

# THE COUNCIL OF THE CITY OF NEW YORK

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Speaker of the Council

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## Report on the Fiscal 2017 Preliminary Budget and the Fiscal 2016 Preliminary Mayor's Management Report Mayor's Office of Criminal Justice

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## Mayor's Office of Criminal Justice Overview

The Mayor's Office of Criminal Justice (MOCJ or the office) facilitates cooperation and partnerships among the agencies and actors involved in crime-fighting and criminal justice in New York City. MOCJ serves as a liaison between the Police Department (NYPD), the Departments of Correction (DOC) and Probation (DOP), the five District Attorneys' offices, the Office of the Special Narcotics Prosecutor, the courts, and other agencies to help coordinate consistent citywide policy on criminal justice issues. MOCJ also advises the Mayor on criminal justice matters and is responsible for developing and implementing policies, legislation, and strategies in the fields of public safety and criminal justice. MOCJ's initiatives and programs focus on three main goals: 1) driving down citywide crime; 2) reducing unnecessary incarceration; and 3) promoting fairness within the criminal justice system.

This report provides a review of MOCJ's Preliminary Budget for Fiscal 2017 and the Fiscal 2016 Preliminary Mayor's Management Report (PMMR). MOCJ's budget is within the Mayor's budget (Agency 002.) In the section below, the Fiscal 2017 Budget is presented in a chart which details MOCJ's spending by Personal Services (PS), Other Than Personal Services (OTPS), and headcount. The second section discusses broad multi-agency initiatives that MOCJ oversees. The third section of the report includes a section on the City Council initiatives that are procured through MOCJ with an overview of funding for Fiscal 2016 and a brief description of each initiative. Finally, the report includes the MOCJ Contracted Services Funding Summary chart which provides an overview of MOCJ contracts for indigent defense, criminal justice services, and City Council Initiatives by funding source.

## Fiscal 2017 Preliminary Budget Highlights

| Mayor's Office of Criminal Justice - Expense Budget (002) |                 |                  |                |             |
|---|-----------------|------------------|----------------|-------------|
|   | 2016<br>Adopted | Preliminary Plan |                | *Difference |
| <i>Dollars in Thousands</i>                               |                 | 2016             | 2017           | 2016 - 2017 |
| Personal Services   | \$3,035         | \$4,980          | \$3,042        | \$7         |
| Other Than Personal Services                              | 3,326           | 5,726            | 3,326          | 0           |
| <b>TOTAL</b>  | <b>\$6,361</b>  | <b>\$10,706</b>  | <b>\$6,368</b> | <b>\$7</b>  |
| <b>Budgeted Headcount</b>                                 |                 |                  |                |             |
| Full-Time Positions – Civilian                            | 33              | 67               | 33             | 0           |
| <b>TOTAL</b>  | <b>33</b>       | <b>67</b>        | <b>33</b>      | <b>0</b>    |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The operating budget for the Mayor's Office of Criminal Justice is part of the Mayor's budget under units of appropriation (050 and 051) for criminal justice programs. The Fiscal 2017 Preliminary Budget for MOCJ totals \$6.4 million with a budgeted headcount of 33 positions. This represents a minimal decrease of less than one percent in the PS Budget when compared to the Fiscal 2016 Adopted Budget.

## Multi-Agency Initiatives

In its role as liaison between law enforcement and criminal justice agencies, MOCJ's work shapes and funds strategies at the various stages of the criminal justice process. The Office's work has broad impacts on other agencies' budgets. Below are some of the multi-agency initiatives coordinated and managed by MOCJ that involve agencies beyond law enforcement and criminal justice organizations.

### Mayor's Action Plan (MAP) for Neighborhood Safety

In July 2014, the Administration announced a comprehensive multi-agency effort to address public safety and community development in 15 New York City Housing Authority (NYCHA) developments. With MOCJ's oversight, the Department for the Aging (DFTA), Department of Probation (DOP), Department of Parks and Recreation (DPR), Department of Youth and Community Development (DYCD), Human Resources Administration (HRA), New York Police Department (NYPD), Center for Economic Opportunity (CEO), Mayor's Office to Combat Domestic Violence (OCDV), and NYCHA provide multiple services in an effort to bring neighborhood residents and government together to reduce crime at the 15 NYCHA developments. The 15 NYCHA developments are Boulevard, Brownsville, Bushwick, Butler; Castle Hill, Ingersoll; Patterson, Polo Grounds, Queensbridge, Red Hook, St. Nicholas, Stapleton, Tompkins, Van Dyke, and Wagner.

The Administration initially invested \$210.5 million in expense funding for a variety of programs and service enhancements. The total funding for this initiative is captured in the budgets of multiple City agencies including MOCJ. Part of the total \$210.5 million in funding supports broad programs in MOCJ's budget like a neighborhood stat program, crime prevention through environmental design work and behavioral change work, and evaluation of the initiative. In Fiscal 2016, the City baselined \$102 million for the expense-funded programs within the initiative at the agencies involved in the initiative. The Fiscal 2017 Preliminary Plan adds additional support to the MAP programs as described below:

- **NYCHA Security and Programming Improvements.** The Fiscal 2017 Preliminary Plan includes \$80.2 million to MOCJ's budget in State funding in Fiscal 2016 for improvements to the physical infrastructure of the 15 NYCHA developments. This funding is part of the \$89 million committed by the New York County District Attorney's (DANY) office from the National Bank of Paris and Paribas (BNPP) settlement to fund the MAP initiative. Physical improvements funded include security operating centers, camera connection to NYPD's network, security cameras, lobby cameras, elevator cameras, new doors, layered access, and exterior lights.
- **Community Solutions.** Within MOCJ's budget, the Fiscal 2017 Preliminary Plan includes \$500,000 in Fiscal 2017 to support a contract with Community Solutions to assist with resident engagement in the 15 NYCHA developments to assess neighborhood and safety concerns. According to the scope of the contract, Community Solutions will work with community residents and other stakeholders in selected NYCHA developments/neighborhoods to make measurable improvements to local conditions, focusing on a narrow set of indicators of neighborhood distress related to public safety. The first six months will serve as a

prototype phase, working with three neighborhood teams. It is anticipated that systems, interventions, and infrastructure developed during this phase are expected to be deployed to engage between 9 and 15 total neighborhoods in 2016.

In the first year of the MAP initiative, agencies focused on making physical improvements to NYCHA buildings and grounds, providing residents with additional opportunities for work and play, and creating forums for police/resident joint problem solving. During this, the second year, the MAP initiative continued programs and services, but put an emphasis on targeted strategies at each of the 15 developments. Ultimately, MOCJ hopes to define a scalable and effective model for strengthening neighborhoods to reduce crime citywide.

The Fiscal 2016 PMMR report includes indicators relevant to the Mayor's Action Plan on Neighborhood Safety, which can be found on page 25 of the PMMR. Below are the relevant indicators for Fiscal 2016:

| Crime Statistics in the 15 MAP Developments |                    |      |          |
|---|--------------------|------|----------|
|   | July 1- October 31 |      |          |
|   | FY15               | FY16 | % Change |
| <b>Violent crime</b>                        | 205                | 208  | 1.5%     |
| <b>Total index crimes</b>                   | 294                | 292  | -0.7%    |

Source: NYPD

The crime statistics reflect NYPD's role in the MAP initiative. Violent crimes include murder, rape, robbery and felony assault. Total index crime represents the seven major index crimes, which are murder, rape, felony assault, burglary, grand larceny, and grand larceny auto. Although the indicators are not included for each of the 15 developments, there is a decrease of less than one percent for total index crimes and an increase of 1.5 percent for violent crime in the 15 MAP developments. Crime statistics for each of the 15 developments would offer a complete view of whether these crime reductions strategies were effective.

