5 million in funding supports broad programs in MOCJ's budget like a neighborhood stat program, crime prevention through environmental design work and behavioral change work, and evaluation of the initiative. In Fiscal 2016, the City baselined \$102 million for the expense-funded programs.



In the first three years of MAP, MOCJ has made significant investments—including resources for security-focused infrastructure upgrades, as well as programs aimed at increasing employment opportunities and social supports, promoting vibrant public spaces and building trust between residents and government. The innovative, bi-annual NeighborhoodStat meetings have served as a platform for stakeholders in the housing developments and surrounding neighborhoods and City agency partners to work together to enhance public safety.

In year four of MAP, the initiative will concentrate on increasing employment and opportunities for disconnected youth, creating more vibrant public spaces by engaging the surrounding MAP neighborhoods in promising new approaches to prevent crime through design, and working with community-based partners to implement the local expansion of NeighborhoodStat at each of the 15 developments.

The Fiscal 2018 PMMR report includes indicators relevant to the Mayor's Action Plan on Neighborhood Safety. Below are the relevant indicators for Fiscal 2018.

Crime Statistics in the 15 MAP Developments	Actual	4-month Actual		Change
		FY17	FY18	
Number of Incidents	FY17	FY17	FY18	Change
Total Index Crime	756	292	281	(3.8%)
Violent Crime	544	208	195	(6.3%)
Shootings	26	9	5	(44.4%)
The seven index crimes are murder, rape, robbery, felony assault, burglary, grand larceny auto. Violent crimes include murder, rape, robbery, and felony assault.				

Violent crimes include murder, rape, robbery and felony assault. Although the indicators are not included for each of the 15 developments, there is a decrease of 3.8 percent for total index crimes and a decrease of 6.3 percent for violent crime in the 15 MAP developments. Additionally, shootings in the 15 developments decreased by 44.4 percent during the reporting period.

Below are additional indicators for MAP that reflects the indicators related to improved infrastructure/environmental design and community engagement and programming.

Mayor's Action Plan for Neighborhood Safety Fiscal 2018 PMMR					
Agency/Office	Indicators Name	Actual	4-Month Actual		Target
		FY17	FY17	FY18	FY18
<i>Improved Infrastructure/Environmental Design</i>					
NYCHA	Temporary light fixtures placed	55	184	25	15
NYCHA	Permanent lights installed	3,479	1,603	310	600
NYCHA	Layered access projects completed (repairing and adding additional security to development entry and access points)	28	22	*	30
NYCHA	Cameras installed (closed circuit television)	226	0	200	39
NYCHA	Non-construction scaffolding and shedding removed (feet)	1,138	223	712	3,896
<i>Community Engagement and Programming</i>					
DPR	Kids in Motion participants across MAP sites	58,002	58,002	52,301	65,000
DPR	Shape-Up participants across MAP sites	3,299	731	4251	3,000
DYCD	MAP Summer Youth Employment (SYEP) participants	1,816	1,816	2,826	850
NYC Opportunity	Youth enrolled in Work Progress Program (WPP)	348	71	16	NA
DOP	Participants enrolled in the Next STEPS youth mentorship program**	243	279	89	240
DOP	Participants who positively exited Next STEPS program**	147	52	48	144
OCDV	Healthy Relationship Academy workshops held	180	30	37	100
OCDV	Community outreach events	417	139	150	480
HRA	Appointments to connect individuals to HRA services	1,798	695	895	2,000
HRA	Individuals connected to HRA services	1,111	425	542	1,000
HRA	Percentage of clients seeking SNAP and Emergency Assistance benefits enrollment who are successfully enrolled in those benefits	41.10%	53%	24%	30%
DFTA	Grandparent support participation	336	117	234	325
DFTA	Seniors engaged at public events	10,508	1,983	3,055	6,500
DFTA	Program intakes	70	34	51	150

