

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Melissa Mark-Viverito
Speaker of the Council



Hon. Margaret Chin
Chair, Committee on Aging

Hon. Paul Vallone
Chair, Senior Centers Subcommittee

Report on the Fiscal 2016 Preliminary Budget & the
Fiscal 2015 Preliminary Mayor's Management Report

Department for the Aging

March 23, 2015

Dohini Sompura, Senior Legislative Financial Analyst

Latonia McKinney, Director
Regina Poreda Ryan, Deputy Director
Nathan Toth, Deputy Director

Table of Contents

Department for the Aging Overview.....	1
Fiscal 2016 Preliminary Plan Highlights.....	1
Financial Summary.....	2
Federal and State Funding by Program Area	3
Contract Budget	4
2015 Council Initiatives	5
Program Areas.....	8
Senior Centers and Meals.....	8
Case Management	10
Homecare.....	12
Senior Employment and Benefits.....	14
Senior Services	15
Administration and Contract Agency Support.....	17
Capital Program	18
Preliminary Capital Budget Highlights	18
2016-2025 Preliminary Ten-Year Capital Strategy	19
Appendix A: Budget Actions in the November and the Preliminary Plans.....	20
Appendix B: Reconciliation of Program Areas to Units of Appropriation.....	21

Department for the Aging Overview

The Department for the Aging (DFTA) administers a wide range of programs to enhance independence and quality of life of the City's elderly population. The Department's services include the operation of senior centers, provision of home delivered and congregate meals, employment counseling and placement, case management, social and legal services and home care services. DFTA also serves as an advocate for the City's elderly population through legislative activity and public policy initiatives.

This report provides a review of the Department for the Aging's Preliminary Budget for Fiscal 2016. The first section presents highlights from the Fiscal 2016 expense budget for the City, the Fiscal 2015-2016 State Executive Budget, and City Council Initiatives. Following highlights, the report outlines the Department's budget by program area and provides analysis of significant program areas. Further, it provides information on actions included in the November and Preliminary Financial Plans and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2015. Finally, the report provides a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the Capital Plan.

Seniors are one of the fastest growing populations in the City. There are 1.4 million adults aged 60 and over in New York City, totaling more than 17% of the City's residents. By 2030, the number of seniors will grow by nearly 50 percent and comprise 20 percent of the City's total population. The increase in the senior population puts further pressure on the Department for the Aging to provide quality services and programs for the older adult population in the City.

Fiscal 2016 Preliminary Plan Highlights

DFTA Expense Budget						
	Actual	Actual	Adopted	Preliminary		Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	*2015 - 2016
Spending						
Personal Services	\$22,658	\$22,181	\$24,082	\$25,378	\$25,498	\$1,416
Other Than Personal Services	239,494	241,695	256,719	260,660	231,848	(24,871)
Total	\$262,152	\$263,876	\$280,801	\$286,038	\$257,345	(\$23,455)

**The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The Department for the Aging's Fiscal 2016 Preliminary Budget totals approximately \$257.3 million, a decrease of \$23.4 million or 8.4 percent when compared to the Fiscal 2015 Adopted Budget. The majority of the decrease can be attributed to the absence of one-time Council funding for senior services in Fiscal 2015.

Overall, DFTA's Fiscal 2016 Preliminary Budget reflects very little change when compared to the Fiscal 2015 Adopted Budget. The 2015 November Plan included one new need for fringe benefits for homecare, which totals \$745,155 for Fiscal 2015 and \$1.49 million for Fiscal 2016 and the outyears; for more information please refer to page 12 of this report. There were no new needs for DFTA in the Fiscal 2016 Preliminary Plan, even though the agency consistently faces budget constraints year after year.

DFTA's budget consists of six program areas which are identified by units of appropriation. They are: Administration & Contract Agency Support (U/A 001, 002, 003 and 004); Case Management (U/A 003); Homecare (U/A 002 and 003); Senior Centers and Meals (U/A 003); Senior Employment & Benefits (U/A 002, 003 and 004); and Senior Services (U/A 003). The following table, "DFTA Financial Summary," provides an overview of the Department's total budget from Fiscal 2012 to the Preliminary Plan for Fiscal 2016.

Financial Summary

DFTA Financial Summary						
	Actual	Actual	Adopted	Preliminary		Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	*2015 - 2016
Budget by Program Area						
Administration & Contract Agency Support	\$30,751	\$29,520	\$25,994	\$27,625	\$26,669	\$675
Case Management	19,979	22,176	26,448	27,169	25,145	(1,303)
Homecare	15,418	16,573	16,887	15,855	18,378	1,491
Senior Centers and Meals	148,790	149,690	176,131	166,501	151,020	(25,111)
Senior Employment and Benefits	9,010	8,193	6,826	7,998	7,313	487
Senior Services	38,205	37,725	28,514	40,890	28,821	307
TOTAL	\$262,152	\$263,876	\$280,801	\$286,038	\$257,345	(\$23,455)
Funding						
City Funds	\$138,276	\$152,309	\$170,967	\$172,872	\$148,267	(\$22,700)
Other Categorical	130	0	0	0	0	0
State	37,576	37,391	37,164	37,393	37,164	0
Federal - Community Development	2,298	1,715	2,234	2,242	2,239	5
Federal - Other	80,884	69,959	70,116	71,833	69,356	(760)
Intra City	2,988	2,501	320	1,698	320	0
TOTAL	\$262,152	\$263,876	\$280,801	\$286,038	\$257,345	(\$23,455)
Budgeted Headcount						
Full-Time Positions	285	276	296	300	300	4
Full-Time Equivalent Positions	487	384	234	396	190	(44)
TOTAL	772	660	530	696	490	(40)

