

THE COUNCIL OF THE CITY OF NEW YORK

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Speaker of the Council

Hon. James Van Bramer
Chair, Committee on Cultural Affairs, Libraries, International Intergroup Relations



Report on the Fiscal 2017 Preliminary Budget and the Fiscal 2016 Preliminary Mayor's Management Report Department of Cultural Affairs

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Department of Cultural Affairs Overview

The Department of Cultural Affairs (DCLA or the Department) provides support, advocacy, and technical assistance to the City's cultural community to ensure that the arts remain a central feature of civic and economic life in the City. The Department funds both energy and a portion of operating support for the 34 city-owned cultural institutions of the Cultural Institutions Group (CIG or Institutions) and the agency also supports, through its Cultural Development Fund (CDF) program, other not-for-profit cultural organizations (Programs). In addition, DCLA also operates the Materials for the Arts (MFTA) program, which distributes donated arts materials to public schools, cultural organizations, and social service programs and the Percent for Art program that commissions works of art in public spaces. The DCLA continues to support the capital improvement of cultural facilities throughout the City.

This report provides a review of the Department of Cultural Affairs' Preliminary Budget for Fiscal 2017 and highlights the expense and capital budgets as well as significant metrics from the Preliminary Mayor's Management Report. The report analyzes the Department's budget for the Office of the Commissioner, programs and the Cultural institutions Group. Further, it provides information on actions included in the November and Preliminary Financial Plans.

Fiscal 2017 Preliminary Budget Highlights

The City's Fiscal 2017 Preliminary Budget is \$82.1 billion, 4.6 percent more than the Fiscal 2016 Adopted Budget of \$78.5 billion. For DCLA, the Fiscal 2017 Preliminary Budget stands at \$145.9 million (including City and Non-City funds), approximately 0.18 percent of the City's total budget. This is \$20.1 million lower than the Fiscal 2016 Adopted Budget of \$166 million but includes \$289,000 in new needs and \$113,000 in other adjustments. The reductions are largely a function of City Council funds which are not baselined in the budget, and therefore appear as a reduction at this point in the budget process.

DCLA Expense Budget						
<i>Dollars in Thousands</i>	2014 Actual	2015 Actual	2016 Adopted	Preliminary Plan		*Difference
				2016	2017	2016 - 2017
Personal Services	\$4,148	\$4,405	\$4,555	\$5,299	\$5,078	\$523
Other Than Personal Services	152,361	159,284	161,462	167,429	140,795	(20,667)
TOTAL	\$156,509	\$163,688	\$166,017	\$172,728	\$145,873	(\$20,143)

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

The key actions in the Preliminary Plan include:

MTA Floor Repair. The Fiscal 2017 Preliminary Plan includes \$72,000 in Fiscal 2016 for the installation of new floors at Materials for the Arts.

Staff for Council Initiatives. The Fiscal 2017 Preliminary Plan includes \$289,000 in Fiscal 2016 through 2019 for five additional positions. The additional positions will address the increased administrative responsibilities resulting from increased City Council funding and new Council initiatives added to the budget. In Fiscal 2016 all existing Cultural initiatives

were expanded and the Council funded three new initiatives focusing on young filmmakers, seniors and communities.

Agency OTPS Funding. The Fiscal 2017 Preliminary Plan includes \$64,000 in Fiscal 2016 to pay for office build-out in order to accommodate the new staff positions.

Snug Harbor Cultural Center. The Fiscal 2017 Preliminary Plan includes \$199,000 in Fiscal 2016 for additional operating support for Snug Harbor.

Intra City with Department of Sanitation. The Fiscal 2017 Preliminary Plan includes \$3.4 million of intra city funding from the Department of Sanitation in Fiscal 2016. This funding is for each of the four Botanical Gardens to administer a composting educational program. The funding is split as follows:

- \$1.2 million for the Staten Island Botanical Garden;
- \$517,000 for the Brooklyn Botanical Garden;
- \$468,000 for the New York Botanical Garden; and
- \$1.2 million for the Queens Botanical Garden.

PlaNYC EXCEL Program. The Fiscal 2017 Preliminary Plan includes \$1.5 million in Fiscal 2016 for DCLA as a result of a Memorandum of Understanding (MOU) with DCAS. EXCEL is the Expense for Conservation and Efficiency Leadership program, which uses PlaNYC expense funding for energy conservation initiatives. Funds are used for energy efficiency operations and maintenance measures, tools and equipment to assist facilities personnel, training programs, and outreach and communication efforts for awareness activities. Through this program, the government supports energy sustainability and funds cost-effective energy efficiency activities. DCLA reimbursed the cultural institutions listed below for participating in the energy savings program.

- \$326,000 for American Museum of Natural History
- \$37,622 for Brooklyn Botanic Garden
- \$56,951 for Brooklyn Museum
- \$406,030 for Metropolitan Museum of Art
- \$9,104 for the Museum of the City of New York
- \$21,000 for New York Botanical Garden
- \$59,000 for New York City Center
- \$139,403 for New York Hall of Science
- \$127,829 for Queens Museum
- \$298,000 for Snug Harbor

Financial Summary

The following table, "Department of Cultural Affairs Financial Summary," provides an overview of the Department's total budget from Fiscal 2014 to the Preliminary Plan for Fiscal 2017, as well as the agency's funding sources.

