

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Melissa Mark-Viverito
Speaker of the Council

Hon. Ydanis Rodriguez
Chair, Committee on Transportation



Hearing on the Fiscal 2015 Preliminary Budget & the Fiscal 2014 Preliminary Mayor's Management Report

Department of Transportation

March 6, 2014

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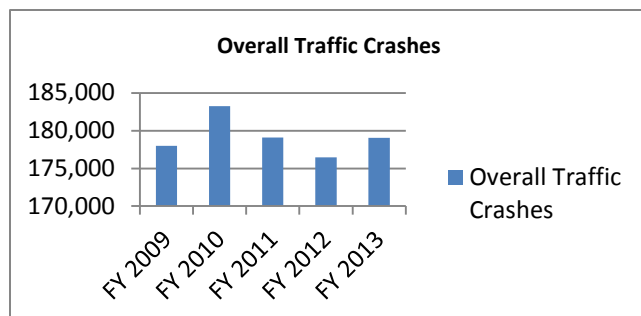
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Department of Transportation Overview

The Department of Transportation (DOT) is responsible for the condition and operation of approximately 6,000 miles of streets, highways and public plazas, and 787 bridge structures. DOT ensures traffic safety through a variety of ways including: enhancing conditions for pedestrians near schools and in communities with high concentrations of seniors; continuous analysis of traffic operations in key intersections and corridors; as well as through the daily operation of traffic signals at more than 12,300 signalized intersections and over 300,000 street lights. The Department maintains 69 million linear feet of markings on City streets and highways. Additionally, DOT manages mobility at many different levels including: it's Joint Traffic Management Center; an extensive emergency response operation; the bus rapid transit program; the Staten Island Ferry operation; the bicycle program; pedestrian program; sidewalk repair and ADA compliance programs; and the on-street parking meters. The Department's infrastructure programs comprise an extensive bridge capital investment and life-cycle maintenance program; roadway resurfacing, repair and maintenance; and a capital program that includes street and sidewalk reconstruction and projects such as greenway construction. DOT's alternative fuel program promotes the use of cleaner vehicles in both the public and private sectors.

Agency Highlights and Issues



Source: Mayors' Management Report

Traffic Crashes: Since 2007, DOT has made tremendous effort to improve traffic safety citywide. DOT has a wide variety of initiatives and programs aimed at increasing the safety of the City's streets, including neighborhood slow zones, public awareness campaigns on speeding, drunk driving, and driver/pedestrian awareness, as well as street improvement projects aimed at

increasing street safety and usability. During the last five years, DOT has re-engineered 137

corridors and 113 intersections citywide; installed 3,600 pedestrian countdown signals, 910 speed bumps and 14 community-requested neighborhood slow zones; and won State authorization to use speed cameras near schools for the first time ever. These efforts, plus NYPD enforcement, have helped make the last five years the five safest in New York City's history, with the fewest traffic fatalities since records were first kept in 1910. However, despite these gains, traffic fatalities remain a serious public health issue for the City. There were 261 traffic fatalities in Fiscal 2013.

As a result, on February 28, 2014 the Mayor released the City's Vision Zero report as a first step to begin to address the issues of death and injuries on City streets. The report which lays out the City's action plan to reduce traffic fatalities calls for a Vision Zero Task Force, with five City agencies including the DOT, assigned to implement the report's 63 recommendations encompassing enforcement, engineering, and education.

DOT Fiscal 2015 Preliminary Plan Highlights

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Personal Services	\$375,815	\$385,132	\$359,976	\$376,092	\$363,294	\$3,318
Other Than Personal Services	463,980	448,208	368,969	509,380	428,038	59,069
Agency Total	\$839,795	\$833,340	\$728,945	\$885,472	\$791,332	\$62,387

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The Department of Transportation's Fiscal 2015 Preliminary Budget totals \$791.3 million, which is \$62.4 million more than the Fiscal 2014 Adopted Budget of \$728.9 million. Since the City's fiscal year and the State and federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments as additional grant funding become available. For the current fiscal year (Fiscal 2014), to date over \$130 million in State and federal funding has been realized post Adoption.

The Fiscal 2015 Preliminary Budget includes \$454.8 million in City-tax levy funds, an increase of \$25.4 million from the Fiscal 2014 Adopted Budget amount of \$429.4 million. For the first time in recent years, the Preliminary Budget does not include any Programs to Eliminate the Gap (PEGs) for DOT. However, it includes \$9.0 million in new needs and \$52 million in other adjustments for the Department. The Department's new needs are:

- **Signal Maintenance and Painting.** DOT has a new need of \$2.3 million annually for the maintenance and painting of traffic signals. (see p. 7)
- **Additional Weekend Ferry Service.** Beginning in Fiscal 2014, DOT will receive additional funding allowing it to provide additional weekend ferry service on the Staten Island ferry. (see p. 14)
- **Arterial Sweeper Rental and Auto Mechanics.** DOT will receive additional funding of \$198,000 in Fiscal 2014 and \$252,000 in Fiscal 2015 for arterial highway maintenance and two mechanic positions. (see p. 10)
- **Parks Bridge Flag Repairs.** The Department will receive \$500,000 in Fiscal 2014 to conduct minor bridge repair works at various City parks. (see p. 18)
- **Pay-by-cell Parking Meter Program Costs.** To help reduce credit card processing costs, DOT plans to implement an alternative online payment system for parking meters. (see p. 7)
- **Retaining Walls.** DOT will receive \$425,000 in Fiscal 2015 for costs associated with retaining walls. (see p. 10)
- **Speed Camera Expenses.** DOT has a new need of \$1.2 million in Fiscal 2015 and the outyears for costs associated with its speed camera initiative. (see p. 7)

In addition to the above new needs, other key actions affecting the Agency's proposed budget include:

- **Bridge When and Where, Inspections and Painting.** The Department will use State CHIPs funding of \$3.8 million in place of City funds for bridge when and where repairs in Fiscal 2015. (see p. 18)
- **East River Bridges.** DOT anticipates federal funding of \$7.3 million in Fiscal 2015 for costs associated with the East River Bridges. (see p. 18)
- **Safe, Accountable, Flexible, Efficient Transportation Equity Act -A Legacy for Users (SAFETEA-LU).** The proposed budget includes savings of \$11.4 million in Fiscal 2015 and the outyears from federal Safetea-LU funding. (see p. 7)
- **Traffic CHIPs.** DOT will use State CHIPs funding of \$8.7 million in place of City funds for traffic related work in Fiscal 2015 and the outyears. (see p. 7)
- **Street Arterial Maintenance Program (SAMP) Funding.** DOT will use federal funding of \$567,000 in Fiscal 2015 to maintain the roadsides on the State' arterial highways. (see p. 10)
- **State Funding Adjustment.** The Fiscal 2015 Preliminary Budget includes \$600,000 in additional state' mass transit operating assistance in Fiscal 2015. (see p. 14)

Department of Transportation Financial Summary

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Budget by Program Area						
Bridge Engineering and Administration	\$26,013	\$23,998	\$27,078	\$28,164	\$27,930	\$852
Bridge Maintenance, Repair & Operations	56,136	67,407	50,677	68,892	64,065	13,388
DOT Management & Administration	50,273	52,715	47,115	52,538	47,774	659
DOT Vehicles & Facilities Mgmt & Maintenance	81,469	51,169	35,692	41,768	36,810	1,118
Ferry Administration & Surface Transit	5,675	4,557	4,262	7,081	4,294	32
Municipal Ferry Operation & Maintenance	95,563	92,147	95,640	102,499	97,355	1,715
Roadway Construction Coordination & Admin	9,721	8,760	12,772	13,460	13,047	275
Roadway Repair, Maintenance & Inspection	208,156	217,317	191,672	213,671	190,288	(1,384)
Traffic Operations & Maintenance	274,588	261,287	248,791	294,367	288,725	39,934
Traffic Planning Safety & Administration	32,203	53,981	15,246	63,032	21,044	5,798
TOTAL	\$839,795	\$833,338	\$728,945	\$885,472	\$791,332	\$62,387
Funding						
City Funds	\$415,586	\$413,531	\$429,391	\$437,471	\$454,789	\$25,398
Other Categorical	50,201	19,135	159	3,070	1,405	1,247
Capital- IFA	191,020	188,491	174,985	187,134	173,110	(1,875)
State	104,072	84,828	73,536	102,093	92,172	18,636
Federal - CD	0	2,212	0	0		0
Federal - Other	77,417	123,806	49,502	151,720	68,484	18,982
Intra City	1,499	1,335	1,372	3,984	1,372	(0)
TOTAL	\$839,795	\$833,338	\$728,945	\$885,472	\$791,332	\$62,387
Positions						
Full-Time Positions - Civilian	4,405	4,379	4,452	4,734	4,533	81
Full-Time Equivalent Positions	402	359	224	216	211	(13)
TOTAL	4,807	4,738	4,676	4,950	4,744	68