*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

As indicated by the table above, the majority of DFTA's Fiscal 2016 Preliminary Budget is derived from City and federal funding. Approximately \$148.2 million or 58 percent of DFTA's Fiscal 2016 Preliminary Budget is from City funds, while \$71.6 million or 28 percent of DFTA's budget is from federal funding sources.

When comparing the Fiscal 2016 Preliminary Budget to the Fiscal 2015 Adopted Budget, there is a decrease of 44 full-time equivalent positions. This is due to National Governors Association (NGA) New York State Office for the Aging (SOFA) grant funding being reflected in the agency's budget post-adoption. The 2015 Preliminary Plan accounts for the additional full-time equivalent positions funded by the NGA grant, and current staffing levels for full-time equivalent positions in Fiscal 2015 approximately match what was reported in Fiscal 2014. DFTA anticipates receiving

State NGA grant funding in Fiscal 2016, which will once again be reflected in the agency's budget post-adoption.

Federal and State Funding by Program Area

Approximately 42 percent of DFTA's Fiscal 2016 Preliminary Budget is funded by State and federal grants. The majority of federal grant funding the agency receives comes from Title III for supportive services and nutrition services, Title V for senior employment programs, and Title XX social service block grant. State funding sources include Supplemental Nutrition Assistance Program (SNAP), community services for the aging, congregate services initiative, and expanded in-homes services. Most of DFTA's State and federal funding supports the agency's senior centers.

The following table below illustrates how State and federal revenue is distributed across DFTA's six program areas. When comparing the State and federal funding in the Fiscal 2015 Adopted Budget to the Fiscal 2015 Preliminary Budget, there are no significant funding changes.

DFTA Fiscal 2016 Preliminary Budget – State and Federal Funding by Program Area				
Program Area	2015 Adopted		2016 Preliminary	
	Federal	State	Federal	State
Administration & Contract Agency Support	\$5,257,389	\$868,574	\$5,261,913	\$868,574
Case Management	981,224	11,297,586	278,234	11,297,586
Homecare	0	11,729,924	0	11,729,924
Senior Centers and Meals	51,589,637	12,365,991	50,946,130	12,365,991
Senior Employment & Benefits	5,889,905	20,124	6,476,784	20,124
Senior Services	8,631,771	881,695	8,631,771	881,695
TOTAL	\$72,349,926	\$37,163,894	\$71,594,832	\$37,163,894

Contract Budget

The City's Contract Budget, as proposed, totals \$12.4 billion in Fiscal 2016, an increase of \$438 million or 3.7 percent when compared to the Fiscal 2015 Adopted Budget of \$12 billion. Given that DFTA is a small agency and lacks the capacity to directly provide services to seniors, it contracts out the majority of the services it delivers. Over \$209.2 million or 82 percent of the agency's Fiscal 2016 Preliminary Budget is allocated towards payments to delegate agencies. Other large contracting categories for the agency include professional services, including accounting services, computer services, and legal services.

DFTA Fiscal 2016 Preliminary Contract Budget				
<i>Dollars in Thousands</i>				
Category	Fiscal 2015 Adopted	Number of Contracts	Fiscal 2016 Preliminary	Number of Contracts
Contractual Services - General	\$85,000	8	\$85,000	8
Data Processing Equipment Maintenance	40,000	3	40,000	3
Maintenance and Operation of Infrastructure	300,000	1	300,000	1
Maintenance and Repairs - General	107,222	4	107,222	4
Maintenance and Repairs - Motor Vehicle Equip	4,000	1	4,000	1
Office Equipment Maintenance	12,640	2	12,640	2
Payments to Delegate Agencies	207,841,233	1,334	209,235,473	1,334
Printing Services	102,519	6	103,019	6
Prof. Services - Accounting Services	100,000	17	100,000	17
Prof. Services - Computer Services	50,000	3	50,000	3
Prof. Services - Legal Services	20,000	1	20,000	1
Prof. Services - Other	897,249	6	1,287,260	6
Telecommunications Maintenance	15,700	4	15,700	4
Temporary Services	341,036	3	341,036	3
Training Program for City Employees	4,000	1	4,000	1
TOTAL	\$209,920,599	1,394	\$211,705,350	1,394

2015 Council Initiatives

In Fiscal 2015, the City Council provided almost \$20 million or seven percent of DFTA's City funds to support core operations, including its senior center network, meals programs, Naturally Occurring Retirement Community (NORC) programs, and other senior services. Below is a breakdown of those funds.

