CITY COUNCIL
CITY OF NEW YORK
----- X
TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY

----- X

June 9, 2014 Start: 1:16 p.m. Recess: 4:13 p.m.

HELD AT: Committee Room - City Hall

B E F O R E:

BEN KALLOS Chairperson JAMES VACCA Co-Chairperson

COUNCIL MEMBERS:

David G. Greenfield

Mark Levine

Ritchie J. Torres

Steven Matteo Annabel Palma Mark S. Weprin Brad S. Lander

## APPEARANCES (CONTINUED)

Gale Brewer Manhattan Borough President

Maya Wiley Counsel to the Mayor Mayor's Office

John Kaehny Executive Director Reinvent Albany Co-Chair, NYC Transparency Working Group

Rachael Fauss Research & Policy Manager Citizens Union

Gene Russianoff Senior Attorney New York Public Interest Research Group Co-Chair, NYC Transparency Working Group

Catherine Gray Vice President League of Women Voters NYC

Kristen Meriwether Representing Self

Azi Paybarah Representing Self

Paula Segal Director 596 Acres

Rebecca Williams Policy Analyst Sunlight Foundation

Noel Hidalgo Executive Director BetaNYC 1 COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 3

[qavel]

CHAIRPERSON KALLOS: Good afternoon and welcome to this joint hearing of the Committees on Governmental Operations and Technology. I'd like to start by thanking my Committee Counsel, David and our Analyst, Tim; this is our second hearing today; we just unanimously passed Youth on Community Boards, a bill that was introduced at the request of Manhattan Borough President Gale Brewer and co-sponsored by Council Members Levine, Torres and Vacca, who was a former district manager.

I'm Council Member Ben Kallos; you can
Tweet me @BenKallos. I'm please to be joined today
by my co-chair, Council Member Vacca. Today we're
hearing three pieces of legislation that share a
common purpose; making our city government more
transparent and accessible to every New Yorker.
Introduction 328, Open FOIL, creates a centralized
online Freedom of Information Portal, which will
vastly improve the transparency, fairness and
efficiency of New York City's FOIL process and
produce significant cost savings and better service
to the public, as noted in a report released last
year by then Public Advocate, Bill de Blasio, with 10

committee on governmental operations, jointly with committee on technology 4 percent of all FOIL requests simply being ignored.

Our city has a long way to go towards improving the accessibility of our government work product. This information produced by the government is the people's information, but that information is far too often difficult to access; this bill holds agencies more accountable and encourages the fair treatment of members of the public submitting FOIL requests by allowing an individual to track their FOIL request from start to finish.

Introduction 363 modernizes the City

Record, the City's official newspaper for notices, by

mandating that all items currently in the paper copy

of the City Record published by the Department of

Citywide Administrative Services over which this

Committee has oversight, be included in the web

version with an open application programming

interface, an API, to empower developers to create

their own apps, track the City's contract bids and

awards; this also includes green cost-savings

initiatives to reduce waste by removing the outdated

requirement that the City Record be circulated on

paper to government offices.

Finally, Introduction 149 would codify the Law Department's practice of putting the Charter, Administrative Codes and Rules online in a searchable format. In this age of complex legal requirement sin so many areas of our lives, it's important that the law be accessible to everyone; this is something I've been working on since 2006, when I started WikiLaw, and hopefully we will receive testimony today calling for it to be an open and transparent publication. Currently it is online; it is not search engine optimized or text-friendly and in fact, if you want to access it, it's held behind a non-free set of code called JavaScript, which makes it nearly impossible for anyone to make the code usable in any format and in fact, in a commitment to making it more online, I've actually posted our city's Administrative Code and Charter at BenKallos.com/law, where anyone in this audience; anyone watching online can literally just download all of the law as a webpage. So it's there and hopefully the Law Department can make it permanently accessible.

That being said, I'd like to turn the hearing over to my co-chair, Jimmy Vacca.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 6

2.1

CO-CHAIR VACCA: Thank you. And I wanna thank Ben Kallos and all the staff for the help in preparing for today's hearing and our two committees are going to be hearing testimony today on legislation that Chair Kallos discussed. One, Intro 149, would require the Corporation Counsel to make the Administrative Code of New York City, the City Charter and the Rules of the City of New York available online via nyc.gov.

Another bill we're hearing today, Council Member Kallos' Intro 363, would require DCAS to fully publish the City Record online. I'm one of the handful of subscribers to the City Record. I feel awkward saying that. I should be reading master novels, [laughter] but I've been reading the City Record for about 20-25 years; I was a district manager for a community board before I came here, and I can't tell you that the City Record is intriguing and mystifying, but I can tell you that I've learned a lot and I've seen a lot and I've tracked a lot in the City Record. Very few people read the City Record and I do think sometimes agencies put things in the City Record just so that they cover themselves; they did provide a notice and they use

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 7

the City Record for that covering perspective or the aspect where they -- I don't wanna be a little more blunt than that, but you know what I mean, they cover themselves. But I want the City Record to be more accessible and more transparent so that people can truly read what City agencies are doing, what projects are bid, what government agencies do and administrative agencies do. So public notice today is not truly transparent because this City Record has a negligible leadership and what we wanna do is make sure that the website is easily navigable and New Yorkers can really learn about the government that represents them, and I wanna make it fully functional and searchable.

Finally, we're going to hear Intro 328, a bill which my co-chair, Ben Kallos and I have collaborated on with Borough President Gale Brewer and this bill is in my committee, the Technology Committee, and this ambitious legislation, otherwise known as the Open FOIL bill, will make FOIL requests drastically more transparent. Intro 328 will require the Department of Information Technology (DoITT)...

Information Technology and Telecommunications (DoITT) to develop, publish and maintain a centralized

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 8 website to receive, process, respond to and report on FOIL requests made by members of the public to City agencies. It's a drastic change to the current system, which only requires individual agencies to disclosed requested documents at request. The status of the requested materials is not available online, nor is there currently a centralized system that tracks all FOIL requests made to the City. Noncompliance with FOIL requests is too much of a persistent problem in this city. An open, wellmonitored, frequently updated FOIL website could make City agencies more accountable and transparent, and I hope my co-sponsors and I can work closely with the Administration of this important legislation. And with that said, I wanna turn the chair back to Chair Kallos. CHAIRPERSON KALLOS: Thank you Chair I'd like to recognize Council Member Brad Lander, Deputy Majority Leader for Policy, who is a sponsor and introducer of the Open Law bill; also the Committee Chair of Rules, which passed, among other things, open legislation. So thank you.

COUNCIL MEMBER LANDER:

Kallos and Chair Vacca; it's an honor to be in this

Thank you Chair

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

committee on governmental operations, jointly with committee on technology 9 hearing; wonderful to be here with Borough President Brewer.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

They say ignorance of the law is no excuse, but that doesn't mean it should be our goal to keep people ignorant of the law and obviously all the things that we can do to make sure it's readily and easily accessible, simple to download and to review and to require that by law seems a fairly simply matter. The Law Department has a contract and it has the Charter and the Ad. Code and the Rules up on the website, but one, it's not required by law currently and two, you know, I think one thing we wanted to hear about, and I'm interested in hearing what advocates have to say as well, whether there are some improvements that we can make there as well since I introduced that law last term, and since then, as the Chair said, we actually made some changes to our own rules in which we go beyond simple searchability to make it possible to look at in some other ways and make sure that if you wanna make sure you're cross-referencing things, that it's simple and possible to do so. So I appreciate to have the opportunity to have the bill heard and I look forward to hearing from advocates and the public what

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 10

opportunities we can to improve the ways that the law is online, and I appreciate and look forward to hear the testimony on the other bills as well, so thank

Member Lander. I'd now like to call upon the
Manhattan Borough President for Manhattan, Gale
Brewer; she was the author of the Open Data bill,
which is a landmark Open Data bill, it's actually one
of the foremost in the nation and before that she
passed something called The Commission on Public
Information and Communication, which was the earlier
version of it, which required the City to publish a
list of all the pieces of data available for people.
So she has a decade-long commitment to this topic and
I am honored, along with Chair Vacca, to have
introduced Open FOIL at her request. Without further
ado.

GALE BREWER: Thank you both, Chair Vacca and Kallos, and I am obviously Gale Brewer, Manhattan Borough President, but more importantly, I love your two committees, for obvious reasons. So congratulations to both of you for your chairship.

you.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 11

2.1

You have lots of good bills before you today, but I'm gonna focus on Intro 328, which I am a proud co-sponsor with you, and as you know, it calls for the City to create a centralized open FOIL web portal.

As you know, and Chair Kallos mentioned,

I'm the strong supporter of Open Data, but just open
data in general. So in 2012 we passed in the City

Council Local Law 11, which is the Open Data Bill and
the implementation of that bill places New York City
at the forefront of a growing trend in government
transparency. At that particular portal I believe
there are now 1500 datasets for more than 60 agencies
available on the Open Data portal. And with Chair

Kallos and with others from the New York City

Transparency Group, we actually had a wonderful
discussion at the Personal Democracy conference over
the weekend about the whole issue of open data and
how the City Council can even do more, but has done a
lot; it was a really great discussion.

So in the spirit of open data and complimentary to the launch of the NYC Open Data portal in 2013 that brought the data and statistical records into the digital age, this creation of an

open FOIL portal will equally increase both the transparency and response rate of Freedom of Law requests made to the City of New York. Before I go into the anticipated benefits of the proposal, I wanna recognize the members of the NYC Transparency Working Group who have contributed many, many hours to research and develop a framework for how to best implement an open FOIL portal, similar to what they did, to be really honest with you, on Open Data, and I think particularly John Kaehny of Reinvent Albany and Gene Russianoff of NYPIRG need to be

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 12

congratulated.

So the Open FOIL portal will have many benefits; let me just list a few. (1) Increased efficiency, just as 311 centralized inquiries into city services and the current Open Data portal website centralizes the publication of agency datasets. The Open FOIL portal will centralize all FOIL requests. This means we'll be able to avoid duplicate requests. More importantly, once a dataset has been produced via FOIL and made available through the portal, it will remain accessible in the NYC Open Data portal, so people seeking the same information in the future will be able to obtain the same data

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 13 without having to go through FOIL again. Improved response rate and consistency. then Public Advocate de Blasio's report, which was referenced earlier, "Breaking Through Bureaucracy," highlighted that the City's agencies vary in capacity response rate and response time in meaningful requests. Creating the Open FOIL portal will address each of the three discrepancies and elevate the City's process of responding to FOIL requests to one that will be prompt, transparent and consistent across all agencies. For example, automated emails for receipt of requests will bring the initial acknowledgement rate to 100 percent. A record of each request will then be created for users to track their request's progress at all times. Both of these functions take the burden away from agencies to use staff time for correspondence that can otherwise be handled by the centralized Open FOIL system. frees up capacity for quicker FOIL fulfillments, and where under-capacity had previously resulted in gaps in responding to FOIL requests, agencies will now have more staff time to rectify the gaps. Increased cost savings. The estimated cost for fulfilling a FOIL request is \$300 and at roughly

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 14 50,000 requests per year, 50,000, the City spends an estimated \$50 million to fulfill FOIL requests, according to NYC Transparency Working Group. group also finds that on average \$100 of this cost is expended on staff time spent on locating and gathering records, and I've had to respond to FOIL requests, and understand that that is very timeconsuming and it needs to be, but it needs to be funneled. By funneling all FOIL requests through a single portal with the ability to automate request processing, avoid duplicate tasks and archive datasets that have already been produced to fulfill similar requests in the future, the Open FOIL portal has the potential to save the City millions of dollars while delivering an improved level of services for fulfilling FOIL requests. Consistent enforcement of privacy protections. Open FOIL portal will keep intact all privacy protections under State Law. This means the bill does not, does not, call for the disclosure of the requesters or the organization's identity when a FOIL request is submitted via the portal. Additionally, FOIL requests will be summarized in a way that any private information will be redacted in compliance

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

committee on governmental operations, jointly with committee on technology 15 with Federal and State Privacy Laws before being made accessible to portal users.

With these anticipated improvements I am confident that the City will be better equipped to respond efficiently and economically to the 50,000 requests. The creation of an Open FOIL portal will not be without its technological and logistical challenges. The NYC Open Data portal required a one-time expenditure of \$1.2 million in FY13 City funds to design the website and subsequent annual operating costs are in the range of around \$400,000.

website has become the example of transparency that many other municipalities now seek to emulate.

Assuming similar web development and recurring operating costs, creating and maintaining an Open FOIL website will bring about substantial savings from the currently \$50 million, which is the current estimate of what it costs per year.

As for technological issues, I believe that with adequate tech support we will be able to overcome potential difficulties, particularly because now we have an example.

Finally, I understand that the Open FOIL portal will only be successful if there is sufficient capacity within individual City agencies to support the work required behind the face of the portal. So I encourage the Mayor's Office of Operations and DoITT to train officers on how to use the Open FOIL portal, how to interface with City agencies, provide support to different departments, how to protect the privacy of individuals and organizations when responding to requests, and of course, to make sure they have enough capacity technologically. FOIL officers must also be trained on how to assist those without access to a computer to file and receive documents, because we can't leave them out.

I am committed to providing the necessary technical assistance to all of Manhattan's Community Boards so they too will have sufficient capacity to respond to FOIL requests.

Through a partnership -- this is brand

new -- with CUNY Service Corps, each community board

in Manhattan will be assigned two interns to support

the Board's technology and data collection needs, a

resource that will remain available after the Open

FOIL portal is up and operational.

So I thank you for your opportunity to testify today; I just wanna add, and you probably also, I have received some calls from press, understandably great reporters who are concerned about whether they are included or not; I wanna be honest about that, and that's something that we need to look at, because they're nervous that if they are part of this FOIL process they could lose a competitive advantage; something to be considered. Thank you very much and I look forward to working with you on this issue. Congratulations.

CHAIRPERSON KALLOS: Thank you very much,
Manhattan Borough President Gale Brewer. Before we
begin questions, I wanted to recognize that we are
joined even by the outset by Council Members Matteo,
Greenfield, Levine, Lander, Weprin, and Palma. I...
Okay. And I also wanted to, as the Borough President
mentioned interns, thank all the interns from my
office, from the Office of Ben Kallos who are here
today, thank you for joining us and thank you to
those watching the livestream in our district office.

I'd like to call Maya Wiley from the Administration. If you could have a member of your

committee on governmental operations, jointly with committee on technology 18 staff please fill out a witness slip. Turn on your microphone. [interpose]

MAYA WILEY: Thank you at the outset for indulging my schedule and I appreciate that from the Committee.

Good afternoon, my name is Maya Wiley;

I'm counsel to Mayor Bill de Blasio, and we will turn

to this, but thank you, Lisette Reisman, who is my

wonderful special assistant for setting this up;

we're very excited to unveil today City Hall's FOIL

tracker, very much in spirit with what we're talking

about today.

So thank you Chairs Kallos and Vacca and members of the Committees on Government Operations and Technology for the opportunity to testify before you today on these three introduced bills.

Mayor de Blasio, as you know, is deeply committed to ensuring that government is open, accessible and transparent so that residents of New York City can engage with City Government in a meaningful way, and he's long been a champion on transparency. Today I'll be sharing with you some of the progress we've made on this front and acknowledge some of the things we still have left to do.

I'll first briefly discuss Intros 149 and 363 and we'll end with a discussion 328, Open FOIL.

As you know, Intro 149 would amend the City Charter to require Corporation Counsel to publish on City's website the City Charter, Administrative Code and the Rules of the City of New York in a searchable format. This bill essentially codifies what we're already doing. Department has a contract with New York Legal Publishing to make available this body of law online, in plain text form, and the text is word searchable and is updated twice a year. In about a year, a new contract for publication of the City's laws will be awarded and we'll be reviewing our options to ensure that we continue to improve the user experience with this online service; we're not waiting to make improvements we can make now. Until recently it was hard to find a link to the law portal on the City's website; we worked with DoITT to ensure that the link is now prominently displayed on the Resident Toolkit page of the City's website, and we're interested in and welcome feedback from the public to understand additional ways to improve the user experience.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

committee on governmental operations, jointly with committee on technology 20 said, we're gonna have more opportunities to do that in the next year.

Turning to Intro 363 -- 363 would require the City to publish the City Record, the City official journal of the City New York online and to distribute an email copy to the various designated parties outlined in the Charter. As written, it would end the requirement that the Department of Citywide Administrative Services (DCAS) print the City Record.