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding*

In general, agency program areas can provide insight into what programs are priorities and on how the budget impact programs outcome. DOT's functions can be broken down into ten program areas or functions as illustrated above. These program areas are funded with a combination of City funds and other resources. Of the Department's program areas, funding for Traffic Operations and Maintenance and Roadway Repair, Maintenance and Inspection constitutes more than 60 percent of total funding in Fiscal 2015.

Program Areas

Traffic Operations and Maintenance

The Division of Traffic Operations is responsible for the safe and efficient movement of people and goods on the City's streets and for the development, installation, and maintenance of the City's traffic signals, street lights, traffic signs and roadway markings. The Division manages the municipal parking facilities and parking meters as well as the Department's Traffic Management Center (TMC). The TMC monitors real-time traffic conditions, controls thousands of computerized traffic signals, and operates variable message signs on the City's major arteries. The Division also manages the Red Light Camera program, which is designed to promote safe, responsible driving by photographing and fining vehicles that run red lights. Currently, the DOT has 170 cameras installed at 150 locations citywide.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$61,518	\$59,135	\$59,252	\$60,291	\$60,397	\$1,145
Other Salaried and Unsalaries	876	845	893	864	864	(29)
Additional Gross Pay	4,339	4,122	1,379	1,379	1,379	0
Overtime - Civilian	10,102	10,390	4,344	5,259	4,418	74
Amounts to be Scheduled	0	0	793	793	793	0
Fringe Benefits	656	559	627	627	627	(0)
Subtotal	\$77,491	\$75,051	\$67,288	\$69,213	\$68,478	\$1,190
Other Than Personal Services						
Supplies and Materials	\$10,103	\$6,794	\$20,417	\$15,186	\$22,255	\$1,838
Fixed and Misc Charges	118	30	138	138	138	0
Property and Equipment	2,153	2,003	4,302	4,629	4,370	68
Other Services and Charges	67,016	64,613	67,945	64,526	73,040	5,095
Contractual Services	117,706	112,796	88,700	140,675	120,444	31,744
Subtotal	\$197,097	\$186,236	\$181,502	\$225,154	\$220,247	\$38,745
TOTAL	\$274,588	\$261,287	\$248,790	\$294,367	\$288,725	\$39,935
Funding						
City Funds			\$190,712	\$196,695	\$210,439	\$19,727
Other Categorical			34	1,069	1,069	1,036
Capital- IFA			12,677	12,677	12,677	0
State			13,976	30,174	27,494	13,518
Federal - Other			31,391	52,150	37,046	5,655
Intra City			0	1,602	0	0
TOTAL	\$274,588	\$261,287	\$248,790	\$294,367	\$288,725	\$39,935

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

DOT's Fiscal 2015 Preliminary Budget includes \$288.7 million for traffic operations and maintenance, \$39.9 million more than the Fiscal 2014 Adopted Budget of \$248.8 million, and only \$5.6 million less than the current modified budget (2014 Prelim Plan). The proposed budget for this program area takes into account increased spending in Other Than Personal Services (OTPS) costs, particularly for contractual services, which will increase by \$31.7 million in Fiscal 2015 compared to the Fiscal 2014 adopted amount.

In the past fiscal years, a wider variance existed between the Adopted Budget and the Modified Preliminary Plan because the Department reports only baseline funding and State and federal grants that it anticipates at the beginning of the fiscal year. Adjustments are made when State and federal funds are received. In the Fiscal 2015 proposed Preliminary Budget, this assumption appears to have changed with the Department now recognizing more of the anticipated State and federal funds as baseline funding. These funds include \$1.2 million for bus stop maintenance, \$11.4 million in federal SAFETEA –LU funding, \$2.2 million for a stop DWI initiatives and more than \$16 million in State Consolidated Highway Improvement funds.

The Division of Traffic Operations' Fiscal 2015 Preliminary Budget includes funding for 1,114 positions, an increase of 23 positions compared to the Fiscal 2014 Adopted Budget. Since additional State and federal grants are likely to be recognized post Adoption, it is likely that additional positions may be recognized for this program area.

Since the Fiscal 2014 Budget was adopted last June, specific actions affecting the Traffic Operations and Maintenance program area include the following:

- **Signal Maintenance Painting.** The Proposed Budget includes additional expense funding of \$2.2 million in Fiscal 2014 and Fiscal 2015 and in the outyears for traffic signals maintenance and painting contract for 5,000 signalized intersections citywide.
- **Pay-by-cell Parking Meter Program Costs.** DOT Plans to implement an alternative on-line application that will allow individuals to set up an account similar to PayPal or E-ZPass for parking space payments. This action, when fully implemented, will allow DOT to reduce its annual credit card processing costs.
- **Speed Camera Expenses.** Effective June 2013, the City is authorized by the State to install and operate speed cameras in up to 20 school zones with a resulting fine of \$50 per violation. The Fiscal 2015 Preliminary Budget includes \$484,000 in Fiscal 2014 and \$1.2 million in Fiscal 2015 and in the outyears for the operation and maintenance of the speed cameras. The State's authorization will expire five years after the initial implementation.
- **Traffic CHIPS Funding.** DOT will use State funding from the Consolidated Highway Improvement Program (CHIPS) totaling \$8.7 million in place of City tax-levy funds for traffic related program costs in Fiscal 2014 and Fiscal 2015 and in the outyears.
- **Safe, Accountable, Flexible, Efficient Transportation Equity Act -A Legacy for Users (SAFETEA-LU).** DOT will use federal SAFETEA-LU funding totaling \$11.4 million in place of City tax-levy funds for traffic related program costs in Fiscal 2014 and Fiscal 2015 and in the outyears.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
★ Average time to respond to traffic signal defect and make safe (hours)	4.1	3.8	7.3	4.0	4.0	4.6	5.2
★ Average time to repair priority regulatory signs after notification (days)	1.9	1.9	2.2	3.0	3.0	1.9	2.0
Average time to repair street lights - by DOT (days)	2.2	2.5	2.7	*	*	2.4	2.5
★ Overall traffic crashes	179,112	176,482	179,076	↓	↓	N/A	N/A
★ Citywide traffic fatalities	236	291	261	↓	↓	94	108
Average time to repair street lights - by ConEd (days)	14.3	12.5	14.1	*	*	12.4	14.2
Average travel speed (miles per hour) - Manhattan Central Business District	9.2	9.1	9.1	*	*	N/A	N/A

In the first four months of Fiscal 2014, traffic fatalities rose from 94 to 108, with increases in fatalities associated with pedestrian mid-block crossings and single vehicle motorcycle crashes. As part of the Department's overall traffic safety action plan, the Department obtained State legislative approval and began installing speed enforcement cameras in September 2013. The cameras were initially set to issue warnings but began issuing tickets in January 2014. In addition, the Administration's Vision Zero Action Plan would require DOT to play a critical role to dramatically reduce traffic deaths and injuries citywide.

The Preliminary Mayor's Management Report for the DOT now includes data reporting on vehicular travel speeds in Manhattan's Central Business District, a key yardstick for measuring improved mobility and congestion. The new metric provides data on the average weekday travel speed of yellow taxi cabs within Manhattan's Central Business District south of 60th Street. As indicated, travel time has improved from 9.2 miles per hour in Fiscal 2011 to 9.1 miles per hour in Fiscals 2012 and 2013.