FY 2015 Council Changes at Adoption	
<i>Dollars in Thousands</i>	
Senior Centers & Meals	
Senior Centers, Programs, and Services Enhancement	\$3,005
Space Costs for Senior Centers Enhancement	800
Transportation Operating Costs Enhancement	500
Subtotal	\$4,305
Senior Services	
Access to Crisis and Emergency Services (ACES)	\$600
Elder Abuse Enhancement	1,000
Handyman Service	400
LGBT Senior Services in Every Borough	1,500
Neighborhood Naturally Occurring Retirement Communities	1,000
Naturally Occurring Retirement Communities Supportive Services Enhancement	1,500
New York Academy of Medicine - Age Friendly New York	400
Social Adult Day Care Enhancement	600
Subtotal	\$7,000
Local Initiatives	
Local and Aging Member Items	\$8,672
Subtotal	\$8,672
TOTAL	\$19,977

- **Senior Centers, Programs, and Services Enhancements.** In Fiscal 2015, the Council allocated approximately \$3 million to DFTA senior centers programs and services, including providing a sixth congregate meal to senior centers that expressed interest and additional funding to senior centers that are over utilized. This allocation supplemental to the \$3 million baselined Council Initiative.
- **Transportation Operating Costs Enhancements.** The 2013 November Plan baselined a \$2 million Council Initiative that supported senior centers transportation operating costs. The Council allocated an additional \$500,000 to support the operating costs including insurance, fuel, and maintenance of existing vans and other existing vehicles that are used by senior centers and other senior programs.
- **Space Costs for Senior Center Enhancement.** The 2013 November Plan baselined a \$1.5 million Council Initiative that funded senior center space costs. The Council allocated an

additional \$800,000 for space costs to further address space and facility needs at senior centers in Fiscal 2015.

- **Access to Crisis and Emergency Services (ACES).** In Fiscal 2015, the Council provided \$600,000 to the Metropolitan Council on Jewish Poverty for case assistance services for the working-poor, near-poor, immigrants, seniors living on fixed incomes, and the recently unemployed. Case workers connect clients with benefits and entitlements, emergency food, clothing, legal help, and employment assistance.
- **Elder Abuse Enhancement.** In Fiscal 2015, the Council provided one million in enhanced funding for elder abuse prevention services. This funding is in addition to the \$800,000 that was baselined by the Administration in the 2013 November Plan.
- **Handyman Services.** In Fiscal 2015, the Council allocated \$400,000 to the Metropolitan Council on Jewish Poverty for home repairs free of charge so that low-income seniors may remain secure and independent in their homes.
- **LGBT Senior Services in Every Borough.** In Fiscal 2015, the Council introduced a new Council Initiative that specifically targets lesbian, gay, bi-sexual, and transgendered (LGBT) seniors. Services and Advocacy for GLBT Elders (SAGE) was allocated \$1.5 million to provide LGBT seniors improved access to the full range of services at SAGE sites to address the needs of this special population.
- **Neighborhood Naturally Occurring Retirement Communities (NNORCs).** In Fiscal 2015, the Council introduced a new Council Initiative for neighborhood naturally occurring retirement communities (NNORCs). NNORCs are established in relatively low density neighborhoods with a high concentration of seniors living in a residential dwelling or group of residential dwellings in a geographically defined neighborhood. The one million that the Council provided for NNORCs in Fiscal 2015 is the only City funding for this program. This allocation funded eight NNORCs across the City and one planning grant for a NNORC in Staten Island and one planning grant for the Far Rockaways.
- **Naturally Occurring Retirement Communities (NORCs) Supportive Services Enhancement.** In Fiscal 2014 DFTA released a Request for Proposals (RFP) for Naturally Occurring Retirement Communities (NORCs) that included \$900,000 in baselined funding originally provided through a Council Initiative. The Council's \$1.5 million Fiscal 2015 NORC senior service initiative provides funding to those NORCs that were previously funded in Fiscal 2014 and did not win a DFTA contract through the RFP and NORCs that were previously funded in Fiscal 2014 but did not apply or qualify for the NORC RFP.
- **New York Academy of Medicine (NYAM) - Age Friendly.** In Fiscal 2015 the Council allocated \$400,000 for a new initiative that will create nine new age friendly improvement districts in neighborhoods with the highest level of need for senior services and support the current Aging Improvement Districts in East Harlem. This funding was allocated to the New York Academy of Medicine.
- **Social Adult Day Care Enhancement.** In Fiscal 2015, the Council provided an additional \$600,000 for social adult day care (SADs). This allocation enhanced the \$400,000 baselined Council Initiative for SAD programs. SADs provide non-medical adult day care services to individuals with cognitive or physical limitations.