By way of background, the City Record is published each weekday, except legal holidays, and contains official legal notices produced by New York City agencies. Announcements published in the City Record include upcoming public hearings, meetings, procurement bid solicitations, selected court decisions, bid awards, public auctions, and other property disposition actions, and official rules proposed and adopted by City agencies. Per the New York City Charter, it is manually distributed to libraries, local government offices, community boards, and various news media. Since 2011, each day's printed City Record has been posted on the City Record online website in a PDF format. Each

individual PDF is searchable by agency, keyword and category, but there's currently no ability to search multiple PDFs at the same time. The bill requires that the City create a beta website within 90 days of enactment that would place the City Record online in a machine and human-readable format and then create a final site within 180 days. While we applaud the goals of this legislation and are committed to getting the City Record online in an easy to use format, there are a few concerns that we have with the bill that I'd like to highlight.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 21

First, there are legal issues with requiring City Record to be exclusively published online. According to the Law Department, requiring the City Record to appear only in electronic format would not have its intended effect without a change in New York State Law. Section 60(a) of the New York State General Construction Law requires than official publication like the City Record be distributed in print form in order to be a newspaper in which legal notices may be placed. And as I mentioned earlier, these are really important legal notices that we wanna make sure we're doing in a legally sufficient way. In fact, the past administration advocated for

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 22

an amendment of State Law to allow for electronic publishing of the City Record, but those efforts have not yet been successful. In short, until State Law is amended, we must print the City Record. Also, as an administration committed to helping all New Yorkers access affordable broadband, we're keenly aware that not all New Yorkers have a computer at home or the ability to easily to get online.

Second, even if there are no legal issues with ending the printed version of the City Record, and all New Yorkers had the ability to get it online, the timeframe afforded in this legislation to develop the website is not feasible. As mentioned, we believe it is a worthy goal and something we would like to do, but DCAS has reviewed the bill and does not believe it could develop a beta site within 90 days because of the complexity of revising and creating code to make all City Record information searchable and creating a database for this information.

That being said, we believe this is an important goal and we're committed to working with the Council to make sure that the City Record online

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 23 website is as well-designed and useful to the public as possible.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

Now turning to 328, Open FOIL. mentioned, and you all already know this, Mayor de Blasio's committed to an open and transparent government and a critical element of that goal is FOIL reform. He's long been a champion of this, in partnership with Manhattan Borough President Gale Brewer, as public advocate the Mayor launched a citywide investigation into FOIL compliance; the first comprehensive study of its kind, and in 2012 the Public Advocate's Office collected and scrutinized information on more than 10,000 FOIL requests, resulting in a transparency report card that graded the City agencies on their adherence to the law. The results demonstrate the magnitude of the challenge that we face in this effort, but I'm pleased to report that we're making progress.

First, and I think extremely importantly, the Department of Records and Information Services (DORIS), under the leadership of Commissioner Pauline Toole, is now completely revamping the way in which the City catalogs and makes government records and reports available to the public. Before the end of

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 24 the year we plan to unveil new, fully searchable tools to dramatically expand public access to government documents. And this is important to emphasize, because in the long run, the best way to ensure swift and efficient public access to government documents is through proactive disclosure; one of the things that the Mayor said when he campaigned for office. In an era in which the proliferation of electronic communications is making it more and more expensive and time-consuming to search vast volumes of records, FOIL reform must also be about proactively pushing out information into the public space, thereby decreasing need and reliance on our Freedom of Information laws. In addition today we made live the first ever City Hall FOIL Tracker, so this is the way it looks on the page; it's on the Office of the Mayor page, you get a welcome, you'll see at the bottom -if you can -- a Submit a FOIL request, so you can actually online submit a request... [interpose] CHAIRPERSON KALLOS: What's the URL? MAYA WILEY: Sorry? CHAIRPERSON KALLOS: What is the URL?

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

2.2

23

24

25

[crosstalk]

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 25

MAYA WILEY: It's... I don't have it printed, but it is directly on... if you go to the NYC.gov page and the Office of the Mayor page, she should see it at the bottom of the page. Got it?

[background comment] Great.

CHAIRPERSON KALLOS: Thank you.

MAYA WILEY: It just went live this morning, something we've been working on for the past several months; very much in the spirit of what we're trying to understand, which is how to do this better and how to roll it out citywide. So what's important about this is, in addition to be able to submit a FOIL request online, obviously just for City Hall, but for City Hall, you can also check the status, so once you've filed you can go back to the site and check to see updates. Now of course people will still get the traditional letter, but it's also a way to keep track online. You wanna... put up the other one.

This is just an example of what the page looks like; it does give you information about the FOIL law and some links to the FOIL law as well, but this is how you would literally be filling it out if you were filling it out online.

across the board.

And this last one is actually how the FOIL status will appear. So when you submit online, you will get a tracking number and then by tracking number you will be able to search, but also it literally will have a page where you can see, and we've uploaded and updated all of our existing... we had been tracking FOILs within City Hall, but not in a manner that was publicly accessible. So you can actually see progress on all FOIL requests, right; you can't see who the requester is, but you can get a

sense of the progress being made on FOIL requests

So the FOIL tracker, public facing webpage, NYC.gov, allows individual submission of FOILS; it is the goal of this administration to create a centralized, citywide portal for all FOIL requests; I think that's really important to say. It is an explicit platform item for this mayor, it's something that we are committed to; this City Hall FOIL Tracker is our first major step at making that happen. There are dozens... But at the same time, this is a huge undertaking. There are dozens of agencies in City Government, each having its own FOIL process and different demands and capacities; to bring all

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 27 these agencies' FOIL operations under one umbrella will require a large degree, as we heard actually from the Borough President, absolutely correctly, a large degree of coordination, planning, absolutely training, also a lot of understanding about how the public wants to see this and how it will make use of it. But we've already begun, we've already begun; we've been looking at how to assess what's happening in other cities, we've been looking to assess the process that we need to have here for doing that; it will take time. We see the City Hall Tracker as an excellent first step in this effort, first step only; we will be able to use it as a pilot, evaluating its functionality, determining how to scale what works, determining how to fix what doesn't. To give you a sense of the size of a project to create a centralized FOIL tracker system for a city the size of New York, and remember -- I like to remind people, including my children, when they ask me why I haven't made sure they can get out of school when they want to -- that this City is actually... if it were state, would be 13th in the nation. It's no small challenge

to think about how to do some... anything citywide in

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 28 this city, let alone something that other cities are just starting to try to figure out themselves.

so to give you a sense of the size, we estimate that City agencies receive at least 50,000 FOIL requests each year and each agency has a different way of addressing it right now. For example, the Department of Transportation alone gets about 7,000 requests per year and has a very sophisticated system and a very large staff dedicated to responding to requests. One of the first things that happened in this administration was sitting down with DOT because they were more sophisticated and had a better operation because of the volume of requests they received; trying to understand what they did right, what worked for them.

So in order to centralize a system, we actually need to understand carefully the operations of all agencies; some have significantly different requests from others; some have significantly different operations on how they process the requests and we're trying to understand that, and we have to coordinate that all agencies... that we ensure that the final product actually increases efficiency rather than adding bureaucracy. This is actually a very

important point, because I think we assume that simply by automating we actually reduce bureaucracy; it depends on how we do it and whether we understand what we have to do within each agency to ensure that it does become more efficient and doesn't add a lot of add-on work.

We share this legislation's goal to create a centralized system; it's important that we do it as smart government; in that vein, I would like to offer a few of the comments of the things we're worried about.

As you know, Intro 328 would create a centralized online portal, all FOIL requests, something we must do. The bill would in part allow the public to submit a request to any agency from a centralized site; it's an important premise; that site will track each request and provide the requester with an update at each step of the process; same thing that we're prototyping in City Hall right now. The bill requires that the site be up and running within all agencies having transferred their FOIL operations to it within one year; that's a very short timeframe. As the launch of the City FOIL Tracker illustrates this Administration's committed,

2 at present we need to think about a timeline that

1

15

16

17

18

19

20

21

22

23

24

25

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 30

3 actually makes sense for determining how best to do

4 | this in a city so large and with such a huge volume

of FOIL requests and such varying degrees of both

6 capacity, experience and types of requests throughout

7 the various agencies that we have in the city. This

8 | is a massive undertaking that will require resources

9 | that are not readily available. As I mentioned, some

10 agencies have extensive and elaborate operations for

11 | responding to FOIL that will not be easy to

learning, for example in Oakland.

12 | transition quickly. If we have to do any significant

13 procurement to implement this; a year timeline also

14 | not feasible for a sophisticated procurement process.

We'd like the flexibility to bring something of this nature online in phases. We wanna learn from what we're doing, we want to learn from the agencies; we want a smart, clear assessed plan and we want a clear sense of cost rollout, and make sure we're also learning from what others are

As drafted, the bill does not allow the City to choose which responsive records to post publicly and which to send only to the requester.

This could potentially lead to a situation where the

City must post certain documents that while not entirely exempt from disclosure under FOIL, are nevertheless inappropriate for mass distribution, like an individual's case file.

The bill also appears to require agencies to post records to the centralized site before redacting them and remember, redaction is critically important to protect the exemptions that are in place under the current FOIL Law. Once uploaded, if they are unredacted, that could... take the NYPD investigation file, suddenly you could have 10,000 pairs of eyes on an unredacted investigation file, which wouldn't happen if it was not being posted until after it was redacted appropriately. That could risk broad distribution within the government of sensitive information, such as personal health, education data and law enforcement materials that should only be viewed by those who have a need and the authority to see them before they're redacted.

Lastly, the bill appears to require public disclosure of every dataset from which records are produced pursuant to a FOIL request. While it is our goal to post as many datasets as possible, datasets often require careful review before posting

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 32 to ensure exempt materials are not unintentionally disclosed. So requiring disclosure of every dataset from which a record is drawn in response to FOIL would require the City to undertake massive data review exercises in response to FOIL requests, even when the dataset at issue may not be the highest priority to publish at a given time. This goes back to my point that we could in fact create more bureaucracy, unintentionally, by doing something that's laudable but may be a little bit, you know, something we should be more careful and think through more carefully. This will lead either to a delay in responding to those FOIL requests or an ordering of the disclosure of datasets that is driven by random FOIL requests rather than well-considered prioritization. Again, we wanna get this done, we wanna get it done right; we wanna get it done in a way that ensures smart government, responsive government, efficient government; we wanna make sure that we're not creating the impression that government fails by trying to do something complicated too quickly and without appropriate steps, process and thought. Otherwise, what we risk, what we potentially risk is actually undermining

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

```
COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 33
 1
 2
     public confidence and trust in government when our
     very intent with this bill is to ensure that we
 3
     protect it and actually increase it in a meaningful
 4
 5
     way. Thank you.
 6
                CO-CHAIR VACCA: Thank you. I appreciate
 7
     your testimony.
                MAYA WILEY:
                              Thank you.
 8
 9
                CO-CHAIR VACCA: I didn't know the bill
     was that bad.
10
11
                 [laughter]
12
                MAYA WILEY:
                              The goals of the bill are
13
     wonderful.
14
                CO-CHAIR VACCA: Oh I know, I know; I
15
     appreciate... [laughter] I appreciate your input.
16
     me ask you a couple of questions though, and
     certainly, the one-year time period I think is
17
     something I'd like to work with you on, but Gale
18
19
     Brewer raised an issue about the news media being
     concerned about their participation in this and
20
     whether other people in the media would know what
21
22
     someone else in the media is looking at. Now you did
23
     not mention that; is that a concern to you and to the
     Administration that this is a free press issue?
24
```

25

[crosstalk]

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

MAYA WILEY: We know it's... We know it's a concern to the media, so the way we designed the City Hall FOIL Tracker... now the FOIL Law exempts commercial enterprise from disclosure, so for example, most of the City Hall FOIL requests are from news outlets, which means if we actually withhold and we redact information about the actual request of one news service to another... from another news service, so we had a FOIL request, for example, from a news outlet that said, I wanna see all the FOIL requests of every other news outlet. We said no, because what they're doing is fishing for what their competition is trying to find out for story purposes. Now at the end of the day that's... our business isn't who gets what story when and how, but certainly we have to follow the law in protecting the commercial enterprise to not ensure that one news outlet gets a competitive advantage above another news outlet. in our FOIL tracker, that's one of the reasons why when you put in... when you file a FOIL request you get a tracking number you don't get identified, so the only identified is the tracking number, so therefore all of what's in there right now, vast majority are from press, but you don't know who submitted what and

you don't know exactly what the request is because of the competitive enterprise exemption under the law.

So I think it is an issue that the City Council

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 35

5 should take into consideration.

CO-CHAIR VACCA: You are aware that prior to this administration there was a difficulty in getting many FOIL requests realized. Do you have an agency breakdown of how long certain agencies took as opposed to other agencies in responding to FOIL requests; is that something you are looking at in the over all picture?

MAYA WILEY: That's a really, really important question I think. We want to get a picture... let me say two things, so the short answer is, we want to get that picture, it's something we've started to work on; just to give you a sense of some of the steps we've taken already. One is assessing what people are currently doing in each agency, right, 'cause as I said, each agency is very different in terms of the types of FOIL requests; obviously most of ours are media; that's not necessarily true of all agencies. Obviously the type of request you get is very different. A request that the NYPD gets, significantly more sensitive than the

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 36 requests for contracts from SBS, right, many of which the final contract's gonna be very easy to just quickly make publicly available. So understanding both not just volume and speed of response, but type of request. So we don't have it yet, it's one of the things that we need to do in the process to understand this, because we want responsive government. Part of being responsive though is also setting the expectations of the public because some requests are voluminous, some are not; some requests are simple and straight forward, some are much, much more complex. And so how we assess appropriately, whether or not agencies are being responsive or dragging their feet, we're not gonna permit inappropriate foot dragging, but we have to find a way to start to create the metrics by which we're able to assess that. So the short answer is it's something that's currently in discussion, we've identified now all of the FOIL officers for the agencies. As you know right now, one of the simple things we need to do is make much more transparent to the public who the FOIL officers are for each agency, we have to do that ourselves for City Hall. of the things that we're trying to do is first of

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 37 all, just identify who they all are and then make sure the public knows who they all are. actually had a meeting with the commissioners in which we've talked about FOIL and its priority, we've actually had a meeting with the chiefs of staff in which we've talked about FOIL; we wanna coordinate better. We will be doing the same thing with general counsels of all the agencies. So this is part of my point about it's a process, both of understanding, assessing and then starting to put in place both the signal that there's a shift, that this administration takes it seriously and that we want it to happen and that we wanna be more coordinated and better coordinated, and we wanna listen and understand so that we're not being kneejerk, so that we're being smart and understanding real challenges, understanding real capacity needs and also understanding, you know where we have to push, so that's on our way. CO-CHAIR VACCA: You did mention I think before, you alluded to the New York City Department of Transportation and your assessment or your inventory indicated that they had 6,000 FOIL requests

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

last... [interpose]

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 38 1 2 MAYA WILEY: 7,000. 7,000. 3 CO-CHAIR VACCA: 7,000 last year. So... so 4 that... [interpose] 5 MAYA WILEY: Actually, I don't have the timeframe, but I believe it was last year. Yeah. 6 7 CO-CHAIR VACCA: Last year. How many FOIL requests did New York City take in last year? 8 9 How many FOIL requests were there citywide that you have... [crosstalk] 10 MAYA WILEY: So we can't answer that 11 12 question because... to the point of the Committee that 13 I think the Committee's so rightly raising. There's 14 no one centralized resource to say how many each had. 15 The only thing we have is the study from the Public 16 Advocate's Office. What we are going to be doing, as you know, one of the commitments that the Mayor made 17 was to have FOIL statistics in his MMR in September, 18 so we're working towards the goal of being able to 19 answer that question, at least for the period of time 20 of this administration. But right now we can't say 2.1 22 from the previous administration how many FOIL 23 requests there were. CO-CHAIR VACCA: But I have to be honest, 24

to me that's glaring. If we cannot tell... if this

committee on Governmental operations, Jointly with committee on technology 39 committee cannot be told how many FOIL requests were made in 2013, then there's not appropriate oversight... well there's not appropriate recordkeeping or there's not a coordination; there's something missing in this metrics that we cannot be given that number, because you do not have it, but why wouldn't that be... [interpose]

MAYA WILEY: Well...