Roadway Repair, Maintenance and Inspection

The Department's Roadway Repair, Maintenance and Inspection program area is responsible for the maintenance and inspection of approximately 5,700 linear miles of streets and arterial highways within the five boroughs. In an effort to maintain the arterial highways within the City, and increase community participation, the Department manages the Adopt-a-Highway Program. This program enables sponsors to adopt up to 362 miles of highway and contribute funding for the cleaning and maintenance of the roadside. Additionally, the Division utilizes available State aid to perform both road maintenance and repair activities. The Division cleans and maintains 1,175 lane miles of arterial highway and 2,525 acres of landscaped areas and shoulders annually. The Division also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$80,318	\$83,643	\$82,872	\$84,384	\$83,753	\$881
Other Salaried and Unsalaries	11,009	13,863	8,709	9,153	8,808	99
Additional Gross Pay	8,080	9,658	3,352	3,352	3,352	0
Overtime - Civilian	14,565	17,537	10,076	11,082	10,307	231
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0		0	0	0	0
New Positions	0		0	0	0	0
Fringe Benefits	509	358	564	564	564	0
Subtotal	\$114,480	\$125,059	\$105,573	\$108,535	\$106,784	\$1,211
Other Than Personal Services						
Supplies and Materials	\$67,499	\$62,074	\$59,469	\$67,320	\$57,745	(\$1,724)
Fixed and Misc Charges	5	9	20	32	20	0
Property and Equipment	1,412	2,578	1,265	3,646	1,324	59
Other Services and Charges	14,520	15,092	10,273	19,532	9,330	(943)
Contractual Services	10,240	12,505	15,072	14,606	15,085	13
Subtotal	\$93,676	\$92,258	\$86,099	\$105,136	\$83,504	(\$2,595)
TOTAL	\$208,156	\$217,317	\$191,672	\$213,671	\$190,288	(\$1,384)
Funding						
City Funds			\$36,746	\$37,076	\$36,571	(\$175)
Other Categorical			0	0	0	0
Capital- IFA			133,964	146,114	132,090	(1,874)
State			20,962	21,570	21,566	604
Federal - Other			0	8,779	61	61
Intra City			0	132	0	0
TOTAL	\$208,156	\$217,317	\$191,672	\$213,671	\$190,288	(\$1,384)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

DOT's Fiscal 2015 Preliminary Budget includes \$190.3 million in Fiscal 2015 for roadway repair, maintenance and inspections, \$1.4 million less than the amount allocated in the Fiscal 2014 Adopted Budget, but \$23.4 million less than the current modified budget (2014 Prelim Plan). This is due to increased intra-funding agreements (IFAs), which are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff, and additional State and federal funds. The funding may increase post Adoption if additional intra-fund agreements are recognized.

The Fiscal 2015 Preliminary Budget provides funding for 1,149 positions for roadway repair, maintenance and inspection, an increase of six positions compared to the Fiscal 2014 Adopted Budget number of 1,143 positions.

Since the Fiscal 2014 Budget was adopted last June, the key actions affecting this program area in Fiscal 2015 are:

- **Arterial Sweeper Rental and Auto Mechanics.** DOT will receive additional funding of \$198,000 in Fiscal 2014, \$252,000 in Fiscal 2015 and decreasing to \$152,000 in Fiscal 2016 and in the outyears for costs associated with arterial highway maintenance. This action will add two auto mechanic positions to DOT's headcount.
- **Retaining Walls.** The Fiscal 2015 Preliminary Budget includes additional funding of \$200,000 in Fiscal 2014 and \$425,000 in Fiscal 2015 and in the outyears for retaining wall inspections and design engineering services costs.
- **Street Arterial Maintenance Program (SAMP) Funding.** Under an agreement with New York State, NYC DOT is to maintain the roadsides on the State's arterial highways within the City. This federal grant of \$567,000 in Fiscal 2014 and Fiscal 2015 and in the outyears will support DOT's effort in landscape maintenance, tree pruning, debris and graffiti removal, and the maintenance of park and ride lots.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
Streets maintained with a pavement rating of - Good (%)	71.4%	73.4%	69.60%	71.0%	71.0%	N/A	N/A
NYC highways that receive a cleanliness rating of - Good (%)	97.6%	99.1%	99.50%	98.0%	98.0%	N/A	N/A
Average time to close a pothole work order where repair was done (days)	10.8	2.3	1.4	5.0	5.0	1.5	2.4
Pothole work orders	56,399	36,401	43,972	*	*	9,100	8,145
Potholes repaired (Local streets)	305,001	200,666	241,572	*	*	47,126	38,375
Average cost per lane mile resurfaced citywide (\$)	\$153,790	\$150,830	\$192,536	*	*	N/A	N/A

According to the Preliminary Mayor's Management Report (PMMR), in the first four months of Fiscal 2014 the average time to close a pothole work order where repair was done increased from 1.5 days to 2.4 days compared to the same period of Fiscal 2013. According to the Department, the increase is due, in part, to work crews having to spend more time traveling between repairs

when there are fewer potholes. The overall number of potholes repaired on local streets was 8,751 less compared to the same period last year.

Roadway Construction Coordination and Administration

The Department supervises street resurfacing and repair work; permits management; and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$8,095	\$7,234	\$9,947	\$10,883	\$10,221	\$274
Other Salaried and Unsalaries	429	411	841	841	841	0
Additional Gross Pay	457	311	345	345	345	0
Overtime - Civilian	439	397	788	788	788	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	0	0	0	0	0	0
Subtotal	\$9,420	\$8,353	\$11,920	\$12,856	\$12,194	\$274
Other Than Personal Services						
Supplies and Materials	\$126	\$112	\$118	\$192	\$118	\$0
Fixed and Misc. Charges	0		0	0	0	0
Property and Equipment	30	25	26	50	26	0
Other Services and Charges	11	18	28	18	28	0
Contractual Services	133	251	680	344	681	0
Subtotal	\$301	\$406	\$853	\$604	\$853	\$0
TOTAL	\$9,721	\$8,759	\$12,772	\$13,460	\$13,047	\$274
Funding						
City Funds			\$10,839	\$10,605	\$11,114	\$275
Other Categorical			0	0	0	0
Capital- IFA			1,554	1,554	1,554	0
State			287	286	287	0
Federal - Other			92	901	92	0
Intra City			0	114	0	0
TOTAL	\$9,721	\$8,759	\$12,772	\$13,460	\$13,047	\$274

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The DOT's Fiscal 2015 Preliminary Budget includes \$13.0 million in Fiscal 2015 for roadway construction coordination and administration, an increase of only \$275,000 when compared to the Fiscal 2014 Adopted Budget. The Fiscal 2015 headcount for this program area is 113, an increase of five positions compared to the Fiscal 2014 Adopted Budget of 108 positions.