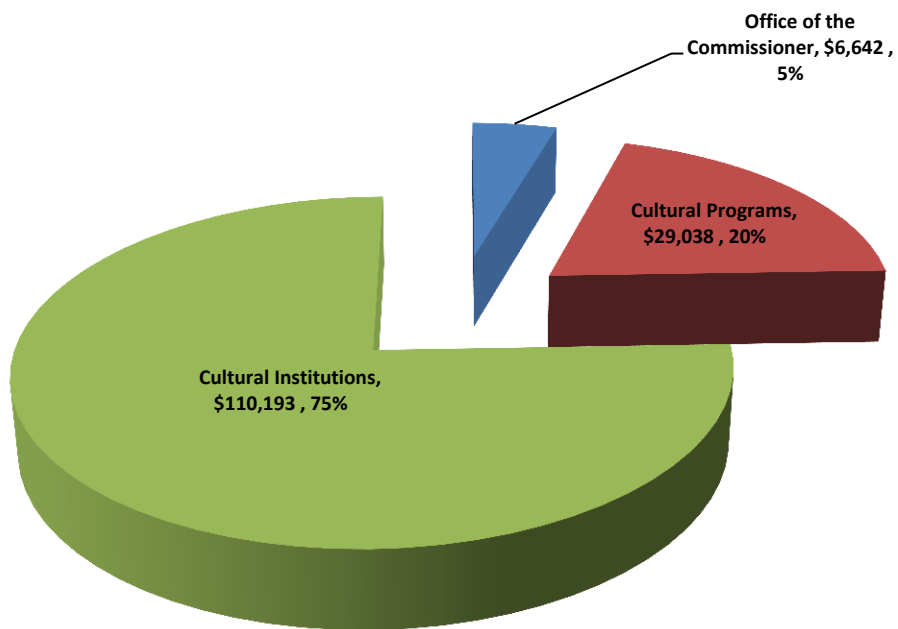
DCLA Financial Summary <i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services	\$4,148	\$4,405	\$4,555	\$5,299	\$5,078	\$523
Other Than Personal Services	152,361	159,284	161,462	167,429	140,795	(20,667)
TOTAL	\$156,509	\$163,688	\$166,017	\$172,728	\$145,873	(\$20,143)
Budget by Program Area						
Office of the Commissioner	\$5,649	\$5,876	\$6,119	\$7,141	\$6,642	\$523
Cultural Programs	39,614	42,776	48,530	48,820	29,038	(19,492)
Cultural Institutions	111,247	115,037	111,368	116,768	110,193	(1,174)
TOTAL	\$156,509	\$163,688	\$166,017	\$172,728	\$145,873	(\$20,143)
Funding						
City Funds			\$165,348	\$166,246	\$145,198	(\$20,150)
Capital- IFA			241	241	243	2
State			2	9	3	1
Federal - Community Development			246	352	250	4
Federal - Other			0	401	0	0
Intra City			180	5,479	180	0
TOTAL	\$156,509	\$163,688	\$166,017	\$172,728	\$145,873	(\$20,143)
Budgeted Headcount						
Full-Time Positions - Civilian	44	46	51	59	59	8
TOTAL	44	46	51	59	59	8

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The proposed budget for the DCLA in Fiscal 2017 is \$145.9 million, which is \$20.1 million (12.1 percent) less than the Fiscal 2016 Adopted Budget. The decrease is comprised of a reduction of \$19.5 million in funding for Cultural Programs and \$1.2 million in funding for Cultural Institutions, offset by a modest increase of \$523,000 to the Office of the Commissioner. The \$20.1 million decrease is mostly due to Council discretionary funding in Fiscal 2016 which is not reflected in Preliminary Fiscal 2017.

Only five percent of the Department's total budget covers direct agency expenses; the remaining 95 percent of the Department's budget supports the Cultural Institution Groups (CIGs) and other arts organizations. The chart below provides a breakdown of the Department's funding.

DCLA Fiscal 2017 Preliminary Budget by Program Area
(in 000s)



Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personal service provided to the City by means of a contract. The Contract Budget is actually a subset of the OTPS portion of the City's Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. In January, it is prepared with the Departmental Estimates, and in late April it is submitted to the Council with the Executive Budget.

The following table provides the Department of Cultural Affairs Preliminary Contract Budget for Fiscal 2017.