CO-CHAIR VACCA: compiled very

accurately?

MAYA WILEY: I think you're absolutely right, that this is something that anyone sitting in this seat should be able to answer; it's not that there isn't an answer, it's that it's not being coordinated and compiled, so that goes back to the point I made earlier, that this kind of coordination is something we're trying to build... we have to remember this is a sea change, because the administration has said that this is a goal, and so part of what we're trying to build, essentially, is we're trying to build the muscle and we want the metrics. So first we're gonna... that's why hopefully in the MMR you're gonna get obviously a lot more information than we have today and we wanna keep

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 40 1 2 building towards that, so we're very committed to working with the committee and we'll come back on a 3 4 regular basis and try to make this a much more 5 transparent process, both about what we're doing, 6 what we're learning and obviously we're starting to 7 try to normalize being able to answer these questions. 8 9 CO-CHAIR VACCA: And just lastly, part of the process that we will use is that certainly your 10 testimony will be taken into consideration, you've 11 12 raised many good points and we're going to have our 13 staff be in touch with your staff... [interpose] 14 MAYA WILEY: That'd be wonderful. 15 CO-CHAIR VACCA: and we'd like to work collaboratively with you on a bill, but we realize it 16 may take a while to get there, but we're willing to 17 18 do what we should be doing and I think you. 19 MAYA WILEY: We look forward to working 20 with you. 21 CO-CHAIR VACCA: Thank you. 'Kay. 2.2 MAYA WILEY: Thank you. CO-CHAIR VACCA: Thank you Chair Kallos. 23 24 CHAIRPERSON KALLOS: Thank you Chair

Vacca, great questions. Thank you Counselor Maya

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 41
Wiley, thank you very much. So just overall it seems
that the Administration is supporting all three of
these bills?

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

MAYA WILEY: So the Administration... as I said, my testimony stands, so we have... in the first instance we're already doing it, so you know, I think we can talk more about what that means, but it's already being done currently; I don't know if there's a need for legislation then to legislate what's currently happening; we can certainly talk about In the case of the second one, you know we've raised some of the issues we've had. In the case for the third one, our view is that we should work to make sure we have a clear assessment of what needs to happen, because if we don't do this right, I think we will have a bigger problem than we have right now. Our view is that we should actually go through the process of prototyping, make sure we have an adequate and full assessment and that we actually make sure that we understand how to make this work effectively before we actually say how it has to happen in this form.

CHAIRPERSON KALLOS: I think what a difference a new administration makes, the fact that

you're here at least with an overall support with some changes is incredibly great, especially to have a mayor where this is... all things that we're either doing or it's a goal and it's just a question of the details and I would like to echo my colleague's support; I believe we... I know the Mayor has an entire city to run, but we have been eager to work with the Administration on this and can we have your commitment that we'll be able to work with you on hashing out the details on these bills so we can get them passed?

MAYA WILEY: So we will certainly be willing to talk with you; obviously I'm going to say today what I've already said in testimony, which is... [crosstalk]

CHAIRPERSON KALLOS: Perfect.

MAYA WILEY: that we have one bill we believe is... we already do what the bill would like to legislate, the second one we should talk about our concerns and the third we think is premature.

CHAIRPERSON KALLOS: Sure. So let's talk a little bit about Open FOIL. I am so excited to be co-chairing this hearing where the Mayor has

2 announced a FOIL portal and I think that's an amazing
3 thing. Is this a beta site or is it the final site?

MAYA WILEY: Well in my view this is a learning process, because as I said, we're embarking on something that hasn't been done before in the City of New York, so from my perspective it's up, it's running, it's what we're gonna be using, and we're gonna be learning from it, which means the likelihood is we may learn some things we need to change. start to think about how... what this may mean as DoITT goes through its process of assessing what a citywide FOIL tracker would look like, some of the steps that I mentioned; obviously we might see some changes also to the City Hall tracker as we go through that process. So we... if you can call it... it depends on how you define beta. From my perspective this is hopefully something that will be a learning process, but it's live, it's functional, it's operational, we're using it.

CHAIRPERSON KALLOS: When was this commissioned; when did work on this begin?

MAYA WILEY: When did work on it begin?

CHAIRPERSON KALLOS: Yeah.

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

MAYA WILEY: The work began at the beginning of the administration, so we... [interpose]

CHAIRPERSON KALLOS: So January 1st or January... [interpose]

MAYA WILEY: Well I came on January 19th, so I'm not gonna speak to anything that happened before January 19th, but [laugh] but as I said, this is a platform issue, this is something that the Mayor and I discuss; this is something that we felt we could at least... given the process and the steps that we needed to go through to do what we think we had to do smartly on a citywide system, we also thought but there's some things we can do now, even in advance, it's gonna help us learn and start to figure out stuff that's gonna be much more sensible in terms of how we roll up into a citywide system. So we've been talking about this since I came aboard and we're thrilled that we could take it live.

CHAIRPERSON KALLOS: So I also wanna commend you, because you... this is day 142 for you, and this is day 160 for the administration; this legislation puts forth a 270-day timeline and generally beta is the period between when it has been built and when it is internally reviewed and then

what we have right now would be considered live. So you've actually been able to build this, get it implemented from thought to announcing it in a 160 days, which is 110 days quicker than this legislation speculates for. So help me... first, kudos; that is really quick and that is what technology products should look like, that's how long technology products take nowadays, it takes a couple hundred days, it doesn't take years, it doesn't take... [interpose]

MAYA WILEY: Well a couple of differences... [crosstalk]

CHAIRPERSON KALLOS: Yeah.

MAYA WILEY: 'cause I take your question.

One, this is one agency; not citywide. As I said,
each city agency currently handles these very
differently and the types of reque... we also... it's
very clear what kind of requests we get, it's very
clear who our requesters are, generally, vast
majority are press, so it's not this... and we don't
have to go through a procurement system to do this.

Remember, we don't have to bid this out, we could
just do this within City Hall with our own MIS team.
Once you start to talk about a citywide... that's a
whole different ballgame, both in terms of the

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 46 procurement rules that we have to live by, but also what are we procuring; what is the software that's gonna be most effective, and we can't just go to a software decision quickly until we also assess what we have to do with each agency; we're gonna wanna do that process, we're gonna wanna do it smartly... take Oakland, for example, we already... Oakland is one of the cities that's done it; they're already also getting complaints from users because they use scribbed [sic]; would we use scribbed; would we do something else and have actually something that's system-based that we would... I mean there are different things that we have to consider from a technological standpoint for what will work citywide that we could skip over when we're just doing City Hall.

CHAIRPERSON KALLOS: Well I guess one question is, you were talking about procurement, so your MIS team internally was able to do it; how much... how many people were necessary to do it; how many hours did it take and what skill sets do those MIS people have and what code did they use for this?

24

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

MAYA WILEY: Well I'd be happy to have someone from MIS come and answer those questions... [interpose]

CHAIRPERSON KALLOS: Okay.

MAYA WILEY: I'm the person who gets to sit in a chair and say... Jean Luc Picard and say make it so and then I have all these wonderful who do; I can't possibly tell you, but we'd be happy to get you the answers to those questions. But again I'm gonna say, that's not the same process, nor would the answers actually be illustrative about what would happen effectively in a citywide process that includes every agency of the city rolled up into one online centralized portal.

CHAIRPERSON KALLOS: It's beyond the scope of our powers in the Council, especially on legislation, but if I might suggest that what you did you did right, you did it in-house, you did it cost-effectively, hopefully, once we hear that number, you did it in a fraction of the time of a typical project; you did it in less than 270 days, which is even quicker than we hope for in this legislation, so rather than procurement, which is something that actually we heard at Personal Democracy Forum, is

fundamentally flawed and broken, doing it in-house.

Oakland's code can be downloaded from their website

and I'm almost certain you're probably using... I'm

almost 100 percent certain you're using the Oakland

code right there, which is why it was so easy to set

up, so I'd hope the City could do that. And the

other question is... So I actually was in Room 9 the

other day talking to them about the Open FOIL Bill

and they actually all swore to me that there no City

Hall reporters that had ever FOILed another City Hall

reporter's FOILs... [interpose]

MAYA WILEY: Well they can swear to you all they want; I will sit here under oath if you would like me to and tell you that that's not the case.

CHAIRPERSON KALLOS: No, I... I think it's...
[laughter] I think it's important and you said that
the... [interpose]

MAYA WILEY: Being the one who receives the FOIL request.

CHAIRPERSON KALLOS: Absolutely. But this administration has made the choice that you're not going to release the FOIL requests of other FOIL

```
COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 49
1
 2
     requesters when it is media on media, as it were,
     but... [interpose]
3
                 MAYA WILEY: We... let me just... just point
 4
     of clarification...
5
6
                 CHAIRPERSON KALLOS: Yeah.
7
                 MAYA WILEY: no, we're following the law...
     [interpose]
8
9
                 CHAIRPERSON KALLOS: Okay.
                 MAYA WILEY: so the law directs us;
10
     that's our interpretation of the State Law and we're
11
12
     following it. That's not our decision is my point.
                 CHAIRPERSON KALLOS: Thank you.
13
14
     written, you believe that our transparency
15
     requirements would violate that law by doing it or
16
     jus tin compliance the FOIL counsels would just say
     listen, this is a reporter FOILing [sic] other things
17
18
     from reporters or for reporter purposes they could
19
     leave it off the side or perhaps... what would be
     different about this versus the current process?
20
                 MAYA WILEY: You mean in terms of the
21
22
     legis... the... the proposed... [crosstalk]
                 CHAIRPERSON KALLOS: Yeah.
23
                              legislation? Well for ... it
24
                 MAYA WILEY:
```

sounds like, and some of this is just the way we've

interpreted the plain language of the legislation, that it would require that it doesn't give the option, the flexibility of the agency to say this we don't post or we don't post in this way, because we're concerned that it would violate the commercial enterprise exemption of State Law.

CHAIRPERSON KALLOS: In your testimony
you talk about FOIL requests where the FOIL responses
are only sent to the requester versus when things are
sent to the world at large. If a requester gets
something and puts it online, is there a privacy
issue there?

MAYA WILEY: If the requester puts it online... there's not a privacy issue if a person waives their own privacy. So an individual can always waive their own privacy rights, obviously. We can't waive them for that individual though.

CHAIRPERSON KALLOS: In your testimony
you also used the word random FOIL requests for
setting priorities versus an agency's choice; I guess
one thing I just wanted to share is that we're here
to serve the people and the requests aren't random,
this is information somebody wants, so we... I guess
the question is; would you agree that if something is

being requested by our residents that we must serve, shouldn't that be something we prioritize; shouldn't there not be a conflict there between what the agency

wants to provide versus what the people want?

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 51

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

MAYA WILEY: Just to be clear, that part of my testimony referred to the datasets that were underneath the request. So in other words, as we understand the legislation, someone requests something and there's underlying datasets to that request that the datasets themselves would have to be made publicly available; the issue there then is, the bureaucracy that it requires to go through the datasets to make sure there's no exempt data that would be produced itself will create a lot more cost in terms of posting, whereas rather than responding to putting up and going through that process with datasets... when the requester maybe didn't request the datasets, the whole underlying datasets; that what that means is, agencies that would otherwise be doing that in a more orderly process on the things that many, many, many more New Yorkers were interested in, that prioritization might get bumped because someone made a request on a particular topic. So that's different from whether the request gets answered and

committee on governmental operations, jointly with committee on technology 52 the publicly available information gets posted; that's the underlying datasets that we were referring to.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: If a single individual requests something and then there is a dataset under it, wouldn't that dataset, once it's released, preclude future people from making that same request and save us all a lot of time and money?

If it's a dataset that a lot MAYA WILEY: of people are gonna request; I think the assumption in that formulation is that by virtue of the fact that one person requested it, there is another 500 or more who are interested in it and I think what we're saying is, it's not necessarily the way FOIL works in the real world. We get some arcane requests sometimes that I promise you is not very interesting to the vast majority of New Yorkers. We're not saying that person should not get the ... we absolutely believe that the person should get what they are asking for if it's responsive to their request and not otherwise exempt. The question is; does that mean going to the next level of taking government resources into the underlying datasets if it's something that people aren't necessarily that

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 53 1 2 interested in and taking time away from the datasets that are of more interest. So I think what we share 3 is a view that we should be making as much 4 5 information as possible that can be disclosed to the 6 public, like underlying datasets, available to them. 7 The question is; what's the most important to the public interests. There are lots of folks in this 8 9 city, quite frankly, who don't avail themselves of 10 FOIL, so to make the assumption that because someone who does avail themselves of FOIL is actually 11 12 reflecting the interests of the city I think is an 13 assumption that we shouldn't make. 14 CHAIRPERSON KALLOS: If I may just share 15 an anecdote, I sent a FOIL request to the Board of 16 Elections for the entire statewide voter file; I was the first person in the state to put that voter file 17 online so anyone could search it, and I have served 18 19 hundreds of thousands of New York residents by providing them with their voter registration 20 information that otherwise the Board of Elections was 21 22 gonna have to serve. That's fantastic. 23 MAYA WILEY: CHAIRPERSON KALLOS: So I think that... at 24

25

there... [sic] [interpose]

[crosstalk]

MAYA WILEY: But you're giving me an example of something that you would say we should prioritize and I'm not suggesting we would disagree with that; what I'm saying is, when you get the person -- and I'll give you a primary example -- a person that wants the Bloomberg era menus from every dinner served at Gracie Hall, okay, 'cause we get those kinds of FOIL requests [laugh]. I mean, so all I'm saying is, we wanna be rational... [crosstalk]

CO-CHAIR VACCA: I don't want the menus;
I want the food that was in the request [sic]...

MAYA WILEY: [laughing]

CO-CHAIR VACCA: now... now I'm getting an appetite.

MAYA WILEY: As do we all. So the point isn't to suggest that there aren't datasets that shouldn't be made available to the public in order to reduce future requests or because people didn't think to ask and it's a really good thing for people to know. What we're suggesting is to require it just by virtue of the fact that one person submitted a FOIL request is not necessarily prioritizing the right

25 | datasets... [interpose]

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: How many people...

MAYA WILEY: the ones that'll be more interesting, like the ones you're suggesting.

CHAIRPERSON KALLOS: I'm very technical; what number would you say is a... could you come back to us with this... with a number or do you have one off the top of your head where if three people ask for it, if 10 people ask for it, if 100 people ask for it; if a million people ask for it; what is the... [interpose]

MAYA WILEY: That would not be smart government, so I'm not gonna respond to it that way. I think smart government is saying, what are the kinds of things that we think the public should know and yes, if we see a pattern in the kinds of requests -- I'll give you an example from the City Hall standpoint. Lots of people wanna see the Mayor's schedule, so we're just going to proactively be... and we've started just proactively pub... we're behind schedule, I admit, but trying to get to a system where we're proactively just putting it up every What those look like... and it would... to just month. give an arbitrary number, because there could be something that two people request, but become

immediately apparent that they're extremely important and there might be something pretty mundane and not too interesting that 10 people suggest and not that interesting for most of the city. So rather than doing something formulaic, I would say it's much more important to think about the way you have been thinking about it I think is... which is, what's really in the public interest; what really advances the public's need for information, things that will actually help them transact better with government, better understand how government is functioning, all of those I think is not... those are the kinds of datasets that we wanna see up and available. But just doing it numerically I think doesn't necessarily respond... or doesn't necessarily look at it with a framework of responding to what's in the public's interest.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 56

CHAIRPERSON KALLOS: As a person who was a huge advocate for the Rules reform, which includes arbitrary numbers and formulas for things like member items and a 34-member trigger that allows us to force a hearing on things, I would respectfully disagree and ask that you please consider finding a number

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 57 1 2 that you'd be willing to submit to. I also ... 3 [interpose] I... I... just to follow up, 4 MAYA WILEY: 5 because I think what you've said makes perfect sense for the Council; this is just a different animal. 6 CHAIRPERSON KALLOS: So the other piece is just, Open Data was done with phases; I have 8 9 proposed two phases; a beta phase and a live phase; 10 could the administration put together a timeline for 11 phases where you believe that you could hit those 12 mile markers and that the phases would be more 13 constructive than having a beta and live rollout? 14 MAYA WILEY: I think that's something we 15 could talk about after we finish an assessment. think to do that in a way that is meaningful and... 16 because we wouldn't obviously want to take something 17 18 out of thin air and give you something that wasn't supported by some form of assessment; that the way to 19 do that would be to finish our assessment process in 20 21 order to have a better sense of that. 22 CHAIRPERSON KALLOS: When is that slated to... when will you be... 23 We don't have a cal... I mean 24 MAYA WILEY:

it's in process to... it's actually working... I just

committee on governmental operations, jointly with committee on technology 58 started working on that; I don't have... I can't... I can't tell you what that is yet.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: Whenever you've got it, we'd love it. I'd like to move on to city law online, unless you want to discuss Open FOIL further.