Below are additional indicators for MAP that reflects MOCJ's targeted model of "People, Places and Networks".

| Managing Agency                      | Performance Indicator   | FY15 Actual | July- Oct 2015<br>(FY16 Actual) | FY16 Target |
|--------------------------------------|---|-------------|---------------------------------|-------------|
| <b>People</b>                        |   |             |                                 |             |
| DOP                                  | Participants enrolled in the NextSTEPS youth mentorship program   | 255         | 87                              | 200         |
| DOP                                  | Participants who positively exit the NextSTEPS program (%)  | NA          | 42%                             | 40%         |
| DYCD                                 | MAP Summer Youth Employment Program (SYEP) participants   | 992         | 841                             | 850         |
| CEO                                  | NYCHA youth enrolled in Work Progress Program (WPP)   | 218         | 52                              | *           |
| HRA                                  | Individuals connected to benefits   | 294         | 600                             | 1,000       |
| HRA                                  | Appointments to connect individuals with benefits   | 409         | 910                             | 1,500       |
| <b>Places</b>                        |   |             |                                 |             |
| DPR                                  | Kids in Motion program participants across MAP sites  | 38,292      | 49,389                          | 35,000      |
| DYCD & NYCHA<br>Community<br>Centers | ShapeUp participants across MAP sites   | 1,487       | 1,500                           | 1,400       |
| NYCHA                                | Permanent lights installed  | NA          | 0                               | 1,193       |
| NYCHA                                | Layered access projects completed (repairing and adding additional security to development entry and access points) | NA          | 0                               | 18          |
| NYCHA                                | Cameras installed (closed circuit television)   | 47          | 0                               | 468         |
| NYCHA                                | Non-construction scaffolding and shedding removed (feet)  | 10,252      | 934                             | 934         |
| <b>Networks</b>                      |   |             |                                 |             |
| OCDV                                 | Healthy Relationship Academy workshops held   | NA          | 21                              | 50          |
| OCDV                                 | Residents linked to Domestic Violence Response Team (DVRT) Specialists  | 90          | 31                              | 100         |
| OCDV                                 | Community outreach events   | NA          | 198                             | 400         |
| DFTA                                 | Grandparent support group participation   | 670         | 567                             | 1,500       |
| DFTA                                 | Seniors engaged at public events  | 3,561       | 3,069                           | 3,000       |
| DFTA                                 | Intake meetings to connect senior residents with information, assistance and referrals                              | 57          | 28                              | 300         |

- During this reporting period, 934 feet of non-construction sidewalk shedding was removed. In Fiscal 2015, 10,252 feet of shedding and scaffolding was removed.
- The number of individuals connected to benefits, such as Supplemental Nutritional Assistance Program (SNAP), increased to 600 individuals in the first four months of Fiscal 2016 as compared to the number of individuals in all of Fiscal 2015.
- The number of residents in the first four months of Fiscal 2016 connected to domestic violence response teams totals 31, down from 90 in the prior year.
- Indicators included in the Fiscal 2015 Mayor's Management Report were adjusted to reflect MOCJ's strategy to focus on People, Places and Networks.

## Anti-Gun Violence Initiative

The Anti-Gun Violence Initiative's Crisis Management System, piloted by the City Council in Fiscal 2013 and 2014, delivers a multi-agency approach to reduce gun violence in New York City. In Fiscal 2016, the Administration and the Council committed a total of \$19.9 million in funding to continue providing a comprehensive community-based approach to addressing gun violence in 18 catchment areas within 17 police precincts. MOCJ provides oversight and coordination of this multi-agency network. Below are the Fiscal 2016 allocations:

- **Department of Health and Mental Hygiene (DOHMH).** DOHMH receives a total of \$8.9 million for Cure Violence, professional mental health, and community therapeutic services. Of the \$8.9 million, the Council allocated \$1.6 million in Fiscal 2016 to support DOHMH funded programs.
- **Department of Education.** DOE receives a total of \$3.6 million for school-based conflict mediation and youth programming. Of the \$3.6 million, the Council allocated \$1.2 million in Fiscal 2016 to support DOE funded programs.
- **Department of Probation.** DOP receives a total of \$2.6 million for a justice plus, job readiness training program. Of the \$2.6 million, the Council allocated \$1.6 million in Fiscal 2016 to support these programs.
- **Department of Youth and Community Development.** DYCD received a total of \$1.9 million youth employment program and other conflict mediation and youth programming. Of the \$1.9 million, the Council allocated \$1.8 million primarily for the City Council Youth Employment Program in Fiscal 2016.
- **Mayor's Office of Criminal Justice.** MOCJ received a total of \$1.6 million for legal services, a peer leadership committee, leadership training, and a public messaging campaign. Of the \$1.6 million, the Council allocated \$1.4 million in Fiscal 2016 to support these programs.
- **Department of Cultural Affairs.** The Council allocated \$720,000 in Fiscal 2016 for the Arts a Catalyst for Change program.
- **Administration of Children Services.** The Council allocated \$250,000 in Fiscal 2016 to bring violence interrupters to non-secure placement and detention sites across the City.
- **City University of New York.** The Council allocated \$250,000 for evaluation services.

As mentioned earlier, the chart below shows funding specifically allocated in MOCJ's Fiscal 2017 Preliminary Budget for this initiative.

| <b>Anti-Gun Violence</b>                         |                |                         |              |                    |
|--|----------------|-------------------------|--------------|--------------------|
| <i>Dollars in Thousands</i>                      | <b>2016</b>    | <b>Preliminary Plan</b> |              | <b>*Difference</b> |
|  | <b>Adopted</b> | <b>2016</b>             | <b>2017</b>  | <b>2016 - 2017</b> |
| Contract Adjustment - Gun Market Study           | \$500          | \$500                   | \$0          | (\$500)            |
| Anti-Gun Initiative (DOHMH) - Messaging Campaign | 123            | 123                     | 123          | 0                  |
| Anti-Gun Initiative (DOHMH) - Peer Leadership    | 75             | 72                      | 75           | 0                  |
| <b>TOTAL</b>                                     | <b>\$698</b>   | <b>\$694</b>            | <b>\$198</b> | <b>(\$500)</b>     |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

- Gun Market Study.** The Fiscal 2016 Budget includes \$500,000 for the gun market study that will identify pathways that illegal guns enter neighborhoods, as well as the methods in which they are bought and sold. Official data on guns and gun violence will be analyzed for the entire City. Qualitative research methods will be used to develop a deeper understanding of illegal gun market conditions in selected high gun violence neighborhoods in the Bronx and Brooklyn.
- Anti-Gun Violence – Messaging Campaign.** As part of the Anti-Gun Violence initiative, this funding support a public awareness campaign on the impacts of gun violence.
- Anti- Gun Violence – Peer Leadership.** The Mayor's Office of Criminal Justice has developed the "MOCJ Peer Leadership Committee" comprised of youth under age 24 residing within the Mayor's Action Plan for Safety neighborhoods. The participants are being trained in advocacy, public speaking, community organizing and anti-gun violence project planning. The committee participants launched and conducted a peer to peer violence prevention film screening tour for teens and young adults, throughout targeted neighborhoods, schools and during special events across the five boroughs.

The PMMR reports indicators for two Cure Violence sites, one in East New York and one in Crown Heights. The programs receive funding from the Young Men's Initiative. The indicators do not represent all services provided in the Crisis Management System nor does it include all 18 catchment areas.

| <b>Cure Violence Indicators *</b>                                | <b>Actual</b> |             |             | <b>Target</b> |             | <b>4-Month Actual</b> |             |
|--|---------------|-------------|-------------|---------------|-------------|-----------------------|-------------|
|  | <b>FY13</b>   | <b>FY14</b> | <b>FY15</b> | <b>FY16</b>   | <b>FY17</b> | <b>FY15</b>           | <b>FY16</b> |
| Program Participants   | 235           | 202         | 206         | *             | *           | 133                   | 68          |
| Conflicts Mediated   | 276           | 588         | 632         | *             | *           | 199                   | 210         |
| Community Events Organized in response to neighborhood shootings | 98%           | 90%         | 95%         | 100%          | 100%        | 85%                   | 100%        |
|  | (41/42)       | (26/29)     | (20/21)     | 100%          | 100%        | (6/7)                 | (6/6)       |

The decrease in program participants in the first four months of Fiscal 2016 from 133 to 68 is due to the reduction of Cure Violence sites funded by YMI in Fiscal 2016. In Fiscal 2015, the Young Men's Initiative funded three cure violence sites, while in Fiscal 2016 the initiative funded two cure violence sites.