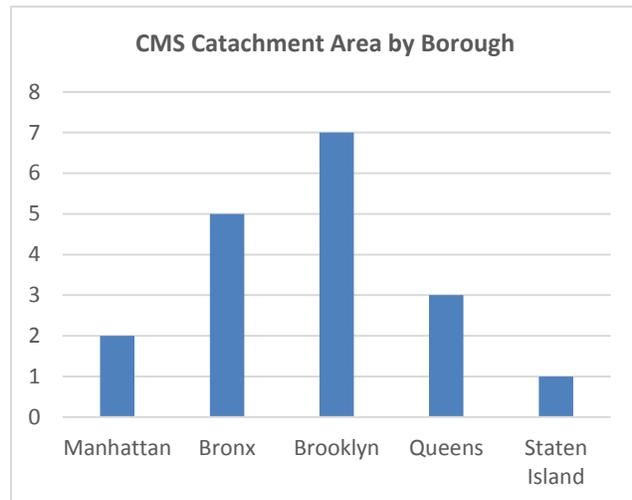
Source: PMMR

- During this reporting period in Fiscal 2018, 712 feet of non-construction sidewalk shedding was removed. In Fiscal 2017, 1,138 feet of shedding and scaffolding was removed.
- The number of total participants in NextSTEPS program was 89 during the reporting period in Fiscal 2018, with 48 participants exiting NextSTEPS positively.

- The number of Summer Youth Employment (SYEP) participants in MAP developments increased to 2,826 during the Fiscal 2018 reporting period. The total number of participants in SYEP was 1,816. The total funding for SYEP in Fiscal 2018 was \$126.9 million.

Crisis Management System

The Crisis Management System, piloted by the City Council in Fiscal 2013, delivers a multi-agency approach to reduce gun violence in New York City. In Fiscal 2018, the Administration and the Council committed a total of \$27.3 million to continue providing a comprehensive community-based approach to addressing gun violence in 18 distinct catchment areas within 17 police precincts. In February 2017, the Mayor created the Mayor's Office to Prevent Gun Violence within MOCJ; the office oversees the continued expansion of violence intervention strategies. The Fiscal 2019 Preliminary



Budget includes \$3.9 million in Fiscal 2019 to expand the Crisis Management System to four new catchment areas in four new precincts. Furthermore, the Council has also called for the creation of a pair of units of appropriation (U/A) for the Office to Prevent Gun Violence. As funding for the Office and the Crisis Management System continues to increase, dedicated U/As would allow for better transparency.

- **Cure Violence Expansion.** The Fiscal 2019 Preliminary Budget includes \$3.9 million in Fiscal 2019 to expand the Crisis Management to four new catchment areas in the 48th, 52nd, 81st, and 88th precincts. The total allocation per catchment area is \$994,400. According to MOCJ, the providers and exact location of the catchment areas are yet to be determined. The Office to Prevent Gun Violence expects the catchment areas to be operational by July 2018.
- **Public Safety Coalition.** The Fiscal 2019 Preliminary Budget includes \$200,000 in Fiscal 2019 and in the outyears for a public safety coalition that will serve as the liaison between police, community and clergy to support existing or new community-based violence reduction practices around coalition building, violence prevention strategy, and community engagement. The public safety coalitions will be located in the 67th and 90th precincts though providers have not been selected yet.

Below are the Fiscal 2018 allocations related to the Crisis Management System by agency.

- **Mayor's Office of Criminal Justice.** MOCJ receives a total of \$17.2 million for Cure Violence, professional mental health, community therapeutic services, legal services, a peer leadership committee and leadership training. Of the \$17.2 million, the Council allocated \$6.6 million in Fiscal 2018 to support MOCJ funded programs.

- **Department of Education.** DOE receives a total of \$3.7 million for school-based conflict mediation and youth programming. Of the \$3.7 million, the Council allocated \$1.2 million in Fiscal 2018 to support DOE funded programs.
- **Department of Probation.** DOP receives a total of \$2.6 million for a Justice Plus, a job readiness training program. Of the \$2.6 million, the Council allocated \$1.6 million in Fiscal 2018 to support these programs.
- **Department of Youth and Community Development.** DYCD received a total of \$1.6 million for the Council Youth Employment Program and other conflict mediation and youth programming. Of the \$1.6 million, the Council allocated \$1.5 million primarily for the City Council Youth Employment Program in Fiscal 2018.
- **Administration for Children's Services.** The Council allocated \$600,000 in Fiscal 2018 to bring violence interrupters to non-secure placement and detention sites across the City.

Mayor's Taskforce on Behavioral Health and Criminal Justice

During his first year in office, Mayor de Blasio convened a Taskforce on Behavioral Health and Criminal Justice (BHCJ) and in December 2014, MOCJ released the taskforce's first report. The report lays out an action plan that involves several City agencies, such as New York Health + Hospitals (H+H), DOHMH, DOC, NYPD, DOP, and MOCJ, to reduce crime and reduce the number of people with behavioral issues cycling through the criminal justice system. The Taskforce spent 100 days developing an action plan. The action plan outlined a \$134 million spending plan over a four-year period, \$40 million of which was supported with asset forfeiture funds provided by DANY. The Administration has begun implementing the recommendations of the Taskforce. Below listed are the planned allocations for programs offering interventions at each of the various points a person would enter and exit the criminal justice system. The Council has not yet received an updated budget for the Taskforce for the Preliminary Plan.

