

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Melissa Mark-Viverito
Speaker of the Council

Hon. Antonio Reynoso
Chair, Committee on Sanitation & Solid Waste Management



Hearing on the Fiscal 2015 Preliminary Budget & the Fiscal 2014 Preliminary Mayor's Management Report

Department of Sanitation

March 19, 2014

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Department of Sanitation Overview

The Department of Sanitation (DSNY or the Department) promotes a healthy environment through the efficient management of solid waste and the development of environmentally sound long-range plans for handling refuse, including recyclables. The Department operates 59 district garages and manages a fleet of 2,023 rear-loading collection trucks, 444 mechanical brooms and 365 salt spreaders. Each day approximately 11,000 tons of household and institutional waste is collected. The Department clears litter, snow and ice from approximately 6,000 City street miles, and removes debris from vacant lots as well as abandoned vehicles from the City streets.

This report provides a review of the DSNY's Preliminary Budget for Fiscal 2015. In the first section the highlights of the Fiscal 2015 expense budget are presented including actions from the November and February Financial Plans. The report then presents analysis of the Department's program area budgets and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2014. Finally, a review of the proposed capital budget for the Department is presented with status updates on major projects and a discussion of significant proposed changes.

Fiscal 2014 Preliminary / November Plan Highlights

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Personal Services	\$786,130	\$857,037	\$833,689	\$834,368	\$833,185	(\$503)
Other Than Personal Services	495,087	512,731	595,782	585,969	645,710	49,927
Agency Total	\$1,281,218	\$1,369,768	\$1,429,471	\$1,420,338	\$1,478,895	\$49,424

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*

The Department's Fiscal 2015 Preliminary Budget totals \$1.48 billion, which is \$49.4 million more than the Fiscal 2014 Adopted Budget of \$1.43 billion. The change mainly consists of Other Than Personal Services increase of \$49.9 million, offset by a \$0.5 million decrease to Personal Services. Since the Fiscal 2014 Adopted Budget DSNY has identified \$24.2 million in new needs in Fiscal 2015 and savings of \$8.3 million in other adjustments.

Agencies were not required to make budget cuts in either the Fiscal 2014 November Budget or the Fiscal 2015 Preliminary Budget.

Some Fiscal 2014 November Plan and Fiscal 2015 February Plan highlights include:

- **Fresh Kills Landfill Closure.** Due to a revised construction schedule, \$13.1 million was added in Fiscal 2015 for the Fresh Kills Landfill Closure.
- **Marine Transfer Station (MTS) Staffing Delays.** Delayed schedules to bring the North Shore and Hamilton Avenue MTs online led to \$7.9 million in savings for Fiscal 2015.
- **Residential Organic Waste Collection Pilot.** The Department added \$7.6 million in Fiscal 2015 for Uniform Overtime costs for the two-year residential organic waste collection pilot which is expanding this spring.

- **New Full-Time Supervisor Positions.** A new need of thirty full-time supervisor positions to manage additional responsibilities such as the Work Experience Program and special projects will cost \$2.5 million in Fiscal 2015.
- **Fiscal 2014 Snow Budget New Needs.** Due to the frequency and strength of storms this winter \$35 million was added in Fiscal 2014 for salt and other needs. The Fiscal 2015 Snow Removal budget has not yet been updated to reflect the actual costs of Fiscal 2014's Snow Budget included in the five-year average formula used to determine funding.

DSNY Financial Summary

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Budget by Program Area						
Civilian Enforcement	\$3,760	\$4,369	\$4,204	\$4,204	\$4,204	\$0
Collection & Street Cleaning	645,749	711,808	654,431	660,266	659,744	5,313
Enforcement - General	15,280	13,464	17,286	17,286	17,286	0
Engineering	5,006	4,358	4,096	4,446	3,885	(212)
General Administration	95,302	106,444	109,983	111,540	108,829	(1,154)
Legal Services	3,371	3,238	3,493	3,493	3,493	0
Long Term Export	3,730	3,003	1,708	6,653	1,901	194
Public Information	1,735	1,641	2,096	2,096	2,096	0
Snow Removal	29,603	39,313	57,313	92,316	38,229	(19,084)
Solid Waste Transfer Stations	6,991	6,798	13,358	8,943	19,459	6,101
Support Operations - Motor Equipment	78,433	89,063	86,498	88,626	87,578	1,080
Support Operations-Building Management	20,407	20,302	19,768	20,111	20,397	628
Waste Disposal - General	13,155	17,015	13,054	14,966	11,372	(1,682)
Waste Disposal - Landfill Closure	30,925	13,231	65,450	30,082	72,810	7,360
Waste Export	298,610	298,372	336,206	308,736	391,828	55,622
Waste Prevention, Reuse, and Recycling	29,162	37,348	40,527	46,572	35,784	(4,743)
TOTAL	\$1,281,219	\$1,369,768	\$1,429,471	\$1,420,338	\$1,478,895	\$49,424
Funding						
City Funds	\$1,241,535	\$1,242,560	\$1,406,323	\$1,392,537	\$1,456,317	\$49,994
Other Categorical	1,984	3,963	750	1,167	750	0
Capital- IFA	7,285	5,231	4,916	4,916	4,346	(570)
State	4,780	39	25	25	25	0
Federal - Community Development	14,047	13,355	14,843	14,843	14,843	0
Federal - Other	8,408	101,625	0	3,824	0	0
Intra City	3,180	2,995	2,614	3,026	2,614	0
TOTAL	\$1,281,219	\$1,369,768	\$1,429,471	\$1,420,338	\$1,478,895	\$49,424
Positions						
Full-Time Positions - Civilian	1,854	1,880	2,101	2,073	2,107	6
Full-Time Positions - Uniform	6,991	7,121	7,311	7,235	7,289	(22)
TOTAL	8,845	9,001	9,412	9,308	9,396	(16)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