Baselined Council Initiatives

In Fiscal 2014, the Bloomberg Administration baselined funding for Council Initiatives that supported hundreds of programs operated by community-based organizations (CBO's) throughout the City. The Council and the Administration worked together to ensure that community-based organizations received one-year contract extensions through Negotiated Acquisition Extensions (NAE); ensuring the continuity to vital services from Fiscal 2014 to Fiscal 2015.

Baselined Council Initiatives for senior services include case management, elder abuse services, information and referral services, Naturally Occurring Retirement Communities (NORCs), nutrition services, senior centers, social adult day care (SADs), space costs, and transportation costs. Many of these initiatives have been or will be procured through a competitive bidding process.

Program Areas

Senior Centers and Meals

The core of DFTA's service portfolio is the Department's citywide network of 234 contracted neighborhood senior centers. In addition to supporting 4.1 million meals (both home-delivered and at senior centers) annually, senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities designed to improve their health and quality of life. Senior centers provide educational programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors. In addition, DFTA's senior center portfolio includes Innovative Senior Centers (ISCs). ISCs provide enhanced programming, including robust wellness programs, additional access to health care services, arts and cultural programs, as well as new technological and volunteer opportunities. This year, DFTA and its service providers expanded the ISC model from 10 to 16 centers.

Senior Centers and Meals						
	Actual	Actual	Adopted	Preliminary		Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	*2015 - 2016
Spending						
Personal Services						
Full-Time Salaried	\$0	\$0	\$2,911	\$2,911	\$2,911	\$0
Subtotal, PS	\$0	\$0	\$2,911	\$2,911	\$2,911	\$0
Other Than Personal Services						
Contractual Services	\$148,789	\$149,690	\$167,892	\$163,590	\$143,038	(\$24,854)
Other Services and Charges	0	0	5,327	0	5,071	(256)
Subtotal, OTPS	\$148,789	\$149,690	\$173,219	\$163,590	\$148,109	(\$25,110)
TOTAL	\$148,789	\$149,690	\$176,130	\$166,501	\$151,020	(\$25,110)
Funding						
City Funds			\$112,175	\$100,071	\$87,708	(\$24,467)
State			12,366	13,916	12,366	0
Federal - Community Development			1,735	1,735	1,735	0
Federal - Other			49,854	50,779	49,211	(643)
TOTAL	\$148,789	\$149,690	\$176,130	\$166,501	\$151,020	(\$25,110)
Positions						
Full-time Positions	0	0	45	44	45	0
TOTAL	0	0	45	44	45	0

**The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The proposed budget for senior centers and meals for Fiscal 2016 totals \$151 million, a \$25.1 million decrease when compared to the Fiscal 2015 Adopted Budget. The majority of the decrease can be attributed to the absence of one-time Council funding for senior centers and meals in Fiscal 2015. In addition, the Administration provided \$4.8 million in one-time funding for New York City Housing Authority (NYCHA) community services in Fiscal 2015 that is not reflected in the Fiscal 2016 Preliminary Plan. This one-time funding for NYCHA community services helped support four senior centers that were transitioned from NYCHA to DFTA at the beginning of Fiscal 2014.

Issues and Concerns

- Funding of NYCHA Senior Centers.** In total, 252 community and senior centers operate on NYCHA's property across the five boroughs that provide recreational, social, educational and cultural programming for residents and community members. Due to the high costs of managing the centers and severe government funding shortfalls facing NYCHA, the agency has proposed closing NYCHA managed centers several times. In May 2013, NYCHA proposed closing 38 NYCHA managed senior centers and four of the senior centers that were slated to close, Pelham Parkway, Farragut, Saratoga Square, and Polo Grounds Towers, transitioned into DFTA's portfolio. For the remaining 34 NYCHA senior centers that were slated to be closed, the Administration added funding for one year so that these NYCHA managed centers could remain open until the end of Fiscal 2014. In Fiscal 2015, a similar budget agreement was reached between the City Council and the Administration, in which the City Council provided \$17.1 million to NYCHA, of which approximately \$10 million was used to continue operations at 33 senior centers. If an agreement between the City Council and the Administration is not reached in Fiscal 2016, these NYCHA managed centers will once again be at risk of closing.

Additionally, the Council has requested that the Administration and DFTA work together to evaluate if any of the NYCHA senior centers meet the qualifications to be converted into NORCs, and if so, if funding can be included in Fiscal 2016 Budget for this transition. Converting qualified NYCHA senior centers into NORCs would not only provide quality programming for seniors in NYCHA buildings, but also would create a permanent solution for some of the NYCHA senior centers that face closure year after year.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Citywide senior center utilization rate (%)	93%	86%	85%	95%	95%	N/A	N/A
Average Daily Attendance at Senior Centers	25,337	24,257	23,983	26,342	26,342	24,900	27,652
Total Meals Served (000)	11,276	11,521	11,557	*	*	4,024	4,083
Home Delivered Meals Served	3,995,927	4,248,224	4,271,117	4,257,750	4,257,750	1,449,928	1,475,271
Congregate Meals Served	N/A	7,272,813	7,285,881	*	*	2,573,549	2,607,192

Source: Preliminary Mayor's Management Report

As indicated by the table above, during the first four months of Fiscal 2015, 27,652 older New Yorkers participated in activities and received meals at DFTA's 250 senior centers each day. The number of congregate meals (breakfast, lunch, and dinner) served at senior centers remained stable during the reporting period at 2.6 million. Seniors also received 1.48 million home delivered meals, equivalent to the same period last year as well.