MAYA WILEY: I... I... [interpose]

CHAIRPERSON KALLOS: Okay.

MAYA WILEY: appreciate your questions; I think they were very thoughtful.

CHAIRPERSON KALLOS: Yeah. Hold on one second. Can I... So with the city law online, I love it to death; just by way of background, I've been trying to get this law online, not only ours, but nationally since 2006. Under the current requirements what happens is, the Legislative Bill Drafting Commission makes it available to McKinney's and the Consolidated Legal Service and another company that then publish it and then sell it to Westlaw and Lexis and other online service providers, which are collectively referred to often as Wexis; they are certified by the State as the official law, and I am a councilperson and I want to see the laws that I want to change; if I want to be able to use them in a more friendly format than what the City Law

Department has contracted for, then I have to pay a subscription to Wexis in order to access the very laws that it is my fiduciary duty to have a role in commenting on and changing, so I think that that system's somewhere between Hammurabi, who did it right by making it available for everyone in the format of the times, to now has gotten a little bit broken. So I guess my question is whether or not our laws could be updated live, if there could be an open API with a bulk download and for the version that is offered by the City to be certified.

MAYA WILEY: So I think that's a... that'll be a... those are important questions and what I would do is take those back to the Law Department, which is actually responsible for the contract [background comment] with the provider. As I said, you know the important opportunity we have is that this contract is gonna come up and I think we're really open to thinking about what our options are there.

CHAIRPERSON KALLOS: Thank you. And then with the City Record online, do you know how much it costs to print the City Record?

```
COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 60
 1
 2
                 MAYA WILEY: I believe someone is here
     from DCAS; that's a question I think more
 3
 4
     appropriately posed to DCAS.
 5
                 CHAIRPERSON KALLOS: Where is the print
     City Record distributed and available?
 6
                 MAYA WILEY: There are... I know that
 7
     there's a list and I don't have it in front of me and
 8
     we could definitely get you that list.
 9
                 CHAIRPERSON KALLOS: Do you... [background
10
11
     comment]
12
                 CO-CHAIR VACCA: I know that the
13
     community boards get it, I get it at my City Council
14
     office... [crosstalk]
15
                 MAYA WILEY: Community boards I believe
     is... yeah, community boards, council... [crosstalk]
16
                 CO-CHAIR VACCA: also the li... the New
17
18
     York Public Library gets it... [crosstalk]
19
                 MAYA WILEY: it's... yes, New York Public
20
     Library; there's a... there's a... [crosstalk]
21
                 CO-CHAIR VACCA: yes, 'cause I've seen
     them there.
22
                 MAYA WILEY: there's a... there's a list; I
23
     just don't have it in front of me, so I don't want to
24
```

committee on governmental operations, jointly with committee on technology 61

omit anything that's important and in terms of who

gets it. [crosstalk]

CO-CHAIR VACCA: Well you certainly have to be cognizant of what you said before, that if we have to modify that legislation, because if there's a state requirement that we have a written City Record, then we wanna comply with that, and it should be in the li… [crosstalk]

MAYA WILEY: For the purpose of publication, just to be clear. It's not that we couldn't, but if we did, we wouldn't be able to use it on first sta... in compliance with State Law for all the publication; we'd have to do a separate publication that also had a print version. So certainly City Council could say, we don't... you know, just put it all online, but then the very purpose we use it for, we would not be in compliance with State Law and... [interpose]

CO-CHAIR VACCA: Yeah and I think at minimum it should be in public libraries. We assume everybody has a computer and everyone does not... everyone does not have a computer. [crosstalk]

MAYA WILEY: And that... that's... that's... that's... that's... that's really an important point.

CO-CHAIR VACCA: Yes. Okay.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: With regard to internet accessibility, it seems that all the places that the City Record is currently available are places where there is internet access. Is that correct? [interpose]

MAYA WILEY: Well people go to this... I'll tell you, the New York Public Library, for example, I think is a really important one, 55 percent of those who go to the New York Public Library go because they don't have internet access, so yes, that's important. Our point is that, the way the law reads, it sounds like it would be an exclusive online and when you go to the City... when you go the libraries, you know one of the issues that we have is, you can only sign up for half-an-hour, there's generally a line and therefore you don't really have full access and there may be other things that you have to prioritize, whether it's looking for a job and you're half-hour can be eaten up very quickly, so having other ways for people to access it can actually be pretty important for people who don't have online. So it's not... certainly for efficiency standpoint, I think we agree it'd be... our goal is make sure everybody can be COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 63 online whenever they want and be able to afford it.

We're working on that one too, but that's gonna take some time and so it's just important to think about that print version.

CHAIRPERSON KALLOS: I guess it seems

like a false construct, because anywhere the City

Record is currently available there is internet

access, so it would still be available between 9 and

5 in the government offices, but it... [interpose]

MAYA WILEY: But then you have to get to a government office, right. So if you're... and I think the spirit of what the City Council... uhm-hm.

[crosstalk]

it's in paper and it's in paper and [background comment] if I wanna read this piece of paper, the City Record, I have to go to a government office between 9 and 5 where there is internet and now we're just saying we can only have it online so when you go to that government office you can sit down at a computer and look at it, plus a whole universe of other people are going to be able to access it online.

```
COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 64
 1
 2
                 MAYA WILEY: So I'm gonna stick with the
     testimony; I understand your point; I'm gonna say
 3
     that we think there still should be some print
 4
 5
     versions and at the end of the day you gotta... have... I
 6
     mean we all have to worry about what the State Law
     compliance issues are, so.
                 CHAIRPERSON KALLOS: So I... I... I...
 8
 9
     [interpose]
                              There is an effort to amend
10
                 MAYA WILEY:
     the State Law, as we said, from the previous
11
     administration.
12
13
                 CHAIRPERSON KALLOS: So the good news... So
14
     let's just engage on the State Law piece, 'cause I...
15
     I'm a lawyer, I spend my weekends when I'm looking
     for something fun to do, reading through the State
16
     Law. So... and the City Law, so... [interpose]
17
                              I hope you didn't do it this
18
                 MAYA WILEY:
19
     past weekend, 'cause it was too beautiful for that.
                 CO-CHAIR VACCA: I did more fun things
20
     this weekend... [laughter]
21
                 CHAIRPERSON KALLOS: I... I missed the
22
23
     Puerto Rican Day Parade to go to a funeral,
     unfortunately, but I promise you... [crosstalk]
24
```

MAYA WILEY: Oh I'm sorry.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: I would've rather been going through legislation and [background comment] redrafting things...

MAYA WILEY: I'm sorry to hear that.

CHAIRPERSON KALLOS: No, it's okay. in the City Law, generally we'll have a public notice requirement and then it'll say there is a public notice which shall be done by publication in the City Record. So a lot of the things that end up in the City Record isn't something where it's like, there should be public notice; it actually specifically says, in the City Law it goes in the City Record. So I guess the question is; since the City Record is a creation of the City Administrative Code and the publication requirements relate to specific locations where it says, this will go in the City Record; why does... and just so you know, there's other places in the law, because we have a separate bill called Electronic Notices that engage with newspaper publishing requirement pieces. The newspaper publishing requirement items are completely separate from the City Record; there are some where there is overlap, where things... right, David; they must be published in both the City Record and in a newspaper

```
COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 66
1
 2
     or is that... [background comment] We can double-check,
    but I guess, [background comment] why do you believe
3
 4
     that if it's in the City Record it must also be
5
    published in a newspaper?
                MAYA WILEY: State Law, it's the Law
6
7
    Department's interpretation of State Law 60(a).
                CHAIRPERSON KALLOS: So there is a
8
9
     universe of items that are not currently published in
10
     the City Record or newspapers and... [interpose]
11
                MAYA WILEY:
                              I'm happy to refer you to
12
     Zach Carter, because as I said, what I'm sharing is
13
     the Law Department's interpretation. If you have a
14
     different interpretation, we should definitely share
15
     it with the Law Department, but our testimony is
     based on the Law Department's interpretation of the
16
     requirement.
17
18
                CHAIRPERSON KALLOS: There's something
19
     like 200 different places in the law where there's a...
     [crosstalk]
20
                MAYA WILEY: But there... this is all
21
22
     online, by the way; I think what we're... [interpose]
                CHAIRPERSON KALLOS: Yeah.
23
                              I mean just so we're clear,
24
                MAYA WILEY:
25
     the question is whether there's a print version, not
```

whether it can or cannot be online; it's... so it is online and there is currently a small print version run, so I think the... just so we're clear; I mean I don't think we're fighting, [background comment] really, and if there's... obviously if there's a view that there is a different interpretation of State Law, we should take that under advisement as well.

CHAIRPERSON KALLOS: I would love to talk to the Law Department, which this Committee also has oversight over... [interpose]

MAYA WILEY: Yeah.

CHAIRPERSON KALLOS: about that. So yes, the City Record's already online and I guess the one question is, so it's online, it's searchable, we'd love to have it as an open API and bulk download so you could just look at all of it together at once, and I think the other piece of it is just to make sure that all the items currently in the City Record, and a lot of them don't make it into the searchable version, should just be added to it and honestly, the concept of removing the publication requirement is theirs for cost savings to pay for this, because I don't believe in unfunded mandates, and also for the green counterpart. So I wanna thank you and again, I

think we agree about almost everything; the devil is in the details; I'm a perfectionist, I like getting... it sounds like we're already at 90 percent; I just like getting to the 100 percent and then wonder where that extra 10 percent is, 'cause I like 110 percent; my scales are slightly broken. [laugh] I want to recognize and thank Council Member Lander for his patience through this questioning.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 68

COUNCIL MEMBER LANDER: Thank you to the Chairs, thank you to the Counselor, especially for, you know, the diligent work you've done in a short period of time to start moving the City forward here and to be having a dialogue that is about a shared goal of the Administration and the Council to move us to a higher level of transparency is refreshing still, even though we're now a few months into this administration; we appreciate it a lot.

Couple questions, first on 149, and I think you're right, that as drafted -- and this was actually drafted, I forget how long ago, in last term, when we had more limited expectations of just how much transparency we'd be able to get, and since then, partly through the Council's own rules reform process and our own commitment to a higher set of

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 69 standards for our legislative process, I think we have prepared for this hearing knowing we're gonna hear from advocates about going further with it and requiring some of the things that the Chair was talking about -- bulk downloads, search downloads -so [background comment] and not just to have to download the whole thing at once, but if I wanna see [background comment] every law related to courtyards, I can do my courtyard search and then download that set of records and perhaps open API as well. think there are additional steps we're gonna wanna take in the next iteration of the law and I hear you that there's... well some of those... it would be easier to do in the renegotiation of the contract; some of those may be able to be done very simply; you know, if you look at the version that the Chair put online, you can bulk download them from his website, so I'm confident our current contractor could do that at a minimal cost. So would you be able to do a conversation about some of the next standards and thinking about the timelines; what we might be able to get from the contractor sooner? [crosstalk] MAYA WILEY: Absolutely. Absolutely. Ι

mean I think the points you raise are important; our

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 70 1 2 point was that there is an opportunity coming up on the calendar, given the fact that the contract's 3 4 gonna be up in a year and as I think we share with 5 you, whatever we can do in the interim we should be 6 doing. So to the extent that there are specifics -again, this is something that falls under the purview of the Law Department; not City Hall, so what I'm 8 really referencing is, you know, the research we've 9 done from the Law Department where things currently 10 11 stand; I would suggest that the Law Department -- and 12 we can certainly broker that -- would be the right 13 place to have the conversations about what needs to 14 be con... because they're responsible for the contract, 15 but absolutely. 16 COUNCIL MEMBER LANDER: Sure. So and we'll follow up with the Law Department afterwards 17 18 and have a conversation with them, I... [crosstalk] 19 MAYA WILEY: And... and we're... we're 20 helpful to support... and Council... for the Mayor, obviously we're perfectly willing to support in any 21 22 way we can. 23 COUNCIL MEMBER LANDER: Great. And I

think... you know, I mean, open API is somewhat more

complicated; I think those two simple things of both

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 71 bulk download and search download are pretty easy to do and render it; just a lot more useful to [background comments] people, so we'll follow up with the Law Department and see whether it's possible to, you know, set up a timeline and then modify the legislation to match. [sic] [crosstalk] MAYA WILEY: And the more we understand, I think, about how it can be ... will also feed into, to the extent anything can't, [background comment] what kinds of things that the Law Department should be looking at as it looks for a new contractor... [crosstalk] COUNCIL MEMBER LANDER: Great. [sic] MAYA WILEY: so I think those are all helpful. COUNCIL MEMBER LANDER: And yeah, it may be possible then, you know, in the phased model that we would set some... you know, we would... if we do this by law, we set a... you know, we figure out what can be done in the near future and then what would have to be done as part of the next contract. MAYA WILEY: And you may... I mean and I think this is one thing that's important to think

about, is our opportunity to do things without

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

2 | legislating. I mean if we... if they have an existing

3 contract and if things can be done under the guise of

4 | the existing contract, I mean we're not going to...

5 | we're actually gonna be, obviously, allies with our

6 contractors and saying get it done. So it may

7 | obviate the need for legislation; it may clarify the

8 need for what kinds of legislation we have, so...

[crosstalk]

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

COUNCIL MEMBER LANDER: So I'll just I guess make those two points then; I mean if bulk download and search downloads were available in the near future, then that would obviate our need to redraft the bill and pass a law to do it and I think though... again, there has to be a conversation with the vendor, but I think those are both fairly straight forward. [crosstalk]

MAYA WILEY: Yeah. Yeah. Yeah.

COUNCIL MEMBER LANDER: On the FOIL law, and I was thinking about this question of privacy, both for individuals trying to get something personal and for journalists and other commercial enterprises and it strikes me that there's... I mean you talked about waiving that privacy; have you thought at all about using the FOIL tracker to enable people to do

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

There are obviously a set of people who really to whom it's very important to protect their privacy and then there's a set of people who would be glad, either upon request or upon receipt, to waive that privacy and allow then the tracker to show that information [background comments] in a way which... be mixed, 'cause then you would have some things that were clear... you know, that [background comment] which you could see and then some things you wouldn't, but would instantly render it a much more interesting and in some ways more usable database. So have you thought about that at all in relation to the City Hall version or could we think about that as one way to navigate the challenge? It wouldn't mean that if you asked to not waive it, it still might not be something that you would have to make public at the future, my decision as a requester doesn't define, you know how the State Law reviews it, but at least if I waived it, [background comment] then you certainly can... and you could really even automate that in a way that would...

MAYA WILEY: Yeah. And certainly what we have been thinking about primarily is what we're gonna learn about... it's gonna help us see a pattern

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 74 of what's being requested, number one, and actually help us better understand how folks use an online system; we have not gotten to that stage of actually thinking about those next steps; what we're looking for right now is identifying the types of requests that are repetitive where we can start putting stuff up proactively; that's mostly where we focused our time, that being primarily right now; some of the obvious things, including the Mayor and the First Lady's schedules. Obviously we're open... because we're City Hall, I think some of the issues I was raising was other than commercial enterprise, which is a whole different story. But in terms of individual privacy interests, those are the kinds of things that come up much more frequently in other agencies than ours.