Program Area

Collection & Street Cleaning

The Department's Bureau of Cleaning and Collection (the Bureau) is responsible for collecting household refuse and recyclables as well as cleaning City Streets. The Department utilizes a fleet of 2,023 rear-loading collection trucks, 444 mechanical brooms and 365 salt spreaders and operates 59 sanitation garages from which it dispatches community collection, cleaning, and recycling services. On an average day, about 11,000 tons of waste for disposal, and 2,000 tons of curbside and containerized recycling are collected. The Department receives federal funding through the Department of Housing and Urban Development which allows DSNY to clean vacant lots and surrounding premises of City-owned buildings in order to meet the City's health code standards.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$464,905	\$470,669	\$466,710	\$469,171	\$467,298	\$587
Full-Time Salaried - Civilian	11,397	10,964	12,663	12,663	12,663	0
Other Salaried and Unsalariated	1,298	1,227	1,471	1,537	1,471	0
Additional Gross Pay	81,993	90,573	83,276	83,837	84,214	938
Overtime - Uniformed	42,766	80,341	47,053	49,443	51,460	4,407
Overtime - Civilian	749	1,124	216	216	216	0
Fringe Benefits	30,630	30,595	30,906	30,918	30,926	21
Subtotal	\$633,738	\$685,493	\$642,295	\$647,785	\$648,249	\$5,953
Other Than Personal Services						
Supplies & Materials	\$2,809	\$4,119	\$3,119	\$3,754	\$3,147	\$27
Fixed & Misc. Charges	2	3	5	5	5	0
Property & Equipment	1,542	2,762	1,401	1,475	1,800	400
Other Services & Charges	4,411	5,133	5,332	4,711	4,362	(970)
Contractual Services	3,246	14,296	2,279	2,536	2,182	(97)
Subtotal	\$12,011	\$26,312	\$12,136	\$12,481	\$11,496	(\$640)
TOTAL	\$645,749	\$711,806	\$654,431	\$660,266	\$659,744	\$5,313
Funding						
City Funds			\$638,472	\$643,630	\$643,785	\$5,313
Other Categorical			750	949	750	0
Federal - Community Development			13,670	13,670	13,670	(0)
Federal - Other				283	0	0
Intra City			1,539	1,734	1,539	0
TOTAL	\$645,749	\$711,806	\$654,431	\$660,266	\$659,744	\$5,313
Positions						
Full-Time Positions - Civilian	273	315	315	315	315	0
Full-Time Positions - Uniform	6,741	6,886	6,886	6,916	6,876	(10)
TOTAL	7,014	7,201	7,201	7,231	7,191	(10)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Department's Fiscal 2015 Preliminary Budget for Collection and Street Cleaning is an increase of \$5.3 million compared to the Fiscal 2014 Adopted Budget. This mainly reflects \$4.4 million in Uniformed Overtime pay to fund a full year of the residential organic waste pilot.

Not apparent in the headcount numbers listed above, the Fiscal 2015 Preliminary Budget includes an increase of thirty full-time supervisor positions to manage additional responsibilities such as the Work Experience Program and other special projects. This is offset by forty full-time uniform positions for interim waste export contract relay shifts that are budgeted for in Fiscal 2014 and not in Fiscal 2015. The supervisor positions are higher paid which is why the Full-Time Salaried – Uniformed budget line shows a net increase even though the headcount shows a net loss of ten positions. Due to delays in implementing some of the long-term waste export contracts these relay shifts will be needed in Fiscal 2015 but are not yet reflected in its budget.

Performance Measures

DSNY cleaned 1,176 lots in the first quarter of Fiscal 2014, a decrease of 293, or 19.9 percent, as compared to the same period in Fiscal 2013. The Department got 1,354 requests for lot cleaning in the first quarter of Fiscal 2014, which is 178 more requests than lots cleaned.

The total refuse disposed of by DSNY in the first quarter of Fiscal 2014 increased by 19,000 tons to \$1.09 million tons compared to the same period last. The daily average of 10,576 tons of waste disposed of is an increase of 83 tons, less than a one percent increase.

The average daily amount of curbside residential waste collected by a truck working an eight-hour shift remained constant in the first quarter of Fiscal 2014 as compared to the same period in Fiscal 2013 at 9.8 tons.

Performance Statistics	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
Vacant lot cleaning requests	N/A	N/A	3,056	*	2,500	1,049	1,354
Lots cleaned citywide	4,233	4,544	3,607	3,700	3,700	1,469	1,176
City-owned vacant lots cleaned	3,158	3,314	3,314	*	*	1,070	1,070
Tons of refuse disposed (000)	3,261.2	3,269.5	3,262.80	3,281.0	3,281.1	1,070.3	1,089.3
Refuse tons per truck-shift	10.0	10.0	9.9	10.7	10.7	9.8	9.8
Trucks dumped on shift (%)	45.9%	48.1%	47.2%	46.8%	46.8%	48.7%	50.2%
Tons per day disposed	10,835	10,826	10,876	10,864	*	10,493	10,576
Average outage rate for all collection trucks (%)	N/A	17%	19.0%	*	*	19%	21%
Missed refuse collections (%)	1.8%	0.1%	1.1%	*	*	0.2%	0%
Refuse collection cost per ton (\$)	\$261	\$251	\$252	*	*	N/A	N/A
Refuse cost per ton (fully loaded) (\$)	\$413	\$394	\$392	*	*	N/A	N/A
Disposal cost per ton (\$)	\$152	\$143	\$140	*	*	N/A	N/A

SOURCE: Preliminary Mayor's Management Report

Waste Export

Funding provides for the supervision, transport, and disposal by private vendors of approximately 11,000 tons of residential and institutional refuse that the Department collects daily. The Solid Waste Management Plan (SWMP) includes plans for the usage of up to five private transfer station facilities located in the Bronx, Queens and Brooklyn which would accept DSNY-managed waste from the boroughs in which it is generated for containerization and export by barge and/or rail. These vendors and facilities were selected for negotiations in 2004 through three Requests for Proposals. The Bronx and Brooklyn facilities are in operation. A contract with a private vendor for the Queens long-term export procurement was registered in December 2012.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Other Than Personal Services						
Supplies & Materials	\$90	\$34	\$139	\$6,809	\$139	\$0
Fixed & Misc. Charges	0	0	0	0	0	0
Property & Equipment	116	127	134	134	134	0
Other Services & Charges	13	60	9	39	9	0
Contractual Services	298,391	298,151	335,925	301,755	391,547	55,622
TOTAL	\$298,610	\$298,372	\$336,206	\$308,736	\$391,828	\$55,622
Funding						
City Funds			\$336,206	\$308,736	\$391,828	\$55,622
TOTAL	\$298,610	\$298,372	\$336,206	\$308,736	\$391,828	\$55,622

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Waste Export is \$391.8 million, an increase of \$55.6 million, or 16.5 percent, as compared to the Fiscal 2014 Adopted Budget. The increase represents additional parts of the long-term waste export plan, the North Shore Queens and Hamilton Avenue Brooklyn MTSS, coming online in Fiscal 2015.