It is important to note that for the first time this year data for the indicator 'Average daily attendance at senior centers' is counting actual client attendance data, a much more precise measure than in past, when the indicator was calculated using meals as a proxy. This improvement is the result of the implementation of DFTA's new database of record referred to as STARS, or Senior Tracking, Analysis and Reporting System.

Case Management

Case management agencies provide assessment and link homebound seniors with services, including home delivered meals, homecare, information and referral, and other supportive programs. They monitor and coordinate programs, provide supportive counseling, as well as, assist with light housekeeping, shopping and laundry.

Case Management						
	Actual	Actual	Adopted	Preliminary		*Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	2015 - 2016
Spending						
Personal Services						
Full-Time Salaried	\$0	\$0	\$1,067	\$1,081	\$1,067	\$3,215
Subtotal, PS	\$0	\$0	\$1,067	\$1,081	\$1,067	\$3,215
Other Than Personal Services						
Contractual Services	\$19,979	\$22,176	\$25,381	\$26,088	\$24,078	(\$1,303)
Subtotal, OTPS	\$19,979	\$22,176	\$25,381	\$26,088	\$24,078	(\$1,303)
TOTAL	\$19,979	\$22,176	\$26,448	\$27,169	\$25,145	(\$1,303)
Funding						
City Funds			\$14,169	\$14,169	\$13,569	(\$600)
State			11,298	11,544	11,298	0
Federal-Other			981	1,456	278	(703)
TOTAL	\$19,979	\$22,176	\$26,448	\$27,169	\$25,145	(\$1,303)
Positions						
Full-time Positions	0	0	17	17	17	0
TOTAL	0	0	17	17	17	0

**The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The proposed budget for case management in Fiscal 2016 is \$25.1 million, a decrease of \$1.3 million when compared to the Fiscal 2015 Adopted Budget. The \$1.3 million decrease in contractual services is due to a one-time grant in Fiscal 2015 for the Community Care transition pilot program for case management services in Queens.

Issues and Concerns

- **Case Management Waitlist.** Although the Administration baselined an additional \$2.6 million for case management in the Fiscal 2015 Adopted Budget in order to reduce the case management ratio to 65 cases to one case manager, the agency still lacks adequate funding to address its current case management waitlist of 2,000 cases. To address this additional caseload, it is estimated that DFTA would need an additional \$2.6 million for Fiscal 2016.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Hours of case management services provided	398,013	443,404	458,432	444,000	444,000	139,613	155,535
Percent meeting time to (first) action for service request – Elder Abuse (5 days)	82%	75%	72%	*	*	67%	76%
Percent meeting time to (first) action for service request – Alzheimer’s Care Information	89%	86%	87%	*	*	96%	100%
Percent meeting time to (first) action for service request – Housing Options (14 days)	96%	95%	99%	*	*	99%	100%
Percent meeting time to (first) action for service request – Home Delivered Meals for Seniors – Missed Delivery	100%	99%	100%	*	*	100%	100%

Source: Preliminary Mayor's Management Report

As indicated by the table above, hours of case management services provided during the first four months of Fiscal 2015 increased 15,922 hours or 11.4 percent when compared to the first four months of Fiscal 2014. Time to first action for service requests submitted to 311 for Alzheimer’s care, housing options, and home delivered meals were at 100 percent during the first four months of Fiscal 2015, while time to first action for elder abuse increased to 76 percent during the first four months of Fiscal 2015 from 67 percent during the first four months of Fiscal 2014.

Homecare

The Homecare Program provides assistance to low-income frail seniors with daily chores and personal care to allow them to safely remain in their homes. This may include housekeeping and personal care, meal preparation, companionship, supervision of medications and doctor's visits, referrals to legal and financial experts, as well as other necessary services.

The agency provides home care services to approximately 4,500 elderly residents throughout the City. These services are for low-income frail elderly, who are above the Medicaid eligibility threshold, allowing them to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other home care arrangements, including Medicaid or Medicare services, have been put into place.