## Mayor's Taskforce on Behavioral Health and Criminal Justice

During his first year in office, Mayor de Blasio convened a Taskforce on Behavioral Health and Criminal Justice and in December 2014, MOCJ released the taskforce's first report. The report lays out an action plan that involves several City agencies, such as New York Health + Hospitals (H+H), DOHMH, DOC, NYPD, DOP, and MOCJ, to reduce crime and reduce the number of people with behavioral issues cycling through the criminal justice system. The action plan outlined a \$134 million spending plan over a four year period, \$40 million of which will be supported with asset forfeiture funds provided by DANY. The Administration has begun implementing the recommendations of the Task Force. Below listed are the planned allocations for programs offering interventions at each of the various points a person would enter and exit the criminal justice system.

| Mayor's Taskforce on Behavioral Health<br>(\$ in Thousands) |                 |  |                  |           |
|---|-----------------|--|------------------|-----------|
|   |                 |  | FY15-18 Funding* |           |
|   | Agency Partner  | Programs and Services  | City             | DANY      |
| Before Arrest   | NYPD; DOHMH     | NYPD Mental Health Training; Public Health Diversion Centers   | \$3,500          | \$13,000  |
| From Arrest to Disposition                                  | DOHMH; MOCJ     | Pre-arraignment screening; matching people to services   | 2,200            | 15,100    |
| Inside DOC  | DOHMH; DOC      | Reduce staff to inmate ratio in adolescent housing; crisis intervention teams; training for DOHMH and DOC; camera system expansion at DOC; capital upgrades to Rikers School | 52,600           | 0         |
|   | DOC; HRA; DOHMH | Connecting inmates to Medicaid; ICAN programming expansion   | 38,200           | 0         |
| In the Community  | DHS             | Permanent Supportive Housing   | 0                | 9,000     |
| Other Services  | DOP; MOCJ       | Health Services Team; Evaluation; Training; Data sharing and Technology  | 4,200            | 2,900     |
| Subtotal  |                 |  | \$100,700        | \$40,000  |
| Grand Total   |                 |  |                  | \$140,700 |

\*Includes capital expenditures added in Fiscal 2016.

In the first four months of Fiscal 2016, the taskforce has achieved the following according to the Fiscal 2016 PMMR:

- NYPD trained 394 officers to recognize behaviors and symptoms of mental illness and substance abuse;
- Pre-Arraignment Screening Unit served 2,000 clients at arraignments in Manhattan Criminal Court;
- Changed screening procedures to better identify veterans entering the criminal justice system;
- DOP conducted 336 case consultations through the creation of their in-house behavioral health teams;
- DOC integrated eight additional hours of mental health training for corrections officers to their curriculum; and

- H+H created three specialized units to provide services to inmates with behavioral issues. They have served nearly 117 people.

### Justice Reboot

As part of the Administration's effort to make the criminal justice system fairer and more efficient, MOCJ and the Office of Court Administration (OCA) have looked at reducing case processing times. In a Memorandum of Understanding between MOCJ and OCA, the offices have agreed to support a citywide effort to develop, implement, and sustain long-term strategies to shorten case processing times and reduce the number of pretrial detainees. Some steps being considered are the resolution of cases pending for longer than one year, analysis of borough specific problems related to case processing, development of a centralized coordinating committee, and a database to track trends in real time. The Center for Court Innovation (CCI), the selected vendor, will provide support to administrative judges in each county as part of the citywide efforts to track trends.

### Supervised Release

In July 2015, the Administration announced the expansion of supervised release as part of its strategy to cut unnecessary pretrial detention and reduce reliance on money bail. Through a competitive procurement process MOCJ selected providers to work with identified individuals in ensuring they return to court dates and connecting them to supportive services, such as mental health counseling and substance abuse treatment. The program is allocated \$17.8 million, \$13.8 million of which will be supported with asset forfeiture funds by DANY. MOCJ allocates \$3.7 million in Fiscal 2016, \$6.5 million in Fiscal 2017, and \$7 million in Fiscal 2018. The providers contracted for these services by borough include CASES in Manhattan; CCI in the Bronx, Brooklyn, and Staten Island; and the Criminal Justice Agency (CJA) in Queens. The additional funding brings the caseload total to 3,400 from 1,110 over the next three years.

### School Climate Reforms

MOCJ convened a taskforce of DOE staff, NYPD staff, and a range of stakeholders, including City Council staff. In February 2015, DOE, in partnership with MOCJ and NYPD, announced a series of school climate and school discipline reforms to ensure the safety of students and hasten the decline of crime in schools. MOCJ, in coordination with several stakeholders and City agencies, are working on several policy changes relating to the promotion of safety and continuation of a declining trend in crime, suspension, arrests, and summonses. Some of these initiatives include training in restorative practices, SAGA innovations program, and strategies to support court-involved students. The DOE's Fiscal 2017 Preliminary Budget includes funding to expand these efforts.

### Project Fast Track

In January 2016, the Administration announced the start of Project Fast Track. Project Fast Track is a comprehensive strategy to drive down gun violence in New York City. The strategy involves NYPD, New York Attorney General's Organized Crime Task Force, Federal Bureau of Investigation, Federal Bureau of Alcohol, Tobacco and Firearms, the City's Prosecutors, Office of the Chief Medical Examiner (OCME), U.S. Attorney's Office, Kings County Supreme Court, and CJA. In the Fiscal 2017 Preliminary Plan, OCME received

baseline funding of \$2 million for 21 new staff to support the quick testing DNA of guns collected by NYPD. Other services will be funded by existing agency resources. The strategies involved in Project Fast Track are:

- New gun violence suppression division within NYPD;
- Expanded investigations into interstate gun trafficking;
- Dedicated police officer for duration of every illegal gun case;
- Feedback loop between prosecutors and police;
- Expedited prosecution of illegal gun cases;
- Expanded capacity to quickly test DNA in gun cases;
- Strategic cooperation with federal law enforcement;
- Dedicated judicial teams to handle illegal gun cases;
- Expedited adjudication of gun cases; and
- Broadcasting illegal gun enforcement over social media.

## City Council Initiatives

The Fiscal 2016 Adopted Budget includes \$16.5 million in City Council discretionary funding to support the work of community-based organizations within the criminal justice, domestic violence, legal service, and public safety program areas. The allocation is \$4.6 million or 38 percent more than the Fiscal 2015 amount of \$11.9 million. MOCJ is the contracting agency for these services, which are provided by more than 80 community-based organizations. Many of the Council's initiatives supplement the Mayoral contracts that organizations have with MOCJ such as the Alternative to Incarceration (ATI) programs, Center for Court Innovation (CCI), and the Child Advocacy Centers (CAC) which are discussed later in the report. Below is a chart that provides an overview of funding for Fiscal 2015 and Fiscal 2016, followed by a description of each initiative that was funded in Fiscal 2016. Of note, funding for these initiatives are not included in the Fiscal 2017 Preliminary Budget, but for more than ten years have been supported by the Council at budget adoption.

| FY 2016 Council Initiatives   |                 |                 |                |
|---|-----------------|-----------------|----------------|
| <i>Dollars in Thousands</i>   | FY15<br>Amount  | FY16<br>Amount  | Difference     |
| Anti-Gun Violence Initiative – Legal Aid Society                                    | \$1,175         | \$1,175         | \$0            |
| Alternative-to-Incarceration Programs   | 4,100           | 4,432           | 332            |
| Bail Fund   | 0               | 1,400           | 1,400          |
| Center for Court Innovation   | 400             | 500             | 100            |
| Child Advocacy Centers  | 500             | 748             | 248            |
| Domestic Violence and Empowerment (DoVE) Initiative                                 | 4,000           | 4,680           | 680            |
| Immigrant Battered Women's Initiative   | 1,000           | 1,000           | 0              |
| Legal Information for Families Today (LIFT) family court legal information services | 485             | 485             | 0              |
| Prisoner's Rights Project   | 0               | 750             | 750            |
| Sexual Assault Initiative   | 300             | 600             | 300            |
| Support for Victims of Human Trafficking  | 0               | 750             | 750            |
| <b>TOTAL</b>  | <b>\$11,960</b> | <b>\$16,520</b> | <b>\$4,560</b> |

- **Anti-Gun Violence Initiative – Legal Services.** The Fiscal 2016 budget includes \$1.17 million for the Legal Aid Society to provide legal services in each of the target neighborhoods to support individuals and families to navigate the legal system following a gun violence incident.
- **Alternatives to Incarceration.** The Fiscal 2016 Budget includes \$4.432 million for ATI programs. This allocation represents a restoration of \$4.1 million and an enhancement of \$332,000. Alternative-to-Incarceration (ATI) programs provide intermediate sanctions, such as community service and substance abuse counseling that offer judges an alternative to pre-trial detention, a sentence in jail, or prison for eligible defendants. In addition, ATI programs provide a range of rehabilitative services for defendants and allow jail beds to be made available for more violent offenders who pose a threat to the community.