Municipal Ferry Operation and Maintenance

This program area is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities. The Staten Island Ferry currently carries over 20 million passengers annually on a 5.2-mile run between the St. George Terminal in Staten Island and the Whitehall Terminal in lower Manhattan. Service is provided 24 hours a day, 365 days a year. A typical weekday schedule involves the use of five boats to transport approximately 65,000 passengers daily (110 trips). During the day, between rush hours, boats are regularly fueled and maintenance work is performed. Terminals are cleaned around the clock and routine terminal maintenance is performed on the day shift. On weekends, three boats are used (64 trips each weekend day). Over 33,000 trips are made annually.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$32,172	\$31,822	\$41,050	\$38,789	\$39,835	(\$1,215)
Other Salaried and Unsalaries	407	397	109	109	109	0
Additional Gross Pay	3,620	3,633	1,748	1,765	1,846	98
Overtime - Civilian	11,125	12,532	9,105	9,168	9,487	382
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	344	442	380	381	385	5
Subtotal	\$47,669	\$48,826	\$52,392	\$50,212	\$51,662	(\$730)
Other Than Personal Services						
Supplies and Materials	\$14,988	\$17,597	\$17,584	\$18,610	\$18,541	\$957
Fixed and Misc. Charges	14	16	12	20	12	0
Property and Equipment	907	950	338	467	337	(1)
Other Services and Charges	139	482	7,106	7,382	6,500	(606)
Contractual Services	31,846	24,277	18,208	25,808	20,303	2,095
Subtotal	\$47,894	\$43,322	\$43,248	\$52,287	\$45,693	\$2,445
TOTAL	\$95,563	\$92,148	\$95,640	\$102,499	\$97,355	\$1,715
Funding						
City Funds			\$59,589	\$59,868	\$62,656	\$3,067
Other Categorical			0	0	0	0
Capital- IFA			1,891	1,891	1,891	0
State			27,805	28,230	28,411	606
Federal - Other			5,279	11,435	3,322	(1,957)
Intra City			1,075	1,075	1,075	0
TOTAL	\$95,563	\$92,148	\$95,640	\$102,499	\$97,355	\$1,715

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*

The Department's Fiscal 2015 Preliminary Budget includes \$97.4 million in Fiscal 2015 for municipal ferry operation and maintenance, \$1.7 million more than the Fiscal 2014 Adopted Budget of \$95.6 million. The increase is due, in part, to the inclusion of additional funding for increased weekend ferry service on the Staten Island ferry. It would be recalled that last year, the City Council passed a local law requiring the DOT to provide additional Staten Island ferry service on the weekend.

The Fiscal 2015 Preliminary Budget headcount for this program area is 631, an increase of 20 positions compared to the Fiscal 2014 Adopted Budget of 611 positions.

Actions taken which affect this program area since Fiscal 2014 budget adoption last June include:

- **Additional Weekend Ferry Service.** The Preliminary Budget includes additional funding of \$500,000 in Fiscal 2014 and \$3.2 million in Fiscal 2015 and in the outyears, including 23 positions to provide additional weekend ferry service between the St. George and the Whitehall Ferry Terminals. The increase in weekend ferry service was mandated by the Council through the passage of a local law in December 2013.
- **State Funding Adjustment.** The Fiscal 2015 Preliminary Budget includes \$600,000 in additional state' mass transit operating assistance in Fiscal 2015 and in the outyears. This action is reflective of the Governor's 2014-2015 State Executive Budget.
- **Funding Switch – Vessel Dry-Docking.** DOT will use State and federal funding in place of City tax-levy funds to support the Staten Island Ferry dry-dock operations. This action will result in a City-tax levy savings of \$3.8 million in Fiscal 2014 only.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
Staten Island Ferry - Trips that are on-time (%)	90.9%	88.9%	88.60%	90.0%	90.0%	88.9%	89.7%
Ridership (000)	21,404	22,178	21,399	*	*	7,926	7,607
Average cost per passenger (\$)	\$5.35	\$5.48	\$5.38	*	*	N/A	N/A

In the first four months of Fiscal 2014, the percent of Staten Island Ferry trips that were on time improved slightly to 89.7 percent compared to the same period a year ago. However, overall ridership on the Ferry decreased from a peak of 22.2 million in Fiscal 2012 down to 21.4 million in Fiscal 2013.

Ferry Administration and Surface Management

This program area is responsible for regulating private ferries, in addition to overseeing subsidies to the MTA Bus Company (MTABC) and the Atlantic Bus Express paid from the City's Miscellaneous Budget. In 2006, the City finalized the transfer of subsidized local and express bus service formerly provided by private franchise bus companies to the MTABC. MTABC is primarily funded through farebox revenues and City subsidies. In addition to five facilities leased from private owners, the City currently owns three bus depots dedicated to MTABC operations, located in Yonkers, Southeast Brooklyn, and College Point, Queens.

<i>Dollars in Thousands</i>	2012	2013	2014	2014	2015	*Difference
	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$3,041	\$2,599	\$3,566	\$3,566	\$3,566	\$0
Other Salaried and Unsalaries	113	112	18	18	18	0
Additional Gross Pay	131	116	311	311	311	(0)
Overtime - Civilian	237	246	137	137	137	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	0	0	1	1	1	0
Subtotal	\$3,522	\$3,073	\$4,033	\$4,033	\$4,033	(\$0)
Other Than Personal Services						
Supplies and Materials	\$18	\$18	\$33	\$27	\$35	\$2
Fixed and Misc Charges	0		0	0	0	0
Property and Equipment	619	518	13	932	13	0
Other Services and Charges	163	226	182	191	211	29
Contractual Services	1,352	723	3	1,898	3	0
Subtotal	\$2,153	\$1,485	\$231	\$3,048	\$262	\$31
TOTAL	\$5,675	\$4,558	\$4,264	\$7,081	\$4,294	\$31
Funding						
City Funds			\$3,717	\$3,943	\$3,717	\$0
Other Categorical			0	0	0	0
Capital- IFA			120	120	120	0
State			0	201	0	0
Federal - Other			425	2,817	456	31
Intra City			0	0	0	0
TOTAL	\$5,675	\$4,558	\$4,264	\$7,081	\$4,294	\$31

**The difference of Fiscal 2014 adopted compared to Fiscal 2015 Preliminary Plan funding.*

The Department's Fiscal 2015 Preliminary Budget includes \$4.3 million and 38 positions in Fiscal 2015 for ferry administration and surface management, the same number of positions compared to the Fiscal 2014 Adopted Budget. While funding for this program area remains almost

unchanged when compared to the Fiscal 2014 Adopted Budget, it should be noted that federal funds have increased post Adoption for a combined total of more than \$2.4 million, including \$1.2 million for the DOT's Smart Choice program, which uses advertising and targeted marketing to promote alternative transportation modes citywide.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
Private ferry service - Total ridership (000)	8,326	9,020	9,976	*	*	3,578	3,763
- Number of routes	20	21	21	*	*	21	21

Ridership on private ferries has continued to increase, in the first four months of Fiscal 2014, ridership on the private ferries increased by more than 185,000 riders to 3.8 million compared to 3.6 million in the same period last year. Currently, major providers of private ferry service under DOT's supervision include New York Water Way, Seastreak, Liberty Water Taxi, and New York Water Taxi. Unlike the Staten Island Ferry, users of private ferry services must pay market rate fares, except in instances where City subsidies are used to reduce market rate fares such as the East River Ferry Initiative.

Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of 787 bridge structures, including 758 non-movable bridges, 25 movable bridges, and six tunnels including the four East River bridges. While the Division is responsible for the capital rehabilitation of the 61 culverts in Staten Island, maintenance and inspection responsibilities remain with the New York City Department of Environmental Protection. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work. The Division is comprised of six bureaus: Roadway Bridges; East River Bridges/Movable Bridges/Tunnels; Engineering Review; Bridge Maintenance/Inspections/Operations; Specialty Engineering and Construction; and Management Support Services.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$30,188	\$29,337	\$33,322	\$33,800	\$33,022	(\$300)
Other Salaried and Unsalaries	451	229	2	2	2	0
Additional Gross Pay	1,568	1,921	839	839	839	0
Overtime - Civilian	6,113	9,170	5,419	5,794	5,419	0
Amounts to be Scheduled	0	0	6	0	0	(6)
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	2,088	2,440	2,435	2,439	2,435	0
Subtotal	\$40,407	\$43,097	\$42,023	\$42,874	\$41,717	(\$306)
Other Than Personal Services						
Supplies and Materials	\$2,261	\$2,940	\$2,408	\$5,594	\$3,704	\$1,296
Fixed and Misc Charges	10	102	15	27	15	0
Property and Equipment	231	636	359	830	456	97
Other Services and Charges	492	514	792	1,205	777	(15)
Contractual Services	12,735	20,120	5,080	18,362	17,396	12,316
Subtotal	\$15,729	\$24,312	\$8,655	\$26,018	\$22,348	\$13,694
TOTAL	\$56,136	\$67,409	\$50,678	\$68,892	\$64,065	\$13,388
Funding						
City Funds			\$41,050	\$41,226	\$41,654	\$604
Other Categorical			125	125	125	0
Capital- IFA			1,642	1,641	1,641	(1)
State			3,719	6,842	5,250	1,531
Federal - Other			3,857	18,407	15,110	11,253
Intra City			285	651	285	0
TOTAL	\$56,136	\$67,409	\$50,678	\$68,892	\$64,065	\$13,388

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The DOT's Fiscal 2015 Preliminary Budget includes \$64.1 million for bridge maintenance, repair and operations in Fiscal 2015, an increase of \$13.4 million or 26.4 percent when compared to the Fiscal 2014 Adopted Budget of \$50.7 million. These funds will help the Department to continue to provide adequate maintenance of the City's bridges. Based on prior fiscal years, actual funding for bridge operations increased post Adoption as federal funds became available. As such, it is likely that funding for this program area could increase in Fiscal 2015 as additional federal and State funds are recognized.