Mayor's Taskforce on Behavioral Health (<i>\$ in Thousands</i>)				
Focus Area	Agency Partner	Programs and Services	FY15-18 Funding*	
			City	DANY
Before Arrest	NYPD; DOHMH	NYPD Mental Health Training; Public Health Diversion Centers	\$3,500	\$13,000
From Arrest to Disposition	DOHMH; MOCJ	Pre-arraignment screening; matching people to services	2,200	15,100
Inside DOC	DOHMH; DOC	Reduce staff to inmate ratio in adolescent housing; crisis intervention teams; training for DOHMH and DOC; camera system expansion at DOC; capital upgrades to Rikers School	52,600	0
Release and Re-entry	DOC; HRA; DOHMH	Connecting inmates to Medicaid; ICAN programming expansion	38,200	0
In the Community	DHS	Permanent Supportive Housing	0	9,000
Other Services	DOP; MOCJ	Health Services Team; Evaluation; Training; Data sharing and Technology	4,200	2,900
Subtotal			\$100,700	\$40,000
Grand Total			\$140,700	

*Includes capital expenditures added in Fiscal 2016.

Source: MOCJ

Below are the PMMR indicators for the Taskforce.

PMMR Highlights

BHCJ Performance Indicators	Actual		4-month Actual		Target		Cumulative
	FY16	FY17	FY17	FY18	FY17	FY18	Actual
NYPD officers who completed crisis intervention training*	3,947	1,863	671	1,120	*	*	6,946
Participants enrolled in supervised release slots**	911	3,324	1,014	1,509	*	*	5,760
Screens conducted by H + H in the Pre-Arrestment Screening Unit***	7,554	31,222	2,211	14,001	*	*	53,808
Individuals placed in DOHMH permanent supportive housing slots	65	43	20	4	*	*	112
*Includes 61 officers trained in Fiscal 2015. ** Includes 16 participants enrolled in Fiscal 2015. *** Includes 1,031 screens conducted in Fiscal 2015.							

Source: PMMR

In the first four months of Fiscal 2018, the Taskforce has achieved the following according the Fiscal 2018 PMMR.

- NYPD trained 1,120 officers to recognize behaviors and symptoms of mental illness and substance abuse.
- The Pre-Arrestment Screening Unit served 14,001 clients at arraignments in Manhattan Criminal Court.
- 1,509 number of participants were enrolled in supervised released slots.
- Four individuals were placed in DOHMH permanent supportive housing slots.