- **Bail Fund.** The Fiscal 2016 Budget includes \$605,000 to support the operation and administrative costs for a new charitable bail fund that will allow bail to be posted for low-level misdemeanor offenders.
- **Center for Court Innovation.** The Fiscal 2016 Budget includes a restoration of \$400,000 and an enhancement of \$100,000 to support CCI's overall operations, as well as its Family and Youth Initiative Center. The Center for Court Innovation operates a variety of community courts, drug courts, mental health courts, domestic violence courts, school justice centers, and youth programs throughout the City.
- **Child Advocacy Centers.** The Fiscal 2016 Budget includes \$748,000 to support a portion of the operating costs at the four existing Child Advocacy Centers (CACs) (Bronx, Brooklyn, Queens and Staten Island). This allocation represents a restoration of \$500,000 and an enhancement of \$248,000 to support operating costs at the CACs. CACs work hand-in-hand with law enforcement and child protective services to coordinate and expedite the investigation and prosecution of cases of child sexual abuse. Working with specially trained physicians, representatives from the ACS, detectives from the NYPD, and members of the District Attorney's Office, the CACs help sexually abused children and their families navigate the criminal justice and social services systems. The CACs also provide short-term counseling, information, and referrals for children and families not involved in current investigations.
- **Domestic Violence and Empowerment (DoVE) Initiative.** The Fiscal 2016 Budget includes \$4.68 million for the DoVE Initiative. This allocation represents a restoration of \$4 million and an enhancement of \$680,000. The allocation for the DoVE initiative supports the neighborhood-based provision of domestic violence services in New York City. The funds support more than 70 community-based organizations that provide prevention and empowerment workshops, comprehensive service referrals and legal advocacy to victims of domestic violence. Safe Horizon is the administrator for this program and provides technical assistance to the organizations.
- **Immigrant Battered Women's Initiative.** The Fiscal 2016 Budget includes \$1 million for Sanctuary for Families Immigrant Battered Women's program. Funding supports the operating cost of the program and expands the reach and scope of services provided to domestic violence victims and their families.
- **Initiative to Address Sexual Assault.** The Fiscal 2016 Budget includes \$600,000 to support the Sexual Assault initiative. This allocation represents a restoration of \$300,000 and an enhancement of \$300,000. Funding supports four community-based organizations that provide sexual assault related services and programs citywide.
- **Legal Information for Families Today (LIFT).** The Fiscal 2016 Budget includes \$485,000 to provide LIFT with the resources necessary to operate its Family Court legal information and support programs that serve over 30,000 New Yorkers annually. LIFT's supported programs include its Education and Information sites;

LIFT's Family Legal Center located in the Family Court buildings in each of the five boroughs; and the telephone, email, and live chat hotlines.

- **Prisoners' Rights Project.** The Fiscal 2016 Budget includes \$750,000 to support Legal Aids Prisoners' Right Project. Fiscal 2016 is the first year of funding for this initiative and funding will support the costs associated with providing legal representation for prisoners and their families at Rikers' Island. In addition it will, allow LAS to hire a dedicated staff person to answer the Prisoners' Rights Project hotline. This new staff person will ensure that during business hours, prisoners and their families are able to reach legal help in real time. This will allow for a more effective response to emergency situations especially medical, which can be life threatening
- **Support for Victims of Human Trafficking.** The Fiscal 2016 Budget includes \$750,000 to support services for victims of human trafficking. Fiscal 2016 is the first year of funding for this initiative and funding will support non-profit organizations that provide services to victims of human trafficking in the City's five human trafficking courts. Defendants and victims in human trafficking court will be offered mental health, educational, immigration, and employment counseling services. Under this initiative there are eight community based organizations that provide services citywide.

## MOCJ Contracted Services Funding Summary

| <i>Dollars in Thousands</i>                 | 2016<br>Adopted  | Preliminary Plan |                  | *Difference<br>2016 - 2017 |
|---|------------------|------------------|------------------|----------------------------|
|   |                  | 2016             | 2017             |                            |
| <b>Indigent Defense</b>                     |                  |                  |                  |                            |
| <b>Sub-total, Indigent Defense</b>          | <b>\$252,860</b> | <b>\$253,421</b> | <b>\$251,767</b> | <b>(\$1,094)</b>           |
| <b>Criminal Justice Programs</b>            |                  |                  |                  |                            |
| Pretrial Screening, ATI and ATD Programs    | 36,657           | 37,322           | 35,318           | (1,338)                    |
| Crime Victims                               | \$14,490         | \$15,071         | \$14,967         | \$477                      |
| Court Operations                            | 2,756            | 2,314            | 2,756            | 0                          |
| Mediation                                   | 1,310            | 1,300            | 1,116            | (193)                      |
| Mayor's Action Plan for Neighborhood Safety | 500              | 500              | 500              | 0                          |
| Anti-Gun Violence                           | 698              | 694              | 198              | (500)                      |
| Federal Asset Forfeiture                    | 0                | 595              | 0                | 0                          |
| Other Programs                              | 7,641            | 14,842           | 6,991            | (650)                      |
| <b>Sub-total, Criminal Justice Programs</b> | <b>\$64,050</b>  | <b>\$72,638</b>  | <b>\$61,845</b>  | <b>(\$2,205)</b>           |
| <b>City Council Initiatives</b>             |                  |                  |                  |                            |
| <b>Sub-total, City Council Initiatives</b>  | <b>\$16,520</b>  | <b>\$0</b>       | <b>\$0</b>       | <b>(16,520)</b>            |
| <b>TOTAL</b>                                | <b>\$333,680</b> | <b>\$326,653</b> | <b>\$313,612</b> | <b>(\$20,069)</b>          |
| <b>Funding</b>                              |                  |                  |                  |                            |
| City Funds                                  | \$293,213        | \$285,074        | \$272,591        | (20,623)                   |
| State                                       | 40,217           | 40,985           | 41,021           | 804                        |
| Federal                                     | 0                | 595              | 0                | 0                          |
| Office of Court Administration              | 250              |                  |                  | (250)                      |
| <b>TOTAL</b>                                | <b>\$333,680</b> | <b>\$326,653</b> | <b>\$313,612</b> | <b>(\$20,069)</b>          |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget*

MOCJ's Contracted Services Funding Summary table provides the total contract value for indigent defense, criminal justice programs, and City Council Initiatives by funding source. MOCJ is responsible for managing the City's Indigent Defense System, which includes procuring contracts with legal service organizations and improving the efficiency, accountability, and quality of representation that indigent New Yorkers receive. MOCJ also contracts with community-based organizations to provide services related to criminal justice programs. The total contract value for Fiscal 2016 is approximately \$313.6 million (including City and non-city funding.) Of this amount, \$272.6 million, or 87 percent, is funded with City tax-levy dollars with 77 percent, or \$210.7 million, exclusively dedicated to funding indigent defense. For the year-over-year changes to the indigent defense and criminal justice programs see below. Of note, as mentioned above funding for City Council Initiatives are not included in the Fiscal 2017 Preliminary Budget.

## Indigent Defense Services

New York City, according to State law, is solely responsible for funding legal representation for indigent criminal defendants in New York City. Whereas some jurisdictions across the country have government-employed public defenders, New York City contracts with several law firms to represent indigent criminal defendants and also operates the Assigned Counsel Program, also known as the 18-b program. The goal of the City's indigent defense system is to ensure that all individuals charged with crimes have effective assistance of counsel, both at trial and on appeal. MOCJ is responsible for managing the indigent defense system and for contracting with providers.