Homecare						
	Actual	Actual	Adopted	Preliminary		*Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	2015 - 2016
Spending						
Other Than Personal Services						
Contractual Services	\$15,418	\$16,573	\$16,887	\$15,855	\$18,378	\$1,491
TOTAL	\$15,418	\$16,573	\$16,887	\$15,855	\$18,378	\$1,491
Funding						
City Funds			\$4,857	\$5,602	\$6,348	\$1,491
Intra City			300	300	300	0
State			11,730	9,952	11,730	0
TOTAL	\$15,418	\$16,573	\$16,887	\$15,854	\$18,378	\$1,491

*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The proposed budget for homecare services for Fiscal 2016 totals \$18.3 million, a \$1.49 million increase when compared to the Fiscal 2015 Adopted Budget. This increase can be attributed to the \$1.49 million for homecare rate increase that was baselined in the 2014 November Plan for Fiscal 2016 and the outyears. This funding gives an additional \$1.50 for fringe benefits for each hour of contracted homecare service, which is intended to help bridge the fringe funding gap between Medicaid funded homecare services and DFTA's non-Medicaid funded homecare. DFTA currently pays \$18.50 for each hour of homecare service provided.

Issues and Concerns

- **Homecare Waitlist.** DFTA's Homecare Program serves a specific segment of the senior population who are low-income yet do not qualify for Medicaid. DFTA estimates that the current homecare waitlist is over 500, and the agency lacks the additional funding to address this waitlist.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Total recipients of home care services (annual)	3,012	2,861	2,835	2,861	2,900	N/A	N/A
Hours of home care services	1,033,407	823,831	890,232	1,039,003	958,000	286,946	346,692

Source: Preliminary Mayor's Management Report

As indicated by the table above, fewer hours of home care services were provided during the first four months of Fiscal 2015 when compared to the same time period in Fiscal 2014. This is due to the shifting of some funding to increase the hourly reimbursement rates under the new homecare contracts, which began in July 2014. Home care contracts have been consolidated to one contract per borough to increase efficiency in meeting client needs where needs are the greatest.

Senior Employment and Benefits

This program area mainly funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally, it provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assists seniors to obtain health insurance that is best suited to their individual needs.

Senior Employment and Benefits						
	Actual	Actual	Adopted	Preliminary		*Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	2015 - 2016
Spending						
Personal Services						
Full-Time Salaried – Civilian	\$1,403	\$1,355	\$2,754	\$1,547	\$2,754	\$0
Additional Gross Pay	76	55	71	118	71	0
Other Salaried and Unsalaries	3,558	3,301	2,579	3,805	2,853	274
Subtotal, PS	\$5,037	\$4,711	\$5,404	\$5,470	\$5,678	\$274
Other Than Personal Services						
Contractual Services	\$3,641	\$3,210	\$992	\$1,947	\$1,205	\$213
Fixed and Misc Charges	1	2	1	1	2	1
Other Services and Charges	290	243	364	515	364	0
Property and Equipment	1	2	4	6	4	0
Supplies and Materials	40	25	61	59	61	0
Subtotal, OTPS	\$3,973	\$3,482	\$1,422	\$2,528	\$1,636	\$214
TOTAL	\$9,010	\$8,193	\$6,826	\$7,998	\$7,313	\$487
Funding						
City Funds			\$896	\$975	\$796	(\$100)
State			20	18	20	0
Federal - Other			5,890	6,162	6,477	587
Intra City			20	842	20	0
TOTAL	\$9,010	\$8,193	\$6,826	\$7,997	\$7,313	\$487
Positions						
Full-Time Positions - Civilian	25	23	29	29	29	0
TOTAL	25	23	29	29	29	0

**The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The proposed budget for senior employment and benefits for Fiscal 2016 totals \$7.3 million, an increase of \$487,000 when compared to the Fiscal 2015 Adopted Budget. This increase can be attributed to a National Council on Aging (NCOA) grant for Title V, which was originally intended to be included in the Fiscal 2015 Adopted Budget, but funds were not allocated in time. Instead, DFTA applied this grant to the Fiscal 2016 Preliminary Budget.

Senior Services

This program area includes a variety of services for seniors, such as caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, social adult day services, and funding for Naturally Occurring Retirement Communities (NORC).

Senior Services						
	Actual	Actual	Adopted	Preliminary		Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	*2015 - 2016
Spending						
Personal Services						
Full-Time Salaried	\$638	\$553	\$1,468	\$2,030	\$1,940	\$472
Additional Gross Pay	14	13	0	0	0	0
Subtotal, PS	\$652	\$566	\$1,468	\$2,030	\$1,940	\$472
Other Than Personal Services						
Contractual Services	\$37,328	\$36,863	24,912	\$38,321	\$24,746	(\$166)
Supplies and Materials	1	3	0	5	0	0
Other Services and Charges	224	293	2134	534	2,135	1
Subtotal, OTPS	\$37,553	\$37,159	\$27,046	\$38,860	\$26,881	(\$165)
TOTAL	\$38,205	\$37,725	\$28,514	\$40,890	\$28,821	\$307
Funding						
City Funds			\$19,001	\$30,611	\$19,308	\$307
State			882	1,085	882	0
Federal - Community Development			362	362	362	0
Federal - Other			8,270	8,276	8,270	0
Intra City			0	555	0	0
TOTAL	\$652	\$566	\$28,515	\$40,889	\$28,822	\$307
Positions						
Full-time Positions	12	10	20	25	24	4
TOTAL	12	10	20	25	24	4