DCLA Fiscal 2017 Preliminary Contract Budget				
<i>Dollars in Thousands</i>				
Category	Fiscal 2016 Adopted	No. of Contracts	Fiscal 2017 Preliminary	No. of Contracts
Payments to Cultural Institutions (TOTAL)	\$48,790,288	652	\$28,668,106	651
<i>Council Funded Payments</i>	\$20,122,182	N/A	TBD	N/A
<i>Other Payments</i>	\$28,668,106	N/A	\$28,668,106	N/A
Cleaning Services	34,814	1	34,814	1
Maintenance and Repairs - General	30,150	1	30,150	1
Office Equipment Maintenance	14,591	1	14,591	1
Printing Contracts	440	1	440	1
Prof. Services - Engineering and Architectural Services	10,000	1	10,000	1
Telecommunications Maintenance	1,481	1	1,481	1
Temporary Services	3,280	1	3,280	1
Prof. Services - Other	49,000	1	49,000	1
TOTAL	\$48,934,044	660	\$28,811,862	659

The City's Contract Budget totals \$13.2 billion in Fiscal 2017, a slight decrease of \$76.5 million when compared to the Fiscal 2016 Adopted Budget. The Department's Contract Budget totals \$28.8 million in Fiscal 2017, a decrease of 41.1 percent when compared to the Fiscal 2016 Adopted Budget of \$48.9 million. The \$20.1 million decrease is mostly due to Council discretionary funding added at adoption for Fiscal 2016, which is not reflected in Preliminary Fiscal 2017 Budget. The number of contracts does not reflect the increase in funding for the Fiscal 2016 Adopted Budget.

Council Initiatives

The Council funds a diverse array of Cultural Initiatives that focuses on a wide range of New Yorkers, including young filmmakers, seniors, immigrants and students in all five boroughs. In Fiscal 2016 all existing Cultural Initiatives were expanded and the Council funded new initiatives such as Ghetto Film School (GFS) Accelerator Program Model and SU-CASA.

Fiscal 2016 Council Initiatives at Adoption	
<i>Dollars in Thousands</i>	
Initiative	Amount
Cultural After School Adventure (CASA)	\$8,160
Coalition of Theaters of Color	1,965
Cultural Immigrant Initiative	3,188
Ghetto Film School (GFS) Accelerator Program Model	625
Anti-Gun Violence – Art a Catalyst for Change	720
SU-CASA	1,020
Subtotal	\$15,678
Local Initiatives	\$5,677
TOTAL	\$21,355

Cultural After School Adventure (CASA)

Funding for this initiative supports after-school programs that partners with cultural programs and institutions to provide arts enrichment citywide. CASA ensures that public school students across the five boroughs are provided with quality arts and cultural programming during after-school hours. This initiative was launched in Fiscal 2006 and has grown in both the number of organizations funded as well as total designations over the years. Each Council Member designated organizations at eight schools, an increase from the seven schools per district that this program served in prior years.

Coalition of Theaters of Color

The Coalition of Theaters of Color (CTC) was founded in 2004 to address inequitable funding for theatrical institutions of color. Funding supports the operations and programming of various theaters and cultural organizations, primarily in communities of color throughout New York City. The CTC institutions have a tradition of addressing the needs of the community and preserving and promoting its cultural heritage. Funding was distributed to 43 organizations, who received funding based on their size, and history in the program.

Cultural Immigrant Initiative

This initiative was launched in Fiscal 2015. Funding for this initiative supports cultural organizations to provide programming focused on the cultural history or traditions of immigrant communities in New York City. In addition, this initiative increases access to unique cultural offerings that focus on immigrant heritages. Organizations were designated by each Council Member.

Ghetto Film School (GFS) Accelerator Program Model

This initiative was launched in Fiscal 2016. Funding for this initiative supports a market-based accelerator program for 150 GFS alumni and other qualified young media producers a year. Through the program, the producers receive advanced training, professional development, and job placement.

Anti-Gun Violence – Art a Catalyst for Change

This initiative was launched in Fiscal 2016. Funding for this initiative supports the use of arts as a means to engage, organize and mobilize communities in the public awareness and conflict mediation surrounding gun violence. This initiative is part of a \$13.3 million funding towards a variety of public safety initiatives and programs that support the Council's efforts towards the provision of public safety and awareness across the City.

SU-CASA

This initiative was launched in Fiscal 2016. Funding for this initiative provides two senior centers per Council district with arts programming activities which include a choice of music, dance, painting or crafts. Disciplines funded in Fiscal 2016 cover a wide range, including ceramics, puppet movement, singing, quilting, improv theater, and storytelling. Council Members chose two senior centers in their district, which were then matched by DCLA with the 54 artists and 48 organizations selected for this initiative.

Program Areas

Office of the Commissioner

The Office of the Commissioner is responsible for the administration and monitoring of funds for the CIGs and the Cultural Development Fund (CDF). The area also assists (with other City agencies) in the management of various capital construction projects in both city-owned and non city-owned facilities housing cultural programs and other cultural groups. In addition, the Office of the Commissioner manages many public cultural events throughout the year. The chart below includes funding for the Materials for the Arts (MFTA) program, which distributes donated arts materials to public schools, cultural organizations, and social service programs and the Percent for Art program that commissions works of art in public spaces.