The Legal Aid Society (LAS) serves as the City's primary indigent criminal defense provider in the State courts at both the trial and appellate levels. The LAS is also the sole institutional provider in Richmond County and the City's only institutional provider of indigent parole revocation defense representation on Rikers Island. The City also has engaged five alternative firms to represent indigent defendants. They are New York County Defenders (NYCD) which handles cases in New York County; Brooklyn Defender Services (BDS) in Kings County; Bronx Defenders in Bronx County (BXDS); Queens Law Associates, P.C. in Queens County; and the Neighborhood Defender Service (NDS) which represents defendants from northern Manhattan. Lawyers appointed to the Assigned Counsel Program may also represent indigent defendants in all counties.

In the Appellate Courts, the LAS and three alternative contractors - Appellate Advocates, Center for Appellate Litigation, the Office of the Appellate Defender - and 18-b attorneys handle cases. The City also provides, on a limited basis, institutional representation for indigent parents or guardians in Family Court for Article 10 cases. The indigent defense budget contains funding for four organizations to provide legal representation for adults in certain Family Court proceedings. They are the Center for Family Representation (CFR) which handles cases in Manhattan and Queens; BDS in Kings County; BXDS in Bronx County; and NDS which represents defendants from northern Manhattan.

The chart on the following page provides an overview of indigent defense funding by provider, followed by a brief overview of the year-over-year changes.



**Financial Plan Summary****Indigent Defense Funding Summary**

| <i>Dollars in Thousands</i>  | <b>2016<br/>Adopted</b> | <b>Preliminary Plan</b> |                  | <b>*Difference<br/>2016 - 2017</b> |
|--|-------------------------|-------------------------|------------------|------------------------------------|
|  |                         | <b>2016</b>             | <b>2017</b>      |                                    |
| 18-B Assigned Counsel Program – City                                 | \$13,303                | \$13,303                | \$12,644         | (\$659)                            |
| 18-B Assigned Counsel Program - State                                | 39,751                  | 39,751                  | 39,751           | 0                                  |
| <b>Total 18-B Assigned Counsel Program</b>                           | <b>\$53,054</b>         | <b>\$53,054</b>         | <b>\$52,395</b>  | <b>(\$659)</b>                     |
| Legal Aid Society  | \$90,342                | \$91,505                | \$90,342         | \$0                                |
| Legal Aid Society - Appellate  | 9,481                   | 9,172                   | 9,481            | 0                                  |
| Legal Aid Society - Conflict   | 5,643                   | 4,000                   | 5,643            | 0                                  |
| BC Transfer for Office of Trials and Hearings                        | (2,294)                 | 0                       | 0                | 2,294                              |
| <b>Legal Aid Society - Sub-total</b>                                 | <b>\$103,172</b>        | <b>\$104,677</b>        | <b>\$105,466</b> | <b>\$2,294</b>                     |
| Bronx Defenders  | \$11,732                | \$11,838                | \$11,732         | \$0                                |
| Brooklyn Defender Services   | 14,440                  | 14,546                  | 14,440           | 0                                  |
| Neighborhood Defender Services                                       | 4,750                   | 4,856                   | 4,750            | 0                                  |
| NY County Defenders  | 7,236                   | 7,467                   | 7,236            | 0                                  |
| Queens Law Associates, P.C.  | 8,448                   | 9,258                   | 8,448            | 0                                  |
| <b>Alternative Providers – Trial Level Sub-total</b>                 | <b>\$46,606</b>         | <b>\$47,965</b>         | <b>\$46,606</b>  | <b>\$0</b>                         |
| Appellate Advocates  | \$5,448                 | \$5,448                 | \$5,448          | \$0                                |
| Center for Appellate Litigation                                      | 5,505                   | 5,505                   | 5,505            | 0                                  |
| Office of the Appellate Defender                                     | 2,054                   | 2,646                   | 2,054            | 0                                  |
| <b>Alternative Providers – Appellate Level Sub-total</b>             | <b>\$13,006</b>         | <b>\$13,599</b>         | <b>\$13,006</b>  | <b>\$0</b>                         |
| Bronx Family Defense Practice - Bronx Defenders                      | \$6,143                 | \$5,964                 | \$6,143          | \$0                                |
| Brooklyn Family Defense Project - Brooklyn Defender Services         | 6,765                   | 6,765                   | 6,150            | (615)                              |
| Center for Family Representation                                     | 5,967                   | 5,838                   | 6,549            | 582                                |
| Neighborhood Defender Services - CA Harlem                           | 1,890                   | 1,890                   | 1,890            | 0                                  |
| Miscellaneous  | 3,351                   | 2,289                   | 1,672            | (1,679)                            |
| <b>Family Court Providers - Sub-total</b>                            | <b>\$24,116</b>         | <b>\$22,746</b>         | <b>\$22,404</b>  | <b>(\$1,712)</b>                   |
| Bronx Defenders  | \$2,769                 | \$1,900                 | \$2,769          | \$0                                |
| Brooklyn Defender Services   | 2,897                   | 2,575                   | 2,897            | 0                                  |
| New York County Defenders Services                                   | 1,623                   | 1,532                   | 1,623            | 0                                  |
| Queens Law Associates  | 671                     | 1,950                   | 671              | 0                                  |
| Miscellaneous  | 2,187                   | 2,190                   | 2,661            | 474                                |
| <b>Conflict Case Providers - Subtotal</b>                            | <b>\$10,147</b>         | <b>\$10,147</b>         | <b>\$10,621</b>  | <b>\$474</b>                       |
| State Office of Indigent Defense Funding                             | \$466                   | \$693                   | \$693            | \$227                              |
| State Regional Immigration Centers                                   | 0                       | 450                     | 450              | 450                                |
| State Office of Indigent Defense Funding - Know Your Rights Campaign | 0                       | 91                      | 127              | 127                                |
| <b>State Funding - Subtotal</b>                                      | <b>\$466</b>            | <b>\$1,234</b>          | <b>\$1,270</b>   | <b>\$804</b>                       |
| <b>Funding source</b>  |                         |                         |                  |                                    |
| <b>City</b>  | \$210,349               | \$212,436               | \$210,746        | \$396                              |
| <b>State</b>   | 40,217                  | 40,985                  | 41,021           | 804                                |
| <b>TOTAL</b>   | <b>\$250,567</b>        | <b>\$253,421</b>        | <b>\$251,767</b> | <b>\$1,200</b>                     |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for indigent defense services is \$251.8 million, \$1.2 million more than its Fiscal 2016 Adopted budget of \$250.6 million. City tax-levy funding accounts for \$210.7 million or 84 percent of the budget and the remaining \$41 million, or 16 percent, is funded by the State.

The indigent defense budget for Fiscal 2017 is \$1.2 million greater than the Fiscal 2016 Adopted amount of \$250.6 million and \$1.6 million less than the current Fiscal 2016 budget of \$253.4 million. In June, the projected Fiscal 2017 budget for indigent defense services across all contracts was \$651,000 less. This was due to the budget not accounting for grants from the State Office of Indigent Legal services and MOCJ's efforts to recalibrate the contract budget to more accurately reflect the contract values. The year over year change for each contract area is as follows:

- **18-B Assigned Counsel Program.** The year over year reduction of \$659,000 from Fiscal 2017 to Fiscal 2016 was included in the June Financial Plan.
- **Legal Aid Society.** The Fiscal 2016 Adopted budget included a technical adjustment that transferred \$2 million to the Office of Trials and Hearings.
- **Family Court Providers.** The Fiscal 2017 Preliminary Budget includes an increase of \$582,000 for the Center for Family Representation (CFR.) CFR has identified and additional 92 cases that it will take on.
- **State Office of Indigent Defense Funding.** The Fiscal 2017 Preliminary Budget recognizes an additional \$227,000 in State Office of Indigent Services funding. This funding is provided to the Legal Aid Society and four of the alternate trial level providers for client representation in the field of immigration law, including representation for some immigrants facing deportation.
- **State Regional Immigration Centers.** The Fiscal 2017 Preliminary Budget includes \$450,000 in funding for the State Regional Immigration Centers (Center). MOCJ has contracted with the Immigrant Defense Project (IDP) to develop and implement the Center. The goal of the centers will be to improve the quality of services provided under Article 18-B of the County Law and provide immigration legal assistance and training to improve the overall quality of mandated representation afforded to noncitizen clients.
- **State Office of Indigent Defense Funding - Know Your Rights Campaign.** The Fiscal 2017 Preliminary Budget includes \$127,000 in State funding for the "Know Your Rights Campaign. Funding will be used to launch a citywide campaign with the goal of ensuring that the immigration community is aware of their rights relating to involvement in the criminal justice system. The campaign will do research in various communities and develop the proper communication tools. According to MOCJ this project will commence sometime in 2017.