General Administration

This program includes funding that supports all aspects of administration and planning of the Department including payroll, budgeting, accounting, purchasing, data processing, training, and other services required to support executive and administrative operations that cannot be clearly linked to specific program areas.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$3,353	\$4,201	\$4,518	\$4,518	\$3,433	(\$1,085)
Full-Time Salaried - Civilian	16,685	16,769	16,324	16,357	16,980	656
Other Salaried and Unsalared	615	617	786	786	786	0
Additional Gross Pay	951	1,290	890	890	890	0
Overtime - Uniformed	123	2,347	238	238	238	0
Overtime - Civilian	213	1,003	123	123	123	0
P.S. Other	(127)	(115)	0	0	0	0
Fringe Benefits	19	9	40	40	40	0
Subtotal	\$21,831	\$26,122	\$22,919	\$22,951	\$22,489	(\$430)
Other Than Personal Services						
Supplies & Materials	\$35,729	\$38,754	\$42,712	\$42,375	\$43,183	\$471
Fixed & Misc. Charges	380	1,355	27	28	27	0
Property & Equipment	626	742	552	391	332	(220)
Other Services & Charges	32,090	32,757	38,184	38,389	38,503	320
Contractual Services	4,647	6,714	5,590	7,407	4,295	(1,295)
Subtotal	\$73,471	\$80,323	\$87,064	\$88,589	\$86,340	(\$724)
TOTAL	\$95,302	\$106,444	\$109,983	\$111,540	\$108,829	(\$1,154)
Funding						
City Funds			\$107,519	\$108,464	\$106,365	(\$1,154)
Other Categorical			0	76	0	0
Capital- IFA			1,209	1,209	1,209	0
State			25	25	25	0
Federal - Community Development			175	175	175	0
Federal - Other			0	525	0	0
Intra City			1,054	1,065	1,054	0
TOTAL	\$95,302	\$106,444	\$109,983	\$111,540	\$108,829	(\$1,153)
Positions						
Full-Time Positions - Civilian	248	261	263	262	268	5
Full-Time Positions - Uniform	41	46	46	46	35	(11)
TOTAL	289	307	309	308	303	(6)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for General Administration of \$108.8 million is \$1.2 million less than the Fiscal 2014 Adopted Budget. This mainly reflects eleven full-time uniformed positions accounted for in Fiscal 2014 which have not yet been added to the Fiscal 2015 budget for overseeing aspects of the Sanitation Management, Analysis and Resource Tracking (SMART)

system upgrade. These positions are still needed in Fiscal 2015 and will need to be restored to the budget. The perceived savings are partially offset by the addition of five full-time civilian positions to work in the Bureau of Information Technology on the SMART upgrade and for managing the scales and software at the new MTSs.

In the Other Than Personal Services the negative variance in contractual services reflects one-time charges in Fiscal 2014 for maintenance of the old Sanitation Control and Analysis Network which is being replaced by SMART. This is partially offset by additional costs in Fiscal 2015 such as a new temporary lease for the Manhattan 6 Garage that had to move due to development of the High Line and the Western Rail Yard.

Performance Measures

The Department's Permit Inspection Unit operates under General Administration and performed 2,075 inspections in the first quarter of Fiscal 2014, an increase of 402 inspections, or 24 percent.

In the first quarter of Fiscal 2014 there were 515 accidents involving City vehicles, which is the same number of accidents as in the first quarter of Fiscal 2013. As part of Vision Zero, a new pedestrian safety initiative of the de Blasio Administration, the NYC Department of Citywide Administrative Services will be overseeing new safety procedures and technologies for the City's fleets.

Performance Statistics	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
Cases commenced against the City in state and federal court	N/A	573	3,090	*	*	105	107
Payout (\$000)	\$24,405	\$31,763	\$26,944	*	*	\$9,196	\$14,877
Private transfer station permits	59	60	59	*	*	60	59
Private transfer station inspections performed	5,168	4,967	5,047	*	*	1,673	2,075
Accidents involving City vehicles	N/A	1,580	1,801	*	*	515	515
Workforce injuries reported (uniform and civilian)	N/A	N/A	1,572	*	*	560	518

SOURCE: Preliminary Mayor's Management Report

Snow Removal

This program includes funding for the Department's citywide snow removal program. The City Charter requires that DSNY's snow removal budget be calculated as the spending average of the previous five fiscal years.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$2,743	\$2,743	\$2,741	\$2,741	\$2,741	\$0
Other Salaried and Unsalaries	1,575	1,934	1,898	1,898	1,898	0
Additional Gross Pay	423	2,894	52	179	54	2
Overtime - Uniformed	6,622	14,653	27,223	26,877	15,680	(11,542)
Overtime - Civilian	848	1,835	869	1,088	1,088	219
Subtotal	\$12,212	\$24,060	\$32,783	\$32,783	\$21,462	(\$11,321)
Other Than Personal Services						
Supplies & Materials	\$14,023	\$12,142	\$21,970	\$52,507	\$12,221	(\$9,749)
Property & Equipment	1,646	712	1,429	1,259	1,429	0
Other Services & Charges	1,719	2,384	938	5,620	2,940	2,001
Contractual Services	2	15	193	147	178	(15)
Subtotal	\$17,391	\$15,253	\$24,530	\$59,533	\$16,767	(\$7,763)
TOTAL	\$29,603	\$39,313	\$57,313	\$92,316	\$38,229	(\$19,084)
Funding						
City Funds			\$57,313	\$92,313	\$38,229	(\$19,084)
Other Categorical			0	4	0	0
TOTAL	\$29,603	\$39,313	\$57,313	\$92,316	\$38,229	(\$19,084)

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Snow Removal is \$38.2 million which is \$19.1 million less than the Fiscal 2014 Adopted Budget. The Fiscal 2015 budget has not yet been updated to reflect the five year average for Fiscal 2009 – 2013. The Fiscal 2015 Executive Budget will reflect the updated calculation.

The increase of \$2 million in the Other Services and Charges budget line is a technical adjustment to baseline the cost of the stand-by fees for the private snow removal contracts that the Department can activate for help plowing tertiary streets if snow is forecasted at over six inches.

The Fiscal 2015 Preliminary Budget shows a new need of \$35 million in Fiscal 2014 for salt and other snow removal costs. The Fiscal 2014 overtime costs have not yet been updated to reflect the heavy snow season.

Performance Measures

The first quarter of the fiscal year is in the summer months so no metrics are included in the Preliminary Management Report pertaining to this winter's snowfall.

Performance Statistics	FY11	Actual		Target		4-Month Actual	
		FY12	FY13	FY14	FY15	FY13	FY14
Snowfall (total inches)	61.5	6.8	24	*	*	0	0
Salt used (tons)	353,769	59,274	183,597	*	*	0	0
Removal cost per inch of snow (\$)	N/A	\$4,300	\$1,602	*	*	N/A	N/A