Office of the Commissioner						
<i>Dollars in Thousands</i>						
	2014 Actual	2015 Actual	2016 Adopted	Preliminary Plan		*Difference
				2016	2017	2016 - 2017
Spending						
Personal Services						
Additional Gross Pay	\$144	\$194	\$24	\$24	\$5	(\$19)
Additional Gross Pay - Labor Reserve	0	16	0	0	0	0
Full-Time Salaried - Civilian	3,383	3,554	4,003	3,938	4,559	556
Other Salaried	0	0	0	289	0	
Overtime - Civilian	0	0	0	0	0	0
P.S. Other	1	1	0	0	0	0
Unsalaries	621	641	528	1,048	514	(14)
Subtotal	\$4,148	\$4,405	\$4,555	\$5,300	\$5,078	\$523
Other Than Personal Services						
Contractual Services	\$119	\$114	\$85	\$171	\$85	\$0
Contractual Services - Professional Services	55	38	59	39	59	0
Fixed & Misc. Charges	0	6	0	8	0	0
Other Services & Charges	1,274	1,272	1,269	1,443	1,269	
Property & Equipment	8	8	106	143	106	0
Supplies & Materials	45	32	45	36	45	0
Subtotal	\$1,501	\$1,471	\$1,564	\$1,841	\$1,564	\$0
TOTAL	\$5,649	\$5,876	\$6,119	\$7,141	\$6,642	\$523
Funding						
City Funds			\$5,556	\$6,106	\$6,072	\$516
Intra City			180	645	180	0
Capital- IFA			241	241	243	2
State			2	9	3	1
Federal - Community Development			140	140	144	4
TOTAL	\$5,649	\$5,876	\$6,119	\$7,141	\$6,642	\$523
Budgeted Headcount						
Full-Time Positions - Civilian	44	46	51	59	59	8
TOTAL	44	46	51	59	59	8

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Department's Fiscal 2017 Preliminary Budget includes \$6.6 million for Office of the Commissioner, \$523,000 more than planned in the Fiscal 2016 Adopted Budget. The \$523,000 increase in personal services costs is comprised of:

- \$289,000 for five positions for administrative responsibilities resulting from increased City Council funding and new Council initiatives added to the budget;
- \$110,000 for two positions for agency capacity building program; and
- \$124,000 for collective bargaining costs.

The remaining one additional position is a technical adjustment which converts per diem status to a full-time position that is now reflected in the agency's budget. The two capacity building positions will provide technical assistance to cultural organizations in certain neighborhoods such as Jamaica, Queens. This is part of the Mayor's OneNYC plan to overcome disparities in access to economic opportunity, transportation, City and community-based resources, parks and public space, and broadband across neighborhoods to ensure that all neighborhoods are thriving.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
E-mails responded to in 14 days (%)	86%	90%	92%	88%	88%	89%	93%
Letters responded to in 14 days (%)	87%	100%	100%	90%	90%	100%	100%
Schools, non-profits and City/State agencies served by Materials for the Arts (MFTA)	1,884	2,025	2,105	*	*	1,172	1,131
MFTA transactions	5,653	5,995	6,021	5,300	5,300	2,000	2,058
Capital projects authorized to proceed	69	45	41	*	*	NA	NA
Capital projects initiated (%)	63%	42%	85%	66%	66%	NA	NA

The first four months of Fiscal 2016 show very little change in performance measures, except for a slight improvement in the timeliness of the agency's response to emails. The overall number of agencies and organizations served by MFTA declined when compared to a year ago, albeit only slightly. However, there was a slight increase in both the number of public schools served as well as the total number of transactions for donated goods. Similarly, MFTA successfully increased the number of donors of material goods by approximately seven percent.

Cultural Programs

Non-profit cultural institutions and programs are one of the leading economic engines of the City. "Culturals" generate billions in taxable revenue, provide unparalleled educational opportunities for children and adults throughout the five boroughs and are a major employer of tens of thousands of New York City residents. The funding in this program area is primarily for the Cultural Development Fund (CDF). Organizations that wish to receive City funding must go through a peer-based, merit-review application process. Additionally, there is funding in this area for special initiatives like the Cultural After School Adventure (CASA) and the Cultural Immigrant Initiative. Approximately, 99 percent of contractual spending for this area is for payments to CDF recipients.

A new program recently funded is the Artist-in-Residence program where DCLA partners with agencies such as NYC Administration for Children Services (ACS) and Mayor's Office of Immigrant Affairs (MOIA) for an artist or artist collective to develop and implement a project that educates the community about the services provided by the agency. For example, internationally acclaimed artist Tania Bruguera serves as an artist-in-residence at MOIA where she helps the office engage communities with large numbers of undocumented residents about IDNYC, New York City's municipal ID program. This collaboration aims to educate undocumented residents about the services the City has created with IDNYC.

DCLA collaborates with various city agencies in providing services for New Yorkers in all five boroughs. NYCxDESIGN (NYC by Design) is a five-borough, multiday event that serves as an annual exposition to celebrate New York City's contributions to and embrace of design and help leverage the sector's enormous potential for economic growth. NYCxDESIGN kicks off a diverse slate of year-round design happenings and events in New York City. The event showcases the City's most renowned design institutions, retailers, manufacturers, entrepreneurs, curators, educators, editors, and designers.