*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The proposed budget for senior services for Fiscal 2016 totals \$1.9 million, a \$307,000 increase when compared to the Fiscal 2015 Adopted Budget. This program area includes four additional full-time positions as part of a Mayoral Action Plan (MAP). In July 2014, the Administration announced a comprehensive multi-agency effort to address public safety and community development in 15 New York City Housing Authority (NYCHA) developments. The 15 NYCHA developments are Boulevard; Brownsville; Bushwick; Butler; Castle Hill; Ingersoll; Patterson; Polo Grounds; Queensbridge; Red Hook; St. Nicholas; Stapleton; Tompkins; Van Dyke; and Wagner. DFTA's Caregiver Resource Center will provide training and support to NYCHA residents who are grandparents raising young children as part of this action plan.

Issues and Concerns

- **Elder Abuse RFP.** In October 2014, DFTA issued an RFP for elder abuse services with a proposal due date of December 2014. The RFP contract value is for \$2.8 million, although only \$800,000 was baselined for elder abuse services in the 2013 November Plan. DFTA is in discussions with the Office of Management and Budget to add \$2 million in funding before announcing contract award winners.

Administration and Contract Agency Support

This program area includes funding for personnel, supplies, and support contracts to provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers.

Administration and Contract Agency Support						
	Actual	Actual	Adopted	Preliminary		Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	*2015 - 2016
Spending						
Personal Services						
Full-Time Salaried – Civilian	\$15,682	\$15,712	\$12,933	\$13,132	\$13,412	\$479
Additional Gross Pay	548	491	38	47	38	0
Other Salaried and Unsalaries	740	701	259	259	259	0
Fringe Benefits	0	0	0	447	192.00	192
Subtotal, PS	\$16,970	\$16,904	\$13,230	\$13,885	\$13,901	\$671
Other Than Personal Services						
Contractual Services	\$2,223	\$1,377	\$261	\$619	\$261	\$0
Fixed and Misc Charges	168	0	21	213	21	0
Other Services and Charges	10,890	10,819	11,982	12,304	11,986	4
Supplies and Materials	208	234	329	226	329	0
Property and Equipment	292	186	171	377	171	0
Subtotal, OTPS	\$13,781	\$12,616	\$12,764	\$13,739	\$12,768	\$4
TOTAL	\$30,751	\$29,520	\$25,994	\$27,625	\$26,669	\$675
Funding						
City Funds			\$19,868	\$21,443	\$20,538	\$670
State			869	877	869	(727)
Federal - Community Development			137	144	142	4,983
Federal - Other			5,120	5,160	5,120	21,549
TOTAL	\$31,751	\$29,520	\$25,994	\$27,624	\$26,669	\$675
Positions						
Full-Time Positions - Civilian	248	243	185	185	185	0
TOTAL	248	243	185	185	185	0

**The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The proposed budget for administration and contract agency support for Fiscal 2016 totals \$13.9 million, an increase of \$671,000 when compared to the Fiscal 2015 Adopted Budget. This increase can be attributed an increase in full-time salary and fringe benefits related to collective bargaining agreements.

Capital Program

Capital Budget Summary

The Fiscal 2016 Preliminary Capital Commitment Plan includes \$41.6 million in Fiscal 2015-2018 for the Department for the Aging (including City and Non-City funds). This represents approximately less than one percent of the City's total \$44.7 billion January Plan for Fiscal 2015-2018. The agency's Preliminary Commitment Plan for Fiscal 2015-2018 is one percent more than the \$41.2 million scheduled in the Adopted Capital Commitment Plan, an increase of \$457,000.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2014, the Department for the Aging committed \$5.5 million or 20 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency's Fiscal 2015 Capital Plan will be rolled into Fiscal 2016, thus increasing the size of the Fiscal 2016-2019 Capital Plan. Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2015 has increased from \$17.9 billion in the September Capital Commitment Plan to \$19 billion in the Preliminary Capital Commitment Plan, an increase of \$1.1 billion or 6.1 percent.

DFTA 2015-2018 Capital Commitment Plan					
<i>Dollars in Thousands</i>					
	2015	2016	2017	2018	Total
Adopted Plan	\$32,121	\$3,004	\$3,006	\$3,068	\$41,199
Preliminary Plan	\$30,455	\$5,127	\$3,006	\$3,068	\$41,656
Change	(\$1,666)	\$2,123	\$0	\$0	\$457
Percentage Change	(5%)	71%	0%	0%	1%

Preliminary Capital Budget Highlights

The Preliminary Capital Plan shows minimal variance from the Adopted Capital Plan. A small portion of the Fiscal 2015 plan is shifted into Fiscal 2016 and there is a modest overall increase of \$457,000. The DFTA capital budget includes funding for the rehabilitation and upkeep of senior centers. This funding may be used for large repairs and maintenance of centers on an ongoing basis. In addition, capital funds may be used towards senior center technology maintenance and upgrades, as well as purchasing new vehicles for senior centers. Some major capital projects in DFTA budget include:

- \$2.3 million for gut renovations of Young Men's & Young Women's Hebrew Association of Washington Heights and Inwood's commercial kitchen, acoustic renovations to the dining/multipurpose room, and new flooring.
- \$3.2 million for asbestos removal and the building case management offices for Services Now for ADULT Persons, Inc. (SNAP) newly proposed Innovative Senior Center (ISC) site.
- \$5.1 million for construction and renovations for the Lenox Hill Neighborhood Association.