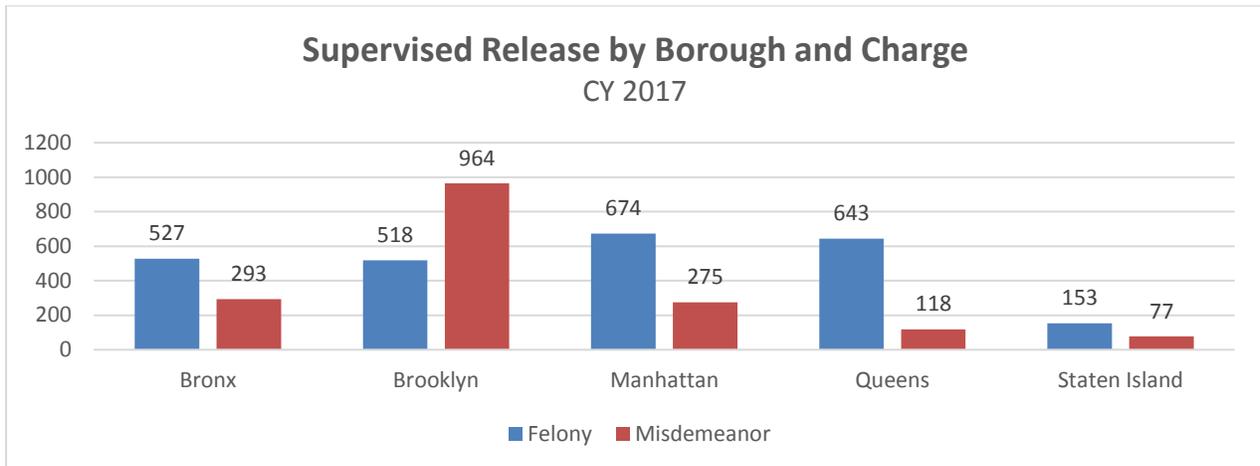
Supervised Release

In July 2015, the Administration added new programs and additional slots as part of its strategy to reduce pretrial detention and reduce reliance on money bail. Through a competitive procurement process MOCJ selected providers to work with identified defendants to ensure they would return to court and connect them to supportive services, such as mental health counseling and substance abuse treatment. The Fiscal 2019 Preliminary Budget recognizes one action related to supervised release.

- **Enhancement of Supervised Release.** The Fiscal 2019 Preliminary Budget includes \$1.3 million in Fiscal 2018 and \$1.1 million in Fiscal 2019 and 2020 to enhance the supervised release program. The additional funding will enable MOCJ to address specific needs of clients with behavioral health issues in the Bronx and Brooklyn. The additional funding brings the total value of the contracts to over approximately \$10.7 million in Fiscal 2018. The providers contracted for these services by borough include CASES in Manhattan; CCI in the Bronx, Brooklyn, and Staten Island; and the Criminal Justice Agency (CJA) in Queens. The total number of participants enrolled in supervised release is over 5,000 individuals since the launch of this program.

The chart below displays the number of supervised release mandates by borough and charge for 2017. The total intakes 4,256 in 2017, 2,439 felonies and 1,803 for misdemeanors. Additionally,

78 percent of participants completed the program in calendar year 2017, increasing from 73 percent in calendar year 2016.



Source: MOCJ

City Council Initiatives

The Fiscal 2018 Adopted Budget includes \$29.5 million in City Council discretionary funding to support the work of community-based organizations within the criminal justice, domestic violence, legal service, and public safety program areas. MOCJ is the contracting agency for these services which are provided by more than 80 community-based organizations. Many of the Council’s initiatives supplement the Mayoral contracts that organizations have with MOCJ such as the Alternative to Incarceration (ATI) programs, Center for Court Innovation (CCI), and the Child Advocacy Centers (CAC). Below is a chart that provides an overview of funding for Fiscal 2018, a more detailed description of each initiative funded is located online at <https://council.nyc.gov/budget/fy2018/>. Of note, funding for these initiatives is not included in the Fiscal 2019 Preliminary Budget.

FY18 Council Initiatives	
<i>Dollars in Thousands</i>	
Alternatives to Incarceration (ATI's)	\$6,407
Bail Fund	1,355
Center for Court Innovation	1,710
Crisis Management System	6,545
Domestic Violence and Empowerment (DoVE) Initiative	7,632
Initiative for Immigrant Survivors of Domestic Violence	250
Initiative to Combat Sexual Assault	1,348
Legal Information for Families (LIFT)	485
Legal Services for Low-Income New Yorkers	250
Prevent Sexual Assault (PSA) Initiative for Young Adults	175
Prisoners' Rights Project	750
Support for Victims of Human Trafficking	1,000
Supportive Alternatives to Violent Encounters (SAVE)	1,000
Local	608
Total	\$ 29,514

Courts Overview

MOCJ is also responsible for assisting with the maintenance of the New York City 29 court facilities, which include civil, criminal, family, housing, small claims, supreme and surrogate courts. Pursuant to New York State Law, localities bear the responsibility of providing adequate, functional and sufficient court facilities. Oversight includes contracting capital projects for work done in any New York City Court Facilities. The State and City agencies who manage the operational and programmatic activities in the courthouse include the Office of Court Administration (OCA) - the administrative arm of the New York State court system, OMB, MOCJ and the Department of Citywide Administrative Services (DCAS).

To meet the current and emerging needs of the City's court facilities, MOCJ, OMB and OCA manage the infrastructure improvements and upgrading of courthouse facilities throughout New York City. DCAS' Asset Management Division is responsible for managing the maintenance staff who work in the courts and some capital projects. Depending on the scope of work, projects are managed by DCAS, the Department of Design and Construction DDC (the City's primary capital construction project manager) or the Dormitory Authority of the State of New York (DASNY New York State's facilities finance and construction authority).