## Criminal Justice Programs

MOCJ contracts with community-based organizations to provide services under the program categories of crime victims, pretrial screening, ATI, ATD, mediation, court operations, MAP, Anti-gun Violence, and Asset Forfeiture.

The total contract value for Criminal Justice Programs, as proposed, totals \$54.8 million in Fiscal 2016, an increase of \$63,000 when compared to the Fiscal 2015 Adopted Budget of \$54.7 million. The current budget for Fiscal 2016 is \$56.7 million, \$2 million more than the Fiscal 2016 Adopted Budget of \$54.7 million. The \$2 million difference is attributable to a \$1 million allocation for a contract review consultant to assess and catalogue contracts overseen by MOCJ and to determine what the City as whole invests in criminal justice contracts; \$500,000 for a new risk assessment instrument that will match people to services; and \$500,000 for Green City force, a new ATD program for young adults 18-24 years old.

The section below provides a description of each program area, a chart that provides the contract values for Fiscal 2016 and Fiscal 2017, and a discussion of the year-over- year changes.

## Crime Victims Services

Under what is collectively known as Crime Victims Services, there are ten main programs that provide services to crime victims. These include: Community Based Services; Court Based Services; Family Assistance Program; Hotlines for Domestic Violence and Crime Victims; Restitution Services; Human Trafficking Program; Family Justice Center (FJC) - Children's Services; FJC - Immigration Legal Services; FJC - Intake, Assessment and Case Management; and FJC - Family Law Legal Services. Under the Crime Victims Services umbrella, programs provide services to reduce the psychological, physical, and financial hardships associated with being victimized. Program services are geared towards providing support and preventing further victimization.

| Crime Victims   |         |                  |         |             |
|---|---------|------------------|---------|-------------|
|   | 2016    | Preliminary Plan |         | *Difference |
| <i>Dollars in Thousands</i>   | Adopted | 2016             | 2017    | 2016 - 2017 |
| Family Justice Centers  |         |                  |         |             |
| Safe Horizon Intake & Assessment FJC (Bronx, Brooklyn, Queens & Manhattan)                  | \$1,227 | \$1,227          | \$1,227 | \$0         |
| Sanctuary for Families Children's Rm FJC (Bronx, Brooklyn, Queens & Manhattan)              | 1,120   | 1,120            | 1,120   | 0           |
| Sanctuary for Families Immigration Legal Services FJC (Bronx, Brooklyn, Queens & Manhattan) | 430     | 430              | 430     | 0           |
| Family Law Legal Services - Bronx & Queens  | 550     | 550              | 550     | 0           |
| Family Law Legal Services - Brooklyn  | 265     | 265              | 265     | 0           |
| Family Law Legal Services - Manhattan   | 275     | 275              | 275     | 0           |
| Staten Island FJC   | 918     | 918              | 918     | 0           |
| Family Justice Center Funding   | 63      | 63               | 63      | 0           |

| <i>Dollars in Thousands</i>  | 2016            | Preliminary Plan |                 | *Difference  |
|--|-----------------|------------------|-----------------|--------------|
|  | Adopted         | 2016             | 2017            | 2016 - 2017  |
| Contract Staff for FICs (Brooklyn & Manhattan)                       | 2,419           | 2,419            | 2,419           | 0            |
| Urban Justice Center Human Trafficking Victim Services               | 0               | 90               | 90              | 90           |
| <b>Subtotal</b>  | <b>\$7,269</b>  | <b>\$7,359</b>   | <b>\$7,359</b>  | <b>\$90</b>  |
| Safe Horizon CAC Negotiated Acquisition                              | 1,507           | 1,660            | 1,573           | 66           |
| Safe Horizon Bronx CAC New Need (Used in Renewal to Fund Other CACs) | 600             | 600              | 600             | 0            |
| Safe Horizon Bronx CAC Operating (Uses ATI Surplus)                  | 1,103           | 1,103            | 1,103           | 0            |
| Safe Horizon Community-based services                                | 1,675           | 1,700            | 1,700           | 25           |
| Safe Horizon Court-based Services                                    | 961             | 965              | 965             | 4            |
| Safe Horizon FAP et al   | 167             | 167              | 167             | 0            |
| PAC Program (Batterers Education Program/Domestic Violence)          | 743             | 743              | 726             | (17)         |
| Safe Horizon Restitution   | 465             | 774              | 774             | 309          |
| Contract Adjustment - Restitution                                    | 309             | 0                | 0               | (309)        |
| <b>Subtotal</b>  | <b>7,530</b>    | <b>7,713</b>     | <b>7,608</b>    | <b>78</b>    |
| <b>TOTAL:</b>  | <b>\$14,799</b> | <b>\$15,071</b>  | <b>\$14,967</b> | <b>\$168</b> |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

*\*Continuation from previous page*

In 2014, MOCJ released Requests for Proposals (RFP) for each of the ten program areas under the crime victims umbrella listed above and ultimately awarded 15 new contracts, some of which started in Fiscal 2015 and others which commenced in Fiscal 2016.

The Fiscal 2017 Preliminary Budget includes approximately \$15 million for Fiscal 2017 to support contracts related to crime victim's services. The total contract value of \$15 million for Fiscal 2017 is \$168,000 more than the Fiscal 2016 Adopted Budget. The difference is attributed to a renegotiated contract with Safe Horizon for restitution services in the amount of \$309,000, a new contract with the Urban Justice Center for \$90,000 to provide services to victims of human trafficking, adjustments for rent, and the recalibration of the budget to more accurately reflect contract values.

### **Pretrial Services, Alternative to Incarceration (ATI) and Alternative to Detention (ATD) Programs**

Pretrial services help defendants who are unable to post bail avoid unnecessary detention. The priority function of all the pretrial services programs is to determine defendant eligibility for release and to provide verifiable information such as the defendant's community ties with regard to residence and employment. The information provided to the courts is critical in determining whether a person is eligible to be released on their own recognizance. ATI and ATD programs permit New York judges to sanction adult and juvenile defendants through means other than placement in a juvenile detention facility, jail, or prison. The programs are designed to reduce recidivism, decrease reliance on pretrial detention, and provide a wide range of rehabilitative services. The use of intermediate sanctions saves the City the cost of maintaining detention or jail beds for

those who otherwise would be incarcerated and allows those beds to be made available for more violent offenders who pose a threat to the community.