Cultural Programs						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Other Than Personal Services						
Contractual Services - CIGs	\$37,186	\$41,986	\$48,030	\$48,536	\$28,668	(\$19,362)
Fixed & Misc. Charges	1767	784	0	0	0	0
Other Services & Charges	656	0	500	282	370	(130)
Supplies & Materials	5	6	0	2	0	0
Subtotal	\$39,614	\$42,776	\$48,530	\$48,820	\$29,038	(\$19,492)
TOTAL	\$39,614	\$42,776	\$48,530	\$48,820	\$29,038	(\$19,492)
Funding						
City Funds			\$48,424	\$48,244	\$28,932	(\$19,492)
Federal - Community Development			106	213	106	0
Federal - Other			0	364	0	0
TOTAL	\$39,614	\$42,776	\$48,530	\$48,820	\$29,038	(\$19,492)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Department's Fiscal 2017 Preliminary Budget includes almost \$29 million for cultural programs, \$19.5 million less than planned in the Fiscal 2016 Adopted Budget. The \$19.5 million decrease is primarily due to Council initiative and discretionary funding in Fiscal 2016 which is not included in the Preliminary Budget.

Cultural Development Fund (CDF)

The projected budget for Fiscal 2017 includes \$28.5 million for the CDF. There were 1,077 applications submitted for Fiscal 2016 throughout New York City, which includes a current roster of approximately 330 multi-year grantees. More than 80 percent of the organizations that applied were funded.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Average days to issue initial Cultural Development Fund (CDF) payments after complying with all City requirements	6	8	11	7	7	8	5
Average days to issue final CDF payments	4	5	4	5	5	NA	NA
Total financial support provided to qualifying organizations (\$000,000)	139.30	144.30	152.60	*	*	NA	NA

Cultural Institutions

The basic framework for the public-private partnerships between New York City and its 34 cultural institutions was established in the 19th century. The State of New York passed legislation that incorporated the institutions and authorized the City to build facilities and lease them and the city-owned parkland on which they sat to the new private institutions. The partnership stipulated that the City would provide these institutions with land, facilities, and funds for maintenance and security. The private institutions in turn would develop specialized cultural services and collections which would be available to the general public. These 34 cultural institutions are members of the Cultural Institutions Group (CIG).

Cultural Institutions						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Other Than Personal Services						
American Museum of Natural History	\$16,076	\$16,769	\$16,500	\$16,769	\$16,453	(\$46)
Brooklyn Academy of Music	2,887	2,740	2,732	2,732	2,684	(48)
Brooklyn Botanical Garden	3,978	4,498	3,586	4,140	3,626	40
Brooklyn Children's Museum	1,886	1,899	1,880	1,880	1,832	(48)
Brooklyn Museum	7,767	8,204	7,728	7,785	7,807	78
Metropolitan Museum of Art	25,419	26,120	27,280	27,686	27,425	145
Museum of the City of New York	1,720	1,608	1,636	1,645	1,570	(66)
New York Botanical Garden	7,798	7,804	6,509	7,047	6,440	(69)
New York Hall of Science	1,855	1,839	1,790	1,929	1,800	10
New York Shakespeare Festival	1,122	1,118	1,167	1,167	1,117	(50)
Queens Botanical Garden	1,730	2,139	1,089	2,355	960	(129)
Staten Island Institute of Arts & Sciences	740	920	778	778	767	(12)
Snug Harbor Cultural Center	2,322	2,995	1,548	3,196	1,463	(85)
Staten Island Historical Society	728	863	772	809	681	(91)
Staten Island Zoological Society	1,508	1,439	1,429	1,429	1,392	(37)
Studio Museum in Harlem	847	850	828	828	788	(41)
Wave Hill	1,218	1,169	1,219	1,219	1,225	6
Wildlife Conservation Society	14,435	14,560	15,462	15,521	15,393	(69)
Other Cultural Institutions	17,209	17,502	17,435	17,850	16,770	(664)
Subtotal	\$111,247	\$115,037	\$111,368	\$116,768	\$110,193	(\$1,174)
TOTAL	\$111,247	\$115,037	\$111,368	\$116,768	\$110,193	(\$1,174)
Funding						
City Funds			\$111,368	\$111,896	\$110,193	(\$1,174)
Federal - Other			0	37	0	0
Intra City			0	4,834	0	0
TOTAL	\$111,247	\$115,037	\$111,368	\$116,768	\$110,193	(\$1,174)

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

The Department's Fiscal 2017 Preliminary Budget includes almost \$110.2 million for Cultural Institutions, \$1.2 million less than planned in the Fiscal 2016 Adopted Budget. The \$1.2 million decrease is the net change of \$1.7 million in Council discretionary funding in Fiscal 2016 not reflected in Fiscal 2017, offset by the addition of \$540,000 for collective bargaining.

Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Operating support payments made to Cultural Institutions Group by the 5th day of each month (%)	100%	100%	100%	100%	100%	100%	100%
Total visitors to the Cultural Institutions Group (000)	20,264	20,957	21,609	*	*	NA	NA
- Visitors using free admission and/or tickets (%)	22%	26%	26%	*	*	NA	NA

Capital Program

DCLA is the largest cultural funder in the nation, and works to create and expand access to public programming, provide technical assistance, build audiences, and ensure that arts and culture are central to the City's economic vitality and quality of life. The DCLA is currently investing capital support in the non-profit cultural community to increase public service, provide greater access for the disabled, enhance exhibition or performing space, better maintain and preserve historic buildings, and increase protection of botanical, zoological and fine art collections.