In Fiscal 2015, funded headcount for this program area would be 449 positions, a decrease of six positions compared to the Fiscal 2014 Adopted Budget of 452 positions.

Actions taken which affected this program area since Fiscal 2014 budget adoption last June include:

- **Parks Bridge Flag Repairs.** The Preliminary Budget includes additional funding of \$500,000 in DOT's budget for costs associated with minor bridge repair works conducted by the Department's staff at various park facilities. However, beginning in Fiscal 2015, this action will be funded in the Department of Parks and Recreation's budget and not the DOT's budget.
- **Federal Funding Switch – East River Bridges.** DOT will use federal funding of \$7.4 million in Fiscal 2014 and \$7.2 million in Fiscal 2015 and in the outyears in place of City-tax levy funds for costs associated with the City's East River Bridges.
- **State Funding Switch – Bridge When and Where, Inspection and Painting.** DOT will use State Consolidated Highway Improvement Program (CHIPS) funding of \$3.9 million in Fiscal 2014 and \$3.8 million in Fiscal 2015 and in the outyears in place of City funds for bridge in-house repair and bridge painting.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
★ Bridges rated - Good or very good (%) (calendar year)	40.70%	41.20%	41.40%	40.7%	40.7%	N/A	N/A
- Fair (%)	58.80%	58.40%	58.4%	*	*	N/A	N/A
- Poor (%)	0.5%	0.40%	0.10%	*	*	N/A	N/A
Bridge projects (structural work) substantially completed on schedule - East River (%)	100%	91%	71%	100%	100%	N/A	N/A

The Preliminary Mayor's Management Report does not provide performance data on bridge rating for the first four months of Fiscal 2014. However, the report does show that the percentage of bridges rated good or very good improved slightly to 41.4 percent in Fiscal 2013 compared to 41.2 percent in Fiscal 2012.

Bridge Engineering and Administration

This program area is responsible for the design of bridge projects and supervision of consultant designs of bridge projects, and for oversight of Capital Budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's Capital Budget through intra-fund agreements (IFA). Since 2000, this Division has managed nearly \$4 billion in bridge Capital reconstruction projects including a number of projects to rehabilitate the East River Bridges, namely the Brooklyn, Manhattan, Williamsburg and Ed Koch/Queensboro Bridges. In the last few years other major bridges that have been completely replaced, include the Third Avenue, Macombs Dam and the 145th Street spans over the Harlem River. Over the next ten years, DOT will spend more than \$3.7 billion, as contained in the Fiscal 2014 Ten Year Capital Strategy plan, on bridge reconstruction projects.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$21,114	\$20,796	\$23,645	\$23,450	\$23,305	(\$340)
Other Salaried and Unsalaries	243	198	4	4	4	0
Additional Gross Pay	1,130	1,742	857	857	857	0
Overtime - Civilian	920	0	895	895	895	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	0	0	1	1	1	0
Subtotal	\$23,406	\$22,736	\$25,402	\$25,207	\$25,062	(\$340)
Other Than Personal Services						
Supplies and Materials	\$118	\$198	\$279	\$320	\$279	\$0
Fixed and Misc. Charges	0	0	26	5	26	0
Property and Equipment	116	81	283	356	398	115
Other Services and Charges	94	107	389	350	450	61
Contractual Services	2,279	876	698	1,926	1,715	1,017
Subtotal	\$2,606	\$1,262	\$1,676	\$2,957	\$2,868	\$1,192
TOTAL	\$26,013	\$23,998	\$27,078	\$28,164	\$27,930	\$852
Funding						
City Funds			\$4,836	\$5,125	\$7,126	\$2,290
Other Categorical			0	0	0	0
Capital- IFA			18,770	18,771	18,771	1
State			83	83	83	0
Federal - Other			3,389	4,185	1,950	(1,439)
Intra City			0	0	0	0
TOTAL	\$26,013	\$23,998	\$27,078	\$28,164	\$27,930	\$852

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The DOT's Fiscal 2015 Preliminary Budget includes \$27.9 million in Fiscal 2015 for engineering and administration which is \$852,000 more than the Fiscal 2014 Adopted Budget. However, since the Fiscal 2014 Budget was adopted, the Department has secured nearly \$1.0 million in additional federal funds for this program area.

The Preliminary Budget includes a headcount of 352 in Fiscal 2015 for this program area, unchanged when compared to the Fiscal 2014 Adopted Budget.

DOT Management and Administration

This program area consists of the commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems. The agency's six Borough Commissioners, including the Borough Commissioner for Lower Manhattan, are also included in this program area. The Borough Commissioners are charged with dealing directly with borough-wide issues.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$31,101	\$31,922	\$29,644	\$33,503	\$30,303	\$659
Other Salaried and Unsalaries	1,594	1,711	1,103	1,104	1,104	1
Additional Gross Pay	1,216	1,324	596	596	596	0
Overtime - Civilian	1,057	1,626	899	899	899	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	(65)	(44)	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	0	0	10	10	10	0
Subtotal	\$34,904	\$36,539	\$32,252	\$36,112	\$32,912	\$660
Other Than Personal Services						
Supplies and Materials	\$639	\$873	\$569	\$931	\$569	(\$0)
Fixed and Misc Charges	3	2	4	8	4	0
Property and Equipment	582	2,022	385	571	384	0
Other Services and Charges	11,605	12,005	12,477	12,573	12,477	0
Contractual Services	2,540	1,273	1,428	2,343	1,428	0
Subtotal	\$15,369	\$16,175	\$14,863	\$16,426	\$14,862	\$0
TOTAL	\$50,273	\$52,714	\$47,115	\$52,538	\$47,774	\$660
Funding						
City Funds			\$35,880	\$37,404	\$35,880	\$0
Other Categorical			0	211	211	211
Capital- IFA			3,868	3,868	3,868	0
State			5,392	5,556	5,445	53
Federal - Other			1,963	5,488	2,359	396
Intra City			12	12	12	(1)
TOTAL	\$50,273	\$52,714	\$47,115	\$52,538	\$47,774	\$660

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*

The DOT's Fiscal 2015 Preliminary Budget includes \$47.8 million for this program area, \$660,000 more than the Fiscal 2014 Adopted Budget of \$47.1 million. However, compared to the current modified budget (2014 Prelim Plan), the Fiscal 2015 Preliminary Budget shows a decrease of \$4.8

million as a result of additional State and federal funds received post-Adoption and used for 88 additional positions and other administrative overhead costs associated with the implementation of federal- and State-funded projects agency-wide. However, the Fiscal 2015 Preliminary Budget has taken steps to include majority of these funds as baseline funding in Fiscal 2015 and the outyears.