COUNCIL MEMBER LANDER: Okay, I just... I mean then I think obviously... [crosstalk]

MAYA WILEY: I mean it's a learn... I think your point is important, which is, we hope that this is a learning process and the more we can understand what we should be trying to learn from it, you know, in terms of what the Council's also thinking about;

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

committee on governmental operations, jointly with committee on technology 75 that gives an opportunity to use it as a learning process.

sharing this with the sponsors as much as with you; it seems to me that one relatively simple way of addressing the concerns, both of the media and of individuals seeking privacy, would be, for starters, to enable people to waive or, [background comment] you know, by default not waive their... [background comment] their privacy rights and I think an awful lot of people would be glad to allow folks to see what they're requesting and what they're getting and where they didn't... it wouldn't be a final word, but at least it would save your... [crosstalk]

MAYA WILEY: And I should... yeah, and I should clarify, because I'm realizing, in the question, I just wanna make sure we understand. This is not yet automatically posting everything that's requested, it's posting what we see requested regularly, you know what we see a pattern on. We haven't gotten to the... so... just so we're clear, we're not at that... we haven't made that kind of decision yet with this particular tracker; we were mostly focused on getting it up and getting it up and not...

making sure we weren't undermining any existing legal strictures that are upon us. So some of what you're suggesting is also what additional things we can think about with this particular FOIL tracker.

COUNCIL MEMBER LANDER: And I'm happy to make suggestions... [crosstalk]

MAYA WILEY: Yeah, yeah. And... and we're...

COUNCIL MEMBER LANDER: both for this

FOIL tracker and for the Open FOIL Law... [crosstalk]

MAYA WILEY: and we're happy to receive...

Exactly; we're happy to receive obviously those kinds of... when... I know you haven't had a chance to... to actually review it and play with it; hopefully when you do, if you have suggestions, we'd be happy to receive them.

COUNCIL MEMBER LANDER: Thank you.

MAYA WILEY: Thank you.

CHAIRPERSON KALLOS: Just wanna let you know that we have Sunlight Foundation here, who are actually the ones who helped me to put all the laws online, so I will be asking them to make sure that you guys have access to that code, so literally if you want the scraper that we used to make the law

2 available online, and feel free to take the bulk
3 download... [crosstalk]

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

MAYA WILEY: I have a lot of respect for Sunlight and know its president well, so. 'Kay.

CHAIRPERSON KALLOS: Very good. So... and I also invite you and the Administration to join me March... sorry, June 12th, 13th and 14th; I'll be at MIT Media Lab with presentations by hopefully other council members on setting the law free, specifically, and they are doing an entire legal Hackathon around setting our city laws free; our state laws free and the country's laws free, and this follows up on something that started in '09 called Open Legislation, which... where we... I posted all the Albany legislation online and now they've launched something called Open States, where they've posted the legislation from all 50 states online. part of something larger than ourselves. Thank you so very much. [background comment]

MAYA WILEY: I'd really like to thank the Committee; your questions were excellent; it's given us a lot of things to take back and think about, and we really look forward to working with you on these important issues.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 78 1 2 CHAIRPERSON KALLOS: Thank you. [background comment] Do you know if DCAS will be 3 4 testifying? 5 [pause] 6 CHAIRPERSON KALLOS: Hearing none, I will 7 call the next panel. I'd like to call John Kaehny from Reinvent Albany, Gene Russianoff from NYPIRG, 8 9 Katherine Gray from League of Women Voters, and Rachael Fauss from Citizens Union, all of whom are 10 members of what the Manhattan Borough President Gale 11 12 Brewer referred to as the Transparency Working Group. 13 Thank you. 14 CO-CHAIR VACCA: I would ask to be 15 excused; I am on the Budget Negotiating Team and we're getting very near to that and I'm gonna have to 16 excuse myself, but I leave you in the capable hands 17 18 of my co-chair. 19 CHAIRPERSON KALLOS: You can start 20 whenever you're ready. JOHN KAEHNY: I'm John Kaehny; I'm 21 Executive Director of Reinvent Albany and I'm co-22 23 chair of the New York City Transparency Working Group. Thank you so much for having this hearing 24

today and for sponsoring the legislation before us.

2 I'm gonna speak mainly to Intro 328, the Open FOIL Bill.

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

First I'd like to just take the opportunity to hold this up for everyone here to see; this is a list of 30 major New York City civic groups that support Open FOIL, as written, today; not as changed later and the principles in there in the specific language and this includes many, many significant New York City civic groups, including the ones sitting next to me and others that aren't here today, like New York Lawyers for the Public Interests, Make the Road by Walking, the Legal Aid Society, and on and on and on. Open FOIL is a bill whose time has come; it is not premature, as characterized by the City Hall; it is overdue, if anything. And the reason that 30 major New York City civic groups signed the memo of support for this bill, is because they're fed up and they know that the New York City FOIL process is badly broken.

A couple of things that the Mayor should be concerned about, along with FOIL responses not being... requests not being responded to, which he documented every year, there are more serious concerns with the Freedom of Information Law. In

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 80 particular, the fact that the law is not being responded to equally and is highly politicized. have direct statements from senior agency officials from the previous administration, some of whom are still in office, that they routinely discard FOIL requests that they find politically inconvenient, bureaucratically inconvenient or otherwise embarrassing and that this indeed is a common part of the FOIL process in New York. This comes as no shock to anyone who has submitted a Freed of Information request, no shock to any advocate and no shock to any journalist. So we're very, very concerned, not only with the primitive state of FOIL and that fact that it's a system that exists on paper in which magic markers are used to redact sensitive information; we're also concerned about the fact that the bill... that... pardon me... that Freedom of Information Law process in New York City, as it currently is, is badly broken and it will continue to be broken until we adopt an Open FOIL Law that creates accountability provisions, transparent provisions so that the public can see what topics are being requested and how the responses to those topics and how agencies respond to those topics. So I want to directly link and point

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

to you that it is absolutely critical that in any
FOIL legislation going forward and any reform that we
the public are able to see what's being requested and
what's being sent in response to that request,
otherwise the gaming and the politics of FOIL will

continue on and on and on.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 81

A couple of other key points though.

FOIL is not just broken and paper-based and

political, it's also very expensive and we just today

are releasing a report, right here, that we call

"Beyond Magic Markers," which documents the cost of

FOIL in New York City and the potential savings of

Open FOIL.

So we've heard some earlier cost estimates today; we put a solid number on the request per FOIL at \$400 per request on average, at a total cost to the City of over \$20 million a year. We estimate that Open FOIL could save over \$13 million a year in FOIL processing costs while vastly speeding up responses and creating a transparent and more accountable system, and that's why we're here speaking in favor of Open FOIL.

A couple of other key points that I'd like to make today. (1) The provision in FOIL that

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 82 calls for disclosing the topic and disclosing the response is something that is being done by the Federal Government right now, using their Open FOIA system in response to hundreds of thousands of FOIL requests a year; that's Freedom of Information Act responses nationally. It's also being done by the City of Chicago and by the City of Oakland, so we are on well-trodden ground here. I just wanna make that clear, that what we're doing here is novel to New York, but something that government agencies nationally have a lot of experience with. provision in the Open Data Bill that calls for releasing datasets to the Open Data portal, after they're released under FOIL, is absolutely crucial and without that provision, this bill means a lot I'll give you a specific example where this less. has already helped New York City. One of the biggest databases released under the Open Data Act is the PLUTO Database; that's Tax Lot Data, and the reason that database was released was because it was successfully FOILed from the Department of City Planning in a machine readable or a computer readable format, which then allowed advocates and advocates internally to make the case that that PLUTO database,

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

which is one of the most heavily used, should be put into the Open Data Portal so there is a direct link between FOIL and releasing information that has been FOILed in Open Data, and that provision, which we call One Strike, You're In, has to stay in the Open FOIL Bill and it's absolutely crucial. clarify, and it's too bad the Administration is not here; perhaps someone's taking notes, the intent of this bill, and we believe the plain language of the bill, is not that that data has to go immediately into the Open Data Portal; it is simply that data that is successfully FOILed has to be put on the agency Open Data schedule for release, along with many, many other datasets under the Open Data Law. So there is really no mechanical problem with that concept of One Strike, You're In to drive Open Data. We think that we're doing the Administration a huge favor here by codifying this in a rational and succinct way.

A couple other key points. One thing that Open FOIL does not do, not at all, is to undermine privacy. This bill does not change any privacy laws at all, and in fact, the same agency FOIL officers who are experts in the State Freedom of

Information Law and the Privacy Act and Federal HIPAA and FERPA and all those other privacy bills, will be the same ones who are redacting data using Open FOIL, but now they'll be redacting or removing that private or privileged information using a digital tool instead of a magic marker. So this is a change in how information is processed, but it does not at all change the privacy rules of the game whatsoever.

Again, we strongly support Open FOIL and we support the intent of the other bills here today, and we'd be happy to share with you additional research on the topic, including all of the various benefits the Freedom of Infor... pardon me, of the Open FOIL Law that we've published a number of reports on our website and that my colleagues will additionally cover. Thank you.

RACHAEL FAUSS: Good afternoon Chair

Kallos. My name is Rachael Fauss and I'm the Policy

Research Manager for Citizens Union, a nonpartisan

good government group dedicated to making democracy

work for all New Yorkers. I'm gonna try to

paraphrase my testimony here and since there are some

updates, I've got a lot of notes here to make sure to

mention. But just first off, we are please the

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 85 Committee's meeting to discuss these bills; we think they're very important ideas for improving the way that government operates through the use of technology. We're also a member of the Transparency Working Group that was mentioned and we are very involved with them in working on the Open FOIL legislation, so we're a big supporter of it. And on the Open Record though, the City Record bill online, we had a history of working on that bill when it was in the Council in 2009 and it was done administratively, in part with our pushing and the pushing of many others, including you, Chair Kallos. But we have some recommendations on this iteration of the issue that I'll be sharing. First on the Intro 149, the publication of the City Laws; we support codifying what the City is already doing and thing there are a number of things that could be considered possibly as amendments to this legislation or things that could be done administratively to improve the way that the public finds this information. We had trouble

ourselves finding the Law Department's website; if

it's very hard to find; if you do it within the

you do Google searches outside of the City's website

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

City's website it was also very hard to find; perhaps it'll be easier now that it's on the Resident Toolkit on the homepage. But just as a quick note, the URL for this website is a bunch of numbers: /nycnew, which is not very descriptive, and that may be partly why it's very hard for the public to find that outside of the Law Department's website. The City also has a Rules website, which is a lot more userfriendly in terms of finding this information.

Something we wanna flag that is not covered under this bill but could be considered, is that the administrative decisions that are made by agencies, so advisory opinions, for example, they are actually housed outside of the City's website at the Center for New York City Law at New York Law School; perhaps that's something else to consider looking at.

But in providing the City Charter,

Administrative Code and Rules in places where they're

most accessible by the public, what the City should

do is provide linkages on other websites where they

would be logically found, such as the City Council's

website, Department of Records and Information

Services, the City Hall Library, for example; maybe

somewhere that the public would look for this; the

City Clerk is another place that they might look for, and also a better URL would certainly be helpful to helping the public find this information.

And also, it was mentioned that the third-party website now isn't necessarily the most searchable; something that I did notice in perusing it is that you have to click through each section; it's very difficult to find a larger section of text; the search functions could certainly be improved and the download ability so that the public can find exactly what they're looking for, not maybe just a tiny piece or all of it in a large PDF; there's certainly a better balance that can be struck.

On the Open FOIL Bill, Intro 328, we support the legislation and, as I mentioned, were involved in the initial drafting, but we'd like to thank our partner Reinvent Albany for their leadership on this bill.

If enacted, it would put the City at the forefront nationally; there are very few examples of this being done elsewhere, but I think, as John mentioned, the ones where it is being done, it's shown that there are ways to address some of these

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 88 thorny issues that have been mentioned around privacy.

There are some components we think that are crucial that make this legislation as drafted now extremely important if it were enacted.

The tracking of compliance by agencies with the bill through metrics in the Mayor's

Management Report, we think the bill could also include the Preliminary Management Report; that would be useful. It sounds like the Administration is thinking about how to incorporate FOIL requests in with the Mayor's Management Report now; I think that's encouraging, but I think having it in the bill spelled out explicitly would be useful.

The integration with the City's Open Data
Law is also very important. As John mentioned, the
"First Strike, You're In" policy is something that we
strongly support, and again, this would be done
according to the Open Data Law, which allows for
agencies to do a schedule and it wouldn't necessarily
mean that it would be automatically posted on the
Open Data portal.

The full integration with city websites is an important part of the bill; right now the bill

requires that all the agencies have a link to the FOIL portal. You go on many of these agencies' websites and there's no information about the FOIL officers... no information about how to complete a FOIL request. Some have nothing and some have good information, but clearly, there should be linkages to the centralized portal that would be created under

The inclusion of pending and fulfilled requests in a searchable manner is extremely important. We support all FOIL requests being put on the portal, rather than an opting in system that was flagged earlier. The bill as drafted now has a unique identifier for each requester; I think that would help address some of the privacy issues, but just wanted to flag that at the Port Authority, right now you can see the name, address, telephone number, everything of people who are requesting information through the Port Authority of their FOIL tracker, so obviously this bill is much narrower in scope than what's already being done in other places.

The director of records access officers, we think that's another important component,

this bill.

committee on governmental operations, jointly with committee on technology 90 especially given that this information is not currently on City websites.

And then access to statistics that will enable the public to monitor fulfillment of requests.

Again, as John mentioned, I think the accountability of this effort is incredibly important; many of the Open Data Laws that our groups have advocated for and Citizens Union has advocated for, like the City's Open Data Law, there's a State Executive Order; deadlines are very important to make sure that things are happening in a manner that can be tracked by the public, so accountability tools in this legislation I think would ensure the success of Open FOIL, if the City is gonna push it forward and to it in a comprehensive way with all agencies.

Lastly, on the City Record bill, we support the bill's efforts to put the City Record online in a more searchable manner, but we recommend that the print edition still be made available. As I mentioned, we've advocated for this in the past; we also had it as part of our recommendations for the City Charter Revision Commission in 2010.

As far as the costs, I just wanted to flag this for the Committee, one thing that I saw in

preparing for this is that in 2009 there were 345

paid subscribers, brining in \$130,000 in revenue, and

the printing costs at the time were \$1.2 million. I

know that was a question that was raised. That was

2009, so obviously things may have changed since

7 then. The current subscribing cost to subscribe is

8 \$500.

However, in expanding access on one level by making the online version more searchable, the bill may unnecessarily reduce public access by ending the print version. So we just caution the Council to consider those who may have limited internet access, since almost a quarter of New Yorkers live in households without a desktop, laptop, notebook or netbook at home.

So another thing we wanted to flag for the Committee is that reaching out to community boards and libraries to determine whether their clients access the City Record in print versions may be useful to help determine the utility of keeping the print copies available in some form.

We reviewed the issue that was raised by the Administration about the State Law; we do think that, you know there were some issues raised there

about procurement and bidding that may still require print versions, but as drafted, this bill wouldn't necessarily preclude that from still occurred, it just would specify that the City Record would be online and fully searchable; that wouldn't mean that there wouldn't necessarily... they wouldn't be able to

print those notification requirements in another way

to fulfill State Law, so we just wanna flag that.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 92

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

So in reviewing other cities and what they've done in regards to public access to information, one thing I wanted to mention is that other cities do a really great job publicizing public meetings, hearings and meetings of government bodies. Right now, other than through a formal notification process like the City Record that New York has, other cities have calendars of public events, of city events; New York City has on its website a calendar of events going on in the city, but strangely there are no... very, very few government meetings, hearings, etc. that are actually on that website. So beyond making the City Record as it is now fully searchable, easier to find public meetings, notices and whatnot, integration with the City's current calendar I think would be another useful way of getting information

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 93 out to the public about public meetings held by the various bodies of the city.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

2.2

23

24

25

Thank you for the opportunity to testify, and I'll be happy to answer questions later.

GENE RUSSIANOFF: Good afternoon. T'm Gene Russianoff from the New York Public Interest Research Group and I am the Co-Chair of the Transparency Working Group, along with John. gonna focus my comments on Open FOIL, Intro 328, and maybe I'm a little... suffering from skipping lunch, but I came away with a hopeful feeling, after legal counsel Wiley's testimony; I think that they share the same goal that we have, which is creating a central portal which all FOIL requests go through and they share our view that there's a problem here; the part where we differ a little bit is how much work it's gonna take to make that happen. I'm confident that this mayor can create 200,000 units of affordable housing and I'm confident that he can do universal pre-kindergarten, and so I am also confident that he can take on the issue of Open FOIL and make it a more transparent and open process.

I base that view on the fact that he is the author of a 2013 report which goes into great

committee on governmental operations, jointly with committee on technology 94 detail about how broken the FOIL system is in New York and I'm just gonna say one or two things from it.