SOURCE: Preliminary Mayor's Management Report

Support Operations – Motor Equipment

Funding provides for services related to the acquisition, repair, and maintenance of the Department's equipment citywide including a fleet of 2,023 rear-loading collection trucks, 444 mechanical brooms and 365 salt spreaders.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$50,615	\$51,827	\$57,691	\$57,234	\$58,589	\$898
Other Salaried and Unsalaries	131	123	56	56	56	0
Additional Gross Pay	3,515	4,678	2,640	2,640	2,640	0
Overtime - Civilian	1,117	3,069	2,132	2,076	2,134	2
Subtotal	\$55,378	\$59,697	\$62,519	\$62,007	\$63,419	\$900
Other Than Personal Services						
Supplies & Materials	\$18,196	\$21,382	\$19,544	\$18,835	\$19,724	\$180
Fixed & Misc. Charges	1	0	2	2	2	0
Property & Equipment	2,013	4,345	1,702	2,015	1,702	0
Other Services & Charges	151	170	152	152	152	0
Contractual Services	2,695	3,470	2,579	5,616	2,579	0
Subtotal	\$23,055	\$29,366	\$23,979	\$26,619	\$24,159	\$180
TOTAL	\$78,433	\$89,063	\$86,498	\$88,626	\$87,578	\$1,080
Funding						
City Funds			\$85,480	\$84,920	\$86,560	\$1,080
Federal - Community Development			998	998	998	0
Federal - Other			0	2,687	0	0
Intra City			20	20	20	0
TOTAL	\$78,433	\$89,063	\$86,498	\$88,626	\$87,578	\$1,080
Positions						
Full-Time Positions - Civilian	694	781	791	781	801	10
TOTAL	694	781	791	781	801	10

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Support Operations – Motor Equipment is \$87.6 million or \$1.1 million more than the Fiscal 2014 Adopted Budget. The increase reflects ten new full-time positions, five each for the North Shore Queens and Hamilton Avenue Brooklyn MTSs. The Supplies and Materials increase is for the additional trucks to be used for the expanded residential organic waste collection pilot.

Waste Disposal – Landfill Closure

Funding provides for the engineering, remediation, closure construction, post-closure maintenance, and environmental management of the Fresh Kills landfill. Closure activities including final capping of the landfill, leachate treatment and control, methane gas collection and flaring, maintenance of and security of the site and waterways, and environmental monitoring.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Other Than Personal Services						
Supplies & Materials	\$13	\$8	\$19	\$18	\$19	\$0
Property & Equipment	1	2	40	37	40	0
Other Services & Charges	4,776	835	2,012	2,013	2,012	0
Contractual Services	26,136	12,386	63,379	28,014	70,739	7,360
TOTAL	\$30,925	\$13,231	\$65,450	\$30,082	\$72,810	\$7,360
Funding						
City Funds			\$65,450	\$30,082	\$72,810	\$7,360
TOTAL	\$30,925	\$13,231	\$65,450	\$30,082	\$72,810	\$7,360

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Waste Disposal Landfill Closure is \$72.8 million, an increase of \$7.4 million compared to the Fiscal 2014 Adopted Budget. The construction schedule for closure of Fresh Kills landfill is subject to change based on many conditions. Due to delays \$14 million was rolled from Fiscal 2014 to Fiscal 2015 but because the original budget for Fiscal 2015 was \$7 million less the \$14 million roll looks like a \$7 million roll. It is still projected that construction will meet the deadlines for the consent order with the NYS Department of Environmental Conservation.

Waste Prevention, Reuse, and Recycling

The Department has several recycling initiatives including waste prevention and reuse education. The Department's recycling collection operation includes curbside collection, containerized collection, school night truck collection, bulk metal recycling, tire disposal, pilot public school and organic waste collections, leaf and Christmas tree collection and chlorofluorocarbon (CFC) evacuation.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$1,882	\$2,101	\$2,759	\$2,759	\$2,759	\$0
Other Salaried and Unsalaries	17	16	8	8	8	0
Additional Gross Pay	64	60	1	1	1	0
Overtime - Civilian	51	59	0	0	0	0
Subtotal	\$2,014	\$2,237	\$2,768	\$2,768	\$2,768	\$0
Other Than Personal Services						
Supplies & Materials	\$1,102	\$4,125	\$4,214	\$12,248	\$1,928	(\$2,286)
Fixed & Misc. Charges	0	0	0	1	0	0
Property & Equipment	115	46	241	334	241	0
Other Services & Charges	21,500	24,848	19,072	22,476	20,407	1,334
Contractual Services	4,431	6,092	14,232	8,744	10,440	(3,792)
Subtotal	\$27,149	\$35,112	\$37,759	\$43,803	\$33,015	(\$4,743)
TOTAL	\$29,162	\$37,348	\$40,527	\$46,572	\$35,784	(\$4,743)
Funding						
City Funds			\$40,527	\$46,572	\$35,784	(\$4,743)
TOTAL	\$29,162	\$37,348	\$40,527	\$46,572	\$35,784	(\$4,743)
Positions						
Full-Time Positions - Civilian	27	34	40	40	40	0
TOTAL	27	34	40	40	40	0

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for Waste Prevention, Reuse, and Recycling is \$35.8 million, a decrease of \$4.7 million, or 11.7 percent, as compared to the Fiscal 2014 Adopted Budget. This mostly reflects funding allocated in Fiscal 2014 for expanding public space recycling receptacles to more areas and for the containers and corresponding technology for the residential organic waste collection pilot. There was \$6.7 million more allocated in Fiscal 2014 than in 2015 for those two initiatives to cover the initial investment. This difference is partially offset by \$1.3 million more in tipping fees for the residential organic waste collection pilot in Fiscal 2015, and \$800,000 allocated in Fiscal 2015 for outreach and education for the expanded residential organic waste collection.

Performance Measures

To get the City Council's support to approve the 2006 SWMP, the Bloomberg Administration committed to achieving a 24 percent diversion rate of curbside recycling by 2007. The City

Council also advocated for the creation of the Office of Recycling Outreach and Education (OROE), run by the not-for-profit GrowNYC, which has a Recycling Outreach Coordinator for each borough to work with local communities.

One way to achieve a higher recycling diversion rate is to focus on separating out organic waste such as food scraps, yard trimmings, and compostable paper. Such organic waste makes up approximately 29 percent of the City's waste according to a 2004 – 2005 study commissioned by DSNY. Increasing recycling diversion rates is challenging as it requires changing the habits of average New Yorkers. Changing habits regarding food waste can be especially difficult as organic waste may emit smells if not picked up frequently enough.

In May of 2012 a new Deputy Commissioner for Recycling was appointed and more resources added to the recycling program including organic waste collection pilots in select NYC public schools, residences and institutions which began in autumn of 2012. In July of 2013 the Bloomberg administration announced a new set of policies to hit a target of a 30 percent diversion rate by 2017. This included the expansion of recyclable plastic to all rigid plastics, as mandated by Local Law 35 of 2010 and an expansion of organic waste collection pilots. In Fiscal 2014 public space recycling was expanded to more locations throughout the city, in accordance with Local Law 38 of 2010.

Despite the Bloomberg Administration's pledges the recycling diversion rate has remained low, averaging 15.2 percent over the last three years.