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**Pretrial Services, Alternative to Incarceration and Alternative to Detention Programs**


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| <i>Dollars in Thousands</i>   | <b>2016<br/>Adopted</b> | <b>Preliminary Plan</b> |                 | <b>*Difference<br/>2016 - 2017</b> |
|---|-------------------------|-------------------------|-----------------|------------------------------------|
|   |                         | <b>2016</b>             | <b>2017</b>     |                                    |
| <b>PRE-TRIAL SERVICES</b>   |                         |                         |                 |                                    |
| Criminal Justice Agency (CJA) Release on Recognizance (CJA)         | \$16,327                | \$17,172                | \$16,292        | (\$35)                             |
| CJA Operating (Uses ATI Surplus)                                    | 675                     | 0                       | 0               | (675)                              |
| Manhattan Supervised Release  | 0                       | 525                     | 1,050           | 1,050                              |
| Supervised Release Small Purchase                                   | 0                       | 100                     | 0               | 0                                  |
| Queens Supervised Release   | 0                       | 355                     | 710             | 710                                |
| <b>Subtotal</b>   | <b>\$17,003</b>         | <b>\$18,152</b>         | <b>\$18,052</b> | <b>\$1,050</b>                     |
| CASES- Court Employment Project (CEP)                               | \$2,166                 | \$2,166                 | \$2,166         | \$0                                |
| CASES Relocation  | 1,040                   | 1,005                   | 0               | (1,040)                            |
| CASES Manhattan START and SI Community Service Program              | 1,262                   | 1,362                   | 1,262           | 0                                  |
| CASES- Nathaniel Assertive Community Treatment Team                 | 298                     | 298                     | 298             | 0                                  |
| Osborne Treatment - Day Treatment - El Rio                          | 1,306                   | 1,306                   | 1,306           | 0                                  |
| Edwin Gould Services for Children and Families (STEPS)              |                         |                         |                 |                                    |
| TO End Family Violence  | 397                     | 397                     | 397             | 0                                  |
| Fortune Society, Inc. - Freedom                                     | 1,216                   | 1,520                   | 1,520           | 304                                |
| Fortune Society, Inc. - Flame tree                                  | 1,175                   | 871                     | 871             | (304)                              |
| Fortune Society Inc. - Better Living Center                         | 380                     | 380                     | 380             | 0                                  |
| Center for Community Alternatives (CCA)- Youth Advocacy Project     | 634                     | 506                     | 506             | (129)                              |
| Center for Court Innovation (CCI) (FCNY)- Bronx Community Solutions | 416                     | 416                     | 416             | 0                                  |
| Center for Court Innovation (CCI) - Brownsville/Red Hook ATI        | 338                     | 338                     | 338             | 0                                  |
| Urban Youth Alliance International (UYAI) - Bronx Connect           | 368                     | 368                     | 368             | 0                                  |
| Palladia  | 655                     | 655                     | 655             | 0                                  |
| Vera Common Justice   | 142                     | 142                     | 142             | 0                                  |
| Women's Prison Association- Justice Home                            | 366                     | 366                     | 366             | 0                                  |
| Alternative to Incarceration Contract Funding                       | 52                      | 0                       | 0               | (52)                               |
| <b>Subtotal</b>   | <b>\$12,211</b>         | <b>\$12,095</b>         | <b>\$10,990</b> | <b>(\$1,220)</b>                   |
| <b>OTHER</b>  |                         |                         |                 |                                    |
| Court-based Intervention and Resource Teams (CIRT)                  |                         |                         |                 |                                    |
| Citywide Mental Health Initiative                                   | \$2,753                 | \$0                     | \$0             | (\$2,753)                          |
| CASES (Manhattan)   | 0                       | 758                     | 758             | 758                                |
| EAC/CCI (Bronx)   | 0                       | 550                     | 550             | 550                                |
| CCI/CASES (Brooklyn)  | 0                       | 673                     | 673             | 673                                |
| Fortune (Queens)  | 0                       | 618                     | 567             | 567                                |
| EAC (Staten Island)   | 0                       | 206                     | 206             | 206                                |
| Task Force on Mental Health   | 219                     | 219                     | 0               | (219)                              |

| <i>Dollars in Thousands</i>                                       | 2016<br>Adopted | Preliminary Plan |                 | *Difference<br>2016 - 2017 |
|---|-----------------|------------------|-----------------|----------------------------|
|   |                 | 2016             | 2017            |                            |
| Sorting By Risks and Needs  | 0               | 0                | 0               | 0                          |
| Matching People to Services                                       | 421             | 421              | 0               | (421)                      |
| Supervising Effectively   | 0               | 0                | 0               | 0                          |
| <b>Subtotal</b>   | <b>\$3,393</b>  | <b>\$3,445</b>   | <b>\$2,753</b>  | <b>(\$640)</b>             |
| <b>TOTAL</b>  | <b>\$32,606</b> | <b>\$33,692</b>  | <b>\$31,796</b> | <b>(\$810)</b>             |
| <b>Alternatives to Detention - Juveniles</b>                      |                 |                  |                 |                            |
| BX After School/Com Mon - Urban Youth Alliance                    | \$600           | \$0              | \$0             | (\$600)                    |
| BX After School/Com Mon - CASES                                   | 0               | 769              | 600             | 600                        |
| NY After School/Com Mon - CASES                                   | 600             | 615              | 600             | 0                          |
| BK After School/Com Mon - Center for Community Alternatives (CCA) | 551             | 737              | 551             | 0                          |
| BK II After School/Com Mon - CCA                                  | 400             | 60               | 400             | 0                          |
| QN After School/Com Mon - CCI                                     | 541             | 587              | 541             | 0                          |
| SI After School/Com Mon - CCI                                     | 200             | 231              | 200             | 0                          |
| Green City Force  | 500             | 575              | 575             | 75                         |
| Contract Adjustment - JABG (Vera OTPS only)                       | 55              | 55               | 55              | 0                          |
| Budget Adjustment- O/C 678 to 600                                 | 603             | 0                | 0               | (603)                      |
| <b>Sub Total</b>  | <b>\$4,050</b>  | <b>\$3,630</b>   | <b>\$3,522</b>  | <b>(\$528)</b>             |
| <b>TOTAL</b>  | <b>\$36,657</b> | <b>\$37,322</b>  | <b>\$35,318</b> | <b>(\$1,338)</b>           |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

*\*Continuation from previous page*

The Fiscal 2017 Preliminary Budget includes \$35.3 million for Fiscal 2017 to support contracts related to pretrial services, ATI, and ATD programs. Of that amount, approximately \$18 million supports pre-trial services, \$11 million supports ATI contracts, \$2.8 million supports CIRT, and \$3.5 million support contracts related to ATD for juveniles in Family Court. The total contract value of \$35.4 million for Fiscal 2017 is approximately \$1.3 million less than the Fiscal 2016 value of \$36.7 million. The difference is attributed to several contracts, the most significant of which are: 1) a technical adjustment that added back \$1 million for the Manhattan supervised release program that was inadvertently removed in the by the Office of Management and Budget (OMB) in a previous Financial Plan, 2) one-time funding of \$1 million in Fiscal 2016 for the relocation of CASES that is no longer needed, 3) a technical adjustment of \$421,000 in Fiscal 2016 that will move funding to DOHMH for a risks and needs assessment instrument to match people to services.

## Mediation

The purpose of mediation is to provide support, prevent violence, and promote justice for victims of crime and abuse, their families, and their communities. Mediation is a structured, confidential, and voluntary process in which people involved in a dispute sit down with a neutral third party and attempt to resolve their conflicts. Mediation can provide a positive, non-adversarial way to solve conflicts. A mediator does not offer solutions, but instead facilitates an open discussion in which both sides present their views. Mediation can help community members resolve their disputes before they get to Court.

| <b>Mediation</b>  |                         |                         |                |                                    |
|---|-------------------------|-------------------------|----------------|------------------------------------|
| <i>Dollars in Thousands</i>   | <b>2016<br/>Adopted</b> | <b>Preliminary Plan</b> |                | <b>*Difference<br/>2016 - 2017</b> |
|   |                         | <b>2016</b>             | <b>2017</b>    |                                    |
| Community Mediation (Queens County)                                 | \$155                   | \$185                   | \$185          | \$30                               |
| Institute for Mediation (Bronx County)                              | 167                     | 143                     | 143            | (24)                               |
| Safe Horizon- Mediation (NY, Kings)                                 | 299                     | 0                       | 0              | (299)                              |
| New York Peace Institute - Mediation (NY, Kings)                    | 0                       | 329                     | 329            | 329                                |
| Washington Heights - Mediation Services                             | 43                      | 0                       | 0              | (43)                               |
| YPIS of Staten Island – Mediation                                   | 145                     | 143                     | 143            | (2)                                |
| Mediation Catchment Areas   | 500                     | 10                      | 0              | (500)                              |
| Mediation Catchment Area - IMCR - Bronx                             | 0                       | 21                      | 29             | 29                                 |
| Mediation Catchment Area - CCI - Brooklyn                           | 0                       | 119                     | 89             | 89                                 |
| Mediation Catchment Area - YPIS - Staten Island                     | 0                       | 88                      | 117            | 117                                |
| Mediation Catchment Area - Community<br>Mediation Services – Queens | 0                       | 62                      | 82             | 82                                 |
| Mediation Catchment Areas – Evaluation                              | 0                       | 200                     | 0              | 0                                  |
| <b>TOTAL</b>  | <b>\$1,310</b>          | <b>\$1,300</b>          | <b>\$1,116</b> | <b>(\$193)</b>                     |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

Last year MOCJ issued two RFPs for mediation services. One was for borough specific services and one was for services in catchments areas. In addition MOCJ issued two small purchases to evaluate both the borough contracts and the catchment area contracts. Although there were two separate solicitations, the same vendor was awarded the contract.