It really makes the case -- his report was called "Breaking Trough the Bureaucracy" and it looked at FOIL on an individual agency basis.

One of the things he found was that the process for submitting FOIL requests to City agencies and tracking down their status is very inconsistent; it can be extremely challenging for the public to navigate; 40 percent of the City agencies lack the information on their website where to direct to FOIL and neither 311 nor the City's Green Book provides this information. Among the encouraging... the tea leaf reading [sic] during Maya Wiley's testimony is that they put together a list of FOIL officers at agencies and that is incredibly valuable; hopefully there'll be easy access to the information, but just fining out where you direct your request is very hard and obviously an Open FOIL portal system would change that.

Then-Public Advocate Bill de Blasio found out through the three months of FOIL data that was analyzed, more than 1,000 individuals or groups have

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

not received an approval or a denial determination after more than six months of waiting. That represents 1 in 10 requests that were either ignored or fell through the cracks. There's a problem.

If you look at the report, he basically recommends what we're advocating for and urges that the City Council pass legislation mandating that all City agencies must proactively publish commonly requested records online and follow the Federal Government's lead. You know, you just have to look at Chicago, Oakland; Washington, D.C.; they found ways to make this information available without violating the privacy concerns and enjoying the benefits of the law. So for FOIL'ers like myself, the bill allows quicker tracking and analysis, ensuring fairer treatment of all FOIL requests, respects privacy concerns and allows downloading of information that's already there. And for the City agencies, this is gonna substantially reduce their costs and administrative burdens, as well as allowing information to be downloaded off of the central portal.

This is one that we're gonna have to hang in there; obviously the Administration has some

concerns, but they share the goals and this is an area where it's important that the City take action to back up its views of openness and transparency.

Thank you very much.

CATHERINE GRAY: My name is Catherine

Gray and I serve as the Vice President of the League of Women Voters in New York City and the League of Women Voters is a multi-issue, nonpartisan political organization; we encourage informed and active participation in government. We work to increase understanding of major policy issues and influence public policy through advocacy and education. I'm also the League's representative on the Transparency Working Group, which supports efforts to use technology to make New York City government more open and accountable and to ensure that public has easy access to the City's digital data. I thank you for holding this hearing and for inviting us to testify.

The New York City League of Women Voters has continually supported the Open Data Laws of New York City and the goals of promoting more transparency in government to secure a better informed citizen.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

Freedom of Information Act was created in 1966 by LBJ on July 4th, it went into effect a year later. Today's technology should make it possible to accomplish the goals and intent of that law fully to enable citizens to become informed in a timely manner using machine readable data that is constantly updated.

The FOIL request correlates directly to the League of Women Voters' goal of promoting active public participation in government and is one of the most important initiatives in pursuit of government transparency. An Open Data portal will make it easier to achieve this. The bill states that information provided by City agencies pursuant to any special requirement shall include, in addition to other requirements of the law, publication of all such information on the agency's website in an open format and publications to the Open Data portal created in pursuant of Chapter 5 of this title. We hope the reference to "agency" in this bill as presently drafted or in future reference... sorry... revisions will include the New York City Board of Elections. The League of Women Voters would also like to have the datasets searchable with as minimal

keys as date of publication relevant agency and keyword categories, such as public hearing, procurement, notice change and personnel. [sic]

As to the City Record, it's a valuable document accessible to public in both non-paper, [sic] machine readable format and human readable format in a timely manner without barriers, such as cost and time of travel to the city and court offices.

The problem with some of the issues with the print document in some locations, such as the Brooklyn Public Library, is storage of the item. I know that people really do like... and some people can only read the print item.

The public notices to be introduced online, 367, provides another important vehicle for New Yorkers to use and gain easy access to meeting dates and changes in public programs and policy.

I agree with Rachael about the calendar, that would be interesting; I had a request from somebody that... from a meeting, that could not find the location of this meeting without my help.

While the League of Women Voters supports all three of these bills, we are still concerned that

without careful construction of standardized cataloguing and indexing systems, the use of data will only be limited to the most savvy computer user.

As to the City Charter online, I challenge any New Yorker to find... if you Google New York City Charter or even if you enter the search page on www.nyc.gov, you will only get the 209 [sic] charter, which was used in the resource for the 210 [sic] revision. If you search and search you will find it under New York City laws, but then you must look at section by section. The New York City Charter is our constitution, our city's most important document; the Charter is less than 200 pages, which is smaller than most regularly-issued city reports. A transparent government has to have its most important document front and center, accessible in entirety from the City's homepage.

The League of Women Voters is concerned about how these mega [sic] datasets are and will be handled; that is why we recommend a keyword search, such as title, type of data, map, files, documents, annual reports, etc.; persons' names, department agency status, dates, categories, subjects -- this system must be based on best practices and must be

standardized throughout the city. When this is done, the community boards, city staff and the public will be able to locate and use the information in some -- I thought 1,000 and 100 [sic] data, but Gale Brewer said it was 1500 are available. As a former librarian, these datasets now seem to be arranged as if all the books in the Library of Congress were dumped on the floor and we were told the book is somewhere in the pile and you should go get it.

Thank you for the opportunity to testify and we look forward to working with... in collaboration with other good government groups on improving access to public information. Thank you.

CHAIRPERSON KALLOS: Thank you very much.

I wanted to first ask those of you in the room -- I

see a bunch of you who I think wanna testify, but I

have not received testimony slips, so if you have not

filled one out it means you won't be called up; you

can get it from the sergeant at arms in back. Gonna

ask all of you to please send your testimony and

reports in digital format, non-PDF, because we're

gonna be posting it on BenKallos.com, which will make

everything that you've just said search engine

optimized and accessible. One of the problems I've

noticed from our first couple of hearings is that all
of you and many of the people in the audience come up
with brilliant testimony, but it gets printed, handed
to us scanned and then posted on our legistar system,
which is not search engine friendly and as a result,
unless, like Citizens Union, you post your testimony
on your own website; it disappears into this pile of

books in the middle of the Library of Congress.

can also... for those of you watching over the

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 101

1

9

10

19

20

21

22

23

24

25

livestream who couldn't take time off or are watching 11 12 an archived version because you couldn't take off 13 between 9 a.m. and 5 p.m. and come down to City Hall 14 to give testimony, you can visit 15 BenKallos.com/legislation and see the legislation that we're talking about today and actually click on 16 it, comment on it there and whatever you give I will 17 18 pass on to the City Council.

My first question is for the entire panel regarding Open FOIL and reporters. The Mayor's Office has indicated that the State Law prohibits the disclosure of FOIL requests made by reporters; the Manhattan Borough President has brought this up as a concern and yet the Mayor's Office has also said that reporters have FOILed for other reporters requests

and then I guess another question is just, is there an instance of a reporter actually getting another reporter's FOIL request that your agencies are aware of anecdotally or through others? But I guess the number one issue is how can we... what should we be doing with this legislation that admittedly your organizations helped to draft, because now it's about good governments drafting legislation; not special interests as it were?

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 102

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

JOHN KAEHNY: Sure, I'd be happy to comment on that. One, we know it's common practice in Albany in particular for the beat reporters to FOIL each other's FOILs and they are disclosed by the Governor's Office at least, so we thought it was novel that City Hall was claiming a commercial exemption for FOIL requests about other journalist FOILs. And I will just comment that our understanding is the opposite and that FOIL logs should be disclosed and that it's a little problematic to declare the Mayor's FOIL log a proprietary commercial document. So we're gonna definitely take another look at that and consult with Bob Freeman from the State Committee on Open Government, who has advised us that FOIL logs are

committee on governmental operations, jointly with committee on technology 103 subject to FOIL disclosure, period. So you know, he's our last word on this and he says other than what the Mayor's Office is saying.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

In terms of journalism and Open FOIL elsewhere, Chicago is the best example, they put all FOIL requests online and as do six major federal agencies, including who's making the request, and Open FOIL does not identify who's making the request, but in Chicago and both the Federal Government, using the Open FOIA system, for some years now they have been making the entirety of the request, the response and who's making the request public and it seems to be okay somehow. So it's... you know, how can we deal with the concern of the New York press, which seems to be more nonplussed than maybe the National press or Chicago press; it's pretty easy; I think we can put a delay into the disclosure of the responses. The law as written says 10 days is the deadline for publishing the response. So that would be a pretty simple way of ensuring a scoop. That said, you know my personal opinion is that, per Chicago and per the Federal Government, that the more exclusions and exceptions that are put into this, the more difficult it becomes to ensure transparency and accountability.

other organizations care to touch on this or?

CHAIRPERSON KALLOS: Would any of the

GENE RUSSIANOFF: I don't have anything really substantive to add other than to note my sense of irony that a major group of people questioning the statute or the legislation are journalists themselves who are trying dispense, one thinks is trying [sic] to dispense information, plus my reading of the law is that if I made an FOI request for all the FOI requests filed by reporters, I'm not in commercial competition with those reporters and I would have

different reasons for wanting to look at them; many

of them classic FOIL reasons.

RACHAEL FAUSS: I would just add, from Citizens Union perspective, public information shouldn't be privileged information, it's something that belongs to everyone. If it's subject to the Freedom of Information Law, you know we believe in proactive disclosure and moving in the direction that this bill establishes would put us in line with that, so I think what John mentioned about a 10-day delay might be a way to address concerns, but in principle, public information should not be privileged.

CHAIRPERSON KALLOS: Thank you.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

2.2

23

24

25

CATHERINE GRAY: I also feel that the City Government should not decide which FOIL requests should be put online and which shouldn't.

CHAIRPERSON KALLOS: Thank you.

Assuming, generously, that the Administration decided that they were going to build this site on New Year's Day, on January 1st, 2014, they have been able to put this site online in 160 days; at the same time, the Administration has expressed concerns with the current timeline of this, which would take effect one year after enactment, so on and so forth, that DoITT shall take such actions and so on and so forth, further provided that shall submit an implementation plan and implementation manual to the Council describing to the Councils and agencies the steps necessary to implement this law and providing quidance to agencies and standards of tracking... what ... do we need something more like a phase-in, as was part of Open Data or is there a different timeline or is this timeline generous enough, given the fact that the Administration was able to do it for itself in the Mayor's Office in less than 160 days?

JOHN KAEHNY: A phased-in guideline developed in consultation with the Administration

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 106 makes a ton of sense, so maybe there are increments there. But the part of Maya Wiley's testimony that was the biggest concern to us is that she committed to no deadlines whatsoever, and we're concerned that without some accountability, without some deadlines that nothing will happen, bluntly, because good intentions are good intentions. So if the Administration wants to work with you and your cosponsors on a timeline that they find reasonable and acceptable, that's fine, but we need, as the public, to see some kind of deadlines and some kind of commitment in writing to meeting those deadlines, and that could be a phased-in... it could be phased in by agencies or by steps. But really, this lends itself with the open source software developed by Oakland, which we believe that they've used for their City Hall FOIL tracker, to start testing right away at different agencies. If there's five agencies or two that are ready for it, they should start testing them. But we'd like to see something codified in law that has real deadlines in it. GENE RUSSIANOFF: And to me the broa...

[clearing throat] pardon me... the broader question

here is, should Open FOIL be the subject of the

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

legislation? Part of the testimony by the City

Administration was that they'll see what's involved

and they'll make judgments and maybe they should run

the whole thing and I think this is particularly an

appropriate subject for legislation, for the Council

setting a policy on dispensing of information to the

public, and I think the Council would be remiss not

seriously considering the legislative route and

taking the Administration's word that they're gonna

get to it.

CHAIRPERSON KALLOS: Thank you. I'd like to move on to the City Record online. Seems like, and to be quite honest, the reason for removing the publication requirement was to save the City the \$1.2 million that we're currently spending on its publication and in hopes of creating responsible legislation that is not unfunded mandates, but actually funds itself. That being said, it seems to be something that people have objective to, including your own panel. How many people do you believe have access to it in paper that would not have access to it online or don't have access to it online already?

RACHAEL FAUSS: Speaking on behalf of Citizens Union, you know, we've admittedly not done

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 108 that research; I do know that, like I said, in 2009 we knew the number of subscribers; it would be very interesting to see if DCAS could provide that again this time to the Committee to see the number of subscribers to the print City Record. You know in general it's our view that this legislation should be increasing public access to the City Record. goals of putting it online in a searchable and userfriendly manner are extremely laudable and many would benefit from that; we just wanna make sure that there aren't any populations that are being left behind in that move, and that's the thrust of our suggestion that the print requirements not be eliminated entirely. We think that some logical places for the Committee perhaps to look for more information is in reaching out to libraries and community boards; those two seem like the avenues where the print publications are currently going, where there may be people who have limited computer literacy, so those are some places to look. It could be that the staff at the libraries and the staff at the community boards are able to help the members of the public digest the City Record online, print it out; figure it out, but it's just something that we wanted to

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 109 ensure that the Committee did a little more looking at. And there may be some members of the public who just simply prefer having a print edition. still people on the subway who read a print New York Times every day, so you know, obviously \$1.2 million in 2009 with \$130,000 of revenue doesn't make sense; maybe there is a different balance that could be [background comment] struck so that there can be some print for the people who want it or need it and then, certainly less printing requirements for those people who don't need it; Council, for example, is on the list; I imagine that all the Council staff is able to go online and see the City Record, but perhaps there's a balance we can strike in the middle. GENE RUSSIANOFF: And If I understand Ms. Wiley's testimony correctly, it's a matter of State Law; whether you... I don't think you have the authority to get rid of the... completely the print version, I think there are State Law requirements that constrain you. And if I understood her correctly, it sounded like the Bloomberg administration had gone to Albany and said, you know

we're losing \$1.2 million bucks and nobody reads this

So it may not be... an intro may not be the

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

2 best way to try and deal with this; talking with the

3 Administration about what the options are for... you

4 know, we would not have any love loss... I get the City

5 Record every day and I feel guilty; I try looking

6 through it and it's a tough read. [laugh] But so my

7  $\parallel$  group, it doesn't seem to serve that much purpose,

8 | but... and it sounds like the Bloomberg people agreed

9 and tried to get rid of the print requirement and

10 | failed. You know, we don't know the details, but it

11 | was unsuccessful.

1

12

13

14

15

16

17

18

19

20

21

22

23

24

25

RACHAEL FAUSS: We also looked at the State Law requirements and it could simply be the issue of how you define a newspaper and how you define the City's publication of its own information. So if State Law requires that procurement bids be put in print version, paper version; perhaps this bill could be changed so that it's clear that it's not circumventing State Law. But as I said, we still obviously see some value in print versions for a certain population, so just wanna flag that.

CATHERINE GRAY: Checking through each subscriber and asking them which version they want will eliminate quite a few people that would not have to have the bound issue and thus cut the costs down.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 111

But allowing like the Brooklyn Public Library access
to it electronically without storing the bound

volumes would be useful, because I think right now
they're either required to house the bound volumes or
not get it at all.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHAIRPERSON KALLOS: At the risk of being perhaps too transparent and showing how the legislation is crafted, would your organizations be friendly to maintaining, if our Committee Counsel would indulge me on this, and go through all the places that it's currently being disseminated and making sure that in places like City Council offices and government offices where we do have internet access or should have internet accesses, if we still have offices that don't, remove those publication requirements but maintain them in libraries and for subscription services and anywhere where it could be otherwise publicly accessed so that we might be able to chip away at that \$1.2 million and hopefully get it down to a number much smaller, because after all, a million dollars is quite a lot of money. guess the question is; would you support a limitation on the publication requirements so that we don't... it doesn't necessarily need to be available in City

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 112

Council offices, for instance, so that if... for

example?

RACHAEL FAUSS: We'd be happy to chat with your office later and work out some details in looking at it, but I don't wanna speak without seeing what exactly it looks like, but I think in principle it sounds like that would be in line with what we're thinking.

GENE RUSSIANOFF: And I'm of the generation where sometime reading things online is really difficult and looking at a print copy of the City Record is easier, so you know, there are people like me to worry about.