Performance Statistics	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
Curbside and containerized recycling diversion rate (%)	15.4%	15.1%	15.1%	18.0%	19.0%	14.8%	14.7%
Curbside and containerized recycled tons (000)	554.4	541.9	539.2%	*	*	N/A	N/A
Recycled tons per day	3,944	2,065	2,058	2,270	2,608	N/A	N/A
Recycling tons per truck-shift	5.2	5.1	5.1	6.2	6.2	5.0	5.0
Missed recycling collections (%)	1.3%	0.0%	3.3%	*	*	2.2%	0%
Recycling trucks dumped on shift (%)	25.6%	29.7%	29.2%	*	*	30.8%	30.8%
Recycling cost per ton (fully loaded) (\$)	642	657	656	*	*	N/A	N/A
Recycling collection cost per ton (\$)	615	629	627	*	*	N/A	N/A
Paper recycling revenue per ton (\$)	12	25	11	*	*	13	11

SOURCE: Preliminary Mayor's Management Report

Support Operations – Building Management

Funding provides for services related to the acquisition, repair, and maintenance of the Department's buildings and equipment.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$0	\$0	\$69	\$69	\$69	\$0
Full-Time Salaried - Civilian	14,545	14,199	15,119	15,204	15,512	393
Other Salaried and Unsalaries	18	1	25	25	25	0
Additional Gross Pay	589	777	443	443	443	0
Overtime - Civilian	1,058	1,354	460	460	500	39
Fringe Benefits	787	825	822	822	822	0
Subtotal	\$16,998	\$17,156	\$16,939	\$17,024	\$17,371	\$432
Other Than Personal Services						
Supplies & Materials	\$1,351	\$1,429	\$1,227	\$1,131	\$1,164	(\$63)
Property & Equipment	600	293	507	127	140	(367)
Other Services & Charges	74	9	76	13	15	(61)
Contractual Services	1,384	1,415	1,019	1,816	1,706	688
Subtotal	\$3,409	\$3,146	\$2,829	\$3,087	\$3,025	\$196
TOTAL	\$20,407	\$20,302	\$19,768	\$20,111	\$20,397	\$628
Funding						
City Funds			\$19,768	\$19,785	\$20,397	\$629
Federal - Other			0	119	0	0
Intra City			0	207	0	0
TOTAL	\$20,407	\$20,302	\$19,768	\$20,111	\$20,397	\$629
Positions						
Full-Time Positions - Civilian	179	189	193	193	197	4
Full-Time Positions - Uniform	0	0	1	1	1	0
TOTAL	179	189	194	194	198	4

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Support Operations Building Management is \$20.4 million, an increase of \$629,000 compared to the Fiscal 2014 Adopted Budget. This mainly reflects the salaries of two new full-time civilian positions for each of the two MTSs coming online in Fiscal 2015. The increase to the Contractual Services budget line reflects a prevailing wage increase for cleaning contracts that was achieved through technical adjustments that were approved through a budget modification.

Solid Waste Transfer Stations

Funding provides for the operation of the Staten Island Transfer Station, the 59th Street Marine Transfer Station (MTS) and the marine loading and unloading operations. Partial Fiscal Year moneys are included for the North Shore Queens MTS which is currently under construction and the Hamilton Avenue Brooklyn MTS which will be completed in mid-August 2014. Future MTSS outlined in the Capital Program Overview will be included in this program area once built and operational.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$4,716	\$4,680	\$9,989	\$6,465	\$14,386	\$4,396
Full-Time Salaried - Civilian	1,419	1,081	1,760	1,121	2,978	1,218
Additional Gross Pay	410	397	682	508	922	240
Overtime - Uniformed	404	605	766	687	1,012	246
Overtime - Civilian	11	23	33	33	33	0
Fringe Benefits	32	12	128	128	128	0
TOTAL	\$6,991	\$6,798	\$13,358	\$8,943	\$19,459	\$6,101
Funding						
City Funds			\$13,358	\$8,943	\$19,459	\$6,101
TOTAL	\$6,991	\$6,798	\$13,358	\$8,943	\$19,459	\$6,101
Positions						
Full-Time Positions - Civilian	22	23	43	25	61	18
Full-Time Positions - Uniform	64	79	209	104	209	0
TOTAL	86	102	252	129	270	18

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Solid Waste Transfer Stations is \$19.5 million, an increase of \$6.1 million, or 45.7 percent, from the Fiscal 2014 Adopted Budget. The increase reflects the cost of 18 positions once the North Shore Queens MTS and Hamilton Avenue Brooklyn MTS open in Fiscal 2015. The increase would have been greater except for construction delays. The opening of the MTSS are now planned for some time mid Fiscal 2015.

Enforcement

This program includes the Department's enforcement agents who are responsible for monitoring compliance with administrative, recycling, and health laws as they relate to the maintenance of clean streets, illegal dumping and the disposal of waste and recyclable materials.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$7,345	\$6,464	\$8,108	\$8,108	\$8,108	\$0
Full-Time Salaried - Civilian	5,334	4,654	6,051	6,051	6,051	0
Other Salaried and Unsalaries	3	0	35	35	35	0
Additional Gross Pay	820	762	879	879	879	0
Overtime - Uniformed	551	441	780	780	780	0
Overtime - Civilian	68	60	223	223	223	0
Subtotal	\$14,121	\$12,381	\$16,076	\$16,076	\$16,076	\$0
Other Than Personal Services						
Supplies & Materials	\$126	\$136	\$570	\$589	\$570	\$0
Property & Equipment	762	513	524	519	524	0
Other Services & Charges	270	112	100	101	100	0
Contractual Services	1	321	16	0	16	0
Subtotal	\$1,158	\$1,082	\$1,210	\$1,210	\$1,210	\$0
TOTAL	\$15,280	\$13,464	\$17,286	\$17,286	\$17,286	\$0
Funding						
City Funds			\$17,286	\$17,286	\$17,286	(\$0)
TOTAL	\$15,280	\$13,464	\$17,286	\$17,286	\$17,286	(\$0)
Positions						
Full-Time Positions - Civilian	117	150	150	151	151	1
Full-Time Positions - Uniform	94	112	112	111	111	(1)
TOTAL	211	262	262	262	262	0

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for Enforcement remained constant at \$17.3 million as compared to the Fiscal 2014 Adopted Budget.

Performance Measures

In the first quarter of Fiscal 2014 streets and sidewalks rated acceptably clean remained high at 93.7 percent and 95.6 percent respectively. The number of violations issued for dirty sidewalks increased by 5,138, or 37.3 percent, to 18,923 from the same period in Fiscal 2013. The number of violations given for illegal posting decreased by 5,039 to 4,590, a change of 52.3 percent.

The number of health and administrative code violations issued which include dirty sidewalk violations increased by 2,277 to 84,778, only a 2.7 percent increase. The number of ECB violations issued increased by 11,847, or eleven percent to 118,691. Of those ECB violations issued, 83 percent paid the penalty without argument or paid after having the violation upheld.