Courts Financial Plan Summary

The Department of Citywide Administrative Services (DCAS) is responsible for cleaning and maintaining all the City's courts. DCAS' budget includes a U/A pair for asset management – public facilities. The courts Financial Summary below provides an overview of the portions of DCAS' budget used for court maintenance, disaggregated by Appellate Courts and Unified Courts System. The table below provides an overview of DCAS' actual expenditures for Fiscal 2016 and Fiscal 2017, the Adopted Budget for Fiscal 2018, and planned expenditures for Fiscal 2018 and Fiscal 2019 as proposed in the Fiscal 2019 Preliminary Plan.

Courts Maintenance Financial Summary						
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	FY16	FY17	FY18	FY18	FY19	2018 - 2019
Spending						
Appellate Courts						
Personal Services	\$1,610	\$1,343	\$1,752	\$1,752	\$1,752	\$0
Other Than Personal Services	23,184	24,660	26,150	26,150	25,375	(\$775)
Subtotal	\$24,794	\$26,003	\$27,902	\$27,902	\$27,127	(\$775)
Unified Court System						
Personal Services	\$46,117	\$41,377	\$43,669	\$43,669	\$43,932	\$263
Other Than Personal Services	2,409	2,353	0	0	0	0
Subtotal	\$48,526	\$43,730	\$43,669	\$43,669	\$43,932	\$263
TOTAL	\$73,320	\$69,733	\$71,571	\$71,571	\$71,059	(\$512)
Funding						
City Funds	\$0	\$16,916	\$17,662	\$17,662	\$16,887	(\$775)
State	73,320	52,817	53,910	53,910	54,172	263
TOTAL	\$73,320	\$69,733	\$71,571	\$71,571	\$71,059	(\$512)
Budgeted Headcount						
Appellate Courts	19	18	18	18	18	0
Unified Court System	608	624	642	624	624	0
TOTAL	627	642	642	642	642	0

*The difference of Fiscal 2018 Adopted Budget compared to Fiscal 2019 Preliminary Budget.

The Fiscal 2019 Preliminary Budget remains nearly unchanged since the Fiscal 2018 Adopted Budget. The Fiscal 2019 Preliminary Budget decreases slightly by approximately \$512,000, primarily due to a decrease in lease payments.

Capital Budget Summary

The Fiscal 2019 Preliminary Capital Commitment Plan includes \$1.2 billion for Fiscal 2018-2022 for courts (including City and non-City funds). The Preliminary Commitment Plan for Fiscal 2018-2022 remains nearly unchanged since the Adopted Commitment Plan.

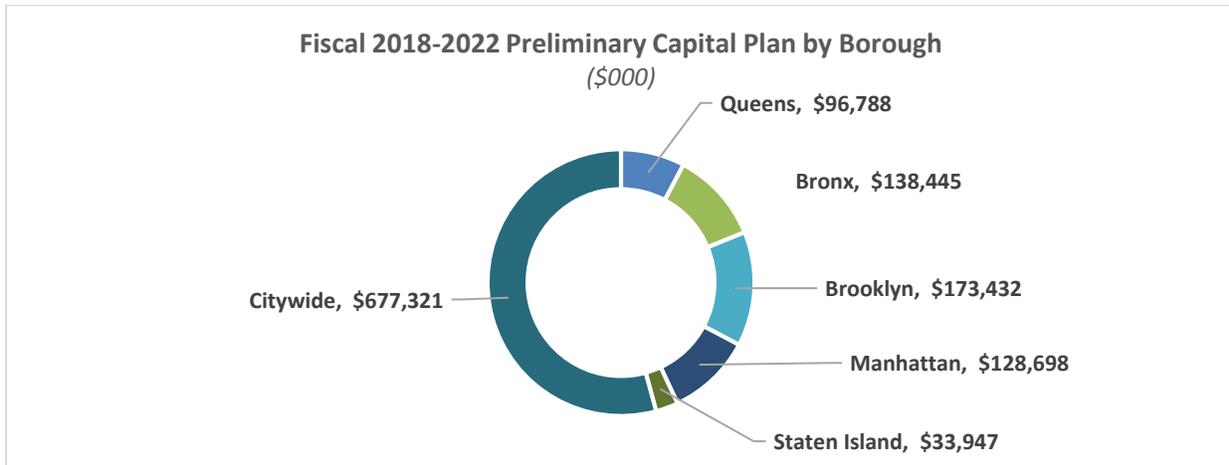
Generally, the City's Preliminary Capital Commitment Plan authorizes new or on-going capital projects implemented by budget lines. Appropriations represent the legal authority to spend capital dollars and are what the Council votes on at budget adoption. Budget lines can be associated with individual projects or a collection of similar projects at multiple locations and each budget line includes planned commitments. The courts capital commitment plan contains 30 budget lines. Generally, these budget lines are broken out by court facility and location. Within those 30 budget lines are 113 project lines. Generally, these projects are associated with an individual address or court facility, such as the facility at 100 Centre Street. However, the capital commitment plan for the courts does contain lump sum project lines for various court facilities, focusing on large-scale projects, such as fire suppression systems.