DOT Vehicles, Facilities Management and Maintenance

Funding in this program area provides for the maintenance of the Department's approximately 3,000 vehicles and facilities citywide.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$9,337	\$7,194	\$7,230	\$8,231	\$8,349	\$1,119
Other Salaried and Unsalaries	187	159	25	25	25	0
Additional Gross Pay	342	487	134	134	134	0
Overtime - Civilian	1,126	844	1,274	1,274	1,274	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	299	218	249	249	249	0
Subtotal	\$11,291	\$8,902	\$8,912	\$9,913	\$10,031	\$1,119
Other Than Personal Services						
Supplies and Materials	\$2,861	\$1,479	\$1,397	\$2,032	\$1,397	\$0
Fixed and Misc. Charges	48,821	17,786	2	9	2	0
Property and Equipment	593	847	665	1,971	665	0
Other Services and Charges	16,827	15,033	22,286	22,534	22,285	(1)
Contractual Services	1,077	7,121	2,431	5,309	2,431	(0)
Subtotal	\$70,178	\$42,266	\$26,781	\$31,855	\$26,779	(\$1)
TOTAL	\$81,469	\$51,168	\$35,692	\$41,768	\$36,810	\$1,118
Funding						
City Funds			\$35,086	\$33,666	\$35,238	\$152
Other Categorical			0	0	0	0
Capital- IFA			250	250	250	0
State			356	440	360	4
Federal - Other			0	7,412	962	962
Intra City			0	0	0	0
TOTAL	\$81,469	\$51,168	\$35,692	\$41,768	\$36,810	\$1,118

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The DOT's Fiscal 2015 Preliminary Budget includes \$36.8 million in Fiscal 2015 for vehicles, facilities management and maintenance, \$1.1 million more than the Fiscal 2014 Adopted Budget of \$35.7 million. This increase reflects the impact of additional federal funding (\$962,000) including \$400,000 for the City's bench program recognized in Fiscal 2015. The Fiscal 2015 Preliminary Budget is \$4.9 million less than the current modified budget (2014 Prelim Plan). This is primarily the result of increased federal funding post-Adoption for fleet-wide emission reduction initiatives,

including \$2.6 million for the Hunts Point Diesel Emission Reduction program in Fiscal 2014. The Fiscal 2015 Preliminary Budget includes funding for 122 positions in Fiscal 2015 for this program area, which is an increase of 17 positions compared to the Fiscal 2014 Adopted Budget of 105 positions.

Traffic Planning Safety and Administration

This program area is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs. The Division also develops programs to enhance mobility for bicyclists and pedestrians, and studies traffic patterns and impacts of major projects and development.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$12,107	\$12,456	\$8,754	\$15,171	\$8,995	\$241
Other Salaried and Unsalaries	288	224	96	96	96	0
Additional Gross Pay	490	435	1,078	1,078	1,078	0
Overtime - Civilian	339	380	219	760	219	0
Amounts to be Scheduled	0	0	0	0	0	0
P.S. Other	0		0	0	0	0
New Positions	0		0	0	0	0
Fringe Benefits	0	0	34	34	34	0
Subtotal	\$13,224	\$13,495	\$10,181	\$17,139	\$10,422	\$241
Other Than Personal Services						
Supplies and Materials	\$1,009	\$1,272	\$450	\$1,216	\$855	\$405
Fixed and Misc. Charges	12	0	4	9	4	0
Property and Equipment	985	1,462	1,003	8,437	2,437	1,434
Other Services and Charges	3,841	3,185	1,046	1,988	1,082	36
Contractual Services	13,131	34,567	2,561	34,243	6,244	3,683
Subtotal	\$18,978	\$40,486	\$5,064	\$45,893	\$10,622	\$5,558
TOTAL	\$32,203	\$53,981	\$15,245	\$63,032	\$21,044	\$5,799
Funding						
City Funds			\$10,934	\$11,864	\$10,394	(\$540)
Other Categorical			0	1,663	0	0
Capital- IFA			250	250	250	0
State			957	8,710	3,278	2,321
Federal - Other			3,104	40,146	7,122	4,018
Intra City			0	399	0	0
TOTAL	\$32,203	\$53,981	\$15,245	\$63,032	\$21,044	\$5,799

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The DOT's Fiscal 2015 Preliminary Budget includes \$21 million for traffic planning safety and administration, \$5.8 million more than the Fiscal 2014 Adopted Budget. However, it is \$42 million less when compared to the current modified budget for Fiscal 2014 (2014 Prelim Plan). This variance is due to the fact that DOT does not typically recognize State and federal funds until post budget adoption. Post-adoption increases in State and federal funding for this program area

include \$2.2 million for stop DWI initiatives, \$7.8 million in federal grants for bicycle network development, \$11.5 million in federal Congestion Mitigation Air Quality (CMAQ) grant and more than \$16.3 million in State Consolidated Highway Improvement Programs (CHIPS) funding for various projects. As a result of the additional State and federal funds, the traffic planning safety and administration's headcount increased from 112 to 209, an increase of 97 positions. The majority of the additional positions, however, are not recognized in the Fiscal 2015 Preliminary Budget but would be recognized, post-Adoption, when and if State and federal grants become available. The current planned headcount for Fiscal 2015 is 117 positions for this program area.

Performance Measures

	FY 11	FY 12	FY 13	Target FY 14	Target FY 15	4-Month Actual FY13	4-Month Actual FY14
Bicycle lane miles installed	33.1	25.8	51.9	20.0	20.0	N/A	N/A
Bicycle racks installed	2,750	1,286	3,541	1,500	1,500	N/A	N/A
Select Bus Service routes in Operation	2	3	5	*	*	N/A	N/A
Bicycle network connectivity index	1,134	1,254	1,416	1,500	1,500	N/A	N/A
Construction permits issued	264,532	325,839	348,051	*	*	113,754	142,327
Inspections of permitted street work	539,933	564,852	543,921	*	*	193,306	242,079

**The total connectivity figure is derived by adding the number of intersections covered by all the City's bicycle routes, plus the scores from zero to eight for each of these intersections.*

Capital Program

Capital Budget Summary

The Preliminary 2015 Capital Commitment Plan includes \$5.9 billion in Fiscal 2014-2017 for the Department of Transportation (including City and Non-City funds). This represents 15.3 percent of the City's total \$38.6 billion Preliminary Plan for Fiscal 2014-2017. The agency's Preliminary Commitment Plan for Fiscal 2014-2017 reflects a growth of less than one percent from the \$5.8 billion scheduled in the November Commitment Plan, an increase of only \$45 million.

Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2014 has increased from \$21.2 billion in the November Capital Commitment Plan to \$21.3 billion in the Preliminary Capital Commitment Plan, an increase of \$163 million or less than one percent. For DOT, the Preliminary Capital Commitment Plan for Fiscal 2014 remains unchanged when compared to the November Plan.

However, the majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal year 2013, the Department of Transportation committed \$912 million or 49 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency's Fiscal 2014 Capital Plan will be rolled into Fiscal 2015, thereby increasing the size of the Fiscal 2015-2018 Capital Plan.

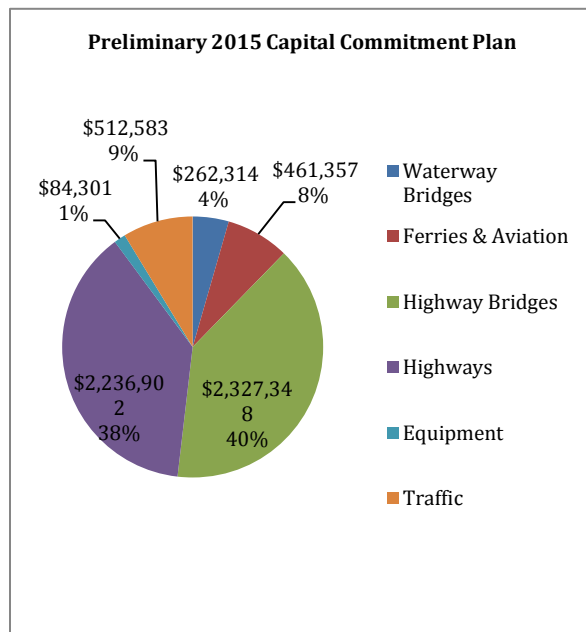
2014-2017 Commitment Plan: Adopted and Preliminary Budget

Dollars in Thousands

	FY14	FY15	FY16	FY17	Total
November Plan					
Total Capital Plan	\$2,936,806	\$1,195,704	\$719,177	\$988,118	\$5,839,805
Prelim Plan					
Total Capital Plan	\$2,936,806	\$1,195,704	\$764,177	\$988,118	\$5,884,805
Change					
Level	\$0	\$0	\$45,000	\$0	\$45,000
Percentage	0.00%	0.00%	6.26%	0.00%	0.77%

FY 2015 Preliminary Capital Commitment Plan by Categories

	2014	2015	2016	2017	2014-2017
Waterway Bridges (BR)	\$59,314	\$46,000	\$21,600	\$135,400	\$262,314
Ferries & Aviation (FA)	107,478	21,532	64,864	267,483	461,357
Highway Bridges (HB)	1,304,703	284,265	335,365	403,015	2,327,348
Highways (HW)	1,055,223	770,901	253,497	157,281	2,236,902
Equipment (TD)	51,052	20,366	6,083	6,800	84,301
Traffic (TF)	359,036	52,640	82,768	18,139	512,583
TOTAL	\$2,936,806	\$1,195,704	\$764,177	\$988,118	\$5,884,805



The Department's capital budget can be grouped into six categories as follows:

Waterway Bridges (BR) - Activities in this category is primarily focused on maintaining and replacing City bridges over water.