The CIG buildings under the DCLA's jurisdiction, many of which are landmarks, comprise over 9.8 million square feet of space.

Capital Budget Summary

The Fiscal 2017 Preliminary Capital Commitment Plan includes \$914.8 million in Fiscal 2016-2019 for the Department of Cultural Affairs (including City and Non-City funds). This represents approximately 1.6 percent of the City's total \$57.2 billion January Plan for Fiscal 2016-2019. The agency's Preliminary Capital Commitment Plan for Fiscal 2016-2019 is 0.5 percent more than the \$910.8 million scheduled in the Adopted Capital Commitment Plan, an increase of approximately \$4 million.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2015, the Department of Cultural Affairs committed \$155.2 million or 23.9 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency's Fiscal 2016 Capital Plan will be rolled into Fiscal 2017, thus increasing the size of the Fiscal 2017-2020 Capital Plan. Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2016 has increased from \$19.3 billion in the September Capital Commitment Plan to \$19.7 billion in the Preliminary Capital Commitment Plan, an increase of \$415 million or 2.2 percent.

DCLA 2016-2019 Capital Commitment Plan: Adopted and Preliminary Budget					
<i>Dollars in Thousands</i>					
	FY16	FY17	FY18	FY19	Total
Adopted					
Total Capital Plan	\$816,814	\$40,756	\$49,616	\$3,639	\$910,825
Preliminary Plan					
Total Capital Plan	\$820,782	\$40,756	\$49,616	\$3,639	\$914,793
Change					
Level	\$3,968	\$0	\$0	\$0	\$3,968
Percentage Change	0.5%	0%	0%	0%	0.5%

Preliminary Capital Plan Highlights

Major changes and highlights in the Department's Preliminary Capital Plan for Fiscal 2016-2019 include:

American Museum of Natural History Science and Education Center. The Richard Gilder Center for Science, Education, and Innovation will house spaces for carrying out

cutting edge scientific research, science exhibitions and important educational programs benefiting the museum's five million diverse annual visitors. Laboratories and innovative interactive spaces devoted to the teaching of science will be utilized by NYC school children at all levels, adult learners, educators and school administrators. The project will address the need to cultivate a STEM¹ workforce and a scientifically literate nation, in furtherance of the museum's mission. The Gilder Center will add approximately 180,000 square feet of space to the museum campus, making necessary improvements to the museum's capacity to integrate scientific research, collections and exhibition with its educational programming. Thirty new connections from the Gilder Center to ten existing museum buildings will be created, vastly improving circulation and flow for visitors and reinforcing the intellectual links among the museum's programmatic, exhibition, and collections areas. New state-of-the-art facilities for research, exhibition, and education will be provided. The center will join together the current complex and provide visitors with a more visible and accessible major entrance on the west side of the museum complex. The project recently completed Conceptual Design and is currently in the Schematic Design phase. It is estimated that the project construction will be completed in late 2020.

Brooklyn Botanic Garden (BBG) South Garden Phase 3. BBG's South Garden Redevelopment consists of three phased projects taken from the Garden's Master Plan that will help meet that plan's goals of strengthening sustainable operations and improving connections to surrounding neighborhoods. The scope of the completed Phase I South Garden project included the construction of a new Flatbush Avenue Entry and reimagined Discovery Garden improving the garden's southern entrance and strengthening the connection to the surrounding Flatbush neighborhood. The Phase II South Garden project is the installation of a comprehensive system to capture rainfall, filter and recirculate captured water, reduce the use of fresh water, and minimize storm water outflow while also creating a new botanical Water Garden display at the existing Terminal Pond. This Phase II is currently under construction. The scope of the Phase III South Garden project includes new topographic grading, planting, service area improvements, water conservation, additional storm water mitigation and Garden wide ADA accessibility improvements. The project is currently in BBG managed design phase with construction scheduled to be complete in fall 2017.

New-York Historical Society (NYHS) Women's History Center. The Center for Women's History will provide a new theater, exhibition gallery, and educational spaces to install the first permanent exhibition on New York women and labor history in 10,000 square feet of the New-York Historical Society's fourth floor. The space will accommodate a rapid growth in audience as NYHS anticipates doubling their audience within two years after opening the Center. The project includes a new state-of-the-art theater and immersive exhibition galleries featuring treasures from the permanent collections as well as special exhibitions and cutting-edge digital interactive installations, and additional educational spaces. The scope's infrastructure improvements include enhancements to HVAC, fire safety, security systems and accessibility. The project, under the management of New-York Historical Society, is scheduled to begin construction in March 2016 and be complete in fall 2017.