In Fiscal 2017, the court system only committed \$28.8 million or approximately 17.3 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the courts Fiscal 2018 Capital Plan will be rolled into the outyears. The Preliminary Capital Commitment Plan for Courts for Fiscal 2018-2022 remains nearly unchanged when compared to the Court's Fiscal 2018 Adopted Capital Plan.

Courts Fiscal 2018-2022 Capital Commitment Plan: Adopted and Preliminary Budget						
<i>Dollars in Thousands</i>						
	FY18	FY19	FY20	FY21	FY22	Total
Adopted						
Total Capital Plan	\$258,050	\$324,235	\$323,667	\$206,095	\$136,290	\$1,248,337
Preliminary Plan						
Total Capital Plan	\$184,797	\$319,455	\$348,857	\$234,833	\$160,689	\$1,248,631
Change						
Level	(\$73,253)	(\$4,780)	\$25,190	\$28,738	\$24,399	\$294
Percentage Change	(40%)	(1%)	7%	12%	15%	0.0%

Source: OMB

The chart below displays the \$1.2 billion capital commitment plan by either the borough or citywide projects. As previously mentioned, a majority of the projects are associated with an individual address. However, 10 project lines, \$677.3 million, or 54.2 percent are associated with citywide projects. Of the \$677.3 million, 90.1 percent is allocated the rehabilitation of various court facilities.



The next table provides the number of project lines, a funding range and total commitments within the court’s preliminary capital commitment plan. Of note, 48.9 percent of the court’s capital commitment plan is associated with one project line, which is the rehabilitation of various court facilities.

Court System’s Preliminary Capital Commitment Plan by Funding Range			
Fiscal 2018-2022: \$1.2 Billion			
(\$000)			
Number of Projects	Total FY18-22 Prelim Plan	Plan Range	Percent of Total
1	\$610,482	\$610,482	48.9%
7	\$284,356	75,000-20,000	22.8%
11	\$162,025	\$18,000-\$10,000	12.9%
101	\$191,768	>\$10,000	15.4%
Total			
120	\$1,248,631	--	100%

Preliminary Capital Commitment Plan

Below are major projects in the Preliminary Capital Plan for Fiscal 2018-2022.

- Rehabilitation of Various Court Facilities.** The Fiscal 2019 Preliminary Commitment Plan includes \$610.5 million over the next four years for the rehabilitation of various court facilities through the City. According to OMB, majority of this funding is allocated for the Staten Island and Manhattan court master plans.
- The Office of Court Administration (OCA) Relocation from 141 Livingston Street to 210 Joralemon Street in Brooklyn.** The Fiscal 2019 Preliminary Commitment Plan includes \$74.3 million over the next four years.

- **Electrical Upgrades to Queens Supreme Court.** The Fiscal 2019 Preliminary Commitment Plan includes \$46.3 million over the next three years. According to OMB, this project is expected to be completed in February 2023.
- **Upgrade of Fire Protection/Sprinklers to Bronx County Courthouse.** The Fiscal 2019 Preliminary Commitment Plan includes \$44.9 million in Fiscal 2018 and Fiscal 2019 for the fire protection and sprinklers upgrade. The sprinkler upgrade is part of compliance with a citywide mandate to update all City-owned buildings.
- **Upgrade of Fire Alarm System and Sprinklers at Kings County Courthouse.** The Fiscal 2019 Preliminary Commitment Plan includes \$40 million in Fiscal 2018 and 2019 for the fire alarm system and sprinklers upgrade. The sprinkler upgrade is part of compliance with a citywide mandate to update all City-owned buildings.
- **DASNY Design and Planning of Brownsville Community Court.** The Fiscal 2019 Preliminary Commitment Plan includes \$22 million for DASNY design and construction for the Brownsville Community Court.
- **Electrical and System Upgrade of the Surrogate Court.** The Fiscal 2019 Preliminary Commitment Plan includes \$18.9 million in Fiscal 2018 and Fiscal 2019 for the electrical and system upgrade.