Ferries and Aviation (FA) - This category involves capital spending for waterborne transportation including ferry boats and landings.

Highway Bridges (HB) - This category involves spending for roadway bridges such as overpass and culverts.

Highways (HW) - Spending in this category is directed at improving the City's roadways including highways, streets, and curb cuts.

Equipment (TD) - This category include spending for technology such as automatic traffic monitoring

systems, Heavy Equipment vehicles, and computers.

Traffic (TF) - Includes spending for traffic signal installation and computerization, lampposts and luminaries, streetlights, electrical distribution systems and lane markings.

Capital Program Goals

- ✓ Provide safe and efficient travel throughout the City by rehabilitating deficient bridges and performing work necessary to keep bridges in a state of good repair.
- ✓ Provide streets in good repair.
- ✓ Improve the flow of traffic and minimize congestion.
- ✓ Maintain and improve safety on City streets by providing adequate lighting, adding and upgrading intersection signalization, installing lane markings, and traffic calming measures.
- ✓ Protect the public from excessive amounts of motor vehicle pollution.
- ✓ Provide public transportation that is accessible, reliable, and clean.
- ✓ Ensure the safe and efficient operation of ferries and ferry terminals.

Preliminary Budget Highlights

In the Preliminary Capital Plan, DOT's planned commitments for Fiscal 2014-2017 was increased by \$45 million, or 0.8 percent, to a total of \$5.9 billion when compared to the Department's 2013 November Plan.

Major capital projects contained in the Preliminary Capital Plan for Fiscal 2014-2017

- Planned commitments totaling over \$1.3 billion for the reconstruction and rehabilitation of bridges currently projected to be rated "fair" or "good" including the Shore Road over the Hutchinson River, Belt Parkway Bridge over Mill Basin, the Bruckner Expressway over Westchester Creek, the Roosevelt Avenue Bridge over Van Wyck Expressway and the Harlem River Drive over the viaduct.
- Planned commitments totaling \$896.4 million for streets reconstruction citywide.
- Planned commitments totaling \$80 million for PlaNYC initiatives including streetscape and plazas.
- Planned commitments totaling \$34.1 million for the reconstruction of City Island road over Eastchester Bay.
- Planned commitments totaling \$380.7 million including \$95.5 million for ferry boats replacement for the Staten Island ferry infrastructure improvements.
- Planned commitments of \$29.1 million for the reconstruction of sidewalks and \$38.8 million for the installation of pedestrian ramps citywide.
- Planned commitment of \$45 million for the reconstruction of Mclean Avenue (\$30 million) and Ebbitts Street (\$15 million) in the South Beach Area of Staten Island in Fiscal 2016.

Other additions and accelerations that impact the Fiscal 2014-2017 Plan include:

- Planned commitments totaling \$57.5 million for bridge protective coating Citywide.
- Planned commitments of \$13.5 million in Fiscal 2014 to reconstruct five bridges along the Melrose corridor in the Bronx.
- Planned commitments of \$15.7 million for the reconstruction of Broad Channel Bulkheads on West 13 Road in Queens.
- Planned commitments of \$175.3 million for Mill Basin Bridge Belt Parkway reconstruction.
- Planned commitments of \$23.8 million for citywide installation of energy efficient lights (LED).
- Planned commitments of \$6.8 million for Superstorm Sandy related projects.

Appendix A

Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of the Adopted 2014 Plan	\$429,391	\$299,554	\$728,945	\$446,711	\$283,669	\$730,380
New Needs						
Credit Card Fees	\$4,161	\$0	\$4,161	\$0	\$0	\$0
Signal Maintenance Painting	2,261	0	2,261	2,261	0	2,261
Additional Weekend Ferry Service	505	0	505	3,179	0	3,179
Arterial Sweeper Rental & Auto Mechanics	198	0	198	252	0	252
Parks Bridge Flag Repairs	500	0	500	(800)	0	(800)
Pay-by-cell Parking Meter Prog Costs	0	0	0	2,545		2,545
Retaining Walls	200	0	200	425	0	425
Speed Camera Expenses	484	0	484	1,163	0	1,163
TOTAL, New Needs	\$8,309	\$0	\$8,309	\$9,025	\$0	\$9,025
Other Adjustments						
Anti-Icing	\$0	\$2,058	\$2,058	\$0	\$1,058	\$1,058
34 Street Bus Livability	0	3,058	3,058	0	0	0
Bicycle Network Development	0	7,849	7,849	0	0	0
Bike Share	0	449	449	0	0	0
Bridge when & Where, Inspections & Painting	0	3,891	3,891	0	3,768	3,768
East River Bridges	0	7,349	7,349	0	7,195	7,195
Bus Stop Maintenance	0	1,247	1,247	0	1,247	1,247
City Benches	0	1,662	1,662	0	1,662	1,662
Hunts Emission Program	0	2,631	2,631	0	0	0
Lower Manhattan Boro Comm Office	0	1,038	1,038	0	0	0
Movable Bridges	0	3,000	3,000	0	3,000	3,000
Neighborhood Walkability	0	1,090	1,090	0	0	0
Pedestrian Network Development	0	853	853	0	0	0
Safe Streets for Seniors	0	793	793	0	0	0
Park Smart	0	949	949	0	949	949
Safetea-LU	0	11,354	11,354	0	11,354	11,354
Sandy Rollover to FY14	0	23,985	23,985	0	0	0
SAMP-DOT Landscape Mte.	0	567	567	0	567	567
SBS Enforcement & Gantry Sign	0	1,779	1,779	0	0	0
School Safety CHIPS	0	1,076	1,076	0	0	0
Traffic CHIPS	0	8,708	8,708	0	8,708	8,708
Select Bus Service	0	8,847	8,847	0	0	0
Smart Choice	0	1,228	1,228	0	1,228	1,228
Signal Mte., Sign Design & Construction CHIPS	0	7,520	7,520	0	7,520	7,520

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
Stop DWI	\$0	\$2,202	\$2,202	\$0	\$2,202	\$2,202
Transit Signal Priority - CMAQ	0	2,464	2,464	0	0	0
Vessel Dry Dock	0	3,825	3,825	0	0	0
Wayfinding	0	9,068	9,068	0	0	0
IFA Resurfacing Adjustment	0	12,150	12,150	0	0	0
City-funds Adjustments	(229)	0	(229)	(946)	0	(946)
Intra-funds & Other Categorical Adjustments	0	2,928	2,928	0	0	0
State Grants and Adjustments	0	201	201	0	606	606
Federal Grants and Adjustments	0	12,631	12,631	0	1,812	1,812
TOTAL, Other Adjustments	(\$229)	\$148,450	\$148,221	(\$946)	\$52,876	\$51,930
TOTAL, All Changes	\$8,080	\$148,450	\$156,530	\$8,079	\$52,876	\$60,955
Agency Budget as of the Preliminary 2015 Plan	\$437,471	\$448,004	\$885,475	\$454,790	\$336,545	\$791,332