CHAIRPERSON KALLOS: I wanna just thank you all for your leadership on Open FOIL, on Open Law and on the City Record online and to Citizens Union for having actually gotten most of the City Record online, even if it's in PDF or unfriendly search terms, and for just all of this; it is a pleasure to be the Chair of Governmental Operations, inheriting so much of what has already happened and begin able to continue to push forward. Thank you very much for your testimony today.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I'd like to now do something. Some people think we have three branches of government; I was actually always taught we have four branches of government. So the fourth branch is, of course, the Fourth Estate, the press, and the press has brought up concerns about this legislation and whereas typically the press might give an op-ed or something else; I have actually asked my friends and colleagues from the other branch whose responsibility it is to check this branch and the other three, to engage in the process that we have here, which is providing hearings between the hours of 9 and 5 at City Hall and I was very lucky to have two take me up on the offer, so and something that is slightly nontraditional, and for those watching the livestream, I think it's worth covering because it is... while it is Metanews, it is great and I do appreciate their courage for stepping forward and saying on the record what their concerns are with this legislation so that we can react to it in a transparent and public way. So without further ado I'd like to call Azi Paybarah [sp?] who is here representing himself and Kristen Meriwether who is here representing herself.

KRISTEN MERIWETHER: Hello. So my name is Kristen Meriwether; I am a local reporter here in New York City; I'd like the record to show that I am representing myself and not the publication that I work for.

The idea of bringing transparency to a very opaque process and using technology to do it is something I support; there are however some issues that relate specifically to reporters and that's why I'll be testifying today.

Showing how all the City agencies respond to FOIL requests is the main objective; that is something I, as well as other reporters wholeheartedly support, but showing what each individual reporter looking for and when is something that is not necessary to achieving the above goal; therefore I object putting the information we are seeking on the portal in real time.

By putting this information online in real time you'll be compromising the competitive advantage a reporter has; any other reporter could just scan the portal and see who's working on what. It would be even worse when their request is finally filled and published online; another reporter could

come along and use that information for their own story.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I believe the bill as written said it will give 10 days after the request has been filled before being put online; I think that leeway is on the low end of what would work for reporters; it might work in some cases and not in others. Good stories can take time to put together, especially if there's a lot of data. What if the FOIL request... what if the response to the FOIL request required me to submit another request; another reporter could just follow along, tracking that information. been told we don't need to ... we don't have anything to worry about, because reporters... FOIL requests of other reporters; while I have no doubt that may go on, although I personally have never heard of this, there's a world of difference between submitting that request and opening up a website and getting the exact same information. Someone also argued that this is being done at the federal level; I would argue that just because something is done somewhere else doesn't mean it's right here. This is a local law and I strongly believe local conditions should be taken into account. I would argue that this is one

committee on governmental operations, jointly with committee on technology 116 of the most competitive media markets in the country; while that may be trivial to some, it's not to me and my fellow reporters; this is our livelihood, this is how we put food on our table and pay our taxes.

Staying competitive is how we stay employed and I would hope the Council takes those concerns into consideration. And now Azi will provide you with a few more examples.

CHAIRPERSON KALLOS: Azi, please share your Twitter name, 'cause I'm having trouble pulling it up.

AZI PAYBARAH: It's A Z I, just the first name. [background comment] Hopefully it's easy to follow, if it's not easy to pronounce.

Some of the concerns that reporters have had about the proposed legislation I think have been addressed to some degree in some of the remarks earlier. As Kristen said, there is a concern about the data being revealed as reporters are seeking it; compromising some of the competitive nature of the work that we do. There's also some questions about when that information would be revealed, but I think the news that the Administration revealed a FOIL tracker for the Mayor's Office was welcomed news, and

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 117 I'm sure it has been described as a sea change, the fact that everyone is working towards the same goal of increasing transparency and everyone who I've communicated with about this bill -- reporters, lawmakers -- share that goal, but like you said, the devil is in the details. If you look at what was just posted online by the Mayor's Office, the Freedom of Information Law Tracker, you have a tracking number as opposed to a reporter's name or identification and I think that's a welcomed approach about how to go about this. The second column shows status, and so far all the statuses are either pending or completed; so far they have not denied one that they have put online. They have the date of the request and then the date of determination. Again, as partly tracking this, it's a helpful sign. think Counsel Wiley had said that they're not including all requests in this at this time, making it something of an opt-in approach, but one thing that seems to be missing is the reason why requests are pending and what is the nature of a request that has been completed; does a denial count in the completed category or does the release of information

also count? That's unclear; in fact, it's [siren]

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 118 it's not even clear that all FOIL requests made during the time period that are reflected on this site have even been given a FOIL number in order to track. And again, without disclosing what I or some of my colleagues might have been working or requested, you know, is every FOIL request that they have received been given a FOIL number that people can look at if they wanted to on this website? not sure. And I think, just lastly, I'll finish my remarks by saying, the objection that people have about reporters requesting other reporters' FOIL requests, we may not like it, but if it's allowed by law it's something that has to stand and if the City Administration is taking it upon themselves to interpret that law in a different way than has been in the past, A. that could be problematic, and B. that could in itself explain why the Council needs to legislate this rather than have an agreement with the current administration. As we saw last year, governments change, city governments take new directions and the laws that this body passes are meant to stand change in administrations. CHAIRPERSON KALLOS: Thank you both for

appearing in your individual capacities. Were we to

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 119 create a narrow carve-out for reporters, both of you in fact; Azi, you've written about lack of FOIL compliance; part of the tool here is to create transparency around requests so that instead of you and the Associate Press and others having to continually write the same story over and over again, and there's only a certain number of times you can pitch an editor or a publisher the fact that people aren't responding to FOIL requests, so at the end of the day this is more as an empowerment tool for the Fourth Estate. So if you're not there and your FOIL requests are going unanswered and everyone else's are tracked and are answered in a timely fashion because of the system, how does that serve the Fourth Estate and your requests?

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

AZI PAYBARAH: Well my initial reaction is to be somewhat reserved about the idea of making special provisions and carve-outs for reporters rather than, let's say the panel that was before us, like a good government group; if Gene Russianoff sends in a request for the Mayor's schedule, for example, and I send in the same request, you know, we shouldn't be treated any differently under this law. So I'm gonna put aside the issue of like a carve-out

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 120 per se for reporters; I think this legislation, as it's been proposed, sort of has two components to it, right; one is reporters have a concern about protecting the nature of their work and I would argue that anyone who... most people who are requesting data or FOIL may not be prepared to see... to reveal that information as they're seeking it, only because the context of what they're looking to do or see or ascertain cannot always be understood in the immediate. You know there's annual reports that come out, because you need time for information to metastasize and people to understand the information that they're gathering. So if we're able to not put the emphasis on revealing in real time what information is being gathered, that might alleviate some reporters' concerns about the competitive nature of the work that they do. The second component of this legislation is holding this government accountable for releasing their information. think is appropriate and if not required for this government body to sort of look at. Now if there is a more detailed breakdown than what's been presented today in this one category of status; that I think is something that could be looked and revealed; the idea

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 121 that each department should be held accountable for the number of requests that they fill out or for being able to articulate why certain information was denied, if that could be gathered over, you know, a quarterly period or a biannual period or at least put in the Mayor's Management Report; that would help provide the kind of accountability that I think I don't thing revealing it the day you're seeking. that it's submitted does anything more than that. Now with respect to a story I have written in the past about FOIL responsiveness in this administration and the Associated Press having their story, the intent to assist the media in that I think is a laudable goal, but I don't know if that's really the domain of the City Council; it's up to the news organizations themselves to determine if that's news, when it's news and have that healthy tension between reporters and editors and serving the public's needs with that. So I would say as long as the focus is on the FOIL officers specifically and how responsive they are and then if you're able to track that by the department; that would enable the public to say if there is this one department that's so lacking their ability to be responsive to FOIL requests, is it the

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

part of the FOIL officer or is it the fact that their department head hasn't provided more assistance to that officer? If they see that the NYPD or the Department of Corrections is being inundated with FOIL requests, at some point, you know, if we're able to track it down to the officer and then the department, we could see, is there a systematic approach to stifling FOIL requests by not providing more resources to the area that clearly there is that need for it.

CHAIRPERSON KALLOS: Kristen, you mentioned the 10-day delay as not being substantial and Azi, you slightly referenced that, is there a delay that would be more helpful?

Can't speak for every reporter; I think if you're doing a pretty beefy investigative piece, I mean something like 30 days, 6 weeks, something like that, we had talked earlier, there had been a discussion, once a quarter, I think quarterly or annually, like those kind of reports; I think that's a little bit long. For me, I'm thinking more along 30 days; 6 weeks, but I don't know, I mean that's something... I think we'd have to come together and... I think that's

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

for a discussion that needs to have a lot more people than just myself and... I don't know, do you... what do you think as far as...

AZI PAYBARAH: I mean I think that's one of those details that could be worked out a little bit later. I don't know if City Hall or the City Council should be required to release data once it's been given to a reporter or to a requester upon the completion of a FOIL request. The Daily News has written a story about the Mayor's schedule; there was a story written about it, it was widely picked up; now that that information is out there and at least gathered, is it City Hall's responsibility to put up that selection of the Mayor's daily schedule or should it have been onto that news organization to decide whether or not to make that dataset available to its readers? I mean I think the Daily News had their decision to make and maybe they're in the process of making it; I'm not sure, but they clearly are the masters of their domain when it comes to what to do with that information that they have attained. Now it's up to City Hall... I don't know if it should be legislated that they are required to do this; if Maya Wiley's gonna make the point that it could delay

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 124 information being disclosed, that would certainly be something to be considered, but if your legislation is able to track in a broad brush scope the type of information -- schedules, communications with outside organizations -- in a regular period, that would provide all of us the ability to, as Jimmy Vacca says, to see what's been coming in and see how they're responding to it. So I think that the timing issue can be dealt with -- 10 days, 2 months -something we're getting reported [sic] but the idea it has... like there has to be a focus on the person who's responding and holding them accountable, and one question is, in this legislation; is there any penalty for not responding? I mean I think we've seen any number of times in New York City government and in New York City politics, you know the limited power of shaming someone; you know if shame was enough to sort of compel action, you know, arguable there would be many different actors in government doing a lot of different things. So just because there's the ability to public show that someone is not responding to a FOIL request, I don't know if that's enough to actually compel them into action.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

1

CHAIRPERSON KALLOS: Good questions.

Thank you. The... I think just as the tables seems to be turning as reporters tend to ask more questions [laugh] in interacting with elected officials, the thought is that when there is public information and that it belongs to the government, that we have an obligation to make it public, not only for reporters, but for everyone, so if the Mayor's schedule has been released to the Daily News, the thought is that every other reporter, every citizen; every resident should have the ability to access that same information and that's the thought behind Open Data. When one piece of information is requested, there tends to be things that get requested a lot, like the birth certificate for President Obama; at some point perhaps there will be birth certificates requested for Bill de Blasio; who knows where the birthers will go next, at the risk of attracting their ire. But point being that when it's a schedule, that could be a dataset that should be out there and as you see from the Mayor's Office, given their commitment to this, the hope is to move away from a culture where somebody has to FOIL something every single day and literally, you can do that, you can set up an Outlook task or a

Google task for every day, at 8 a.m. you email the Mayor, requesting their schedule or we can just be more proactive and I think that's the direction we're heading and what we're hoping to do and the other piece is cost savings, which kind of got referred to in the City Record conversation, which is at \$400 per FOIL request at the average cost as computed by our friends and colleagues at Reinvent Albany in "Beyond Magic Markers," their report released today, anything we can do to prevent people asking for things that we've already made available is a huge cost savings to us, so. I don't think I have anymore questions for you, unless you guys have questions for me.

## [background comment]

AZI PAYBARAH: No real questions, but I would just underscore the point that when there's a reliance on a government saying we give you our word that we'll provide this information on a regular basis; I mean it's nice to have that kind of tradition, but what happens when governments change, administrations leave; officials leave? So I think the idea that there is no need for this kind of legislation is I think overstating it somewhat and in the ongoing conversations about this legislation, if

2.2

the focus can be put on tracking the responses coming out of City Hall rather than the requests coming in; nothing is changing about City Hall knowing the information... the requests that are coming in based on this bill; the real potential here is to show in the aggregate form what they're doing and any kind of tweaks or adjustments to this bill I think have to be focused on the result of the Administration rather than on the different news organizations and how they're trying to go about getting information.

CHAIRPERSON KALLOS: I promise you, I remain unswayed by the Administration's repeated requests for me not to legislate on various topics; for the time being I am a legislature and that is the tool that I have, legislation and oversight, so please rest assured that I will move forward with this legislation, along with, hopefully, the good government, Transparency Working and technology communities. Thank you so very much for this unprecedented testimony today. Thank you.

AZI PAYBARAH: Thanks.

CHAIRPERSON KALLOS: The final panel and if you had wanted to, please make sure to fill out a slip. Is Noel Hidalgo from BetaNYC and brigade

2 commander for A Code for America, Rebecca Williams

3 | from Sunlight Foundation, who joins us from

4 Washington, D.C. for the second time in a couple of

5 days, and Paula Segal from 596 Acres.

And so this is it, so if you have not filled out a slip with the sergeant at arms you will not be giving testimony, but you can give it at BenKallos.com/legislation. And again, everyone is reminded to please to submit your testimony electronically so we can put it online in an electronically searchable, search-engine-optimized format on our pretty, pretty Drupal site, and when you speak, please give us your name, your organization, and something that is new for our committee and we will hopefully be updating our appearance cards to reflect it, is your Twitter names. So without further ado.

[background comments]

PAULA SEGAL: Is that on? There we go.

Hi, I'm Paula Segal; I'm the Director of 596 Acres;

we are New York City's community land access

advocates; we work with the City's Open Data, so it's

interesting to be here at this committee meeting;

thank you for giving me a few moments to testify

about how data information and the Freedom of
Information Law currently impact our work. On
Twitter we're 596Acres, it's very simple.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I'd like to add a note about the FOIL campaign that led to the release of the MapPLUTO data from behind a 10-year-old paywall, which put a \$3,000 price tag per year on advocates having accurate financial and ownership information by parcel for properties in the city. In partnership with the CUNY Center for Mapping and BetaNYC and our friends in the media, we mobilized dozens of successful FOIL requests for this dataset and each one was promptly provided by the Department of City Planning for the cost of duplication -- five DVDs, each in its own jewel case, and each one with a price tag of \$1. through the Freedom of Information Law last year, advocates were able to get for \$5 what community groups had paid thousands of dollars for in the It was a welcome relief to see that decade before. the Department of City Planning chose to make the information available through a download link without the need for a formal FOIL request and to eliminate the paywall entirely after several months of the campaign. It's also my hope that the fees paid by

advocates and community-based organizations over the past 10 years will someday be refunded. Our campaign serves as a great model for the implementation of the Open FOIL bill; I would urge that one request should be enough to make it mandatory that an agency post a requested document online, we shouldn't have to stage a campaign.

To support our core work and create the most accurate available map of vacant publicly-owned lots that present opportunities for community land access, we've used two of the datasets currently in the Open Data portal. The new dataset we've created is pretty good but it's not perfect and we regularly rely on FOIL requests to fill in gaps we revealed in agency plan information and in procedure. I'm here today in support of a centralized FOIL portal that will make it easier for us to do our work. It will also make irregularities in FOIL responses that regularly mark our correspondence much less likely.

As then-Public Advocate de Blasio's reported noted, agencies tend to expedite or delay requests based on the identify of the requester. In our experience, this prejudicial treatment goes even deeper. I'm going to bring one example to attention

committee on governmental operations, jointly with committee on technology 131 of the committee -- an example that's kind of sweet and illustrates that, even where agency records access officers have the best intentions, the current process doesn't reliably produce documents as they are requested.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

There is a swath of properties in the Melrose section of the Bronx that are slated to become a park under the Melrose Commons Urban Renewal Plan. I spoke with the Bronx Borough Parks office manager, who assured me that they were working on it and that she would follow up with an email, telling me what the plans were for the site, and after not hearing from her for a month, I put in a FOIL request. I referred to the property by its borough block and lot number, by its name in the Urban Renewal Plan and by the Parks Department name; it's being called the Melrose Commons Park. The request was acknowledged within five days and I received a response within twenty, as the acknowledgement had promised, but what the response revealed is that the staff at Parks knows who I am, they know what we do and they didn't necessarily read the request; they didn't disclose anything related to the site, to the borough block and lot numbers that I had actually

requested. Instead they sent a couple of copies of community garden licenses for gardens in the neighborhood of Melrose Commons -- with different names and clearly different block and lot numbers.

Our core work is making these spaces possible, but that wasn't what I had asked for.

So it's a sweet error, but it exposes the quixotic nature of current agency responses to FOIL requests. We're looking forward to a more transparent and streamlined process that will make such errors less likely. Thank you so much.