Performance Statistics	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
Streets rated acceptably clean (%)	94.5%	95.5%	94.5%	92.0%	92.0%	95.4%	93.7%
Streets rated filthy (%)	0.3%	0.2%	0.2%	*	*	0.1%	0.2%
Sidewalks rated acceptably clean (%)	96.7%	96.3%	96.1%	97.0%	97.0%	96.0%	95.6%
Sidewalks rated filthy (%)	0.3%	0.3%	0.4%	*	*	0.4%	0.4%
Violations issued for dirty sidewalks	N/A	35,407	28,690	*	*	13,785	18,923
Violations issued for illegal posting	41,286	30,512	16,182	*	*	9,629	4,590
Recycling summonses issued	65,578	75,216	65,017	*	*	24,343	33,913
Health and administrative code violations issued	284,136	259,220	194,892	*	*	82,501	84,778
Total ECB violations issued	349,714	334,436	259,909	*	*	106,844	118,691
Violations admitted to or upheld at the Environmental Control Board (%)	N/A	84%	84%	*	*	87%	83%

SOURCE: Preliminary Mayor's Management Report

Waste Disposal – General

In this program, funding provides for the general operation of waste disposal including waste management engineering and waste export administration.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$4,090	\$4,140	\$4,465	\$4,465	\$4,465	\$0
Full-Time Salaried - Civilian	3,698	3,566	3,918	3,918	1,978	(1,939)
Other Salaried and Unsalaries	11	11	65	65	65	0
Additional Gross Pay	661	689	774	774	774	0
Overtime - Uniformed	276	904	473	473	473	0
Overtime - Civilian	7	136	86	86	86	0
Subtotal	\$8,744	\$9,447	\$9,780	\$9,780	\$7,841	(\$1,939)
Other Than Personal Services						
Supplies & Materials	\$394	\$235	\$276	\$733	\$384	\$108
Property & Equipment	98	206	139	151	149	10
Other Services & Charges	2,391	1,267	1,286	1,423	1,425	139
Contractual Services	1,528	5,860	1,573	2,879	1,573	0
Subtotal	\$4,412	\$7,568	\$3,274	\$5,186	\$3,531	\$257
TOTAL	\$13,155	\$17,015	\$13,054	\$14,966	\$11,372	(\$1,682)
Funding						
City Funds			\$12,972	\$14,538	\$11,290	(\$1,682)
Other Categorical			0	137	0	0
Capital- IFA			82	82	82	(0)
Federal - Other			0	210	0	0
TOTAL	\$13,155	\$17,015	\$13,054	\$14,966	\$11,372	(\$1,682)
Positions						
Full-Time Positions - Civilian	60	68	68	68	36	(32)
Full-Time Positions - Uniform	46	50	50	50	50	0
TOTAL	106	118	118	118	86	(32)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for Waste Disposal is \$11.4 million, a decrease of \$1.7 million, or 12.8 percent, compared to the Fiscal 2014 Adopted Budget. This Personal Services decrease reflects the 32 full-time civilian positions that are being delayed due to the late opening of the MTSS.

Civilian Enforcement

This program includes funding for borough specific enforcement of sanitary laws in all five boroughs.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$3,605	\$4,159	\$4,204	\$4,204	\$4,204	\$0
Additional Gross Pay	97	148	0	0	0	0
Overtime - Civilian	57	62	0	0	0	0
TOTAL	\$3,759	\$4,369	\$4,204	\$4,204	\$4,204	\$0
Funding						
City Funds			\$4,204	\$4,204	\$4,204	\$0
TOTAL	\$3,759	\$4,369	\$4,204	\$4,204	\$4,204	\$0
Positions						
Full-Time Positions - Civilian	122	125	125	125	125	0
TOTAL	122	125	125	125	125	0

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Civilian Enforcement is \$4.2 million which is no change from its Fiscal 2015 Adopted Budget.

Engineering

This program includes funding for the Capital Projects Division, which oversees the design and construction of DSNY capital projects.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$4,002	\$3,228	\$3,180	\$3,180	\$2,969	(\$212)
Other Salaried and Unsalaries	29	15	36	36	36	0
Additional Gross Pay	228	139	62	62	62	0
Overtime - Civilian	18	30	46	46	46	0
Subtotal	\$4,278	\$3,413	\$3,324	\$3,324	\$3,112	(\$212)
Other Than Personal Services						
Supplies & Materials	\$313	\$310	\$284	\$351	\$284	\$0
Property & Equipment	3	25	37	40	37	0
Other Services & Charges	138	228	33	38	33	0
Contractual Services	275	383	418	692	418	0
Subtotal	\$729	\$946	\$772	\$1,122	\$772	\$0
TOTAL	\$5,006	\$4,358	\$4,096	\$4,446	\$3,885	(\$212)
Funding						
City Funds			\$952	\$1,302	\$952	\$0
Capital- IFA			3,144	3,144	2,932	(212)
TOTAL	\$5,006	\$4,358	\$4,096	\$4,446	\$3,885	(\$211)
Positions						
Full-Time Positions - Civilian	45	43	37	37	37	0
TOTAL	45	43	37	37	37	0

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for Engineering of \$3.9 million is a decrease of \$211,000 as compared to the Fiscal 2014 Adopted Budget.

Legal Services

This program includes funding for the Department's legal affairs division.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$128	\$123	\$145	\$145	\$145	\$0
Full-Time Salaried - Civilian	3,002	2,908	3,125	3,125	3,125	0
Other Salaried and Unsalaries	51	17	26	26	26	0
Additional Gross Pay	176	177	171	171	171	0
Overtime - Uniformed	9	9	22	22	22	0
Overtime - Civilian	5	4	5	5	5	0
TOTAL	\$3,371	\$3,238	\$3,493	\$3,493	\$3,493	\$0
Funding						
City Funds			\$3,373	\$3,373	\$3,373	(\$0)
Capital- IFA			120	120	120	0
TOTAL	\$3,371	\$3,238	\$3,493	\$3,493	\$3,493	(\$0)
Positions						
Full-Time Positions - Civilian	39	42	42	42	42	0
Full-Time Positions - Uniform	2	2	2	2	2	0
TOTAL	41	44	44	44	44	0

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Legal Services remains constant at the Fiscal 2014 Adopted Budget level of \$3.5 million.

Public Information

The Bureau of Public Information and Community Affairs is responsible for communicating the Department's policies, procedures and services, both internally and externally. The Bureau manages and monitors the general public's opinions of the Department's performance and assists with public policy development. The Bureau also coordinates all DSNY special events, ceremonies and community outreach programs.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$303	\$303	\$442	\$442	\$442	\$0
Full-Time Salaried - Civilian	1,308	1,228	1,442	1,442	1,442	0
Other Salaried and Unsalaries	52	47	49	49	49	0
Additional Gross Pay	29	31	36	36	36	0
Overtime - Uniformed	27	17	98	98	98	0
Overtime - Civilian	17	14	29	29	29	0
TOTAL	\$1,735	\$1,641	\$2,096	\$2,096	\$2,096	\$0
Funding						
City Funds			\$2,096	\$2,096	\$2,096	\$0
TOTAL	\$1,735	\$1,641	\$2,096	\$2,096	\$2,096	\$0
Positions						
Full-Time Positions - Civilian	18	23	23	23	23	0
Full-Time Positions - Uniform	3	5	5	5	5	0
TOTAL	21	28	28	28	28	0

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.*

The Fiscal 2015 Preliminary Budget for Public Information is \$2.1 million, the same as Fiscal 2014 Adopted Budget levels.