¹ STEM stands for Science, Technology, Engineering, and Math

New York Aquarium ‘Ocean Wonders’ Shark Exhibit. The Wildlife Conservation Society’s ‘Ocean Wonders’ Shark Exhibit at the New York Aquarium will be a major new facility with a series of exhibits that engage and educate the public focusing on sharks, conservation and habitat themes. Key elements of the enhanced exhibit experience include a multi-purpose space with a diversity of functions ranging from a classroom to special events, major and satellite exhibit tanks, new restrooms and behind-the-scenes support space, and dedicated space to host private events--all with visual connection with the ocean and a physical interface with the boardwalk. The Animal Care Propagation/Holding Facility will be the companion marine animal holding and propagation building providing support functions to the Shark building and the marine collection. The project is currently in construction under the management of the Wildlife Conservation Society and is scheduled for substantial completion in summer 2016.

Snug Harbor Sitewide Electrical. Snug Harbor will replace the current 30-year-old campus-wide electrical infrastructure. This upgrade will replace the obsolete system and provide additional capacity required for recent City-funded capital projects, including the Montessori School in Building G, the new kitchen in Building F, the complete renovation of Building A for a new Staten Island Museum, and the new building for Music Hall dressing rooms. The current project includes the installation of a new electrical service room in Building G that is code compliant and sized for new service equipment, as well as the installation of two new substations in Building L. This project is in schematic design by Cosentini Engineering, under DDC management. The next phase of the project, funding permitting, is to upgrade each of the 25 individual buildings on the campus to receive the new electrical service. The overall project will increase the efficiency and safety of electricity on the campus and allow capacity for future growth.

Dance Theatre of Harlem (DTH). Located at 466 W. 152nd Street, DTH consists of a performing ensemble, an arts education center, and art outreach programs. The project will replace the outdated and inefficient HVAC and boiler systems and fire safety systems at the DTH facility. This will provide a more comfortable and safe atmosphere for the ensemble dancers and neighborhood residents taking classes. The project will also address code issues that exist because the cooling system on the roof is beyond legal noise limits. The project is managed by the Department of Design and Construction (DDC) and is in the first phase of design.

Bronx River Art Center (BRAC) Reconstruction. BRAC, located in the West Farms/Tremont section of the Bronx, is a visual arts organization that provides education and environmental programs for community, artists, and youth. Located adjacent to the Bronx River Greenway, the BRAC building is undergoing a major renovation, upgrading the 100-year old structure into a fully renewed facility complete with artist studios, a media and technology center, a multi-purpose performing arts space, and administrative offices. The project is currently in construction under the management of DDC and is scheduled for completion in September 2016.

New York Botanical Garden (NYBG) Edible Academy and Family Garden Enhancements. The Edible Academy and Family Garden Enhancements is a project that will improve the existing Ruth Rea Howell Family Garden in three significant ways: by constructing the new Edible Academy building complex, by making infrastructure upgrades

to the Eastern Garden precinct, and by reconfiguring the landscape to allow for expansion of the Family Garden's programs. The main components of the project are an energy-efficient greenhouse, two teaching pavilions with solar collectors, a Family Garden Amphitheater for public programs, a composting sanitary and septic system for the entire site, ADA accessible pathways that manage storm water runoff to the adjacent Bronx River and landscape, and sitework required to reconfigure the Family Garden planting sites. Construction, managed by the NYBG, is expected to take one and a half years to complete beginning in the spring of 2016 and completing in the fall of 2017.

Bedford Stuyvesant Restoration Center Fire Safety. The proposed scope of work for this project upgrades the Fire Safety System and Smoke Evacuation System in the Bedford Stuyvesant Restoration's main building, the Sheffield Building. The upgrades of these essential life-safety systems are essential to providing a safe, code compliant environment for the occupants of the Sheffield Building. The building houses the Billie Holiday Theatre (BHT), Skylight Gallery, College of New Rochelle, public assembly spaces and a multiple community organizations. The Fire Safety System will include smoke detection, water flow detection, interface with Building Management System, voice annunciation and central station communication. The Smoke Evacuation System will consist of a rooftop mechanical fan unit with hard ducts connected to the required building zones; activation and control will come from the building's Fire Safety System. The project is currently in scope development and will be managed by DDC.

South Site Cultural Project (The Museum of Contemporary African Diasporan Arts (MoCADA)/651 Arts/Brooklyn Academy of Music/Brooklyn Public Library). The South Site project entails the build out of a 50,000 square foot space within a mixed-income condo development in the Downtown Brooklyn Cultural District. The project includes a new home for MoCADA with two new galleries, artist workspace, and a community room; three new cinemas for BAM, including a home for BAM's Hamm Film Archives; a permanent home for 651 Arts with three dance studios and a performance space; and a satellite branch of the Brooklyn Public Library. The project will also include a 10,000 square foot plaza with outdoor seating for public programming and an enhanced streetscape design. Collectively, the four cultural tenant organizations offer a diverse mix of programming encompassing visual arts, dance, literary arts, media, and film. The South Site Cultural Project will be a premiere destination in the DBCD, supporting the continued growth and vibrancy of local Brooklyn arts organizations. The project is currently in design. Anticipated completion is spring 2018.