REBECCA WILLIAMS: Hello; I'm Rebecca
Williams; I'm up from D.C. from the Sunlight
Foundation; my role there is a Policy Analyst on the
local team, so I look at open data laws across the
country and I'm gonna go a little off script and just
tell you guys what else is happening and why this is,
like John said, overdue; not actually new. There's a
lot of examples out there of things like this already
happening and I'm happy to support each one of these
bills and even other bills that aren't at this
hearing today that are relevant to transparency in
New York City.

So just going through them -- first the 2 3 Open Law Bill. What's important about New York 4 City's current law online -- sure, you can look at it in the browser and search by keyword, but it's not 5 6 structured; no one has talked about licensing. 7 Looking at the New York Legal Publisher, it doesn't seem to have an open license -- we had something 8 similar actually happen in the District of Columbia 9 last year; we had a software developer that wanted to 10 11 create a bike app and he wanted to include bike laws 12 in his bike app; thought it would be simple enough; 13 not simple. It wasn't structured; there's not API; 14 also it was license, so it was illegal for him to 15 include his bike law in his bike app and that is essentially the situation in New York City right now 16 and in a world where you can get so much on your cell 17 phone, laws should be the simplest thing to get 18 So there's a lot that could be done there. 19

And two I think points made earlier about not everyone having online access, that's more reason for having APIs; a lot of people don't have online access at home, but they have online access on their phone, so you're actually addressing a lot of the

20

21

22

23

24

committee on governmental operations, jointly with committee on technology 134 digital divide issues, if you're getting things on people's phones.

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

To the point about the Open FOIL portal, this is really, really exciting stuff; I think collectively all of these bills are sort of filling in what might've been not addressed in the Open Data legislation of New York -- just to zoom out a little bit, since... there's now 40 open data laws on the books across the country; that's a lot more than there were in 2012, when New York City passed our Open Data Law. All of these bills address data that isn't just automatically structure and easy to release, but information that is the public's and that you can get in other ways and it's just difficult. So addressing their structure and their format and making them more available makes sense. The examples of Oakland and Chicago and the feds were already brought up in terms of FOIL requests online. In addition, [sic] Chicago, Cook County, included their FOIL logs be included in their open data portal and their open data law, so that's happening elsewhere. And then internationally, Alaveteli, the international service, is in over a dozen countries -- this is something that's not new -- other places

have been doing it for years and New York City should do it because they've been a leader in open data to begin with and I don't think you guys should lose your lead.

And then the last point, the City Record online -- there's a lot of procurement information there that if you structured it and made it more available and made it available in bulk; not just searchable online in the browser, but made it available to download so that you could actually do real analysis about how the city functions. All of this data is the harder data; it's not just spreadsheets you put on the open data portal, it's the stuff that lets citizens know how New York City is functioning.

And it's incredibly important that these are passed and if you guys need any advice from Sunlight, let us know.

NOEL HIDALGO: Great. There's been so much information; I will read my initial comments and then riff.

Hi, my name is Noel Hidalgo, Executive

Director of BetaNYC. It's a great honor to represent

New York City's technology community; particularly a

committee on governmental operations, jointly with committee on technology 136 rather active group of technologists -- the civic hacker.

BetaNYC works to create a New York City government for the people, by the people for the 21st century. We meet regularly to develop new avenues for civic engagement. We are members of the New York City Transparency Working Group; collectively, we want to see our City adopt tools, programs and law that increase transparency, efficiency and participation.

Last year our community published the People's Roadmap to the Digital New York City -- this is a manifesto combine with 32 ideas into a foundation for a 21st century government. Today we are here to talk about a critical component of the roadmap -- access to information.

Today's hearing covers three laws with historical importance. According to a recent survey, most New Yorkers have cell phones -- 98 percent; 50 percent of them have smart phones and 40 percent of them have tablets and eReaders.

Within a few short years the majority of
New Yorkers will receive a majority of their
information via mobile devices. To ensure content

committee on governmental operations, jointly with committee on technology 137 delivery across all devices, we need information to be open and in machine readable formats.

We kindly ask the Council to add bulk data access and machine readability to improve Intro 149 and after this testimony, or the testimony that was previously given, help with getting access to the City Law Department to improve the system that they're thinking about working on.

Additionally, by placing the law and City
Register online in machine readable format, New
Yorkers can connect to their government regardless of
privilege or device.

Lastly, we feel that this Open FOIL bill presents a transformative opportunity to increase access and to lower the cost of government operations. Just as the first online search engines gave us the ability to see the world wide web, this FOIL amendment gives the public 21st century processes to know how, when and where information is being kept. We need this law, we need to have the "one strike, you're in" provision; this is the only way that this set of laws will really carry forward into the 21st century. We believe that these three bills provide a proper foundation for the 21st

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 138

century New York City government and we support the

passage of these three bills and the great research

that Reinvent Albany has provided by "Beyond Magic

Markers."

CHAIRPERSON KALLOS: Let's talk about the digital divide. This is great to have you come here and to be able to talk about such technical things.

During my primary I was actually working with a small firm called Civic Actions that was trying to provide accessibility for healthy eating in California, and so I actually, I myself got to work with their team on developing a Drupal module -- a free and open source -- that allowed us to crunch notes, which were effectively recipes, and sent it out to people over feature phones, and because of how open Drupal is, that was something we can do. Can you tell me more about how we could make government data accessible through feature phones, which are just... not fancy smart phones, but literally like Nokias with like a keypad, no letters and where you have to hit a key multiple times in order to say C instead of A?

NOEL HIDALGO: So there are a number of ways that access can be provided to feature phones;

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 139 primarily you can use the two features that are on a feature phone -- voice and SMS -- and third... well actually, less used so now is WAP, which is, you know, a very scaled-down web browser. But there are programs and services that are currently being rendered using voice recognition for subscribing to government services and also SMS. You know, if we're thinking about bridging the technological divide, we really need access to that raw information to then build IBR and SMS systems, where you can subscribe to alerts. Case in point -- at the New York State Senate in 2009, we had prototypes where you could subscribe to bill updates via SMS. So as a bill made its way through the State Senate, you could actually see what was going on with the action of that bill; you did not need to have a smart phone later on when you had access to it; you could go and subscribe or you could go look up that bill number and see what was going on. Consequently, that's something that could happen with the City Register -- you subscribe to a key set of terms of looking for, you know, a type of contract or a key piece of information and on a daily basis or whenever they come up, just like we have through NYC Notify, you would get a notification

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

that something has happened for you to go take a look at that. That actually I think increases access, bridges the printing divide and takes us into the

21st century.

CHAIRPERSON KALLOS: So if we made an open API around our information, could a third-party developer or a civic activist or somebody who wanted to make money offer this message service to people?

NOEL HIDALGO: And you don't necessarily have to start with the API; it's just about getting bulk access. So in the course of this bill as it's written, if it was uploaded to the City Open Data mine; the City Open Data mine, or data catalog already has an API infrastructure, so one doesn't necessarily need to go through an architect, a new API, but nor necessarily legislate the fact there needs to be an API. What just needs to... we need to have the ability to move it out of whatever structured system it's in and that it's locked into and we need to have that data provided in a nonproprietary machine readable format. And then we can allow for 1,000 flowers to bloom.

REBECCA WILLIAMS: I don't wanna follow that up, but you can check out Sunlight Foundation's

Calling Congress tool, which is built on structured data. I would also add that on top of digital divide issues, just ADA concerns -- the more you structure data and format it so that computers can read it, the more you're actually opening it up to people that can access it in a variety of ways through other technology devices.

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 141

CHAIRPERSON KALLOS: I would be remiss if I failed to recognize that we were joined by Council Member Ritchie Torres for this hearing.

With regard to the 596 Acres FOIL request, do you believe that the person responding to your FOIL request would have done so differently had they had to post it online for the entire world to see?

PAULA SEGAL: I have to assume they would, just a little bit... just a little care. I mear every single property in the City essentially has a barcode, right, it's a borough block and lot number and it's a very simple, you know... even if they wouldn't have responded more carefully; somebody in their office would have seen it or somebody else would have seen it and pointed out the error. It's

just a totally different set of numbers, the documents that they sent.

CHAIRPERSON KALLOS: With regard to Open FOIL, I was aware of what was happening in the United States; can you tell us a little bit more about what's happening in other countries and perhaps if you can share the code that they are using; the only code I was aware of was the code from Code for America, which I actually downloaded last night -- not last night, but over the weekend and was playing with, and it's Python code; it's a couple of short lines, it's very elegantly and well-written, so.

REBECCA WILLIAMS: Sure. So I'm not... I actually... I'm not super familiar with the back end of Alaveteli, but the tool is called Alaveteli by mySociety, it... Noel might have more details on this actually, but it is the back end to the WhatDoTheyKnow site and it's deployed in like 15 countries; also, the thing that's different than the Oakland portal is that they include datasets attached to it in some countries. So they're doing what Open FOIL is internationally. But I do know the record track team looked at the Alaveteli code to inform their code, so you might see some of that reused or -

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 143 1 2 - it was informed by that, so it's all part of the 3 same open FOIL family. CHAIRPERSON KALLOS: 4 What's the URL? REBECCA WILLIAMS: I tweeted it earlier 5 and... [laugh] 6 CHAIRPERSON KALLOS: I'll take a look at it; we really... 8 9 REBECCA WILLIAMS: mySociety is the organization, based out of the UK. But they've been 10 11 doing this for years. CHAIRPERSON KALLOS: Perfect. 12 13 policies or procedures exist in other cities, states and countries to protect reporters? 14 15 REBECCA WILLIAMS: So the international 16 examples would be the ones to look at, since they're attaching the data with the request; not just showing 17 18 the FOIL log of what was requested. We've had 19 debates about this internally at Sunlight and we've talked about like a three-day delay for journalists, 20 21 because we have journalists that work in our 22 organization, but it's my personal feeling that the 23 10-day stipulation should be sufficient.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

2.2

23

24

25

CHAIRPERSON KALLOS: Would this panel be friendly to the 30-day request or the 6-week request, which would put it out around 45 days?

NOEL HIDALGO: I have many concerns about these different types of delays; I mean my fundamental concern is why... government should be transparent and if it's accountabil... or if it's actions aren't transparent, then they should have systems and procedures in place to be as transparent as possible. And if it takes the public or, you know, any entity request it from government; government should be responsive to it. I struggle with kind of default delays in the system. that's just something that needs to be negotiated and that's kind of hard to put down a specific term. Ιf the administration has specific examples of different types of FOIL requests that need intentional types of delays due to security concerns or other types of processing, I wanna be respectful of that. think that the intent, as demonstrated earlier, is that there should be as much transparency and openness as possible with as limited delay as possible. And I'd like to ask the Council to represent our faith in you to get that done.

CHAIRPERSON KALLOS: Thank you very much. With regard to the City Record online, can you tell us a little bit about the importance of bulk download? I think you touched on it, but if you could help us understand why it's so important and why the current implementation where you can search for certain items but not everything and items are missing and you have to go through their search interface and it is not an open... why does it matter?

REBECCA WILLIAMS: The power of bulk downloads mean that you can really do some serious analysis on it, you can see where things are missing, you can see where things line up; it's real accountability -- being able to search things in a browser limits your ability to analyze that information.

NOEL HIDALGO: I think some of my concerns are around a limited access or a limited number of resources that the City Record has right now. So because it traditionally has been underresourced, the technology product that they would end up producing may not be as advanced or as forward-thinking as if you had spent millions of dollars on it, and so ensuring that there is kind of like a --

committee on Governmental operations, Jointly with committee on Technology 146 not to use the proverbial, you know hacker term, but there is a back door access to that information, which effectively is a bulk data download -- one can either do analysis on it or put it in a way that's more useful for a developer. You know, we're seeing consistently from different city agencies, including, you know ones that are supposedly flying the city flag about being open data just not producing accurate and clean data, and so we want to be able to go through and make sure that we have clean information to work with when we're doing either analysis or hopefully building tools that help bridge the digital divide.

CHAIRPERSON KALLOS: How important is it to make sure that when we are publishing information we are publishing it in paper and circulating it to a couple of hundred people in the City; is it worth in your mind \$1.2 million [laugh] to circulate the City Record so that it's available in print between the hours of 9 and 5 at a library versus on a computer terminal in that library or etc.; should we be redirecting that cost savings elsewhere or is it an essential public purpose that we print it and make it available in government offices if somebody can find

2 out which government office, where that government is 3 and arrange an appointment for a public inspection?

PAULA SEGAL: So making the information available to people who do not have their own device or just simply aren't comfortable or don't trust information that comes from their phone is incredibly important, but that can be done with staff, right; that can be done with staff and training; staff that is available to read that information from a digital interface between the hours of 9 and 5 in certain government offices and libraries, and actually producing paper documents may be redundant if there is well-trained staff at the community board offices, say, that can actually understand the information as it's published digitally and assure a resident who wants that information that this is the City's information, it is official and give them exactly what they're looking for. On the other hand, without that staff person and without having the information on paper, I think we're really walking a fine line. What we do is actually put signs up around the City in areas where there is vacant city-owned land, so we mirror our online database with actual physical

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

committee on governmental operations, jointly with committee on technology 148 interventions and space and I think I'd encourage the City to do the same.

REBECCA WILLIAMS: Yeah, I agree that paper is still useful in certain instances. I would encourage the City to do analytics on who's using the paper product versus who's accessing online; I think you'll find that more people would be accessing it if you make this available in structured, open formats.

CHAIRPERSON KALLOS: Do we have the Google analytics for paper printing yet?

NOEL HIDALGO: You can. You can do barcodes; I mean the Department of Buildings, under the previous administration, worked on particular QR codes and URLs that were accessible via paper to keep track of who's using -- or at least that was part of the initial white paper of like who actually uses this QR code to access this piece of information. I think that there is in particular explicit value in having paper, but the content, if you look at every other major news organization, they're going to digital-first workflows. Once you have adopted to 21st century business practices, it is as simple as writing a script to say print out every article as simple to say print out, you know, a subsection of

these different articles onto paper. I think that it would be, if properly engineering, architected and thought through, which as the largest city North America we are, we can do; that the City Record can be designed in such a way that for those people who need print, that we actually provide a better product than what's currently being provided. If you ever take a look at the physical print of the City Register, the print is small, sometimes it's unreadable; I think that we could produce a better digital-first than to print product that services the needs of all New Yorkers. I think if we were to go to a digital print process we could easily translate the City Record to multiple languages that are in the City, using crowdsource systems or automatic systems, like we're getting to a point where we can actually service more people by going through a digital-first process than to ensure or enshrining a paper process. CHAIRPERSON KALLOS: So the City Record might be more useful if when you showed at the library you said I'm interested in seeing what community board meetings are happening or whatever or

I just want it today and it might be cheaper to

actually just have the library press a button to

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 149

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COMMITTEE ON GOVERNMENTAL OPERATIONS, JOINTLY WITH COMMITTEE ON TECHNOLOGY 150 1 2 print out the City Record in the language, font size 3 and section that the person wants versus a City Record for \$1.2 million that's just circulated 4 5 everywhere? 6 REBECCA WILLIAMS: Doesn't that make 7 sense? [laughter] 8 CHAIRPERSON KALLOS: I'm seeking to elicit testimony with leading questions. 11 [laughter] I mean, but there's... there 12 NOEL HIDALGO: 13 should be also kind of the traditional... and I think 14 there is a place in the middle and that place in the 15 middle is the fact that there... it's still going to be 16 a product that people will need, that they will want in a format that they are comfortable with, 'cause 17 you know print is still a user interface, something 18 19 that they had become accustomed to; I think that that's something that can be evolved into a 20 particular direction, but I don't think that there is 21 22 a paper-first mentality that should continue in the 23 21st century, and so finding some type of accommodation between the two. 24

[background comment]

9

10

CHAIRPERSON KALLOS: I wanna thank all of you for your testimony; I wanna thank Rebecca for coming all the way up from D.C. [laugh] Before I gavel out, I'd implore all of you to please join us, join me at MIT Media Lab on this Friday, where we'll be working on a Hackathon to hack the law and then please join BetaNYC and their civic activists, which are more local and in New York City on their various civic projects, so thank you all and I now adjourn this meeting.

[gavel]

## 

World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date \_\_\_\_ June 13, 2014 \_\_\_\_