Long Term Export

The Bureau of Long Term Export is responsible for the development of DSNY's long-term export facilities (connected to barge and rail transportation), the SWMP and supporting Final Environmental Impact Statements (FEIS).

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$939	\$955	\$1,104	\$1,104	\$1,104	\$0
Other Salaried and Unsalaries	0	0	13	13	13	0
Additional Gross Pay	20	23	9	9	21	12
Overtime - Civilian	4	5	7	7	7	0
Subtotal	\$963	\$983	\$1,133	\$1,133	\$1,145	\$12
Other Than Personal Services						
Supplies & Materials	\$10	\$10	\$10	\$13	\$10	\$0
Property & Equipment	0	0	4	0	4	0
Other Services & Charges	5	5	5	6	5	0
Contractual Services	2,753	2,005	556	5,501	738	182
Subtotal	\$2,768	\$2,020	\$575	\$5,521	\$757	\$182
TOTAL	\$3,730	\$3,003	\$1,708	\$6,653	\$1,901	\$194
Funding						
City Funds			\$1,346	\$6,292	\$1,898	\$552
Capital- IFA			361	361	3	(358)
TOTAL	\$3,730	\$3,003	\$1,708	\$6,653	\$1,901	\$194
Positions						
Full-Time Positions - Civilian	10	11	11	11	11	0
TOTAL	10	11	11	11	11	0

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan Funding.

The Fiscal 2015 Preliminary Budget for Long Term Export is \$1.9 million an increase of \$194,000, or 11.4 percent, from the Fiscal 2014 Adopted Budget. This mainly reflects an increase in contractual services for MTS crane maintenance.

Capital Program

The total City Capital Commitment Plan for Fiscal 2014 has increased from \$21.2 billion in the November Capital Commitment Plan to \$21.3 billion in the Preliminary Capital Commitment Plan, an increase of \$163 million, or less than 1 percent. For DSNY, the Preliminary Capital Commitment Plan increased by less than one percent to \$818.8 million since the November Plan.

The majority of capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal Year 2013 DSNY committed \$399.9 million or about 67percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency's Fiscal 2014 Capital Plan will be rolled into Fiscal 2015, thus increasing the size of the Fiscal 2015-2018 Capital Plan.

2014-2017 Commitment Plan: November and Preliminary Budget

Dollars in Thousands

	FY14	FY15	FY16	FY17	Total
November					
Total Capital Plan	\$817,259	\$119,378	\$104,510	\$104,059	\$1,145,206
Preliminary					
Total Capital Plan	\$818,751	\$119,378	\$104,510	\$104,059	\$1,146,698
Change					
Level	\$1,492	\$0	\$0	\$0	\$1,492
Percentage	0.18%	0.00%	0.00%	0.00%	0.13%

Capital Program Goals

- ✓ Maintain and invest in DSNY's facilities and capital assets
- ✓ Upkeep and upgrade sufficient vehicles for a reliable fleet
- ✓ Execute a long-term strategy for waste disposal based on efficiency and economic viability. Minimize negative environmental impacts and maximize flexibility to allow exploration of available disposal alternatives.

Capital Program Overview

The Department's capital program supports construction of Marine Transfer Stations (MTSs) required as part of the 2006 Solid Waste Management Plan (SWMP), the construction of the South Brooklyn Marine Terminal Recyclables Processing Facility which began operation in January, and the construction and upkeep of Sanitation Garages and facilities throughout the five boroughs. The capital program also supports the purchase and upkeep of equipment for garbage and recycling pickup and snow removal. DSNY is also currently going through a large information technology and telecommunications upgrade.

The Department's capital priority is to meet the required export infrastructure requirements of the SWMP, none of which could be removed without requiring a new Solid Waste Management Plan. A legal settlement requiring temporary garages located on the Gansevoort Peninsula to be moved out of the Hudson River Park as per state law led to the construction of a new Manhattan 1/2/5 Garage. Due to budget constraints no other garages were being considered for construction for years, despite communities in Sanitation District 1 in Staten Island and Sanitation District 3 in Brooklyn actively campaigning for new garages. However, construction of a new Manhattan 6/6A/8 Garage was proposed by DSNY in September 2012 that would be financed by the sale of the DSNY lot it was originally meant to be built on (more information follows below).

Updates on Major Capital Projects

Construction and Renovation of Marine Transfer Stations. Funding for the construction and reconstruction of MTSs in accordance with the requirements of the SWMP is as follows:

- The North Shore Queens MTS is currently under construction and operations are expected to begin in Fiscal 2015. This project has \$1.4 million allocated in Fiscal 2014 in the Preliminary Capital Commitment Plan. The full cost of the North Shore MTS is anticipated to be approximately \$196.7 million.
- The MTS at Hamilton Avenue in Brooklyn is currently under construction and expected to be completed in mid-August 2014. The Preliminary Capital Commitment Plan allots \$3.3 million to the completion of the project in Fiscal 2014. The projected full cost of the Hamilton Avenue MTS is \$175 million.
- Contracts are registered for work on the East 91st Street MTS and demolition began in March 2013. There is \$20.3 million planned for the project in Fiscal 2014, an increase of \$737,000 since the November Capital Commitment Plan. The estimated project completion date is March 2016 and the projected full cost is \$215.4 million.
- The Southwest Brooklyn MTS currently has \$158 million allocated in Fiscal 2014. The project is fully permitted and given that the contract has been awarded and contract registration is now pending, the MTS is expected to be completed sometime in July 2017 at a total cost of about \$176.9 million.
- The West 59th Street MTS refurbishment has approximately \$41.5 million apportioned to it in Fiscal 2014. Work for phase 1 began in September 2013 and is expected to be completed in mid-November 2014. The use of this facility to transfer Manhattan commercial waste is contingent on the Gansevoort MTS being built to transfer the borough's recyclables.
- The Gansevoort MTS for recyclables has \$86.7 million allocated to it in Fiscal 2014, an increase of \$75,000 since the November Capital Commitment Plan. An RFP for the design of the demolition was awarded in August 2012 and design is ongoing. The earliest that demolition could begin is June 2014 but is dependent on the Manhattan 1/2/5 Garage being completed so that temporary garages housed on the Gansevoort Peninsula could be dismantled. Before the Gansevoort MTS could be built a Memorandum of Understanding (MOU) between the City and State needs to be signed, as required by the amended Hudson River Park Act. The most recent draft stipulates that the City and State each allocate \$25

million to the budget of the Hudson River Park. There is \$25 million in the City's Department of Parks and Recreation budget but the state has not yet included the necessary funds.