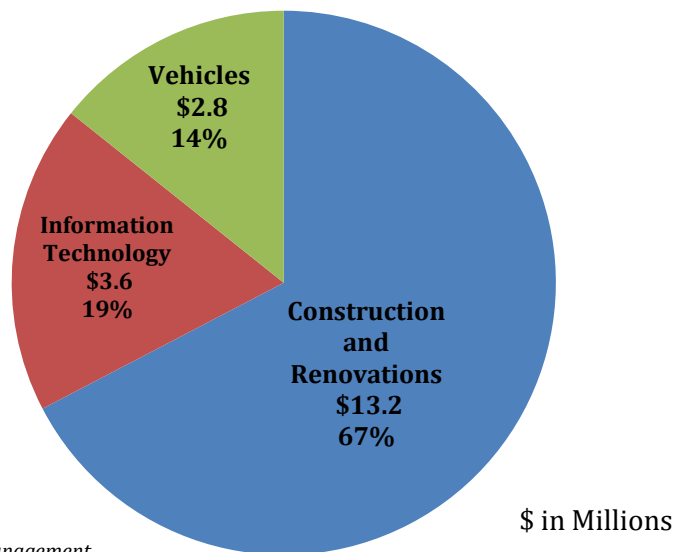
2016-2025 Preliminary Ten-Year Capital Strategy

The Department's primary objectives for senior center improvements include: structural repairs, interior renovations, and improvements to handicapped accessibility. DFTA is also committed to ensuring high-speed Internet connections are available at all DFTA-funded senior centers.

Capital Program Goals

- ✓ Rehabilitation of existing facilities that provide services for seniors
- ✓ Targeted improvements to senior centers throughout the City; and
- ✓ Information technology upgrades.

DFTA 2016-2025 Ten Year Capital Strategy by Project Type



Source: Office of Management and Budget

Preliminary Ten-Year Strategy

- **Construction and Renovations.** The Preliminary Ten-Year Capital Strategy provides \$13.2 million to complete renovations and upgrades for the various senior centers around the City. Funds are also provided to ensure the agency's fire and safety infrastructure meet standards, and that necessary improvements for HVAC, masonry, roofing, electrical, plumbing are completed.
- **Information Technology and Computers.** The Preliminary Ten-Year Capital Strategy provides \$3.6 million for the technology needs of the agency as well as technology improvements for the agency's contractors and service providers.
- **Vehicles and Transportation.** The Preliminary Ten-Year Capital Strategy provides \$2.8 million for the purchase and replacement of vehicles and other transportation related expenses.

Appendix A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2015			FY 2016		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of the Adopted 2015 Plan	\$170,968	\$109,834	\$280,802	\$145,534	\$109,131	\$254,665
New Needs						
DFTA Homecare Rate Increase	\$745	\$0	\$745	\$1,490	\$0	\$1,490
Subtotal New Needs	\$745	\$0	\$745	\$1,490	\$0	\$1,490
Other Adjustments						
Collective Bargaining	\$432	\$7	\$439	\$678	\$5	\$683
Age Friendly NYC	100	0	100	100	0	100
Inter-City	0	585	585	0	0	0
PS Adjustments	656	0	656	464	0	464
Technical Adjustments	(29)	2,740	2,711	1	(57)	(56)
Subtotal Other Adjustments	\$1,159	\$3,332	\$4,491	\$1,243	(\$52)	\$1,191
Total All Changes	\$1,904	\$3,332	\$5,236	\$2,733	(\$52)	\$2,681
Agency Budget as of the Preliminary 2016 Plan	\$172,872	\$113,166	\$286,038	\$148,267	\$109,079	\$257,346

Appendix B: Reconciliation of Program Areas to Units of Appropriation

	Personal Services		Other Than Personal Services		
<i>Dollars in Thousands</i>	001	002	003	004	Grand Total
Administration & Contract Agency Support	\$10,399,545	\$3,501,227	\$11,928,557	\$839,329	\$26,668,658
Case Management		1,067,126	24,077,738		25,144,864
Homecare			18,377,500		18,377,500
Senior Centers and Meals		2,911,379	148,108,869		151,020,248
Senior Employment & Benefits		5,678,592	961,552	672,735	7,312,879
Senior Services	504,261	1,436,010	26,880,864		28,821,135
Grand Total	\$10,903,806	\$14,594,334	\$230,335,080	\$1,512,064	\$257,345,284