*Continuation from previous page

Appendix B

Contract Budget

Category	Number	Budgeted	Pct of DOT Total	Pct of City Total
Contractual Services General	89	\$27,435,130	14.8%	5.0%
Telecommunications Maintenance	22	1,435,420	0.8%	2.9%
Maint & Repair of Motor Vehicle Equipment	26	1,678,259	0.9%	12.5%
Maint & Repair, General	113	18,067,098	9.7%	13.6%
Office Equipment Maintenance	95	311,148	0.2%	2.2%
Data Processing Equipment	29	1,182,967	0.6%	0.5%
Printing Contracts	19	256,400	0.1%	0.8%
Costs Assoc with Financing	2	4,152,331	2.2%	4.3%
Security Services	7	15,378,032	8.3%	15.5%
Temporary Services	4	91,405	0.0%	0.2%
Cleaning Services	33	2,936,208	1.6%	13.6%
Transportation Expenditures	3	19,500	0.0%	0.1%
Training Programs for City Employees	45	323,318	0.2%	2.0%
Maint & Oper of Infrastructure	64	104,899,617	56.5%	47.8%
Professional Services: Engineer & Architect	6	1,075,000	0.6%	15.9%
Professional Services: Computer Services	10	777,438	0.4%	0.8%
Professional Services: Other	16	5,710,480	3.1%	2.7%
2015 Preliminary Budget	583	\$185,729,751	100.0%	10.1%

Appendix C

Reconciliation of Program Areas to Units of Appropriation

	Personal Services					Other Than Personal Services					Grand Total
	001	002	003	004	006	007	011	012	013	014	
<i>Dollars in Thousands</i>											
Bridge Engineering and Administration					\$25,062	\$2,868					\$27,930
Bridge Maintenance, Repair & Operations					41,717	22,348					64,065
DOT Management & Administration	32,911						14,863				47,774
DOT Vehicles & Facilities Mgmt & Maintenance	9,108	922					26,780				36,810
Ferry Administration & Surface Transit			4,032						262		4,294
Municipal Ferry Operation & Maintenance			51,661						45,694		97,355
Roadway Construction Coordination & Admin		12,195						852			13,047
Roadway Repair, Maintenance & Inspection		106,784						83,504			190,288
Traffic Operations & Maintenance				68,478						220,246	288,724
Traffic Planning Safety & Administration				10,424						10,621	21,045
Grand Total	\$42,019	\$119,901	\$55,693	\$78,902	\$66,779	\$25,216	\$41,643	\$84,356	\$45,956	\$230,867	\$791,332

Appendix D

Fiscal 2014 Mayor's Management Report Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
*Bridges rated - Good or very good (%) (calendar year)	40.70%	41.20%	41.40%	40.70%	40.70%	N/A	N/A
- Fair (%)	58.8%	58.40%	58.40%	*	*	N/A	N/A
- Poor (%)	0.5%	0.40%	0.10%	*	*	N/A	N/A
Bridge projects (structural work) substantially completed on schedule (%)	100%	91%	71%	100%	100%	N/A	N/A
*Streets maintained with a pavement rating of - Good (%)	71.40%	73.40%	69.60%	71.00%	71.00%	N/A	N/A
- Fair (%)	28.1%	26.20%	29.80%	*	*	N/A	N/A
- Poor (%)	0.5%	0.40%	0.60%	*	*	N/A	N/A
Lane miles resurfaced citywide	1,003.00	1,006.60	810.6	*	*	N/A	N/A
Construction permits issued	264,532	325,839	348,051	*	*	113,754	142,327
Inspections of permitted street work	539,933	564,852	543,921	*	*	193,306	242,079
- Street work rated satisfactory (%)	74%	76%	77%	75%	75%	77%	77%
Post-audit inspections for completed street work	240,634	274,714	302,689	*	*	112,942	139,660
- Completed street work that passed inspection (%)	80%	81%	80%	*	*	81%	81%
*Average time to close a pothole work order where repair was done (days)	10.8	2.3	1.4	5	5	1.5	2.4
Pothole work orders	56,399	36,401	43,972	*	*	9,100	8,145
Potholes repaired (Local streets)	305,001	200,666	241,572	*	*	47,126	38,375
Potholes repaired (Arterials)	92,559	37,962	45,070	*	*	5,370	4,122
*NYC highways that receive a cleanliness rating of - Good (%)	97.60%	99.10%	99.50%	98.00%	98.00%	N/A	N/A
Arterial highway system that is adopted (%)	69.30%	66.30%	67.40%	70.00%	70.00%	67.70%	69.50%
Audited adopted highway miles that receive cleanliness rating of good (%)	100.00%	100.00%	99.40%	*	*	100.00%	99.40%
Average cost per lane mile resurfaced citywide (\$)	\$153,790	\$150,830	\$192,536	*	*	N/A	N/A
Average cost per ton of asphalt placed citywide (\$)	\$162.01	\$149.56	\$196.76	*	*	N/A	N/A
Average in-house cost of asphalt per ton (\$)	\$63.18	\$61.26	\$63.04	*	*	N/A	N/A
Average vendor cost of asphalt per ton (\$)	\$69.06	\$73.29	\$76.64	*	*	N/A	N/A
*Average time to respond to traffic signal defect and make safe (hours)	4.1	3.8	7.3	4	4	4.6	5.2
*Average time to repair priority regulatory signs after notification (days)	1.9	1.9	2.2	3	3	1.9	2
Average time to repair street lights - by DOT (days)	2.2	2.5	2.7	*	*	2.4	2.5
Average time to repair street lights - by ConEd (days)	14.3	12.5	14.1	*	*	12.4	14.2
*Staten Island Ferry - Trips that are on time (%)	90.90%	88.90%	88.60%	90.00%	90.00%	88.90%	89.70%
- Ridership (000)	21,404	22,178	21,399	*	*	7,926	7,607
- Average cost per passenger (\$)	\$5.35	\$5.48	\$5.38	*	*	N/A	N/A
Private ferry service - Total ridership (000)	8,326	9,020	9,976	*	*	3,578	3,763
- Number of permanent routes	20	21	21	*	*	21	21
*Overall traffic crashes	179,112	176,482	179,076	4	4	N/A	N/A
*Citywide traffic fatalities	236	291	261	4	4	94	108

Performance Indicators	Actual			Target		4-Month Actual	
	FY11	FY12	FY13	FY14	FY15	FY13	FY14
- Motorists/passengers	78	115	93	*	*	43	52
- Bicyclists/pedestrians	158	176	168	*	*	51	56
*Pedestrian volume index	113.2	N/A	112.9	*	*	N/A	N/A
Crossing points with pedestrian ramps (%)	91%	94%	95%	*	*	N/A	N/A
Select Bus Service routes in operation	2	3	5	*	*	N/A	N/A
Average travel speed (miles per hour) - Manhattan Central Business District	9.2	9.1	9.1	*	*	N/A	N/A
*Bicycle network connectivity index	1,134	1,254	1,416	1,500	1,500	N/A	N/A
Bicycle lane miles installed	33.1	25.8	51.9	20	20	N/A	N/A
Bicycle racks installed	2,750	1,286	3,541	1,500	1,500	N/A	N/A
*On-street parking meters that are operable (%)	92.90%	97.90%	99.10%	95.00%	95.00%	98.80%	99.40%
Existing bus shelters converted to new model (%)	89.50%	97.80%	99.60%	*	*	99.50%	99.60%
Existing newsstands converted to new model (%)	77.70%	86.40%	91.10%	*	*	88.80%	91.80%
Cases commenced against the City in state and federal court	2,118	2,246	1,927	*	*	665	613
Payout (\$000)	\$65,407	\$63,347	\$71,747	*	*	\$24,189	\$19,926
Total violations issued	27,511	30,438	27,382	*	*	7,464	12,079
Violations admitted to or upheld at the Environmental Control Board (%)	N/A	89.60%	88.10%	*	*	N/A	91.00%
Accidents involving City vehicles	N/A	N/A	356	*	*	N/A	110
Workplace injuries reported	N/A	541	411	*	*	N/A	123

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