Manhattan 6/6A/8 Garage. The Manhattan 6/6A/8 Garage has approximately \$200 million budgeted for construction in Fiscal 2014. This project was previously planned as a District 6/8/8A Garage to be built at East 73rd Street at the Franklin D. Roosevelt East River Drive (FDR Drive). The Department had been forced to cut the budget for this multi-district garage in Fiscal 2009 and the trucks and vehicles were temporarily located at West 30th Street and 12th Avenue, 9th Avenue and 216th Street, and East 132nd Street and Bruckner Expressway in the Bronx respectively.

In order to raise funds for a new garage an RFP was issued by NYC Economic Development Corporation (EDC) for DSNY's East 73rd Street site. The winning bid was made by Memorial Sloane-Kettering (MSK) Cancer Center to build a 730,000 square-foot cancer care facility in partnership with City University of New York Hunter College (Hunter) that would build a 403,000 square-foot Science and Health Professions building. Announced in September 2010, MSK would pay a purchase price of \$215 million and a city-owned site currently occupied by Hunter's nursing school would be vacated at 25th Street between 1st Avenue and FDR Drive that could be used for the new 3-district garage.

In summary, the sale of the site on East 73rd Street would procure the money needed to build the 3-district garage and would return to City ownership a site on East 25th Street that is larger than needed for the garage. Both the sale of the East 73rd Street land and the siting of the 6/6A/8 Garage would require Uniform Land Use Review Procedure approvals.

Manhattan 1/2/5 Garage. The construction of the Manhattan 1/2/5 Garage is being managed by the NYC Department of Design and Construction. The Preliminary Capital Commitment Plan includes \$19.3 million for the construction of the Manhattan 1/2/5 Garage in Fiscal 2014, an increase of \$350,000 since the November Capital Commitment Plan. Construction is approximately 81 percent complete and should be completed in early December 2014.

Appendix A

Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of Fiscal 2014 Adopted Plan	\$1,406,324	\$23,148	\$1,429,472	\$1,440,482	\$22,578	\$1,463,060
New Needs						
Curbside Organics Pilot	\$8,322	\$0	\$8,322	\$7,574	\$0	\$7,574
MTS Crane Warranties	281	0	281	612	0	612
MTS Start-up and Training	1,979	0	1,979	0	0	0
SMART Long-Term Staffing	90	0	90	90	0	90
Supervisor Positions	2,443	0	2,443	2,467	0	2,467
Fresh Kills Landfill Closure	(33,803)	0	(33,803)	13,065	0	13,065
Leased Space for M6 Parking	175	0	175	350	0	350
Snow Budget Adjustment	35,000	0	35,000	0	0	0
TOTAL, New Needs	\$14,486	\$0	\$14,486	\$24,158	\$0	\$24,158
Other Adjustments						
Congestion Mitigation Air Quality Grant	\$0	\$2,307	\$2,307	\$0	\$0	\$0
DOS Fuel	0	229	229	0	0	0
Member Item Reallocation	(3)	0	(3)	0	0	0
MTS Staffing	(5,575)	0	(5,575)	(7,894)	0	(7,894)
NEG DSNY - Sandy Expenses	0	68	68	0	0	0
PlaNYC Energy Manager	0	85	85	0	0	0
FEMA Reimbursements - Hurricane Sandy	0	650	650	0	0	0
Waste Export Surplus	(22,500)	0	(22,500)	0	0	0
DSNY Work Experience Program I/C	0	127	127	0	0	0
Emerg. Install Feeders/Wires	0	127	127	0	0	0
Hazard Mitigation Design for MTSS	0	398	398	0	0	0
MTS Crane Maintenance	(195)	0	(195)	(430)	0	(430)
Muni On-Road Diesel Reduction	0	341	341	0	0	0
PlaNYC ExCEL Program	0	122	122	0	0	0
Street Fair Revenue	0	199	199	0	0	0
TOTAL, Other Adjustments	(\$28,273)	\$4,653	(\$23,620)	(\$8,324)	\$0	(\$8,324)
TOTAL, All Changes	(\$13,787)	\$4,653	(\$9,134)	\$15,835	\$0	\$15,835
Agency Budget as of Fiscal 2015 Preliminary Plan	\$1,392,537	\$27,801	\$1,420,338	\$1,456,317	\$22,578	\$1,478,895

Appendix B

Contract Budget

Category	Number	Budgeted	Pct. of DEP Total	Pct. of City Total
Contractual Services General	16	\$73,547	15.1%	13.5%
Telecommunications Maintenance	6	901	0.2%	1.8%
Maintenance & Repair of Motor Vehicle Equipment	13	1,138	0.2%	8.5%
Maintenance & Repair, General	12	628	0.1%	0.5%
Office Equipment Maintenance	6	142	0.0%	1.0%
Data Processing Equipment	1	9	0.0%	0.0%
Printing Contracts	5	1,149	0.2%	3.6%
Security Services	8	3,598	0.7%	3.6%
Municipal Waste Export	31	392,105	80.6%	100.0%
Temporary Services	3	604	0.1%	1.5%
Cleaning Services	17	569	0.1%	2.6%
Training Programs for City Employees	5	81	0.0%	0.5%
Maintenance & Operation of Infrastructure	22	1,349	0.3%	0.6%
Professional Services: Computer Services	13	894	0.2%	0.9%
Professional Services: Other	21	9,697	2.0%	4.6%
Preliminary Budget	179	\$486,411	100.0%	4.1%

Appendix C

Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services						Other Than Personal Services						Grand Total
	101	102	103	104	105	007	006	009	110	111	112	113	
Civilian Enforcement	\$4,204												\$4,204
Collection & Street Cleaning	12,597	635,652				2,428		9,068					659,744
Enforcement - General	16,076					1,210							17,286
Engineering	3,112					772							3,885
General Administration	22,489					86,340							108,829
Legal Services	3,493												3,493
Long Term Export	1,145					757							1,901
Public Information	2,096												2,096
Snow Removal							21,462					16,767	38,229
Solid Waste Transfer Stations			19,459										19,459
Support Operations - Motor Equipment					63,419						24,159		87,578
Support Operations-Building Management				17,371						3,025			20,397
Waste Disposal - General			7,841						3,531				11,372
Waste Disposal - Landfill Closure									72,810				72,810
Waste Export									391,828				391,828
Waste Prevention, Reuse, and Recycling		2,768						33,015					35,784
Grand Total	\$65,213	\$638,420	\$27,300	\$17,371	\$63,419	\$91,506	\$21,462	\$42,083	\$468,170	\$3,025	\$24,159	\$16,767	\$1,478,895