The Fiscal 2017 Preliminary Budget includes \$1.1 million for Fiscal 2017 to support contracts related to mediation. The total contract value reflects a reduction of \$193,000 due to a technical adjustments and the realignment of \$500,000 that was added at adoption then allocated to the providers in the current plan.

## Court Operations

MOCJ contracts with the Center for Court Innovation (CCI) to operate a variety of programs in the community courts, drug courts, mental health courts, domestic violence courts, school justice centers, and youth programs throughout the City. Community courts are neighborhood-focused courts that attempt to harness the power of the justice system to address local problems. In February of 2016, MOCJ issued a RFP for Family Court Legal Information services, seeking qualified vendors to provide free legal information to individuals facing article 10 petitions city wide. It is anticipated that contracts under this RFP will be awarded in Fiscal 2017.

| <b>Court Operations</b>   |                         |                         |                |                                    |
|---|-------------------------|-------------------------|----------------|------------------------------------|
| <i>Dollars in Thousands</i>   | <b>2016<br/>Adopted</b> | <b>Preliminary Plan</b> |                | <b>*Difference<br/>2016 - 2017</b> |
|   |                         | <b>2016</b>             | <b>2017</b>    |                                    |
| Center for Court Innovation (CCI) - Midtown, Red Hook &<br>Brownsville Community Courts | \$2,235                 | \$1,793                 | \$2,235        | \$0                                |
| Family Court Legal Information  | 521                     | 521                     | 521            | 0                                  |
| <b>TOTAL</b>  | <b>\$2,756</b>          | <b>\$2,314</b>          | <b>\$2,756</b> | <b>\$0</b>                         |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget includes \$2.7 million for Fiscal 2017 to support the Center for Court Innovation's Community Courts and the anticipated contract under the Family Court Legal Information contract.

## Asset Forfeiture

State and Federal Asset Forfeiture Settlement funds are allocated to the New York County District Attorney's (DANY) Office for its role in the investigation and prosecution of international banks that violated U.S. sanctions. These asset forfeiture funds, derived from settlements with BNP Paribas S.A., HSBC Holdings plc, and Standard Chartered Bank, form the foundation of the Manhattan District Attorney's Criminal Justice Investment Fund (CJIF) and are disbursed to the City and State in accordance with State and Federal forfeiture settlement statutes. The projects funded below are part of a variety of criminal justice reform initiatives funded by DANY and the City, but depends on which projects. Other City agencies receiving funding are the New York City Police Department, Office of the Chief Medical Examiner, and the City's Prosecutors.

| Federal Asset Forfeiture    |                 |                  |            |                            |
|-----------------------------|-----------------|------------------|------------|----------------------------|
| <i>Dollars in Thousands</i> | 2016<br>Adopted | Preliminary Plan |            | *Difference<br>2016 - 2017 |
|                             |                 | 2016             | 2017       |                            |
| Juvenile Justice Data Base  | 0               | 165              | 0          | 0                          |
| Child Trauma Response Team  | 0               | 430              | 0          | 0                          |
| <b>TOTAL</b>                | <b>\$0</b>      | <b>\$595</b>     | <b>\$0</b> | <b>(\$0)</b>               |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

- Child Trauma Response Team (CTRT).** The Fiscal 2016 Budget includes \$430,000 to support a contract with Safe Horizon to implement CTRT that will provide early intervention to children exposed to domestic violence. The team will consist of two social workers at each precinct who will work with the Domestic Violence units at the City prosecutor's offices.
- Juvenile Justice Database.** The Fiscal 2016 Budget includes \$165,000 to support the creation of a web based database that assists prosecutors, the defense bar, and courts to identify available ATI/ATD programs for court-involved individuals.



## Other Services and Initiatives

| Other Programs  |                |                  |                |                |
|---|----------------|------------------|----------------|----------------|
| <i>Dollars in Thousands</i>                               | 2016           | Preliminary Plan |                | *Difference    |
|   | Adopted        | 2016             | 2017           | 2016 - 2017    |
| Youth Stipends  | \$0            | \$35             | \$0            | \$0            |
| Competitive Grant Program                                 | 5,000          | 5,000            | 0              | (5,000)        |
| Bail Fund Fees  | 0              | 2,976            | 0              | 0              |
| Office of Special Enforcement Increase                    | 0              | 2,600            | 2,600          | 2,600          |
| NYC Gay Lesbian Anti-Crime & crisis Intervention Services | 27             | 0                | 0              | (27)           |
| Police Athletic League Centers & Sports                   | 935            | 935              | 935            | 0              |
| Police Athletic League Playstreets                        | 667            | 730              | 667            | 0              |
| OCA DataShare Project / Technology Project                | 306            | 0                | 0              | (306)          |
| Criminal Justice Initiative                               | 750            | 750              | 0              | (750)          |
| MOCJ Hub  | 0              | 875              | 875            | 875            |
| CUNY Research Foundation - Homeless Hotspots              | 0              | 20               | 0              | 0              |
| Criminal Justice Contracts                                | 0              | 672              | 1,664          | 1,664          |
| Legitimacy Audit  | 250            | 250              | 250            | 0              |
| <b>TOTAL</b>  | <b>\$7,332</b> | <b>\$14,842</b>  | <b>\$6,991</b> | <b>(\$341)</b> |

*\*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

*\*Continuation from previous page*

The Fiscal 2017 Preliminary Budget includes \$6.7 million for Fiscal 2017 to support various contracts, which is \$341,000 less than the Fiscal 2016 Adopted Budget. The current budget for Fiscal 2016 is \$7.5 million greater than the adopted budget due to a \$5 million competitive grant program for the DA's, the recognition of \$2.9 million for bail fund fees, and \$2.6 million for staffing for the Office of Special Enforcement.

- Office of Special Enforcement.** The Fiscal 2017 Preliminary Budget includes \$2.6 million for the Office of Special Enforcement's (OSE.) Funding will support five new positions. These positions are one public affairs personnel, one deputy director, on research and analytics supervisor, and one data officer for the Office of Special Enforcements. The funding also supports OTPS costs related to the staffing increase. Those OTPS costs are a data analytics and investigative tool for the unit, while the remaining funds will support a public awareness and education campaign. It is anticipated that the funding will strengthen OSE's operations by increasing staff at each agency and involving other ticketing agencies, such as the Department of Sanitation (DSNY), DOHMH, and DCA, for a more proactive, rather than reactive, approach to enforcement.
- Anti-Violence Innovation Challenge.** The Fiscal 2017 Preliminary Budget includes a total of \$10 million split evenly between City tax-levy and DANY asset forfeiture for the Anti-Violence Innovation Challenge (funding shown in the chart above represents the portion of funding in MOCJ's Budget.) According the program description of the RFP, the Anti-Violence Innovation Challenge will offer new funding to the District Attorney's offices that submit plans with clear performance measures for strategies to reduce gun violence and increase the speed of its cases

without compromising the quality of justice. It is anticipated that as more funding is appropriated, the DA's Offices can hire additional prosecutors and increase cases that are vertically prosecuted. MOCJ issued the RFP last month to the five DA's and proposals were due February 18<sup>th</sup>, 2016.

- **Bail Fund Fees.** The Fiscal 2016 Budget recognizes \$2.9 million in bail fund fees. According to MOCJ, the Department of Finance collects three percent in fees for bail monies annually. Of that amount, two percent is transferred to the general fund and one percent is remitted to MOCJ. This lump sum amount reflects one percent or approximately \$250,000 annually that MOCJ did not receive during the last ten years (Fiscal 2003 to Fiscal 2012.) According to MOCJ, OMB is waiting for details on how it anticipates spending the funding. Preliminarily MOCJ is thinking about spending the funds on supervised release.