Nuyorican Poets Café. The Nuyorican Poets Café project involves the complete exterior rehabilitation and interior renovation of the existing Café facility located at 236 East Third Street. The building is a turn-of-the-century tenement consisting of a cellar and four above-grade stories, plus a mezzanine. The primary objective of the project is to renovate the interior of the Café to provide an upgraded performance space on the first floor, plus additional performance space, classrooms and an expanded office suite on upper floors. Phase 1 of the project will include a preliminary plan to analyze the space for code compliance for all floors; the build out of each floor including installation of an HVAC system, plumbing, restrooms, electrical systems, elevator and stair tower and future new construction. If additional funding becomes available, a second phase would consist of the

construction of two additional levels to the building for expanded programming. The project is currently in the early part of schematic design, managed by DDC.

Louis Armstrong House (LAHM) Administration Facility. Ms. Selma Heraldo recently bequeathed her home to the nearby LAHM to support the institution and its future operations. The current project will convert the residential home into a new administration support facility providing comfortable and efficient office space for the staff members of the Louis Armstrong House Museum. The scope of work will include small meeting spaces with modern amenities such as IT connectivity, new HVAC systems, and new electrical wiring. The interior of this 110-year-old residence will be made suitable for modern office spaces, and all alterations will comply with the Americans with Disabilities Act and building codes of the City and State of New York. The renovation of Selma's House is the next and final phase in a sequence of planned improvements of the LAHM campus. Selma's House will provide critical administrative support specifically for the new Education Center project (administered by CUNY) and will enable the Museum to attract larger audiences, to present a wider range of events, and engage additional support from members, patrons and corporate supporters. All of this will raise the public profile of LAHM and the new Education center. Selma's House renovation's final project objectives have been submitted for agency review.

Snug Harbor Music Hall. This 120 year old historically significant structure provides a professional 686-seat venue for concerts, performances, lectures, films, public ceremonies, and symposia. It currently serves Staten Island, Brooklyn, lower Manhattan and New Jersey, but it can only accommodate small to medium sized production companies and events. The restoration plan would restore the Music Hall to its glory while ensuring that the present-day operational needs of a performing arts venue are met. This project will provide the Music Hall with attached dressing rooms and greenroom, fire protection upgrades, office and storage space, and improved ADA compliance to the performance spaces. These upgrades will make it a dynamic, marketable performance venue for city-wide events and a cultural destination. Design of the new addition by Studio Joseph Architects is scheduled for completion in March 2016.

Staten Island Zoo Aquarium. The Zoo's aquarium exhibit in the main building will be updated to meet best standards in terms of animal wellness and visitor experience. This project removes outdated equipment systems that require constant maintenance and significantly upgrades the zoo's resources to address advances in aquarium technology. With this project, the Zoo expects significantly reduced operational costs in maintaining the aquarium displays. The renovation includes the complete replacement of all tanks, a redesigned layout, new pumping filtration systems, boiler replacement for the building, and a revised overall electrical plan. Construction documents are finalized under the design of Leeser Architects, and the project is expected to begin construction in spring 2016.

Appendix A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2016			FY 2017		
	City	Non-City	Total	City	Non-City	Total
DCLA Budget as of the Adopted 2016 Budget	\$165,348	\$669	\$166,017	\$144,796	\$676	\$145,472
New Needs						
MTA Floor Repair	\$72	\$0	\$72	\$0	\$0	\$0
Staff for Council Initiatives	289	0	289	289	0	289
Agency OTPS Funding	64	0	64	0	0	0
Snug Harbor Cultural Center	199	0	199	0	0	0
Subtotal, New Needs	\$624	\$0	\$624	\$289	\$0	\$289
Other Adjustments						
AMNH CB Adjustment	(\$57)	\$0	(\$57)	(\$83)	\$0	(\$83)
Capacity Building Funding Roll	(180)	0	(180)	180	0	180
ExCEL Program - DCLA	0	298	298	0	0	0
I/C DCA FY15	0	195	195	0	0	0
IC W/CULTURAL AFFAIRS QUEENS	0	1216	1216	0	0	0
IC W/CULTURAL AFFAIRS NYC	0	468	468	0	0	0
IC W/CULTURAL SNUG HARBOR	0	1152	1152	0	0	0
IC W/CULTURE BKLY Botanical	0	517	517	0	0	0
IC W/DCA FOR MFTA PROGRAM	0	270	270	0	0	0
Member Item Reallocation	427	0	427	0	0	0
PlaNYC ExCEL Program		1,184	1184	0	0	0
Put up CADP Funding	0	107	107	0	0	0
Put up DEC OTPS Funds	0	7	7	0	0	0
Put up FEMA Funds	0	364	364	0	0	0
City Council Member Items Reallocation	14	0	14	0	0	0
DCLA OSA Collective Bargaining	14	0	14	16	0	16
Lease Adjustment	56	0	56	0	0	0
Put up FEMA funds for SIHS	0	37	37	0	0	0
Subtotal, Other Adjustments	\$274	\$5,814	\$6,088	\$113	\$0	\$113
TOTAL, All Changes	\$898	\$5,814	\$6,712	\$402	\$0	\$402
Agency Budget as of the Preliminary 2017 Budget	\$166,246	\$6,483	\$172,729	\$145,198	\$676	\$145,874